

Charity registration number: 1170382

# **British Association for American Studies**

**Annual Report and Financial Statements**

**for the Year Ended 31 December 2021**

## **British Association for American Studies**

### **Contents**

<b>Reference and Administrative Details</b>	<b>1</b>
<b>Trustees' Report</b>	<b>2 to 13</b>
<b>Statement of Trustees' Responsibilities</b>	<b>14</b>
<b>Independent Examiner's Report</b>	<b>15</b>
<b>Statement of Financial Activities</b>	<b>16</b>
<b>Balance Sheet</b>	<b>17</b>
<b>Notes to the Financial Statements</b>	<b>18 to 28</b>

## **British Association for American Studies**

### **Reference and Administrative Details**

<b>Trustees</b>	<b>Dr G M Lennon</b>
	<b>Dr R L Farebrother</b>
	<b>Dr H Huxley</b>
	<b>Dr M A McLay</b>
	<b>Dr C Rodway</b>
	<b>Dr R Williams</b>
	<b>Dr N Willson</b>
	<b>Dr K Dossett</b>
	<b>Dr R J A Dini</b>
	<b>Dr E Hall</b>
	<b>Dr L Witham</b>
	<b>Prof B E Ward</b>
	<b>Dr T J Wright</b>
	<b>Dr L MacDonald</b>
	<b>Dr E Long</b>
	<b>Dr J Woodley</b>
	<b>Dr A M Fearnley</b>
	<b>Dr E Devienne</b>
	<b>Dr J D T Ward</b>
<b>Charity Registration Number</b>	<b>1170382</b>
<b>Principal Office</b>	<b>Department of Humanities University of Roehampton Roehampton Lane London SW15 5PU</b>
<b>Independent Examiner</b>	<b>The Moffatts Partnership LLP Progress House 396 Wilmslow Road Withington Manchester M20 3BN</b>

## **British Association for American Studies**

### **Trustees' Report**

The trustees present the annual report together with the financial statements of the charity for the year ended 31 December 2021.

#### **Structure, governance and management**

##### ***Nature of governing document***

The British Association of American Studies constitution was adopted on 2nd April 1990. In May 1991 the Association was registered with the Charities Commission (registration number: 1002816). In November 2016 the Association changed its legal status, registering as a Charitable Incorporated Organisation (registration number: 1170382).

##### ***Recruitment and appointment of trustees***

At each annual election three ordinary members are elected to hold office for three years. Each year, around the Annual General Meeting, the three committee members who have completed their term of office vacate their places and may offer themselves for re-election. No person may serve continuously as an elected member of the Committee for more than seven years. Candidates for election as members of the Committee must be able to complete the projected term without breaching this seven year total. Having reached the term limit, a year must elapse before a candidate may stand again for membership of the Committee. The Editor/s of the *Journal of American Studies*, if not already a member of the committee, will be a member, *ex officio*.

##### ***Organisational structure***

The business of the Association is carried out by an Executive committee (trustees). This Committee consists of three officers (Chair, Secretary and Treasurer) together with nine members and one postgraduate student representative and one early career representative, who are nominated, seconded and elected around the Annual General meeting of the Association.

##### **Membership**

Membership of the Association also includes a membership subscription to the European Association of American Studies. A representative is elected by the Association at the Annual General Meeting to serve on the European Association for American Studies committee for five years. This representative is also a member of the British Association for American Studies Committee.

The Association has standing orders which specify the composition and conduct of a series of sub-committees with specific areas of responsibility. These are: Publications, Development and Education, Awards and Conference sub-committees.

## **British Association for American Studies**

### **Trustees' Report**

#### **Objectives and activities**

##### ***Objects and aims***

The purpose of the CIO shall be the advancement of education through the promotion of American studies for the public benefit by all or any of the following means:

- the encouragement of research, teaching, education and publication, particularly in the UK;
- the holding of American studies conferences;
- the collection and preservation of documents and artefacts relating to the United States in the UK;
- the support of access to archives and information about the United States;
- the broadening of knowledge about the United States within the UK;
- the pursuit of any other activities promoting American studies as the trustees may decide.

The trustees have complied with the duty in S17 of the Charities Act 2011 to have regard to the public benefit guidance published by the Charity Commission.

Holding conferences (an annual conference and numerous smaller conferences at venues around the UK on specialised US research or issues); promoting the work of the Journal of American Studies; book publication; supporting access to and preservation of US-related research materials in the UK; support for British students and scholars to travel in the United States; promoting American Studies activities via a website and newsletter and administering a large number of awards that promote the study of the US in the UK. In furtherance of these objectives the trustees ensured that:

- BAAS maintains a website available to both its membership and the public. The BAAS website provides unrestricted links to other key electronic resources for the advancement of education in the area of American studies.
- BAAS membership is open to anyone with a general interest, as well as a commitment to research, in the area of American studies. Subsidised rates are available for postgraduates, unwaged and retired subscribers.
- BAAS offers a series of grants and awards each year (see Achievements and Performance below). Requests for grants for conference support are made to the Conference and Awards sub-committees and considered at sub-committee and executive meetings on the basis of their relevance to the Association's objectives. The various awards are competitive and judged by Committee members and members of the subject community in the UK.
- BAAS is committed to incorporating equality and diversity into all aspects of its work.

#### ***Public benefit***

The activities undertaken to further public benefit are mentioned above in objects and aims.

The trustees confirm that they have complied with the requirements of section 17 of the Charities Act 2011 to have due regard to the public benefit guidance published by the Charity Commission for England and Wales.

#### **Financial review**

For the year ended 31 December 2021 total incoming resources amounted to £115,247 (2020: £130,322).

Total resources expended for the year amounted to £57,159 (2020: £59,149).

The net result for the year was a surplus of £58,088 (2020: £71,173) leaving total retained funds of £354,592 (2020: £296,504) at the end of the year.

All of the retained funds related to unrestricted funds.

## **British Association for American Studies**

### **Trustees' Report**

#### ***Policy on reserves***

It is the policy of the charity to maintain general unrestricted funds at a level which equates to approximately 18 months' unrestricted expenditure. This provides sufficient funds to cover management and administration and support costs. Unrestricted funds are maintained at least at this level throughout the year.

#### ***Principal funding sources***

The Association's main source of income is membership subscriptions and, via its trading arm (BAAS Publications Ltd), royalty payments from Cambridge University Press for the Journal of American Studies. It also receives donations and some income from advertising in its newsletters, and royalties from Edinburgh University Press for the BAAS paperbacks series, from BRRAM on the sale of American Studies microfilms and digital resources, and from the Publishers Licensing Society.

#### ***Plans for future periods***

##### ***Activities of note in 2021***

In the wake of the cancellation of the Liverpool conference in 2020, it was decided that the 2021 conference, which was to be hosted at Hull would be postponed, and the proposed 2022 digital conference be moved forward. The decision to host a virtual conference in 2021 presented the opportunity to mitigate against the threat of inevitable cancellation and manage costs. It also highlighted the work of members working in the environmental humanities, and reflected critically upon the culture of academic conferences. Reduced costs associated with a virtual event helped to facilitate the participation of American Studies students and scholars across the globe, generating new and productive networks and collaborations. As a pilot venture, the 2021 conference allowed us to reflect on how we might rein in costs for future digital conferences.

As part of the organisations' commitment to inclusivity and sustainability the BAAS Awards programme saw targeted awards for BIPOC scholars (Introduced in 2020) continued in 2021, as well as the development of our research support awards to include hiring research assistants as a way to avoid international travel.

In 2020 BAAS launched the "BAAS Development Fund" to replace the Small Conference Grant Scheme and support a wider range of activities (still including conferences) as well as BAAS's affiliate organisations through the dispersal of small grants. The BAAS Development Fund will support the organisation of initiatives relating to American Studies research, pedagogy, or career development; or the development of networks or other organisations that support American Studies scholars in the UK.

#### ***Aims and key objectives for future periods***

BAAS will continue to work to broaden our gender and racial inclusion and ensure that all colleagues feel welcomed and are involved in our events and activities, provide support for postgraduate students and early career academics, and make our events more accessible to scholars with disabilities or those with caring responsibilities, and so on.

BAAS will continue to work to ensure our work and our events are environmentally sustainable.

## **British Association for American Studies**

### **Trustees' Report**

#### ***Activities planned to achieve aims***

##### **BAAS Conference 2022**

The 66th annual British Association for American Studies conference will take place at the University of Hull, which will be held between the 21st and 23rd of April 2022. Building on the successes of the 2021 Digital Conference, the Hull conference is currently planned as a hybrid event.

##### **Targeted Research Panels**

As part of the Conference activities, special funding will be allocated for Targeted Research Panels. BAAS has available funding for convenors to organise two successive annual conference panels that will support, promote, and feature the production of research by and about people of colour, LGBTQ+ communities and disability communities. Through Targeted Research Panels, BAAS seeks to provide opportunities to foster and forward research that attends to and includes historically marginalised communities, and supports scholars without regularised institutional support.

##### **Discrimination and Harassment Policy**

BAAS is committed to maintaining a welcoming, inclusive and diverse space for academic discussion and professional development. In 2022 BAAS will develop a policy and procedures to support this commitment in explicit and practical ways. It is due to be launched at the Hull conference.

##### **Digital BAAS**

BAAS continues to provide a Zoom licence for associated networks and organisations to meet digitally. As BAAS emerges from the pandemic, the Hull 2022 conference will see us experiment with a hybrid format, mixing in-person and digital sessions, whilst the 2022 postgraduate conference will remain fully online, to ensure broad access.

In 2022 BAAS will relaunch its website, ensuring that it meets all current technical accessibility standards, as well as improving the experience for members and the general public seeking information about BAAS's activities.

## **British Association for American Studies**

### **Trustees' Report**

#### **Subcommittee Activities**

##### **Awards Subcommittee**

The BAAS Awards Programme ran successfully with over 18 awards being given out in 2021. The following awards were made in 2021:

##### **BAAS Book Prize**

Professor Kate Dosset, University of Leeds

Radical Black Theatre in the New Deal (The University of North Carolina Press)

##### **BAAS Founders' Research Travel Award**

Named after the founders of BAAS, these awards offer assistance for short-term visits to the United States during the year 2021/22 to scholars in the UK who need to travel to conduct research.

Dr Aurélie Basha i Novosejt, University of Kent, We Support the Troops: A History

Dr Themis Chronopoulos, Swansea University, The Gentrification of Black Brooklyn

Professor Simon Hall, University of Leeds, John Reed, Edgar Snow, Herbert Matthews and the Revolutions That Changed the World

Dr Mark Shanahan, University of Reading, Ike and Mac: the influence of General MacArthur on Eisenhower's development as a leader

##### **BAAS Early Career Researcher Travel Awards**

These awards offer assistance to early career scholars in the UK for short-term visits to the United States during the year 2021/22.

Dr James Baxter, Independent Scholar

Dr Eleanor Bird, University of Sheffield

##### **BAAS Short-Term Travel Awards**

A number of short-term awards are available to postgraduates at UK institutions of higher education. Some of the travel grants relate to named awards: The Malcolm Bradbury Award (for the best proposal in American literary studies); The Marcus Cunliffe Award (American Studies); The John D. Lees Award (American political studies); The Abraham Lincoln Award (nineteenth-century US history and culture); The Peter Parish Award (American history).

##### **Named Short-Term Travel Awards**

Peter Parish Award, Timothy Galsworthy, University of Sussex

John D Lees Award, Emily Hull, University College London

Abraham Lincoln Award, Catriona Byers, King's College London

Marcus Cunliffe Award, Victoria Shea, University of Liverpool

Malcolm Bradbury Award, Helen Bain, King's College London

##### **BAAS Postgraduate Research Assistance Awards**

Not awarded in 2021.

##### **BAAS Postgraduate Travel Awards**

Emma Rhodes, University of Leicester

##### **BAAS Public Engagement and Impact Award**

This award recognizes the diversity of public engagement and impact projects by postgraduate and early career BAAS members. This award seeks to encourage and enhance good practice and recognizes projects which seek to either i) disseminate new research to non-academic audiences, or ii) work collaboratively with an external organisation.

Not awarded in 2021.



## **British Association for American Studies**

### **Trustees' Report**

#### **BAAS Postgraduate Essay Prize**

This annual prize is awarded for the best essay-length piece of work on an American Studies topic written by a student currently registered for a postgraduate degree at a Higher Education Institution in the UK.

Elsa van Helfteren, University of Sussex

#### **BAAS Postgraduate Essay Prize - Honourable mention**

Georgia Walton, University of Leeds

#### **BAAS Undergraduate Essay Prize**

This annual prize is awarded for the best essay-length piece of work on an American Studies topic written by a student currently registered for an undergraduate degree at a Higher Education institution in the UK.

Emily Cunnning, University College London

#### **BAAS Undergraduate Essay Prize - Honourable mention**

Kate Marshall, University of Sussex

Maritsa Tsioupra-Lewis, University of Sussex

#### **BAAS Undergraduate Essay Prize for Scholars of Colour**

Gabriel Starkey, University of Bristol

#### **BAAS Undergraduate Essay Prize for Scholars of Colour - Honourable Mention**

Serena Shah, University of Warwick

#### **BAAS School Essay Prize**

This annual prize is awarded for the best essay-length piece of work on an American Studies topic written by a student currently at school or college in the UK.

Not awarded in 2021.

#### **BAAS Graduate Teaching Assistantship, University of Wyoming**

The MA Graduate Assistantship will provide income for two years and a MA fee waiver.

Esther Adeyemo, University of Birmingham

#### **BAAS Graduate Teaching Assistantship, University of New Hampshire**

The MA Graduate Assistantship will provide income for two years and a MA fee waiver.

Elliott Lelaure, University of Winchester

Monticello Teacher's Fellowship 2021

**BAAS, in conjunction with the Thomas Jefferson Foundation (TJF) and the International Center for Jefferson Studies (ICJS), is delighted to make an award for teachers who cover the American Revolution, the Constitution and related materials in their A Level or Advanced Higher teaching of history and politics.**

Not awarded in 2021.

#### **The Arthur Miller Institute Prizes**

The Arthur Miller Institute (UEA) makes two annual awards for the best journal length article on any American Studies topic in the preceding calendar year and the best first book published in the preceding calendar year.

#### **Arthur Miller Institute First Book Prize**

Not awarded in 2021.

#### **Arthur Miller Institute Prize for Best Journal Length Article**

Not awarded in 2021.

## **British Association for American Studies**

### **Trustees' Report**

**Arthur Miller Institute Prize for Best Journal Length Article**  
Not awarded in 2021.

#### **Other Awards-related Activity**

##### **BAAS/US Embassy Small Grants Programme**

During 2021 BAAS was responsible for administering the Small Grants Programme on behalf of the US Embassy in London following the award of a block grant; this programme involves distributing funding in support of US-linked educational events and activities in the UK. The projects awarded funding covered a range of activities that promoted American Studies or enhanced the understanding of the USA in the UK. As a result of the Covid-19 pandemic, BAAS discussed various alternative options with awardees, including deferring projects or moving them online. BAAS received further funds from the US Embassy in late 2021 to continue the programme in 2022.

Among the projects supported in 2021 were the Hippodrome Silent Film Festival, a play about Little Women called "Louisa and Jo", "Using Mini-boats to create Mini-American Ambassadors" - a transatlantic schools project, support for the Benjamin Franklin House schools outreach, an exhibition about Black abolitionists lecturers in Norfolk, the USSO monthly "Book Hour" and various academic conferences including BrANCH and Digital BAAS.

#### **Development and Education Subcommittee**

##### **BAAS Development Fund**

The fund, launched in 2020, continued in 2021, offering grants for up to a maximum of £2000 for a range of American Studies academic activities that seek to develop American Studies scholarly communities, including (but not limited to):

- The organisation of conferences, meetings and events, including honoraria for speakers
- The establishment of new networks or other organisations
- Workshops, writing retreats, and reading groups
- Schools outreach and activities
- Diversity and inclusion initiatives of established networks or other organisations
- Sustainability initiatives of established networks or other organisations

In 2021 the Development Fund awarded three grants:

- Historical Perspectives on Gendered Violence (£2,000)
- The 2021 American Politics Group Colloquium (£375)
- BrANCH Online Teaching Packs Project (£1,680)

#### **BAAS Funding Guide**

BAAS have drafted a Funding Guide to cover all BAAS funding streams. The intention of this guide is to create clearer and more transparent guidance about what BAAS will fund through its various awarding programmes, and to signal issues of sustainability, equality and diversity. The guide is available on the BAAS website for applicants to use while planning budgets:

<https://www.baas.ac.uk/wp-content/uploads/2021/12/BAAS-Funding-Guide.pdf>

## **British Association for American Studies**

### **Trustees' Report**

#### **Teaching American Studies Network**

The Teaching American Studies Network continued to grow in 2021.

The following events took place this year:

- Winter Dialogue: Coping with Blended, Socially Distanced, and Online Teaching in American Studies
- Digital BAAS Conference Network Event: Developing a Signature Pedagogy for American Studies in the UK
- Establishment of an HEA Support Group to provide mentoring for HEA Fellowship Applications (two successful applications as a result of the group's work)
- Summer Dialogue on Inclusive Pedagogies

Further events are planned for 2022 with a particular focus on supporting PG and ECA members in liaison with the PG and ECR representatives.

#### **Future of the Inclusive Conference**

A successful workshop was held at BAAS2021 which led to a range of feedback about how BAAS can be more inclusive. This has led to two pieces of work:

- The Future of the Inclusive Conference Guidance: This document shares good practice and strategies for how to ensure events (both online and in person) are welcoming, accessible, inclusive and sustainable. The guide is available on the BAAS website for event organisers to access:  
<https://www.baas.ac.uk/wp-content/uploads/2021/12/The-Future-of-the-Inclusive-Conference-Guidance.pdf>
- BAAS Discrimination and Harassment Policy: As part of the Future of the Inclusive Conference Guidance it was agreed that BAAS should instate a Discrimination and Harassment Policy. This (and associated processes) will be drafted and confirmed in 2022.

#### **Schools Working Group**

Led by Rebecca Stone, the Schools Working Group continues to develop content for the BAAS website targeted at schools, including information about studying American Studies at university, alumni, awards, and resources for EPQs going on. BAAS is setting up a Teacher's Network and exploring the use of social media to aid recruitment to American Studies degrees. These projects have been funded by the US Embassy Small Grants Programme as well as the Development Fund.

#### **Alt-Ac Activities**

Candela Delgado Marín and Jade Millar are creating a network for independent scholars with various activities. The aim is to create external accountability to encourage us all to engage with writing, as independent scholars often struggle to find time for their research, as well as to encourage people to rekindle their research, a chance to practice in front of peers and hopefully, an opportunity to bring together people with similar interests that could potentially work together in the future.

#### **Publications Subcommittee**

##### **JAS**

2021 (Volume 56) was the second year in which JAS published five issues.

There has been a decrease in the number of articles submitted to JAS, this is very likely related to the impact that COVID-19 continues to have on academic research. That said, there is evidence to suggest that the quality of submissions continues, and has improved, with a greater number of articles accepted for publication.

## **British Association for American Studies**

### **Trustees' Report**

#### **BAAS Chair's Address**

A new proposal, in line with similar subject associations, has been approved:

In the final year of the Chair's term, they will deliver an address at the annual conference (or online) that articulates their vision for American Studies in Britain. This will be an Intellectual vision, and not a report on the last three years of BAAS activity, but of course there may well be overlap between the two. In published form, it will run 5,000-7,000 words, and might touch on research, pedagogy, public engagement, impact, or any combination of these things.

The Chair's Address will have the following benefits:

1. It will provide a space (either at the conference or online) for the discussion of agenda setting topics in American Studies amongst the members of BAAS, led by the interests of the Chair.
2. It will give JAS the opportunity to publish these agenda-setting discussions in a manner that has not been typical of our output in recent years. In this, we would be following the example of *American Quarterly*, the *Journal of American History*, the *American Historical Review*, etc., each of which published President's Addresses on an annual basis.
3. It will solidify the intellectual legacy of outgoing Chairs in a way that, one could argue, is not happening at the moment.

#### **Reviews**

A new strategy for the reviews, roundtable and online content by the associate editors is maintaining stability and sustainability of this aspect of the journal. In the traditional reviews section, over the course of 2022, JAS will publish:

- Thematic clusters of single and multiple reviews
- JAS In Practice (our existing pedagogy strand)
- JAS In Conversation (text/video/audio)
- JAS On Screen
- The JAS Bookshelf
- First Books
- BAAS Prizes
- State of the Field

Not all these sections will appear in each issue of the Journal. These innovative changes to the reviews section are key to maintaining JAS's position as a major journal in our field.

Institutional subscription has fallen in the YTD (2021) figures but remains sustainable. JAS publishing report notes that revenues (to date) remain constant but that the consequences of the pandemic will have an impact on sales and revenue over the next few years.

We have approved five new members of the JAS editorial board.

These replace four who are outgoing. The new members are:

Rachel B. Herrmann, Senior Lecturer in Modern American History, Cardiff

Jennifer Luff, Assoc Prof of History, Durham

Dan Matlin, Reader in US History, King's College London

Kaeten Mistry, Assoc Prof US History, USEA

Lisa Michele Siragarian, Assoc Professor Comparative Thought and Literature, Johns Hopkins

## **British Association for American Studies**

### **Trustees' Report**

The new appointments ensure continuing coverage of the areas represented by departing members, but also extend and strengthen the board's commitment to diversity and inclusion. They represent the co-editors' ongoing commitment to increasing the racial, gender, and geographic diversity of the board.

The Publications and Knowledge Exchange committee will oversee the recruitment of the new JAS co-editors. Applications are invited from British Association for American Studies members for the positions of Co-Editors or Editor, and two Associate Editors of the Journal of American Studies. The current Co-Editors and Associate Editors will be moving on from JAS effective 1 January 2023. The call for applications was live in January 2022, the deadline March 2022, and the interviews are scheduled for end of April, on or after the annual BAAS conference in Hull.

#### **Cross-journal collaboration:**

An annual editorial workshop (suggested by UCL's Institute, Nick Witham Co-Editor of JAS) to consider publication process, professional development etc. Editors of other US studies and American studies journals invited to be involved over the next 5 years. Current editors will participate, and the sub-committee approved the commitment (for future editors). This improves opportunities for JAS editors to connect with other editors, publishers (CUP), Institutes - ECRs, PGR etc.

#### **USSO**

United States Studies Online continues to be a popular forum for postgraduate and early career scholars working in American Studies. The USSO's editorial team continue to provide excellent opportunities for PGR and ECR members. In addition to hosting research articles, events and conference reviews, roundtable discussions, and book reviews, new initiatives include interviews with events organisers, a podcast highlighting the work of PGRs and ECRs and interrogating the state of the profession, and a weekly virtual writing group.

USSO editor transition: one of the editors (William Carroll) is stepping down after 1.5 years and a recruitment process is underway. The editorial team will move to a staggered co-editor transition from now on. The Recruitment process for a new editors is underway, interviews on March 9th 2022. The Publications and KE sub-committee (Rachele Dini and Kathryn Gray) are supporting the interview and appointment process with Sarah Collier, continuing co-editor.

#### **EUP**

The BAAS Paperbacks series, co-edited by Joe Street and Martin Halliwell and overseen by Michelle Houghton at Edinburgh University Press, continues to commission and publish short, accessible texts on key themes/areas of American Studies.

During 2021, the co-editors of the series recommended a rebranding exercise to ensure the continued appeal of the series in the current marketplace and to respond to developments in the field. Decisions were made on the basis of ongoing and increasing demands of digitisation, the limits of brand awareness of BAAS in international markets, present a new outlet for second monographs (esp. for ECR scholars), appeal more to US Libraries and Scholars. The Publications and Knowledge Exchange Sub-Committee are in agreement that this opens some interesting opportunities for BAAS and will continue to work with the series in the decision-making process to find a new name, maintain the BAAS logo, increase the editorial board to be more representative of the field and the association, and formally establish the wording around the association with EUP.

#### **Knowledge Exchange**

Knowledge Exchange constitutes a new remit to the Publications committee. The Sub-committee are developing plans to support BAAS members with media engagement and external exchange through online workshops, training sessions, for example. This aspect of the committee's work will evolve in 2022/3. A strategy will be developed for discussion and approval by June / July 2022.

## **British Association for American Studies**

### **Trustees' Report**

#### **Conferences Subcommittee**

##### **Digital BAAS 2021**

The 66th Annual Convention took place online throughout the week of April 5-11, 2021. 17 panels were organised across the six days of events, which also featured three plenary addresses, a series of roundtables devoted to teaching, research and inclusivity. The unprecedented nature of this event necessitated a number of new policies and procedures, including revised conference fee structure.

##### **BAAS Postgraduate Symposium**

The committee also noted with great pleasure the success of the BAAS Postgraduate Symposium on the theme of 'Visibility/Invisibility' that took place online 3-4 December, 2021. The event was co-convened by Jen Holt (UCLan), Aashima Rana (Dublin City University) and Robyn Shooter (KCL) and featured at keynote by Riziki Millanzi (University of Sussex) on "Black Girl Magic, Community and Celebration in American TV, Comics and Film". The event was a success with postgraduate attendees, though the sub-committee has discussed the desirability of increasing engagement from faculty and others from across the BAAS community.

##### **BAAS 2022 Conference**

The BAAS 2022 annual conference will be held at the University of Hull, and convened by Rachel Williams and Jo Metcalfe. The conference will be the first in-person conference since BAAS 2019 at the University of Sussex, and will feature plenary sessions and a range of in-person and remote provision, and plenary talks from Professors Merve Emre (University of Oxford) and Susan-Mary Grant (University of Newcastle). Plans for BAAS 2022 have been rigorously stress-tested to ensure readiness for ongoing Covid uncertainties and the convenors are to be commended for their efforts in this regard.

##### **Future Annual Conferences**

The original hosts of the 2021 event, the University of Hull, have agreed to host in 2022, with Keele University hosting in 2023. Plans are being considered to host another digital BAAS conference in 2024.

##### **Going concern**

The trustees consider that there are no material uncertainties about the Charity's ability to continue as a going concern.

##### **Covid-19 - Potential Impacts on the Charity**

We previously included an assessment of Covid-19 and its potential effects in our 31st December 2020 report. However, due to an ease of restrictions we feel it unnecessary to include one this period.

##### **Financial Instruments**

##### ***Objectives and policies***

The charity's activities expose it to a number of financial risks including credit risk, cash flow risk and liquidity risk. The use of financial derivatives is governed by the charity's policies approved by the board of trustees, which provide written principles on the use of financial derivatives to manage these risks. The charity does not use derivative financial instruments for speculative purposes.

##### ***Cash flow risk***

The charity's activities expose it primarily to the financial risks of changes in foreign currency exchange rates and interest rates. The charity uses foreign exchange forward contracts and interest rate swap contracts to hedge these exposures.

Interest bearing assets and liabilities are held at fixed rate to ensure certainty of cash flows.

## **British Association for American Studies**

### **Trustees' Report**

#### ***Credit risk***

The charity's principal financial assets are bank balances and cash, trade and other receivables, and investments.

The charity's credit risk is primarily attributable to its trade receivables. The amounts presented in the balance sheet are net of allowances for doubtful receivables. An allowance for impairment is made where there is an identified loss event which, based on previous experience, is evidence of a reduction in the recoverability of the cash flows.

The credit risk on liquid funds and derivative financial instruments is limited because the counterparties are banks with high credit-ratings assigned by international credit-rating agencies.


The charity has no significant concentration of credit risk, with exposure spread over a large number of counterparties and customers.

#### ***Liquidity risk***

In order to maintain liquidity to ensure that sufficient funds are available for ongoing operations and future developments, the charity uses a mixture of long-term and short-term debt finance.

Further details regarding liquidity risk can be found in the Statement of accounting policies in the financial statements.

The annual report was approved by the trustees of the charity on 9 June 2022 and signed on its behalf by:



.....  
Dr M A McLay  
Trustee

## **British Association for American Studies**

### **Statement of Trustees' Responsibilities**

The trustees are responsible for preparing the trustees' report and the financial statements in accordance with the United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice) and applicable law and regulations.

The law applicable to charities requires the trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources and application of resources of the charity for that period. In preparing these financial statements, the trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP;
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in business.

The trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and enable them to ensure that the financial statements comply with the Charities Act 2011, the applicable Charities (Accounts and Reports) Regulations, and the provisions of the constitution. The trustees are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.



## **British Association for American Studies**

### **Independent Examiner's Report to the trustees of British Association for American Studies**

I report to the trustees on my examination of the accounts of British Association for American Studies for the year ended 31 December 2021.

#### **Responsibilities and basis of report**

As the charity trustees of British Association for American Studies you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act').

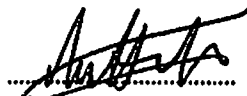
I report in respect of my examination of the British Association for American Studies's accounts carried out under section 145 of the 2011 Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

#### **Independent examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

1. accounting records were not kept in respect of British Association for American Studies as required by section 130 of the Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.



John Saxon F.C.A

the Institute of Chartered Accountants in England and Wales

Progress House  
396 Wilmslow Road  
Withington  
Manchester  
M20 3BN

9 June 2022

**British Association for American Studies**

**Statement of Financial Activities for the Year Ended 31 December 2021**

	<b>Note</b>	<b>Unrestricted funds £</b>	<b>Total 2021 £</b>	<b>Total 2020 £</b>
<b>Income and Endowments from:</b>				
Charitable activities	2	50,952	50,952	75,727
Investment income	3	<u>64,295</u>	<u>64,295</u>	<u>54,595</u>
<b>Total income</b>		<u>115,247</u>	<u>115,247</u>	<u>130,322</u>
<b>Expenditure on:</b>				
Charitable activities		<u>(57,160)</u>	<u>(57,160)</u>	<u>(59,149)</u>
<b>Total expenditure</b>	4	<u>(57,160)</u>	<u>(57,160)</u>	<u>(59,149)</u>
<b>Net income</b>		<u>58,087</u>	<u>58,087</u>	<u>71,173</u>
<b>Net movement in funds</b>		58,087	58,087	71,173
<b>Reconciliation of funds</b>				
<b>Total funds brought forward</b>		<u>296,504</u>	<u>296,504</u>	<u>225,331</u>
<b>Total funds carried forward</b>	13	<u>354,591</u>	<u>354,591</u>	<u>296,504</u>

All of the charity's activities derive from continuing operations during the above two periods.

The funds breakdown for 2020 is shown in note 13.


**British Association for American Studies**

**(Registration number: 1170382)**

**Balance Sheet as at 31 December 2021**

	<b>Note</b>	<b>2021 £</b>	<b>2020 £</b>
<b>Fixed assets</b>			
Investments	9	100	100
<b>Current assets</b>			
Debtors	10	39,985	10,816
Cash at bank and in hand	11	<u>338,412</u>	<u>319,250</u>
		378,397	330,066
<b>Creditors: Amounts falling due within one year</b>	12	<u>(23,906)</u>	<u>(33,662)</u>
<b>Net current assets</b>		<u>354,491</u>	<u>296,404</u>
<b>Net assets</b>		<u>354,591</u>	<u>296,504</u>
<b>Funds of the charity:</b>			
<b>Unrestricted income funds</b>			
Unrestricted funds		<u>354,591</u>	<u>296,504</u>
<b>Total funds</b>	13	<u>354,591</u>	<u>296,504</u>

The financial statements on pages 16 to 28 were approved by the trustees, and authorised for issue on 9 June 2022 and signed on their behalf by:

  
 .....  
 Dr M A McLay  
 Trustee

The notes on pages 18 to 28 form an integral part of these financial statements.

## **British Association for American Studies**

### **Notes to the Financial Statements for the Year Ended 31 December 2021**

#### **1 Accounting policies**

##### **Statement of compliance**

The financial statements have been prepared in accordance with the second edition of the Charities Statement of Recommended Practice Issued in October 2019, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011.

##### **Basis of preparation**

British Association for American Studies meets the definition of a public benefit entity under FRS 102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy notes.

##### **Exemption from preparing a cash flow statement**

The charity opted to early adopt Bulletin 1 published on 2 February 2016 and have therefore not included a cash flow statement in these financial statements.

##### **Going concern**

The trustees consider that there are no material uncertainties about the charity's ability to continue as a going concern.

##### **Income and endowments**

Voluntary income including donations, gifts, legacies and grants that provide core funding or are of a general nature is recognised when the Charity has entitlement to the income, it is probable that the income will be received and the amount can be measured with sufficient reliability.

##### ***Donations and legacies***

Donations and legacies are recognised on a receivable basis when receipt is probable and the amount can be reliably measured.

##### ***Investment income***

Investment income is recognised on a receivable basis.

##### **Expenditure**

All expenditure is recognised once there is a legal or constructive obligation to that expenditure, it is probable settlement is required and the amount can be measured reliably. All costs are allocated to the applicable expenditure heading that aggregate similar costs to that category. Where costs cannot be directly attributed to particular headings they have been allocated on a basis consistent with the use of resources, with central staff costs allocated on the basis of time spent, and depreciation charges allocated on the portion of the asset's use. Other support costs are allocated based on the spread of staff costs.

## **British Association for American Studies**

### **Notes to the Financial Statements for the Year Ended 31 December 2021**

#### **Charitable activities**

Charitable expenditure comprises those costs incurred by the charity in the delivery of its activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

#### **Support costs**

Support costs include central functions and have been allocated to activity cost categories on a basis consistent with the use of resources, for example, allocating property costs by floor areas, or per capita, staff costs by the time spent and other costs by their usage.

#### **Governance costs**

These include the costs attributable to the charity's compliance with constitutional and statutory requirements, including audit, strategic management and trustees' meetings and reimbursed expenses.

#### **Taxation**

The charity is considered to pass the tests set out in Paragraph 1 Schedule 6 of the Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes. Accordingly, the charity is potentially exempt from taxation in respect of income or capital gains received within categories covered by Chapter 3 Part 11 of the Corporation Tax Act 2010 or Section 256 of the Taxation of Chargeable Gains Act 1992, to the extent that such income or gains are applied exclusively to charitable purposes.

#### **Depreciation and amortisation**

Depreciation is provided on tangible fixed assets so as to write off the cost or valuation, less any estimated residual value, over their expected useful economic life as follows:

<b>Asset class</b>	<b>Depreciation method and rate</b>
Office Equipment	Fully depreciated

#### **Business combinations**

Business combinations are accounted for under the purchase method. Where necessary, adjustments are made to the financial statements of subsidiaries to bring the accounting policies used into line with those used by the charity. All intra-group transactions, balances, income and expenses are eliminated on consolidation. In accordance with Section 35 of FRS 102, Section 19 of FRS 102 has not been applied in these financial statements in respect of business combinations effected prior to the date of transition.

#### **Fixed asset investments**

Fixed asset investments, other than programme related investments, are included at market value at the balance sheet date. Realised gains and losses on investments are calculated as the difference between sales proceeds and their market value at the start of the year, or their subsequent cost, and are charged or credited to the Statement of Financial Activities in the period of disposal.

Unrealised gains and losses represent the movement in market values during the year and are credited or charged to the Statement of Financial Activities based on the market value at the year end.

## **British Association for American Studies**

### **Notes to the Financial Statements for the Year Ended 31 December 2021**

#### **Trade debtors**

Trade debtors are amounts due from customers for merchandise sold or services performed in the ordinary course of business.

Trade debtors are recognised initially at the transaction price. They are subsequently measured at amortised cost using the effective interest method, less provision for impairment. A provision for the impairment of trade debtors is established when there is objective evidence that the charity will not be able to collect all amounts due according to the original terms of the receivables.

#### **Cash and cash equivalents**

Cash and cash equivalents comprise cash on hand and call deposits, and other short-term highly liquid investments that are readily convertible to a known amount of cash and are subject to an insignificant risk of change in value.

#### **Borrowings**

Interest-bearing borrowings are initially recorded at fair value, net of transaction costs. Interest-bearing borrowings are subsequently carried at amortised cost, with the difference between the proceeds, net of transaction costs, and the amount due on redemption being recognised as a charge to the Statement of Financial Activities over the period of the relevant borrowing.

Interest expense is recognised on the basis of the effective interest method and is included in interest payable and similar charges.

Borrowings are classified as current liabilities unless the charity has an unconditional right to defer settlement of the liability for at least twelve months after the reporting date.

#### **Fund structure**

Unrestricted income funds are general funds that are available for use at the trustees' discretion in furtherance of the objectives of the charity.

#### **Financial instruments**

##### ***Classification***

Financial assets and financial liabilities are recognised when the charity becomes a party to the contractual provisions of the instrument.

Financial liabilities and equity instruments are classified according to the substance of the contractual arrangements entered into. An equity instrument is any contract that evidences a residual interest in the assets of the charity after deducting all of its liabilities.

## **British Association for American Studies**

### **Notes to the Financial Statements for the Year Ended 31 December 2021**

#### ***Recognition and measurement***

All financial assets and liabilities are initially measured at transaction price (including transaction costs), except for those financial assets classified as at fair value through profit or loss, which are initially measured at fair value (which is normally the transaction price excluding transaction costs), unless the arrangement constitutes a financing transaction. If an arrangement constitutes a financing transaction, the financial asset or financial liability is measured at the present value of the future payments discounted at a market rate of interest for a similar debt instrument.

Financial assets and liabilities are only offset in the statement of financial position when, and only when there exists a legally enforceable right to set off the recognised amounts and the charity intends either to settle on a net basis, or to realise the asset and settle the liability simultaneously.

Financial assets are derecognised when and only when a) the contractual rights to the cash flows from the financial asset expire or are settled, b) the charity transfers to another party substantially all of the risks and rewards of ownership of the financial asset, or c) the charity, despite having retained some, but not all, significant risks and rewards of ownership, has transferred control of the asset to another party.

Financial liabilities are derecognised only when the obligation specified in the contract is discharged, cancelled or expires.

## **British Association for American Studies**

### **Notes to the Financial Statements for the Year Ended 31 December 2021**

#### ***Debt Instruments***

Debt instruments which meet the following conditions are subsequently measured at amortised cost using the effective interest method:

(a) The contractual return to the holder is (i) a fixed amount; (ii) a positive fixed rate or a positive variable rate; or (iii) a combination of a positive or a negative fixed rate and a positive variable rate.

(b) The contract may provide for repayments of the principal or the return to the holder (but not both) to be linked to a single relevant observable index of general price inflation of the currency in which the debt instrument is denominated, provided such links are not leveraged.

(c) The contract may provide for a determinable variation of the return to the holder during the life of the instrument, provided that (i) the new rate satisfies condition (a) and the variation is not contingent on future events other than (1) a change of a contractual variable rate; (2) to protect the holder against credit deterioration of the issuer; (3) changes in levies applied by a central bank or arising from changes in relevant taxation or law; or (ii) the new rate is a market rate of interest and satisfies condition (a).

(d) There is no contractual provision that could, by its terms, result in the holder losing the principal amount or any interest attributable to the current period or prior periods.

(e) Contractual provisions that permit the issuer to prepay a debt instrument or permit the holder to put it back to the issuer before maturity are not contingent on future events, other than to protect the holder against the credit deterioration of the issuer or a change in control of the issuer, or to protect the holder or issuer against changes in levies applied by a central bank or arising from changes in relevant taxation or law.

(f) Contractual provisions may permit the extension of the term of the debt instrument, provided that the return to the holder and any other contractual provisions applicable during the extended term satisfy the conditions of paragraphs (a) to (c).

Debt instruments that are classified as payable or receivable within one year on initial recognition and which meet the above conditions are measured at the undiscounted amount of the cash or other consideration expected to be paid or received, net of impairment.

With the exception of some hedging instruments, other debt instruments not meeting these conditions are measured at fair value through profit or loss.

Commitments to make and receive loans which meet the conditions mentioned above are measured at cost (which may be nil) less impairment.

#### ***Investments***

Investments in subsidiaries and associates are measured at cost less impairment. For investments in subsidiaries acquired for consideration including the issue of shares qualifying for merger relief, cost is measured by reference to the nominal value of the shares issued plus fair value of other consideration. Any premium is ignored.



## British Association for American Studies

### Notes to the Financial Statements for the Year Ended 31 December 2021

#### *Derivative financial instruments*

The charity uses derivative financial instruments to reduce exposure to foreign exchange risk and interest rate movements. The charity does not hold or issue derivative financial instruments for speculative purposes.

Derivatives are initially recognised at fair value at the date a derivative contract is entered into and are subsequently remeasured to their fair value at each reporting date. The resulting gain or loss is recognised in statement of financial activities immediately unless the derivative is designated and effective as a hedging instrument, in which event the timing of the recognition in statement of financial activities depends on the nature of the hedge relationship.

#### *Fair value measurement*

The best evidence of fair value is a quoted price for an identical asset in an active market. When quoted prices are unavailable, the price of a recent transaction for an identical asset provides evidence of fair value as long as there has not been a significant change in economic circumstances or a significant lapse of time since the transaction took place. If the market is not active and recent transactions of an identical asset on their own are not a good estimate of fair value, the fair value is estimated by using a valuation technique.

## 2 Income from charitable activities

	Unrestricted funds General £	Total 2021 £
Awards and Prize Giving Funds	26,939	26,939
Subscriptions	15,189	15,189
Membership Income	3,038	3,038
Conference Income	5,786	5,786
	<u>50,952</u>	<u>50,952</u>
	Unrestricted funds General £	Total 2020 £
Awards and Prize Giving Funds	57,877	57,877
Subscriptions	16,376	16,376
Membership Income	1,021	1,021
Conference Income	642	642
	<u>75,916</u>	<u>75,916</u>

**British Association for American Studies**

**Notes to the Financial Statements for the Year Ended 31 December 2021**

**3 Investment income**

	Unrestricted funds General £	Total 2021 £	Total 2020 £
Interest receivable and similar income;			
Interest receivable on bank deposits	53	53	327
Other investment income	<u>64,242</u>	<u>64,242</u>	<u>54,268</u>
	<u>64,295</u>	<u>64,295</u>	<u>54,595</u>

**4 Expenditure on charitable activities**

	Unrestricted funds General £	Total 2021 £	Total 2020 £
Prizes and Awards	37,647	37,647	45,562
Conference costs	3,984	3,984	5,924
Website costs	-	-	1,440
Subscriptions	3,222	3,222	780
Travel costs	340	340	2,676
Admin costs	4,198	4,198	316
Bank charges and fees	(102)	(102)	843
Salaries	<u>6,131</u>	<u>6,131</u>	<u>-</u>
	<u>55,420</u>	<u>55,420</u>	<u>57,541</u>

**5 Analysis of governance and support costs**

**Governance costs**

	Unrestricted funds General £	Total 2021 £	Total 2020 £
Independent examiner fees			
Examination of the financial statements	<u>1,740</u>	<u>1,740</u>	<u>1,608</u>
	<u>1,740</u>	<u>1,740</u>	<u>1,608</u>

# British Association for American Studies

## Notes to the Financial Statements for the Year Ended 31 December 2021

### 6 Trustees remuneration and expenses

No trustees, nor any persons connected with them, have received any remuneration from the charity during the year.

No trustees have received any other benefits from the charity during the year.

### 7 Independent examiner's remuneration

	2021 £	2020 £
Examination of the financial statements	<u>1,740</u>	<u>1,608</u>

### 8 Taxation

The charity is a registered charity and is therefore exempt from taxation.

### 9 Fixed asset investments

	2021 £	2020 £
Shares in group undertakings and participating interests	<u>100</u>	<u>100</u>

### Shares in group undertakings and participating interests

	Subsidiary undertakings £	Total £
<b>Cost</b>		
At 1 January 2021	<u>100</u>	<u>100</u>
At 31 December 2021	<u>100</u>	<u>100</u>
<b>Net book value</b>		
At 31 December 2021	<u>100</u>	<u>100</u>
At 31 December 2020	<u>100</u>	<u>100</u>

# British Association for American Studies

## Notes to the Financial Statements for the Year Ended 31 December 2021

### Details of undertakings

Details of the investments in which the charity holds 20% or more of the nominal value of any class of share capital are as follows:

Undertaking	Country of incorporation	Holding	Proportion of voting rights and shares held		Principal activity
			2021	2020	
Subsidiary undertakings					
BAAS Publications Ltd	England	Ordinary Shares	100%	100%	Publication of journals to encourage education and advance study of the United States.

The profit for the financial period of BAAS Publications Ltd was £59,375 (2020 - £64,242) and the aggregate amount of capital and reserves at the end of the period was £59,475 (2020 - £64,342).

### 10 Debtors

	2021 £	2020 £
Due from group undertakings	<u>39,985</u>	<u>10,816</u>

### 11 Cash and cash equivalents

	2021 £	2020 £
Cash at bank	302,659	319,250
Short-term deposits	<u>35,753</u>	<u>-</u>
	<u>338,412</u>	<u>319,250</u>

### 12 Creditors: amounts falling due within one year

	2021 £	2020 £
Other taxation and social security	1,226	-
Other creditors	20,940	31,982
Accruals	<u>1,740</u>	<u>1,680</u>
	<u>23,906</u>	<u>33,662</u>

**British Association for American Studies**

**Notes to the Financial Statements for the Year Ended 31 December 2021**

**13 Funds**

	<b>Balance at 1 January 2021 £</b>	<b>Incoming resources £</b>	<b>Resources expended £</b>	<b>Balance at 31 December 2021 £</b>
<b>Unrestricted funds</b>				
<b>General</b>	<u>296,504</u>	<u>115,247</u>	<u>(57,160)</u>	<u>354,591</u>
	<b>Balance at 1 January 2020 £</b>	<b>Incoming resources £</b>	<b>Resources expended £</b>	<b>Balance at 31 December 2020 £</b>
<b>Unrestricted funds</b>				
<b>General</b>	<u>225,331</u>	<u>130,322</u>	<u>(59,149)</u>	<u>296,504</u>

**14 Analysis of net assets between funds**

	<b>Unrestricted funds General £</b>	<b>Total funds at 31 December 2021 £</b>
<b>Fixed asset investments</b>	100	100
<b>Current assets</b>	378,397	378,397
<b>Current liabilities</b>	<u>(23,906)</u>	<u>(23,906)</u>
<b>Total net assets</b>	<u>354,591</u>	<u>354,591</u>
	<b>Unrestricted funds General £</b>	<b>Total funds at 31 December 2020 £</b>
<b>Fixed asset investments</b>	100	100
<b>Current assets</b>	330,066	330,066
<b>Current liabilities</b>	<u>(33,662)</u>	<u>(33,662)</u>
<b>Total net assets</b>	<u>296,504</u>	<u>296,504</u>

# British Association for American Studies

## Notes to the Financial Statements for the Year Ended 31 December 2021

### 15 Analysis of net funds

	At 1 January 2021 £	Financing cash flows £	At 31 December 2021 £
Cash at bank and in hand	<u>319,250</u>	<u>19,162</u>	<u>338,412</u>
Net debt	<u>319,250</u>	<u>19,162</u>	<u>338,412</u>
	At 1 January 2020 £	Financing cash flows £	At 31 December 2020 £
Cash at bank and in hand	<u>221,839</u>	<u>97,411</u>	<u>319,250</u>
Net debt	<u>221,839</u>	<u>97,411</u>	<u>319,250</u>

### 16 Related party transactions

During the year the charity made the following related party transactions:

#### BAAS Publications Ltd

(The Charity holds 100% of the called up share capital of this company)

The Charity receives gift aid payments from the company and also pays expenses on its behalf. At the balance sheet date the amount due from BAAS Publications Ltd was £39,985 (2020 - £10,816).