

	Period start date			Period end date		
	Day	Month	Year	Day	Month	Year
	1	January	2021	31	December	2021
From				To		

Section A Reference and administration details

Charity name	The Regimental and Chattels Charity of the former Durham Light Infantry	
Other names charity is known by	Not applicable	
Registered charity number (if any)	1174128	
Charity's principal address	The Rifles Durham Office	
	Elvet Waterside	
	Durham	
	Postcode DH1 3BW	The Charity was formed on the 3 rd of August 2017

Names of the charity trustees who manage the charity

	Trustee name	Office (if any)	Dates acted if not for whole year	Name of person (or body) entitled to appoint trustee (if any)
1	Colonel The Honourable James David Alexander Ramsbotham CBE DL	Chairman	1 January 2021 to 19 March 2021	The Trustees themselves
2	Colonel Harry Edward Shields MBE	Chairman	20 March 2021 to 31 December 2021	The Trustees themselves
3	Brigadier Paul Joseph Andrew Baker OBE			The Trustees themselves
4	Dr Keith John Bartlett Ph D BA (Hons)			The Trustees themselves
5	Colonel Arthur Watson Charlton TD DL			The Trustees themselves
6	Major General Charles Seymour Collins DSO OBE			The Trustees themselves
7	Major Daryl Michael Dowdall			The Trustees themselves
8	Lieutenant Colonel Timothy John Harris MBE		19 March 2021 to 31 December 2021	The Trustees themselves
9	Major David Hamilton MSc	Treasurer		The Trustees themselves
10	Colonel Stephen John Hopper TD			The Trustees themselves

11	Major Christopher Lawton MBE DL		1 January 2021 to 10 September 2021	The Trustees themselves
12	Mr Christian Hugh Stuart Swinburne LLB	Secretary	11 September 2021 to 31 December 2021	The Trustees themselves
13	Lieutenant Colonel Lawrence John Cleghorn Anderson MBE	Secretary	1 January 2021 to 10 September 2021	The Trustees themselves
14				
15				
16				
17				
18				
19				
20				

Names of the trustees for the charity, if any, (for example, any custodian trustees)

Name	Dates acted if not for whole year

Names and addresses of advisers (Optional information)

Type of adviser	Name	Address
Independent Examiner	AZETS	Bede House, 3 Belmont Business Park, Durham, DH1 1TW
Solicitors	Womble Bond Dickinson LLP	St Ann's Wharf, 112 Quayside, Newcastle upon Tyne, NE1 3DX

Name of chief executive or names of senior staff members (Optional information)

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Section B Structure, governance and management

Description of the charity's trusts

Type of governing document	Constitution dated the 3 rd of August 2017 as Amended on 12 March 2020
How the charity is constituted	As a Charitable Incorporated Organisation
Trustee selection methods	By the Trustees.

Additional governance issues (Optional information)

You **may choose** to include additional information, where relevant, about:

- policies and procedures adopted for the induction and training of trustees;
- the charity's organisational structure and any wider network with which the charity works;
- relationship with any related parties;
- trustees' consideration of major risks and the system and procedures to manage them.

When a vacancy amongst the Trustees is anticipated, potential candidates are identified. Following an initial approach by a Trustee an identified candidate's name is put to a properly convened meeting of the Trustees, with a copy of their CV. If approved, they are appointed by the Trustees for a period of five years. On appointment a new Trustee is given an aide memoire containing a history of the Durham Light Infantry and of the Charity, a copy of the Constitution, details of their fellow Trustees, a copy of the Charity's most recent accounts and copies of the minutes of the previous two Trustees' meetings.

The Trustees have a Risk Register based upon what they have identified as the significant risks facing the Charity. This Register is reviewed at each meeting.

Section C

Objectives and activities

Summary of the objects of the charity set out in its governing document

The objects of the CIO are:

- The advancement of education for the public benefit by the holding and provision of artefacts, items and collections, connected to or associated with the armed services and in particular with the former Durham Light Infantry, and the facilitation of the display and exhibition of the same in such manner as the Trustees of the CIO from time to time think fit.
- The promotion and efficiency of the armed forces of the Crown, including through:
 - o Promoting the military efficiency of the Army Reserve units as are from time to time based in the County of Durham, by improving facilities and amenities of training and service.
 - o Creating repairing and maintaining memorials commemorating the deeds of the Durham Light Infantry or the names of its members.
 - o Making grants:
 - to The Rifles' Benevolent Trust, as renamed or amalgamated into such other charitable organisations from time to time;
 - towards the upkeep of the Durham Light Infantry Chapel in Durham Cathedral and the Memorial Garden in the Cathedral precincts; and
 - to public museums relating to British military forces.
- The advancement of culture and heritage related to the armed forces, in particular in upholding the traditions of the former Durham Light Infantry and of perpetuating its deeds.

Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)

The Charity's focus is to preserve and raise public awareness of the former Durham Light Infantry, held in such high esteem across the nation but most particularly in the North East of England. The Trustees are particularly grateful to Durham County Council (DCC) for their exceptional commitment and support, not just for the maintenance and safe keeping of the Regimental Collection but also for financing, developing and delivering lifelong learning programmes for schools, community groups and the public.

The Charity owns the Regimental Collection which is on loan to DCC under an agreement between the Charity and DCC, the most recent version of which came into effect on 13 October 2021. The Regimental Collection comprises two parts:

- The Archive is that part of the Regimental Collection consisting of documents, manuscript and printed books, maps and plans, photograph albums and photographs etc covering the entire history of the Durham Light Infantry from 1758 to 1968. The Archive is cared for by the Durham County Record Office (DCRO), an Accredited Archive Service administered by Durham County Council (DCC).
- The Objects is that part of the Regimental Collection consisting of some 15,000 2D and 3D objects including textiles, uniforms, weapons, medals, relics and memorials, covering the entire history of the Durham Light Infantry from 1758 to 1968. The objects are cared for by the DLI Collection (DLIC) which is also nationally accredited and is administered by DCC.

Turning to the reporting period (1 January to 31 December 2021), COVID-19 continued to impact on both DCRO and DLIC. The DLI Research and Study Centre operated on an appointment only basis. Durham University's Palace Green Library, including the DLI exhibition and medal viewing service, reopened in July 2021, having been closed since the beginning of the first UK lockdown in March 2020. Education Staff resumed the delivery of outreach in person and in schools in September 2021. The Museums, Heritage and Collections Manager and Collections Assistants continued to work in the office. Although closed to in-person visitors, the DCRO's enquiry and digitisation service was maintained throughout.

Having given their full support in October 2019 to DCC's project to set up a History Centre at Mount Oswald in Durham City by late 2023, Trustees were pleased to note that construction began in April 2021 and that a National Lottery Heritage Fund bid for £1.2 million had been successful. Thanks to this grant:

'... over the next five years more of our collections will be digitised and digital access to the collections, at home and at the history centre, will be much easier. We'll have a new website that will unlock collections and allow for serendipitous discovery of local history and heritage. There will also be crowdsourcing projects so members of the public can share their knowledge and help us learn more about our collections.' (DLIC Report)

Highlights of 2021 include 'Through Soldiers' Eyes' and a 'Conservation in Action' programme. 'Through Soldiers' Eyes' was a community engagement programme in partnership with Bishop Auckland Town Hall Gallery. It culminated in an exhibition (10-27 September 2021) of heritage and contemporary works exploring art created by members of the Armed

Forces. The exhibition included heritage art from DLIC and original artworks from the archives while engagement partners included the DLI Association, 8th Battalion The Rifles and local cadet units. 301 children from 10 schools were visited by DLIC Education Officers as part of this programme. In October 2021, DLIC worked with the Bowes Museum Senior Conservator to assess, document and photograph the banners, flags and Colours in the DLI Collection. This also provided an opportunity to rewrap the collection. It is planned to use the photographs of the Colours in a future online Durham University Temporary Exhibition.

DLIC have transferred the film and moving image collection to Yorkshire and North East Film Archives (YNEFA) for assessment. The items will be assessed by YNEFA for digitisation and refrigerated storage.

The Trustees must record their gratitude to the University of Durham for managing the medal collection, a part of the Regimental Collection, in the University Library on Palace Green and for making medals available, on application, for viewing. This forms part of the Durham County Council and Durham University partnership agreement, whereby DCC pays the University for an exhibition gallery, temporary exhibition programme and to hold the medals. The DLI medal collection will be moved from the Library to the History Centre in due course, bringing objects and archives together into one location.

Having supported the successful application by DCRO to The National Archives in 2019 for an Archives Revealed grant to catalogue DLI archives, the Trustees are represented on the project Steering Group. Progress on this three-year project has continued despite difficulties in accessing the archives during lockdown.

The Trustees have complied with their duty in section 17(5) of the 2011 Charities Act to have due regard to the guidance published by the Charity Commission on public benefit.

Additional details of objectives and activities (Optional information)

The Charity continues to value very highly the support that is given by volunteers in particular those from Durham County Council, the DLI Association and the DLI Friends. These groups work together in the delivery of the DLI Collection Outreach Education Programme and in helping the public who visit the DLI Research and Study Centre and who access the DLI Archives.

You **may choose** to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

Section D

Achievements and performance

Summary of the main achievements of the charity during the year

The Trustees agreed, out of committee, a proposal by the Chairman to disburse £9,000 to the Durham County Record Office in order to progress further archiving of Regimental papers, chiefly the archive of a former Trustee, Colonel AF George. That disbursement was made on 24 June 2021.

The Trustees were pleased to note the progress of a student in Kohima who is in his second year of the Charity's 5-year scholarship programme (a one-off donation of £625 made in 2020) through the Kohima Educational Trust (KET). This very much supports the charity's aims of raising awareness of the Durham Light Infantry, not just in India, but in the Regiment's homeland of the North East of England through promotional material in local media.

Section E

Financial review

Brief statement of the charity's policy on reserves

Of the total sum of £100,008 held on current and deposit (£45,827 with the Royal Bank of Scotland and the remainder (£54,180) in the COIF Deposit Account, £26,942 is restricted. The Trustees confirm their previous view that the level of free reserves be maintained at approximately £30,000 in order to safeguard ongoing commitments and irregular outgoings.

Details of any funds materially in deficit

None

Further financial review details (Optional information)

You **may choose** to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

The Charity's principal source of income is derived from interest gained within the Investment Portfolio. It is further supplemented through ad-hoc donations and bequeathments. In 2021 the Charity realised £14,711 through these funding streams; a result of a single bequeathments of £7,550 and a single donation of £5,000. As indicated in Section D, a proportion of this was awarded to Durham County Record Office to assist with the ongoing archiving of DLI documents.

Investment interest has continued to remain at a low level and the markets remain volatile. A reduction of almost 4% in share value over this 12 month period is noted and will continue to be monitored closely during the coming year.

Section F

Other optional information

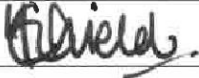

The assets of the Regimental Museum were Heritage Assets. Heritage Assets are not valued in the Accounts. No reliable valuation is available.

Section G

Declaration

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

Signature(s)		
Full name(s)	HARRY EDWARD SHIELDS	CHRISTIAN HUGH STUART SWINBURNE
Position (eg Secretary, Chair, etc)	CHAIR	SECRETARY

Date

1 June 2022



CHARITY COMMISSION
FOR ENGLAND AND WALES

The Regimental and Chattels Charity of the former
Durham Light infantry

Charity number
1174128

CC16a

Receipts and payments accounts

For the period from	01-Jan-21	To	31-Dec-21
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Section A Receipts and payments

	Unrestricted funds to the nearest £	Restricted funds to the nearest £	Endowment funds to the nearest £	Total funds to the nearest £	Last year to the nearest £
A1 Receipts					
Northern Trust	1,411	-	-	1,411	1,591
M & G	556	-	-	556	503
Donations	7,550	5,000	-	12,550	174
Blackrock	187	-	-	187	198
Insurance & Reimbursements	-	-	-	-	-
Interest on COIF deposit	7	-	-	7	142
Donation from Durham County Council towards the amount charged by the Commonwealth War Grave Commission	-	-	-	-	-
Sub total (Gross income for AR)	9,711	5,000	-	14,711	2,608
A2 Asset and investment sales, (see table).					
	-	-	-	-	-
	-	-	-	-	-
Sub total	-	-	-	-	-
Total receipts	9,711	5,000	-	14,711	2,608
A3 Payments					
Sundry Debtors	-	-	-	-	-
Reimbursements	141	-	-	141	754
Wombles Legal Services & Advice	1,290	-	-	1,290	594
Commonwealth War Graves Commission	-	-	-	-	-
Administrative Costs and Postage	145	-	-	145	366
KET Donation (Kohima)	-	-	-	-	625
DCC Archives	-	9,000	-	9,000	-
Trustee Insurance Policy	263	-	-	263	263
	-	-	-	-	-
Sub total	1,839	9,000	-	10,839	2,602
A4 Asset and investment purchases, (see table)					
CGWM Bond Fund	-	-	-	-	-
	-	-	-	-	-
Sub total	-	-	-	-	-
Total payments	1,839	9,000	-	10,839	2,602
Net of receipts/(payments)	7,872	4,000	-	3,872	6
A5 Transfers between funds	-	-	-	-	-
A6 Cash funds last year end	65,194	30,942	-	96,136	-
Cash funds this year end	73,066	26,942	-	100,008	6

Section B Statement of assets and liabilities at the end of the period

Categories	Details	Unrestricted funds to nearest £	Restricted funds to nearest £	Endowment funds to nearest £
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B1 Cash funds

COIF Deposit Account	27,238	26,942	-
Cash at bank	45,828	-	-
	-	-	-
Total cash funds	73,066	26,942	-
(agree balances with receipts and payments account(s))			

Unrestricted funds
to nearest £

Restricted funds
to nearest £

Endowment funds
to nearest £

B2 Other monetary assets

Details			
		-	-
	-	-	-
	-	-	-
	-	-	-
	-	-	-

B3 Investment assets

Details	Fund to which asset belongs	Cost (optional)	Current value (optional)	
CGWM Bond Fund (Northern Trust)	Unrestricted	51,391	52,180	31/12/2021
Charinco Common Investment Fund Income (Blackrock)	Unrestricted	3,809	5,737	31/12/2021
The Equities Investment Fund for Charities Income Units ("Charifund") (M & G Investments)	Unrestricted	1,622	11,687	31/12/2021
		-	-	

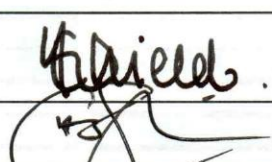
B4 Assets retained for the charity's own use

Details	Fund to which asset belongs	Cost (optional)	Current value (optional)
		-	-
		-	-
		-	-
		-	-
		-	-

B5 Liabilities

Details	Fund to which liability relates	Amount due (optional)	When due (optional)
		-	
		-	
		-	
		-	

Signed by one or two trustees on behalf of all the trustees

Signature	Print Name	Date of approval
	Colonel Harry Edward Shields MBE	1 Jun-22
	Christian Swinburne	1 Jun-22



Section A

Independent Examiner's Report

Report to the trustees/ members of	Charity Name The Regimental and Chattels Charity of the former Durham Light Infantry		
On accounts for the year ended	31 st December 2021	Charity no (if any)	1174128
Set out on pages	1 to 10 <small>(remember to include the page numbers of additional sheets)</small>		

I report to the trustees on my examination of the accounts of the above charity ("the Trust") for the year ended 31/12/2021.

Responsibilities and basis of report As the charity trustees of the Trust, you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ("the Act").

I report in respect of my examination of the Trust's accounts carried out under section 145 of the 2011 Act and in carrying out my examination, I have followed the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.


**Independent
examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention (~~other than that disclosed below *~~) in connection with the examination which gives me cause to believe that in, any material respect:

- accounting records were not kept in accordance with section 130 of the Act or
- the accounts do not accord with the accounting records

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in order to enable a proper understanding of the accounts to be reached.

** Please delete the words in the brackets if they do not apply.*

Signed:		Date:	31/08/2022
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Name:	Yasmin Bari
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Relevant professional qualification(s) or body (if any):	Chartered Accountant
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Address:	Azets, Bede House, Belmont Business Park
	Durham DH1 1TW

Assurance report

Independent Chartered Accountants' Review Report to the Trustees of The Regimental and Chattels Charity of the former Durham Light Infantry

We have reviewed the financial statements of The Regimental and Chattels Charity of the former Durham Light Infantry for the year ended 31st December 2021, which comprise the Receipts and Payments Accounts and the related notes, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards including Financial Reporting Standard 102 The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

Trustees' responsibility for the financial statements

As explained more fully in the Trustees' Responsibilities Statement, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view.

Accountants' responsibility

Our responsibility is to express a conclusion on the financial statements. We conducted our review in accordance with International Standard on Review Engagements (ISRE) 2400 (Revised), Engagements to review historical financial statements. ISRE 2400 (Revised) requires us to conclude whether anything has come to our attention that causes us to believe that the financial statements, taken as a whole, are not prepared, in all material respects, in accordance with United Kingdom Generally Accepted Accounting Practice. ISRE 2400 (Revised) also requires us to comply with the ICAEW Code of Ethics.

Scope of the assurance review

A review of financial statements in accordance with ISRE 2400 (Revised) is a limited assurance engagement. We have performed procedures, primarily consisting of making enquiries of management and others within the charity, as appropriate, applying analytical procedures and evaluating the evidence obtained. The procedures performed in a review are substantially less than those performed in an audit conducted in accordance with International Standards on Auditing (UK). Accordingly, we do not express an audit opinion on these financial statements.

Conclusion

Based on our review, nothing has come to our attention that causes us to believe that the financial statements have not been prepared:

- so as to give a true and fair view of the state of the charity's affairs as at 31st December 2021, and of its surplus for the year then ended;
- in accordance with United Kingdom Generally Accepted Accounting Practice; and
- in accordance with the requirements of the Companies Act 2006.

Use of our report

This report is made solely to the charity's trustees, as a body, in accordance with the terms of our engagement letter dated 16th March 2021. Our review work has been undertaken so that we might state to the charity's trustees those matters we have agreed to state to them in a reviewer's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body for our review work, for this report or the conclusions we have formed.

Yasmin Bari FCA

Azets Audit Services Limited

Bede House

Belmont Business Park

Durham

DH1 1TW

Date 31st August 2022

