

		Period start date			Period end date		
	Day	Month	Year		Day	Month	Year
	1	January	2020		31	December	2020
From				To			

Section A

Reference and administration details

Charity name The Regimental and Chattels Charity of the former Durham Light Infantry

Other names charity is known by Not applicable

Registered charity number (if any) 1174128

Charity's principal address The Rifles Durham Office
Elvet Waterside
Durham
Postcode DH1 3BW
The Charity was formed on the 3rd of August 2017

Names of the charity trustees who manage the charity

	Trustee name	Office (if any)	Dates acted if not for whole year	Name of person (or body) entitled to appoint trustee (if any)
1	Colonel The Honourable James David Alexander Ramsbotham CBE DL	Chairman		The Trustees themselves
2	Brigadier Paul Joseph Andrew Baker OBE			The Trustees themselves
3	Dr Keith John Bartlett			The Trustees themselves
4	Colonel Arthur Watson Charlton TD DL			The Trustees themselves
5	Major General Charles Seymour Collins DSO OBE			The Trustees themselves
6	Major Daryl Michael Dowdall			The Trustees themselves
7	Major David Hamilton MSc			The Trustees themselves
8	Colonel Stephen John Hopper TD			The Trustees themselves
9	Major Christopher Lawton MBE DL			The Trustees themselves
10	Colonel Harry Edward Shields MBE			The Trustees themselves

11	Mr Christian Hugh Stuart Swinburne			The Trustees themselves
12	Lieutenant Colonel Lawrence John Cleghorn Anderson MBE	Secretary		The Trustees themselves
13				
14				
15				
16				
17				
18				
20				

Names of the trustees for the charity, if any, (for example, any custodian trustees)

Name	Dates acted if not for whole year

Names and addresses of advisers (Optional information)

Type of adviser	Name	Address
Independent Examiner	AZETS	Bede House, 3 Belmont Business Park, Durham, DH1 1TW
Solicitors	Womble Bond Dickinson LLP	St Ann's Wharf, 112 Quayside, Newcastle upon Tyne NE1 3DX

Name of chief executive or names of senior staff members (Optional information)

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Section B Structure, governance and management

Description of the charity's trusts

Type of governing document (eg. trust deed, constitution)	Constitution dated the 3 rd of August 2017 As Amended on 12 March 2020
How the charity is constituted (eg. trust, association, company)	As a Charitable Incorporated Organisation
Trustee selection methods (eg. appointed by, elected by)	By the Trustees.

Additional governance issues (Optional information)

You **may choose** to include additional information, where relevant, about:

- policies and procedures adopted for the induction and training of trustees;
- the charity's organisational structure and any wider network with which the charity works;
- relationship with any related parties;
- trustees' consideration of major risks and the system and procedures to manage them.

When a vacancy amongst the Trustees is anticipated potential candidates are identified. Following an initial approach by a Trustee an identified candidate's name is put to a properly convened meeting of the Trustees, with a copy of their CV. If approved, they are appointed by the Trustees for a period of five years. On appointment a new Trustee is given an aide memoire containing a history of the Durham Light Infantry and of the Charity, a copy of the Constitution, details of their fellow Trustees, a copy of the Charity's most recent accounts and copies of the minutes of the previous two Trustees' meetings.

The Trustees have a Risk Register based upon what they have identified as the significant risks facing the Charity. This Register is reviewed at each meeting.

Section C

Objectives and activities

The advancement of education for the public benefit by the holding and provision of artefacts, items and collections, connected to or associated with the armed services and in particular with the former Durham Light Infantry, and the facilitation of the display and exhibition of the same in such manner as the trustees of the CIO from time to time think fit.

The promotion and efficiency of the armed forces of the Crown, including through:

- Promoting the military efficiency of the Army Reserve units as are from time to based in the County of Durham, by improving facilities and amenities of training and service.
- Creating repairing and maintaining memorials commemorating the deeds of the Durham Light Infantry or the names of its members.

Making grants:

- to The Rifles Benevolent Trust, as renamed or amalgamated into such other charitable organisations from time to time;
- towards the upkeep of the Durham Light Infantry Chapel in Durham Cathedral and the Memorial Garden in the Cathedral precincts; and
- to public museums relating to British military forces.

The advancement of culture and heritage related to the armed forces, in particular in upholding the traditions of the former Durham Light Infantry and of perpetuating its deeds.

Upholding the culture and heritage of the armed services in particular those of the former Durham Light Infantry and perpetuating their deeds.

Summary of the objects of the charity set out in its governing document

Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)

The Regimental Collection is comprised of objects and archives. The objects are cared for by the DLI Collection (DLIC) and the archives by Durham County Record Office (DCRO). Both the DLIC and DCRO are part of Durham County Council (DCC) which cares for the Regimental Collection and makes it accessible to the public. DCC finances, develops and delivers lifelong learning programmes for schools, community groups and the public. Both the DLIC and DCRO work with volunteers to help care for the collection, carry out cataloguing and research and contribute to learning programmes. In 2020 the DLIC engaged with people through a programme of exhibition and learning.

However, COVID-19 reduced the number considerably. Although education and outreach services were adapted: digital and virtual presence were developed in response and learning activities supported vulnerable and key-worker children during lockdown, plus a new programme both from DCRO and DLIC when schools returned. The development of an online exhibition with accompanying expert commentary, together with other V.E. Day activities, was welcomed by the community and has received recognition by The National Archives in its annual publication A Year in Archives.

In December 2020, the first World War commutative project Durham at War, which mapped stories relating to County Durham and featured both DLI objects and archive, was chosen as a case study on the Imperial War Museum's Mapping the Centenary website.

Like its predecessor, the Charity's main focus will continue to preserve and raise public awareness of the former Durham Light Infantry. The history of this Regiment continues to retain its importance to the nation at large but most particularly in the North East of England. The Charity continues to be involved in a formal education programme within County Durham. This utilises the Regimental Collection held at Sevenhills and the Regimental Archive held at Durham County Record Office, and the commitment of local volunteers at primary and secondary school level to tell the story of the Durham Soldier. Both collections are accredited to National Archives and museums standards.

In September 2018 the Charity entered into an agreement with the Commonwealth War Graves Commission ("CWGC") whereby CWGC agreed to provide a horticultural maintenance service for the Durham Light Infantry Memorial in Kohima, India and for that in Catania, Sicily. The agreement was for a period of three years from the 1st of September 2018. However, the MoD agreed to take responsibility, from the 1st of April 2020, for the regimental memorials in Kohima including that in memory of the Durham Light Infantry.

The Trustees are particularly grateful to Durham County Council for their continuing and very welcome financial support for the maintenance and safe keeping of the Regimental Collection and also for their contribution to the cost of maintaining the Regimental memorials at Catania and Kohima.

The Trustees also continue to express their gratitude to the University of Durham for their curation of the medals that form part of the Regimental Collection in the University Library on Palace Green and for making them available, on application for viewing. This forms part of the Durham County Council and Durham University partnership agreement, whereby DCC pays the University for an exhibition gallery, temporary exhibition programme and to curate the medals.

Having supported, in 2019, the successful application by DCRO to The National archives for an Archives Revealed grant to catalogue previously

uncatalogued DLI archives, the Trustees are represented on the project Steering Group. Progress on this three-year project has continued despite difficulties in accessing the archives during the Covid lockdown, creating over 1600 new catalogue entries of photographs and correspondence. Volunteers assisted in translating captions of photographs from the inter-war period in China and transcriptions.

The Charity's main focus remains the provision and raising public awareness of the former Durham Light Infantry. The history of the former Durham Light Infantry is important to the nation and in particular to its local area in the North East. The Charity, through the work of Durham County Council heritage teams, provides an educational and preservation benefit to the members of the public at both local and national level. It continues to participate in a County-wide education programme connecting the Regimental collection and the story of the Durham soldier at primary and secondary school level.

In October 2019 the Charity gave its full support to the Durham County Council's project to set up a History Centre at Mount Oswald in Durham City by late 2023. Significant progress on moving the History Centre forward including the approval of the planning application in September 2020, ongoing exhibition design work and developing the brand for the Centre, which will include exhibition areas recording the stories of the local area with the DLI Collection as an integral part of the County's story. On completion of the project the DLI medal collection will be moved from Durham University Library to the History Centre bringing objects and archives together into one location.

DLI exhibitions continue to be well received. COVID-19 has had a major impact with some planned postponed to 2021. However, V.E. Day was marked with a new online exhibition section to DCRO's website.

Statistics are not yet finalised but between January and December 2020, the DCRO responded to over 700 written and telephone enquiries.

The Trustees have complied with their duty in section 17(5) of the 2011 Charities Act to have due regard to the guidance published by the Charity Commission on public benefit.

Additional details of objectives and activities (Optional information)

You **may choose** to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

Section D

Achievements and performance

Summary of the main achievements of the charity during the year

Ongoing support following provision in 2019 of £2,475.00 which supported the Durham County Council successful application to the National Archives which enabled an archivist to be employed for 18 months to catalogue the outstanding archive. Trustees are represented on the Steering Group and the project is being delivered part time over three years.

The Charity continues to value very highly the support that is given by volunteers in particular those from Durham County Council, the DLI Association and the DLI Friends. These Groups work together in the delivery of the DLI Collection Outreach Education Programme and in helping the public who visit the DLI Research and Study Centre and who access the DLI Archives.

The recruitment of a new Treasurer (Major David Hamilton) to replace Colonel A F George. Major Hamilton took over with effect from the 1st of January 2020

Section E Financial review

Brief statement of the charity's policy on reserves

Of the total sum of £96,136 held on current and deposit (£41,956 with the Royal Bank of Scotland and the remainder (£54,180) in the COIF Deposit Account, £30,942 is restricted. The Trustees confirm their previous view that the level of free reserves be maintained at approximately £ 30,000 to cover ongoing commitments and irregular outgoings.

Details of any funds materially in deficit

None

Further financial review details (Optional information)

You **may choose** to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

The Charity's principal source of income is derived from interest gained within the Investment Portfolio. It is further supplemented through ad-hoc donations and bequeathments. In 2020 the charity realised £3233 through these funding streams, slightly up (£149) on 2019.

Of the £799 donated to the charity this year, £625 was provided by Trustees for the specific purpose of sponsoring a child in education through the Kohima Educational Trust. This very much supports the charity's aims of raising awareness of the Durham Light Infantry, not just in India, but in the Regiment's homeland of the North East of England through promotional material in local media.

Investments have been only marginally impacted by the instability caused by the COVID-19 Pandemic and the uncertainty of Brexit. The portfolio will remain under review, but presently there is no intention to make changes.

It was agreed in June 2020 that the charity should support its Trustees by obtaining annual Indemnity Insurance. This is a necessary and valid expenditure from the charity's funds.

Section F Other optional information

With effect from the 9th of October 2017 ("the Transfer Date") the Trustees of the Regimental Museum of the former Durham Light Infantry (charity number 272200) ("the Regimental Museum") transferred the legal title to the assets of the Regimental Museum ("the Property") to the Charity to be held by it as corporate property on the same trusts as it was held on the Transfer Date namely to hold together the traditions of the Durham Light Infantry and to perpetuate its deeds. The Regimental Museum of the former Durham Light Infantry (charity number 272200) was subsequently removed from the Register of Charities.

The assets of the Regimental Museum were Heritage Assets. Heritage Assets are not valued in the Accounts. No reliable valuation is available.

Section G Declaration

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

Signature(s)

J. Ramsbotham

L. J. C. Anderson

Full name(s)

JAMES DAVID
ALEXANDER RAMSBOTHAM

LAWRENCE JOHN CLEGHORN
ANDERSON

Position (eg Secretary, Chair, etc)

CHAIR

SECRETARY

Date

19. MARCH. 2021



CHARITY COMMISSION
FOR ENGLAND AND WALES

The Regimental and Chattels Charity of the former
Durham Light infantry

Charity number
1174128

CC16a

Receipts and payments accounts

For the period from	01-Jan-20	To	31-Dec-20
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Section A Receipts and payments

	Unrestricted funds to the nearest £	Restricted funds to the nearest £	Endowment funds to the nearest £	Total funds to the nearest £	Last year to the nearest £
A1 Receipts					
Northern Trust	1,591	-	-	1,591	1,783
M & G	503	-	-	503	623
Donations	174	625	-	799	-
Blackrock	198	-	-	198	250
Insurance & Reimbursements	-	-	-	-	46
Interest on COIF deposit	142	-	-	142	382
Donation from Durham County Council towards the amount charged by the Commonwealth War Grave Commission	-	-	-	-	-
Sub total (Gross income for AR)	2,608	625	-	3,233	3,084
A2 Asset and investment sales, (see table).					
	-	-	-	-	-
	-	-	-	-	-
Sub total	-	-	-	-	-
Total receipts	2,608	625	-	3,233	3,084
A3 Payments					
Sundry Debtors	-	-	-	-	-
Reimbursements	754	-	-	754	567
Wombles Legal Services & Advice	594	-	-	594	4,985
Commonwealth War Graves Commission	-	-	-	-	46
Administrative Costs and Postage	366	-	-	366	84
KET Donation (Kohima)	-	625	-	625	-
DCC Archives Revealed	-	-	-	-	2,475
Trustee Insurance Policy	263	-	-	263	-
	-	-	-	-	-
Sub total	1,977	625	-	2,602	8,157
A4 Asset and investment purchases, (see table)					
CGWM Bond Fund	-	-	-	-	470
	-	-	-	-	-
Sub total	-	-	-	-	-
Total payments	1,977	625	-	2,602	8,157
Net of receipts/(payments)	631	-	-	631	- 5,073
A5 Transfers between funds	-	-	-	-	-
A6 Cash funds last year end	64,563	30,942	-	95,505	101,046
Cash funds this year end	65,194	30,942	-	96,136	95,973

Section B Statement of assets and liabilities at the end of the period

Categories

Details

Unrestricted
funds
to nearest £Restricted
funds
to nearest £Endowment
funds
to nearest £

B1 Cash funds

COIF Deposit Account	23,238	30,942	-
Cash at bank	41,956	-	-
	-	-	-
Total cash funds	65,194	30,942	-

(agree balances with receipts and payments account(s))

OK

OK

OK

Details

Unrestricted
funds
to nearest £Restricted
funds
to nearest £Endowment
funds
to nearest £

B2 Other monetary assets

		-	-
	-	-	-
	-	-	-
	-	-	-
	-	-	-

Details

Fund to which
asset belongs

Cost (optional)

Current value
(optional)

B3 Investment assets

CGWM Bond Fund (Northern Trust)	Unrestricted	51,861	54,505
Charinco Common Investment Fund Income (Blackrock)	Unrestricted	3,809	6,152
The Equities Investment Fund for Charities Income Units ("Charifund") (M & G Investments)	Unrestricted	1,622	10,308
		-	-

31/12/2020

31/12/2020

31/12/2020

Details

Fund to which
asset belongs

Cost (optional)

Current value
(optional)B4 Assets retained for the
charity's own use

		-	-
		-	-
		-	-
		-	-
		-	-

Details

Fund to which
liability relatesAmount due
(optional)When due
(optional)

B5 Liabilities

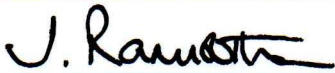

		-	
		-	
		-	
		-	

Signed by one or two trustees on behalf of all the trustees

Signature

Print Name

Date of
approval

	Colonel The Honourable James David Alexander Ramsbotham CBE DL	1. Feb. 21
	Lieutenant Colonel Lawrence John Cleghorn Anderson MBE	1. Feb 21



CHARITY COMMISSION
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to nearest £Restricted funds
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to nearest £

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(agree balances with receipts and payments account(s))	OK	OK	OK

Details

Unrestricted funds
to nearest £Restricted funds
to nearest £Endowment funds
to nearest £

B2 Other monetary assets

		-	-
	-	-	-
	-	-	-
	-	-	-
	-	-	-

Details

Fund to which
asset belongs

Cost (optional)

Current value
(optional)

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CGWM Bond Fund (Northern Trust)	Unrestricted	51,861	54,505
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31/12/2020

31/12/2020

31/12/2020

Details

Fund to which
asset belongs

Cost (optional)

Current value
(optional)B4 Assets retained for the
charity's own use

		-	-
		-	-
		-	-
		-	-
		-	-

Details

Fund to which
liability relatesAmount due
(optional)When due
(optional)

B5 Liabilities



		-	
		-	
		-	
		-	

Signed by one or two trustees on behalf
of all the trustees

Signature

Print Name

Date of
approval

	Colonel The Honourable James David Alexander Ramsbotham CBE DL	1. Feb. 21
	Lieutenant Colonel Lawrence John Cleghorn Anderson MBE	