

# SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP

England & Wales · Charity number 1155775

## Details

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Other names	SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGICAL GROUP, SWAAG
Status	Registered
Legal form	CIO
Registered	2014-02-13
Register	<a href="#">View on the Charity Commission register</a>

## Contact

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Address School House  
Silver Street  
Reeth  
Richmond  
North Yorkshire  
DL11 6SP

Phone 01748884117

Email [info@swaag.org](mailto:info@swaag.org)

Website [www.swaag.org](http://www.swaag.org)

## Activities

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**Objects:** FOR THE PUBLIC BENEFIT TO ADVANCE THE EDUCATION OF THE PUBLIC IN GENERAL AND MORE SPECIFICALLY THE RESIDENTS OF, AND VISITORS TO, THE COUNTY OF NORTH YORKSHIRE, PARTICULARLY THE AREA KNOWN AS THE YORKSHIRE DALES, IN THE SUBJECTS OF ARCHAEOLOGY, HISTORY, HERITAGE, GEOLOGY AND THE NATURAL ENVIRONMENT IN ORDER TO PROMOTE A BETTER UNDERSTANDING AND MANAGEMENT OF THAT LOCAL HERITAGE BY, FOR EXAMPLE:.PROVIDING TRAINING AND EXPERIENCE OF A BROAD RANGE OF ARCHAEOLOGICAL INVESTIGATIVE TECHNIQUES WHICH WILL THEN BE APPLIED TO THE STUDY OF THE LOCAL HERITAGE;.UNDERTAKING RESEARCH INCLUDING FIELD WORK AND EXCAVATIONS INTO ALL ASPECTS OF THE LOCAL HERITAGE AND PUBLISHING AND MAKING OTHERWISE AVAILABLE THE RESULTS OF THAT RESEARCH; .PROVIDING LECTURES, GUIDED WALKS, COMMUNITY PROJECTS, ACTIVITIES WITH LOCAL SCHOOLS AND ORGANISATIONS AND EXHIBITIONS

**Activities:** The education of the public in general and more specifically the residents of and visitors to the County of North Yorkshire, particularly the area known as the Yorkshire Dales in the subjects of archaeology, history, geology and the natural environment in order to promote a better understanding and management of that local heritage.

## Classification

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- **How:** Sponsors Or Undertakes Research, Other Charitable Activities
- **What:** Education/training, Arts/culture/heritage/science, Environment/conservation/heritage, Economic/community Development/employment
- **Who:** The General Public/mankind

## Geography

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- **Area of benefit:** LOCAL
- North Yorkshire

## Finances

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Period end	Income	Expenditure	Assets	Employees
2025-12-31	£1,917	£2,219	-	-
2024-12-31	£2,068	£1,810	-	-
2023-12-31	£2,139	£3,382	-	-
2022-12-31	£6,604	£5,067	-	-
2021-12-31	£4,870	£4,413	-	-

## Trustees

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Name	Role	Appointed
Alan Franklin Mills		2025-03-17
Anne Jowett		2022-10-11
Dr Matthew Farrelly		2024-01-23
Hilary Ann Christina Fawcett		2022-10-11
Professor Steven Mark Rutter		2025-10-16

**SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP**

England & Wales - Charity number 1155775

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# Accounts

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# Trustees' Annual Report for the period

	Period start date				Period end date		
<b>From</b>	<b>1</b>	January	2025	<b>To</b>	31	December	2025

## Section A Reference and administration details

**Charity name** Swaledale and Arkengarthdale Archaeology Group

**Other names charity is known by** SWAAG

**Registered charity number (if any)** 1155775

**Charity's principal address** c/o Alan Mills  
Sorrel Sykes  
Off Back Lane  
Reeth  
Richmond  
North Yorkshire

**Postcode** DL11 6TJ

### Names of the charity trustees who manage the charity

	Trustee name	Office (if any)	Dates acted if not for whole year	Name of person (or body) entitled to appoint trustee (if any)
1	Anne Jowett			
2	Michael Keenan	Treasurer	Retired @ AGM 14/10/26	
3	Alan Franklin Mills	Treasurer	Appointed @AGM 14/10/26	

4 Hilary Fawcett

5 Matthew Farrelly

6 Mark Rutter

Appointed 16/10/26

## Section B Structure, governance and management

### Description of the charity's trusts

Type of governing document (eg. trust deed, constitution)	Constitution
How the charity is constituted (eg. trust, association, company)	Charitable Incorporated Organisation (CIO)
Trustee selection methods (eg. appointed by, elected by)	Elected by ballot at the AGM, with interim options to co-opt, as per the Constitution

### Additional governance issues (Optional information)

You **may choose** to include additional information, where relevant, about:

- policies and procedures adopted for the induction and training of trustees;
- the charity's organisational structure and any wider network with which the charity works;
- relationship with any related parties;
- trustees' consideration of major risks and the system and procedures to manage them.

Organisational Structure: SWAAG is managed and controlled by the volunteer Trustees all of whom are elected from the membership. Specialist groups/committees reporting to the Trustees are formed as necessary

The policies in place include the following:

Health and Safety  
Children and Young Peoples Safeguarding  
Financial Authority and Controls  
Diversity and Inclusion  
Volunteering  
Finds

These policies are subject to periodic review

Section E of this report provides a statement explaining the Trustees' reserves policy for SWAAG.

Data Protection and Privacy continue to be managed in accordance with GDPR recommendations.

**Summary of the objects of the charity set out in its governing document**

For the *public benefit* to advance the education of the public in general and more specifically the residents of, and visitors to, the County of North Yorkshire, particularly the area known as the Yorkshire Dales, in the subjects of archaeology, history, heritage, geology and the natural environment in order to promote a better understanding and management of the local heritage by, for example:

- Providing training and experience of a broad range of archaeological and historical investigative techniques which may be applied to the study of the local heritage;
- Undertaking research including local history, fieldwork and excavations into all aspects of the local heritage and publishing and making otherwise available the results of that research;
- Providing lectures, guided walks, community projects, activities with local schools and organisations and exhibitions.

**Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)**

The Trustees have had regard to the guidance issued by the Charity Commissioners on public benefit and, in accordance with that, the activities undertaken by SWAAG in 2025 have included:

- A group visit took place to Creswell Crags, Derbyshire, a magnesium limestone gorge with numerous caves, fissures and rock shelters along its length. Only the caves on the south side of the gorge were inhabited, but have no evidence of rock art. The caves on the north side of the gorge have the rock art, and no evidence of general habitation. The Paleolithic rock art is approximately 13,000 to 12,800 years old, dating to the end of the last Ice Age.
- Assistance to other archaeology groups has included undertaking geophysical surveys of sites at Brignall old church for Altogether Archaeology.
- Regular monthly information and discussion meetings have continued via zoom and hybrid (where participants have the option of joining online or face to face) formats for SWAAG members and guests. A recent innovation has been the introduction of recording of talks to enable viewing by members unable to attend.
- Talks by SWAAG members covering a wide range of history and archaeology related topics have included:
  - The history of the Marske Estate and some local comparisons, by Stephen Clark
  - Marie Hartley by Sue Nicholson.
  - Medieval Lead Mining in Swaledale and Arkengarthdale, by Alan Mills
  - The copper mines of Richmondshire, by Jane Harrison
- Talks by external professionals, specialists and enthusiasts have included:
  - Uncovering Roman Carlisle 2025 – excavation of the Roman Villa at Carlisle Cricket Club, by Nick Henderson
  - The British Upper Palaeolithic with particular reference to Creswell Crags, by Professor Paul Pettitt
  - Looking for Arthur – finding Arthwys, by Alistair Hall
  - The legacy of the Kearton Brothers, by Helen Guy
  - Archaeological sites for investigation, by Mike Wood
- Guided walks encompassing areas, sites and features of archaeological interest have been arranged for SWAAG members guests and visitors including
  - A possible Viking site on Bellerby Moor
  - A Middleton Tyas Copper Mines Walk
  - Mining on and around Grinton Moor
  - Dicky Edge Early Medieval Settlement on Feldom Range
- Transcriptions of the Healaugh and Muker Manor Court Books for the period 1686-1786 have continued.

The Trustees hold regular board meetings to review financial issues and to discuss activities, policies, progress and other matters.

An AGM available to be attended by all SWAAG members either in person or online was held on 14<sup>th</sup> October 2025.

SWAAG publicizes its activities through its website <https://new-swaag.org> and local publications such as the monthly free Reeth Gazette.

The website also contains a wide range of archives, databases, newsletters and publications together with other information including the Manor Court Book transcriptions and further details and reports of the talks and walks referred to above.

**Additional details of objectives and activities (Optional information)**

You may choose to include further statements, where relevant, about:

- policy on grant making;
- policy programme related investment;
- contribution made by volunteers.

Whilst excavations are supervised by professional archaeologists and training courses are led by specialists, all SWAAG activities are devised, managed, supervised and implemented by SWAAG volunteers.

**Summary of the main achievements of the charity during the year**

See activities listed above

**Brief statement of the charity's policy on reserves**

Trustees maintain under review the commercial risks to which the charity is exposed (e.g. possible rental of storage space, work required on the website; repairs to and replacement of equipment) as well as those "risks" that arise as a consequence of the research work that SWAAG undertakes from time to time (e.g. requirements for radio carbon dating, environmental sample analyses, finds conservation, archiving). Previously the Trustees were of the view that it would be prudent for SWAAG to retain a level of reserves of some £5000, with funds over and above the reserves available to be used to fund research projects. This policy was reviewed in 2025. With total funds approaching £7,000 (restricted and un restricted) it was decided to allocate up to £4,000 of unrestricted funds to finance an archaeological 'dig' locally in the Summer of 2026 with the restricted funds being available if necessary for specialist analyses such as radiocarbon dating.

**Details of any funds materially in deficit**

None

**Further financial review details (Optional information)**

You **may choose** to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

The accounts for the financial year ending 31st December 2025 have been prepared on a Receipts and Payments basis.

The accounts have been independently examined by Andrea Dixon and her report accompanies this report.

As recommended by the Charity Commission, the accounts have been segregated into Unrestricted and Restricted cash flows. These funds are considered separately below.

#### **Unrestricted Funds**

Over the year the charity has generated a net loss of £302.45 compared to a net surplus of £214.92 in 2024. This loss is due in main to the replacement of the Group's elderly PC and a delay in submitting the Gift Aid claim to the HMRC following the change of Treasurer.

With regard to income, membership subscriptions produced £1,075; the associated gift aid will be credited to the 2026 accounts. In addition, we received income from donations, walks, book sales and interest totalling £317.74.

Expenditure totalling £2219.11 was incurred. This included the costs of insurance, bank charges, CBA membership, governance, equipment, talks and website expenses.

There were no Year End Liabilities.

#### **Restricted Funds**

There was no restricted funds expenditure in 2024.

Income totalling £523.92 represents interest from a donated fund we hold for the establishment and support of an award for landscape archaeology and a generous donation amounting to £500 from a member. The restricted fund account stands at £1572.18 as at 31 December 2025.

## Section F

## Other optional information

SWAAG wishes to thank :

- The Yorkshire Dales National Park Authority for its advice and support
- The members and volunteers who generously gave their time and shared their expertise to make SWAAG activities possible
- The external speakers who provided us with such a wide range of interesting and informative talks.

## Section G

## Declaration

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

Signature(s)

*Alan Franklin Mills*

*HAE Fawcett*

X

Full name(s)

Alan Franklin Mills

*Hilary A. G. Fawcett*

X

Position (eg Secretary,  
Chair, etc)

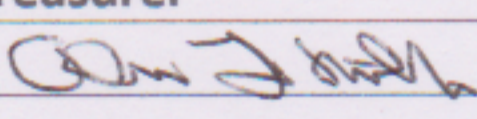
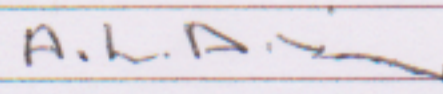
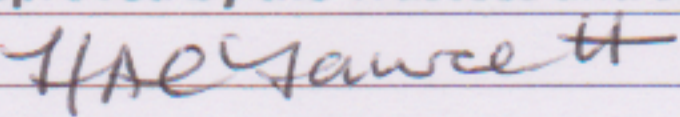
Treasurer

Trustee

Date

*12<sup>th</sup> April 2026*

**SWALEDALE & ARKENGARThDALE ARCHAEOLOGY GROUP**  
**ACCOUNTS FOR THE PERIOD 1st JANUARY 2025 TO 31st DECEMBER 2025**

	2025 Unrestricted	2025 Restricted	2025 Total	2024	Notes
	£	£	£	£	
<b>Income</b>					
Membership Subscriptions	£1,075.00		£1,075.00	£1,550.00	
Gift Aid	£0.00		£0.00	£361.38	1
Donations incl from walks & talks	£170.00	£500.00	£670.00	£65.00	
Visits	£105.00		£105.00	£0.00	
Interest	£14.18	£23.92	£38.10	£39.90	
Book Sales	£28.56		£28.56	£8.40	
<b>Total Income</b>	<b>£1,392.74</b>	<b>£523.92</b>	<b>£1,916.66</b>	<b>£2,024.68</b>	
<b>Expenditure</b>					
Bank Charges	£60.00		£60.00	£60.00	
CBA Membership	£67.00		£67.00	£63.00	
Equipment	£645.79		£645.79	£11.99	
Governance (50% of annual Zoom fee)	£77.94		£77.94	£92.94	
Insurance	£566.99		£566.99	£864.65	
Postage, Stationery & Printing	£0.00		£0.00	£0.00	
Talks Expenses incl hire of hall, 50% of Zoom annual fee	£227.94		£227.94	£192.94	
Visits Expenses	£105.00		£105.00	£0.00	
Website	£468.45		£468.45	£524.24	
<b>Total Expenditure</b>	<b>£2,219.11</b>	<b>£0.00</b>	<b>£2,219.11</b>	<b>£1,809.76</b>	
<b>Excess Of Income Over Expenditure</b>	<b>-£826.37</b>	<b>£523.92</b>	<b>-£302.45</b>	<b>£214.92</b>	
1st January 2025 Opening Balance (Bank+Cash)	£7,522.38	£1,048.26	£8,570.64	£8,312.72	Y
<b>31st December 2025 Closing Balance (Bank &amp; Cash)</b>	<b>£6,696.01</b>	<b>£1,572.18</b>	<b>£8,268.19</b>	<b>£8,527.64</b>	
<b>Liabilities at 31st December 2025</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Debtors at 31st December 2025</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
Note 1: 2025 Gift Aid claimed in 2026; delay due to handover to new Treasurer					
Signed:					
Alan Mills, Treasurer					
					
Date	20 February 2026				
Independently Examined By					
Andrea Dixon					
					
Date	28 February 2026				
Approved by the Trustees and signed on their behalf					
					
Date	12th April 2026				

**SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP**

England & Wales - Charity number 1155775

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# Accounts

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# Trustees' Annual Report for the period

	Period start date			Period end date		
From	1	January	2024	To	31	December 2024

## Section A Reference and administration details

Charity name Swaledale and Arkengarthdale Archaeology Group

Other names charity is known by SWAAG

Registered charity number (if any) 1155775

Charity's principal address  
c/o Michael Keenan  
School House, Silver Street  
Reeth  
Richmond  
North Yorkshire

Postcode DL11 6SP

### Names of the charity trustees who manage the charity

	Trustee name	Office (if any)	Dates acted if not for whole year	Name of person (or body) entitled to appoint trustee (if any)
1	Rod Flint	Secretary	Retired 21/11/2024	
2	Michael Keenan	Treasurer		
3	Jane Harrison		Retired 09/05/2024	

4	Barbara Colling	Retired 21/11/2024
5	Anne Jowett	
6	Hilary Fawcett	
7	Matthew Farrelly	Appointed 23/01/2024

## Section B      Structure, governance and management

### Description of the charity's trusts

Type of governing document <small>(eg. trust deed, constitution)</small>	Constitution
How the charity is constituted <small>(eg. trust, association, company)</small>	Charitable Incorporated Organisation (CIO)
Trustee selection methods <small>(eg. appointed by, elected by)</small>	Elected by ballot at the AGM, with interim options to co-opt, as per the Constitution

### Additional governance issues (Optional information)

<p>You <b>may choose</b> to include additional information, where relevant, about:</p> <ul style="list-style-type: none"> <li>• policies and procedures adopted for the induction and training of trustees;</li> <li>• the charity's organisational structure and any wider network with which the charity works;</li> <li>• relationship with any related parties;</li> <li>• trustees' consideration of major risks and the system and procedures to manage them.</li> </ul>	<p>Organisational Structure: SWAAG is managed and controlled by the volunteer Trustees all of whom are elected from the membership. Specialist groups/committees reporting to the Trustees are formed as necessary</p> <p>The policies in place include the following :</p> <ul style="list-style-type: none"> <li>Health and Safety</li> <li>Children and Young Peoples Safeguarding</li> <li>Financial Authority and Controls</li> <li>Diversity and Inclusion</li> <li>Volunteering</li> <li>Finds</li> </ul> <p>These policies are subject to periodic review</p> <p>Section E of this report provides a statement explaining the Trustees' reserves policy for SWAAG.</p> <p>Data Protection and Privacy continue to be managed in accordance with GDPR recommendations.</p>
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**Summary of the objects of the charity set out in its governing document**

For the *public benefit* to advance the education of the public in general and more specifically the residents of, and visitors to, the County of North Yorkshire, particularly the area known as the Yorkshire Dales, in the subjects of archaeology, history, heritage, geology and the natural environment in order to promote a better understanding and management of the local heritage by, for example:

- Providing training and experience of a broad range of archaeological and historical investigative techniques which may be applied to the study of the local heritage;
- Undertaking research including local history, fieldwork and excavations into all aspects of the local heritage and publishing and making otherwise available the results of that research;
- Providing lectures, guided walks, community projects, activities with local schools and organisations and exhibitions.

**Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)**

The Trustees have had regard to the guidance issued by the Charity Commissioners on public benefit and, in accordance with that, the activities undertaken by SWAAG in 2024 have included :

- A group visit took place to the Roman Army Museum including a guided tour of Magna Fort which is located next to Hadrian's Wall near to Greenhead
- Preliminary landscape and geophysical surveys have been undertaken of a possible lead smelting mills site at Oregate Force near Marske
- Assistance to other archaeology groups has included undertaking geophysical surveys of sites at Fair Hill, Alston and Blanchland Abbey for Altogether Archaeology
- Regular monthly information and discussion meetings have continued via zoom and hybrid (where participants have the option of joining online or face to face) formats for SWAAG members and guests
- Talks by SWAAG members covering a wide range of history and archaeology related topics have included :  
The Point of Oxan, Orkney by Sheila Ickringil  
Out of the Bottle by Keith Thomas  
Vikings in Newfoundland by Alan Mills  
The Solar Eclipse of 1927 by Les Knight  
Marrick Priory by Sandy Carter  
Bread Ovens & Campaign Medals by Richard Hunt  
Who Lived in East Yorkshire by Ian Earnshaw
- Talks by external professionals, specialists and enthusiasts have included :  
Changing Environment & Roman Artefacts by Dr Gillian Taylor of Teesside University  
Botanical Remains at Healaugh by Marijke Van Der Veen, Emeritus Professor at Leicester University  
Medieval Lindisfarne by Dr David Petts of Durham University  
Dr Arthur Raistrick : Polymath by Dr Richard Smith
- Guided walks encompassing areas, sites and features of archaeological interest have been arranged for SWAAG members guests and visitors including :  
Maiden Castle & Other Scheduled Monuments, Reeth  
A Roman-British Settlement at Applegarth near Richmond  
Braithwaite Hall, East Witton & Castle Steads  
Addleborough Summit  
Keld Wildflowers  
Reeth Local History  
Rocks, Fossils & an Owl in the Swale Valley near Keld  
Scots Dyke near Richmond
- Transcriptions of the Healaugh and Muker Manor Court Books for the period 1686-1786 have continued

The Trustees hold regular board meetings to review financial issues and to discuss activities, policies, progress and other matters

An AGM available to be attended by all SWAAG members either in person or online was held in November 2024

SWAAG publicizes its activities via Facebook and through it's website <https://new-swaag.org>

The website also contains a wide range of archives, databases, newsletters and publications together with other information including the Manor Court Book transcriptions and further details and reports of the talks and walks referred to above

**Additional details of objectives and activities (Optional information)**

You may choose to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

Whilst excavations are supervised by professional archaeologists and training courses are led by specialists, all SWAAG activities are devised, managed, supervised and implemented by SWAAG volunteers

**Summary of the main achievements of the charity during the year**

See activities listed above

**Brief statement of the charity's policy on reserves**

Trustees maintain under review the commercial risks to which the charity is exposed (e.g. possible rental of storage space, work required on the website; repairs to and replacement of equipment) as well as those "risks" that arise as a consequence of the research work that SWAAG undertakes from time to time (e.g. requirements for radio carbon dating, environmental sample analyses, finds conservation, archiving) and have reached the view that it would be prudent for SWAAG to strive to retain a level of reserves of some £5000. Cash over and above the reserves may be used to fund research projects. This policy is kept under review and formally reviewed at least annually.

**Details of any funds materially in deficit**

None

**Further financial review details (Optional information)**

You may choose to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

The accounts for the financial year ending 31st December 2024 have been prepared on a Receipts and Payments basis.

The accounts have been independently examined by Pamela Myers and her report accompanies this report.

A summary of the charity's assets also accompanies this report.

As recommended by the Charity Commission, the accounts have been segregated into Unrestricted and Restricted cash flows. These funds are considered separately below.

#### **Unrestricted Funds**

Over the year the charity has generated a net surplus of £232.46 from turnover income of £2,042.22 compared to a net surplus of £448.43 in 2023 from turnover income of £2,120.24.

With regard to income, membership subscriptions produced £1,550 and gift aid of £361.38. In addition we received income from donations, walks, book sales and interest totalling £130.84

Expenditure totalling £1,809.76 was incurred. This included the costs of insurance, bank charges, CBA membership, governance, equipment, talks and website expenses.

There were no Year End Liabilities.

#### **Restricted Funds**

There was no restricted funds expenditure in 2024.

Income totalling £25.46 represents interest from a donated fund we hold for the establishment and support of an award for landscape archaeology which now stands at a total of £1,048.26 as at 31 December 2024.

## Section F

## Other optional information

SWAAG wishes to thank :

- The Yorkshire Dales National Park Authority for it's advice and support
- All of the members and volunteers who generously gave their time and shared their expertise to make SWAAG activities possible
- All of the external speakers who provided us with such a wide range of interesting and informative talks


## Section G

## Declaration

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

Signature(s)



Full name(s)

Michael Keenan

Position (eg Secretary,  
Chair, etc)

Treasurer

Date

28 March 2025

**SWALEDALE & ARKENGARThDALE ARCHAEOLOGY GROUP**  
**ACCOUNTS FOR THE PERIOD 1st JANUARY 2024 TO 31st DECEMBER 2024**

	2024 Unrestricted	2024 Restricted	2024 Total	2023	Notes
	£	£	£	£	
<b>Income</b>					
Membership Subscriptions	1,550.00		1,550.00	1,650.00	
Gift Aid	361.38		361.38	361.28	
Donations	65.00		65.00	43.00	
Walks	43.00		43.00	40.00	
Interest	14.44	25.46	39.90	33.31	
Book Sales	8.40		8.40	11.49	
<b>Total Income</b>	<b>2,042.22</b>	<b>25.46</b>	<b>2,067.68</b>	<b>2,139.08</b>	
<b>Expenditure</b>					
Insurance	864.65		864.65	819.88	
Website	524.24		524.24	179.69	
Talks	192.94		192.94	270.51	
Governance	92.94		92.94	90.50	
Equipment	11.99		11.99	85.89	
CBA Membership	63.00		63.00	60.00	
Bank Charges	60.00		60.00	60.00	
Professional Archaeological Support	0.00		0.00	1,728.00	
Project Expenses	0.00		0.00	75.00	
Membership Subscription Refund	0.00		0.00	12.50	
<b>Total Expenditure</b>	<b>1,809.76</b>	<b>0.00</b>	<b>1,809.76</b>	<b>3,381.97</b>	
<b>Excess Of Income Over Expenditure</b>	<b>232.46</b>	<b>25.46</b>	<b>257.92</b>	<b>-1242.89</b>	
1st January 2024 Opening Balance (Bank+Cash)	7,289.92	1,022.80	8,312.72	9,555.61	
<b>31st December 2024 Closing Balance (Bank &amp; Cash)</b>	<b>7522.38</b>	<b>1048.26</b>	<b>8,570.64</b>	<b>8,312.72</b>	
<b>Liabilities at 31st December 2024</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Debtors at 31st December 2024</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
Signed:					
Michael Keenan, Treasurer					
Date					
Independently Examined By					
Pamela Myers					
Date					
Approved by the Trustees and signed on their behalf					
Alan F. Mills.					
Date					



**Section A**

**Independent Examiner's Report**

**Report to the trustees/  
members of**

SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP

**On accounts for the year  
ended**

31 December 2024

**Charity no  
(if any)**

1155775

**Set out on pages**

1 & 2

I report to the trustees on my examination of the accounts of the above charity ("the Trust") for the year ended 31/12/2024.

**Responsibilities and  
basis of report**

As the charity trustees of the Trust, you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ("the Act").

I report in respect of my examination of the Trust's accounts carried out under section 145 of the 2011 Act and in carrying out my examination, I have followed the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

**Independent  
examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention (other than that disclosed below \*) in connection with the examination which gives me cause to believe that in, any material respect:

- accounting records were not kept in accordance with section 130 of the Act or
- the accounts do not accord with the accounting records

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in order to enable a proper understanding of the accounts to be reached.

*\* Please delete the words in the brackets if they do not apply.*

**Signed:**

**Date:**

29.1.2025

**Name:**

Pamela Jeanne Myers

**Relevant professional  
qualification(s) or body  
(if any):**

**Address:**

53 Pike Purse Lane

Richmond

North Yorkshire DL10 4PS

Only complete if the examiner needs to highlight matters of concern (see CC32, Independent examination of charity accounts: directions and guidance for examiners).

**Give here brief details of any items that the examiner wishes to disclose.**

**SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP**

England & Wales - Charity number 1155775

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# Accounts

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4	Jane Harrison	Re-elected 14/11/2023
5	Barbara Colling	
6	Hilary Fawcett	
7	Anne Jowett	
8	Sheila Ickringill	Co-opted 17/10/2023 Re-elected 14/11/2023 Resigned 27/11/2023

## Section B      Structure, governance and management

### Description of the charity's trusts

Type of governing document <small>(eg. trust deed, constitution)</small>	Constitution
How the charity is constituted <small>(eg. trust, association, company)</small>	Charitable Incorporated Organisation (CIO)
Trustee selection methods <small>(eg. appointed by, elected by)</small>	Elected by ballot at the AGM, with interim options to co-opt, as per the Constitution

### Additional governance issues (Optional information)

<p>You <b>may choose</b> to include additional information, where relevant, about:</p> <ul style="list-style-type: none"> <li>• policies and procedures adopted for the induction and training of trustees;</li> <li>• the charity's organisational structure and any wider network with which the charity works;</li> <li>• relationship with any related parties;</li> <li>• trustees' consideration of major risks and the system and procedures to manage them.</li> </ul>	<p>Organisational Structure: SWAAG is managed and controlled by the volunteer Trustees all of whom are elected from the membership. Specialist groups/committees reporting to the Trustees are formed as necessary</p> <p>Health and Safety: SWAAG has Trustee-approved Health and Safety and Children and Young Peoples Safeguarding Policies in place. These are reviewed at least annually.</p> <p>Financial risks: SWAAG has a Trustee-approved Financial Authority and Controls policy in place which is reviewed at least annually. Section E of this report provides a statement explaining the Trustees' reserves policy for SWAAG.</p> <p>Data Protection and Privacy continue to be managed in accordance with GDPR recommendations.</p>
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**Summary of the objects of the charity set out in its governing document**

For the *public benefit* to advance the education of the public in general and more specifically the residents of, and visitors to, the County of North Yorkshire, particularly the area known as the Yorkshire Dales, in the subjects of archaeology, history, heritage, geology and the natural environment in order to promote a better understanding and management of the local heritage by, for example:

Providing training and experience of a broad range of archaeological and historical investigative techniques which may be applied to the study of the local heritage;

Undertaking research including local history, fieldwork and excavations into all aspects of the local heritage and publishing and making otherwise available the results of that research;

Providing lectures, guided walks, community projects, activities with local schools and organisations and exhibitions.

**Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)**

The Trustees have had regard to the guidance issued by the Charity Commissioners on public benefit and, in accordance with that, the activities undertaken by SWAAG in 2023 have included :

- Following on from the 2021 excavation of a Romano British site at Hagg Farm located near Reeth in Swaledale, SWAAG commissioned specialist analyses of the pottery, bone and environmental finds. In addition samples were sent for radio carbon dating. The resultant findings have been incorporated into an excavation report
- In September a 5 day geophysical and resistivity survey was undertaken of Grinton Mounds which is the site of 2 large glacial moraine mounds separated by a significant "saddle". The mounds appear to have been modified and fortified with features that have been interpreted as being from prehistoric to medieval. The survey results have been incorporated into a report
- Assistance to other archaeology groups has included undertaking a geophysical survey of a site at Gueswick Hills in Teesdale for Altogether Archaeology
- Regular monthly information and discussion meetings have continued via zoom and hybrid (where participants have the option of joining online or face to face) formats for SWAAG members and guests
- Talks by professionals, specialists and enthusiasts covering a wide range of history and archaeology related topics
- Guided walks encompassing areas, sites and features of archaeological interest have been arranged for SWAAG members and others
- Guided walks for members of the public as part of the Swaledale Festival of Music, Arts & Walking
- Transcriptions of the Healaugh and Muker Manor Court Books for the period 1686-1786 have continued and are available on the SWAAG website

The Trustees hold regular board meetings to review financial issues and to discuss activities, policies, progress and other matters

An AGM available to be attended by all SWAAG members either in person or online was held in November 2023

SWAAG publicizes its activities via Facebook and through it's website ([www.swaag.org](http://www.swaag.org)). The website also includes a wide range of archives, databases, newsletters, publications and other information

**Additional details of objectives and activities (Optional information)**

You may choose to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

Whilst excavations are supervised by professional archaeologists and training courses are led by specialists, all SWAAG activities are devised, managed, supervised and implemented by SWAAG volunteers

**Summary of the main achievements of the charity during the year**

See activities listed above

**Brief statement of the charity's policy on reserves**

Trustees maintain under review the commercial risks to which the charity is exposed - e.g. possible rental of storage space, work required on the website; repairs to and replacement of equipment - as well as those "risks" that arise as a consequence of the research work that SWAAG undertakes - e.g. requirements for radio carbon dating, environmental sample analyses, finds conservation, archiving - and have reached the view that it would be prudent for SWAAG to strive to retain a level of reserves of some £5000. Cash over and above the reserves may be used to fund research projects. This policy is kept under review and formally reviewed at least annually.

**Details of any funds materially in deficit**

None

**Further financial review details (Optional information)**

You **may choose** to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

The accounts for the financial year ending 31st December 2023 have been prepared on a Receipts and Payments basis.

The accounts have been independently examined by Pamela Myers and her report accompanies this report.

A summary of the charity's assets also accompanies this report.

As recommended by the Charity Commission, the accounts have been segregated into Unrestricted and Restricted cash flows. These funds are considered separately below.

#### **Unrestricted Funds**

Over the year the charity has generated a net surplus of £448.43 from turnover income of £2,120.24 compared to a net deficit of £176.61 in 2022 from turnover income of £1,985.31.

The comparative difference between the figures for 2022 and 2023 arises primarily because of a reduction in expenditure on projects.

With regard to income, membership subscriptions produced £1,637.50 and gift aid of £361.28. In addition we received income from donations, walks, book sales and interest totalling £108.96.

Expenditure totalling £1,659.31 was incurred. This included the costs of insurance, bank charges, CBA membership, projects, equipment, talks and website expenses.

There were no Year End Liabilities.

#### **Restricted Funds**

Expenditure totalling £1,710.16 was incurred in respect of professional archaeological support. That sum represented the balance of a grant from the Royal Archaeological Institute for post excavation work in connection with our 2021 dig at The Hagg in Fremington.

Income totalling £18.84 represents interest from a donated fund we hold for the establishment and support of an award for landscape archaeology which now stands at a total of £1,022.80 as at 31 December 2023.

## Section F

## Other optional information

SWAAG wishes to thank :

- The Royal Archaeological Institute for it's support in providing a grant to fund the post excavation analysis and work in respect of the Hagg 2021 excavation
- Tony Liddell of Vindomora Solutions and his staff for their guidance and support for the Hagg exploration programme since 2016
- The Yorkshire Dales National Park Authority for it's advice and support
- All of the members and volunteers who generously gave their time and shared their expertise to make SWAAG activities possible

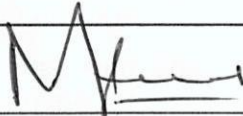
## Section G

## Declaration

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

Signature(s)

	
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Full name(s)

Michael Keenan	
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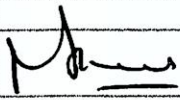
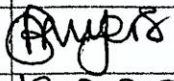
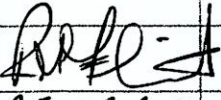
Position (eg Secretary,  
Chair, etc)

Treasurer	
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Date

24 March 2024
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**SWALEDALE & ARKENGARThDALE ARCHAEOLOGY GROUP**  
**ACCOUNTS FOR THE PERIOD 1st JANUARY 2023 TO 31st DECEMBER 2023**

	2023 Unrestricted	2023 Restricted	2023 Total	2022	Notes
	£	£	£	£	
<b>Income</b>					
Membership Subscriptions	1,650.00		1,650.00	1,487.50	
Gift Aid	361.28		361.28	321.99	
Donations	43.00		43.00	50.00	
Walks	40.00		40.00	110.00	
Interest	14.47	18.84	33.31	12.34	
Book Sales	11.49		11.49	7.31	
Grant	0.00		0.00	4,615.00	
<b>Total Income</b>	<b>2,120.24</b>	<b>18.84</b>	<b>2,139.08</b>	<b>6,604.14</b>	
<b>Expenditure</b>					
Professional Archaeological Support	17.84	1,710.16	1,728.00	0.00	
Insurance	819.88		819.88	887.67	
Talks	270.51		270.51	287.68	
Website	179.69		179.69	156.90	
Governance	90.50		90.50	0.00	
Equipment	85.89		85.89	480.00	
Project Expenses	75.00		75.00	203.82	
Bank Charges	60.00		60.00	81.00	
CBA Membership	60.00		60.00	60.00	
Membership Subscription Refund	12.50		12.50		
Post Excavation Analysis	0.00		0.00	2,909.69	
<b>Total Expenditure</b>	<b>1,671.81</b>	<b>1,710.16</b>	<b>3,381.97</b>	<b>5,066.76</b>	
<b>Excess Of Income Over Expenditure</b>	<b>448.43</b>	<b>-1691.32</b>	<b>-1242.89</b>	<b>1537.38</b>	
1st January 2023 Opening Balance (Bank+Cash)	6,841.49	2,714.12	9,555.61	8,018.23	
<b>31st December 2023 Closing Balance (Bank &amp; Cash)</b>	<b>7289.92</b>	<b>1022.80</b>	<b>8,312.72</b>	<b>9,555.61</b>	
Liabilities at 31st December 2023	0.00	0.00	0.00	0.00	
Debtors at 31st December 2023	0.00	0.00	0.00	0.00	
Signed:					
Michael Keenan, Treasurer					
Date	31 January 2024				
Independently Examined By					
Pamela Myers					
Date	19.2.2024				
Approved by the Trustees and signed on their behalf					
Rod Flint					
Date	25.03.2024				

**SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP**

England & Wales - Charity number 1155775

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# Accounts

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# Trustees' Annual Report for the period

	Period start date				Period end date		
<b>From</b>	1	January	2022	<b>To</b>	31	December	2022

## Section A Reference and administration details

**Charity name** Swaledale and Arkengarthdale Archaeology Group

**Other names charity is known by** SWAAG

**Registered charity number (if any)** 1155775

**Charity's principal address** c/o Michael Keenan  
School House, Silver Street  
Reeth  
Richmond  
North Yorkshire

**Postcode** DL11 6SP

### Names of the charity trustees who manage the charity

	Trustee name	Office (if any)	Dates acted if not for whole year	Name of person (or body) entitled to appoint trustee (if any)
1	David Brooks	Chairman	Resigned 11/05/2022	
2	Andrea Dixon	Secretary	Resigned 11/10/2022	
3	Rod Flint	Secretary		

- 4 Michael Keenan Treasurer Re-elected 11/10/2022
- 5 Michael Walton
- 6 Jane Harrison
- 7 Barbara Colling
- 8 Jane Harrison
- 9 Ric Carter Appointed 10/02/2022  
Resigned 16/08/2022
- 10 David Dodd Co-opted 27/06/2022  
Elected 11/10/2022  
Resigned 30/11/2022
- 11 Hilary Fawcett Appointed 11/10/2022
- 12 Anne Jowett Appointed 11/10/2022

**Section B Structure, governance and management**

**Description of the charity's trusts**

Type of governing document	Constitution
How the charity is constituted	Charitable Incorporated Organisation (CIO)
Trustee selection methods	Elected by ballot at the AGM, with interim options to co-opt, as per the Constitution

**Additional governance issues (Optional information)**

You **may choose** to include additional information, where relevant, about:

- policies and procedures adopted for the induction and training of trustees;
- the charity's organisational structure and any wider network with which the charity works;
- relationship with any related parties;
- trustees' consideration of major risks and the system and procedures to manage them.

**Organisational Structure:** SWAAG is managed and controlled by the volunteer Trustees all of whom are elected from the membership. Specialist groups/committees reporting to the Trustees are formed as necessary

**Health and Safety:** SWAAG has Trustee-approved Health and Safety and Children and Young Peoples Safeguarding Policies in place. These are reviewed at least annually.

**Financial risks:** SWAAG has a Trustee-approved Financial Authority and Controls policy in place which is reviewed at least annually. Section E of this report provides a statement explaining the Trustees' reserves policy for SWAAG.

**Data Protection and Privacy** continue to be managed in accordance with GDPR recommendations.

## Section C

## Objectives and activities

**Summary of the objects of the charity set out in its governing document**

For the *public benefit* to advance the education of the public in general and more specifically the residents of, and visitors to, the County of North Yorkshire, particularly the area known as the Yorkshire Dales, in the subjects of archaeology, history, heritage, geology and the natural environment in order to promote a better understanding and management of the local heritage by, for example:

Providing training and experience of a broad range of archaeological and historical investigative techniques which may be applied to the study of the local heritage;

Undertaking research including local history, fieldwork and excavations into all aspects of the local heritage and publishing and making otherwise available the results of that research;

Providing lectures, guided walks, community projects, activities with local schools and organisations and exhibitions.

**Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)**

The Trustees have had regard to the guidance issued by the Charity Commissioners on public benefit and, in accordance with that, the activities undertaken by SWAAG in 2022 have included :

- Following on from the 2021 excavation of a Romano British site at Hagg Farm located near Reeth in Swaledale, SWAAG commissioned specialist analyses of the pottery, bone and environmental finds. In addition samples were sent for radio carbon dating. The resultant findings are being incorporated into an excavation report
- In August a 3 day landscape archaeology training course was held covering topographic identification, surveying and recording techniques
- In September a 6 day topographical and geophysical survey was undertaken of Grinton Mounds which is the site of 2 large glacial moraine mounds separated by a significant "saddle". The mounds appear to have been modified and fortified with features that have been interpreted as being from prehistoric to medieval. The processing of the survey results and plans for further investigation of the site are ongoing
- Assistance to other archaeology groups has included undertaking a geophysical survey of a site in Teesdale for Altogether Archaeology and the loan of geophysics equipment to the Roman Roads Research Association for use on a site in Carlisle
- Regular monthly information and discussion meetings have continued via zoom and hybrid (where participants have the option of joining online or face to face) formats for SWAAG members and guests
- Talks by professionals, specialists and enthusiasts covering a wide range of history and archaeology related topics
- Guided walks encompassing areas, sites and features of archaeological interest have been arranged for SWAAG members and others
- Guided walks for members of the public as part of the Swaledale Festival of Music, Arts & Walking
- Transcriptions of the Healaugh and Muker Manor Court Books for the period 1686-1786 have continued and are available on the SWAAG website

The Trustees hold regular board meetings to review financial issues and to discuss activities, policies, progress and other matters

An AGM available to be attended by all SWAAG members either in person or online was held in October 2022

SWAAG publicizes its activities via Facebook and through it's website ([www.swaag.org](http://www.swaag.org)). The website also includes a wide range of archives, databases, newsletters, publications and other information

**Additional details of objectives and activities (Optional information)**

You may choose to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

Whilst excavations are supervised by professional archaeologists and training courses are led by specialists, all SWAAG activities are devised, managed, supervised and implemented by SWAAG volunteers

**Summary of the main achievements of the charity during the year**

See activities listed above

**Brief statement of the charity's policy on reserves**

Trustees maintain under review the commercial risks to which the charity is exposed - e.g. possible rental of storage space, work required on the website; repairs to and replacement of equipment - as well as those "risks" that arise as a consequence of the research work that SWAAG undertakes - e.g. requirements for radio carbon dating, environmental sample analyses, finds conservation, archiving - and have reached the view that it would be prudent for SWAAG to strive to retain a level of reserves of some £5000. Cash over and above the reserves may be used to fund research projects. This policy is kept under review and formally reviewed at least annually.

**Details of any funds materially in deficit**

None

**Further financial review details (Optional information)**

You may choose to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

The accounts for the financial year ending 31st December 2022 have been prepared on a Receipts and Payments basis.

The accounts have been independently examined by Pamela Myers and her report accompanies this report.

A summary of the charity's assets also accompanies this report.

As recommended by the Charity Commission, the accounts have been segregated into Unrestricted and Restricted cash flows. These funds are considered separately below.

#### **Unrestricted Funds**

Over the year the charity has generated a net deficit of £176.61 from turnover income of £1,985.31 compared to a net surplus of £457.14 in 2021 from turnover income of £2,277.69.

The comparative difference between the figures for 2021 and 2022 arises primarily because of a reduction in membership renewals.

With regard to income, membership subscriptions produced £1,487.50 and gift aid £321.99 gift. In addition we received income from donations, walks, book sales and interest totalling £175.82.

Expenditure totalling £2,161.92 was incurred. This included project and equipment expenses of £688.67 plus the costs of insurance, bank charges, CBA membership, talks and website expenses.

There were no Year End Liabilities.

#### **Restricted Funds**

We received income totalling £4,615.00 by way of a grant from the Royal Archaeological Institute for post excavation work in connection with our 2021 dig at The Hagg in Fremington. Related expenditure to date has amounted to £2,904.84 leaving a balance of £1,710.16 for continuing work.

The remainder income represents interest from a donated fund we hold for the establishment and support of an award for landscape archaeology which now stands at a total of £1,003.96 as at 31 December 2022.

## Section F

## Other optional information

SWAAG wishes to thank :

- The Royal Archaeological Institute for it's support in providing a grant to fund the post excavation analysis and work in respect of the Hagg 2021 excavation
- Tony Liddell of Vindomora Solutions and his staff for their guidance and support for the Hagg exploration programme since 2016
- The Yorkshire Dales National Park Authority for it's advice and support generally and to Doug Mitcham for running an archaeology landscape surveying training course attended by SWAAG members and others
- All of the members and volunteers who generously gave their time and shared their expertise to make SWAAG activities possible

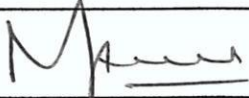
## Section G

## Declaration

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

Signature(s)



Full name(s)

Michael Keenan

Position (eg Secretary,  
Chair, etc)

Treasurer

Date

4 March 2023

**SWALEDALE & ARKENGARHTHDALE ARCHAEOLOGY GROUP**  
**ACCOUNTS FOR THE PERIOD 1st JANUARY 2022 TO 31st DECEMBER 2022**

	2022 Unrestricted	2022 Restricted	2022 Total	2021	Notes
	£	£	£	£	
<b>Income</b>					
Grant		4,615.00	4,615.00	2,592.00	
Membership Subscriptions	1,487.50		1,487.50	1,775.00	
Gift Aid	321.99		321.99	362.50	
Walks	110.00		110.00	0.00	
Donations	50.00		50.00	100.00	
Book Sales	7.31		7.31	34.19	
Interest	8.51	3.83	12.34	0.10	
<b>Total Income</b>	<b>1,985.31</b>	<b>4,618.83</b>	<b>6,604.14</b>	<b>4,863.79</b>	
<b>Expenditure</b>					
Post Excavation Analysis	4.85	2,904.84	2,909.69	0.00	
Insurance	887.67		887.67	887.67	
Equipment	480.00		480.00	12.99	
Talks	287.68		287.68	212.68	
Project Expenses	203.82		203.82	373.57	
Website	156.90		156.90	179.64	
Bank Charges	81.00		81.00	96.00	
CBA Membership	60.00		60.00	58.00	
Professional Archaeological Support			0.00	2,592.00	
<b>Total Expenditure</b>	<b>2,161.92</b>	<b>2,904.84</b>	<b>5,066.76</b>	<b>4,412.55</b>	
<b>Excess Of Income Over Expenditure</b>	<b>-176.61</b>	<b>1713.99</b>	<b>1537.38</b>	<b>451.24</b>	
1st January 2022 Opening Balance (Bank+Cash)	7,018.10	1,000.13	8,018.23	7,560.99	
<b>31st December 2022 Closing Balance (Bank &amp; Cash)</b>	<b>6841.49</b>	<b>2714.12</b>	<b>9,555.61</b>	<b>8,012.23</b>	
<b>Liabilities at 31st December 2022</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Debtors at 31st December 2022</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
Signed:					
Michael Keenan, Treasurer					
Date					
Independently Examined By					
Pamela Myers					
Date					
Approved by the Trustees and signed on their behalf					
Rod Flint					
Date					



**Section A**

**Independent Examiner's Report**

**Report to the trustees/  
members of**

SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP

**On accounts for the year  
ended**

31 December 2022

**Charity no  
(if any)**

1155775

**Set out on pages**

1 & 2

I report to the trustees on my examination of the accounts of the above charity ("the Trust") for the year ended 31/12/2020.

**Responsibilities and  
basis of report**

As the charity trustees of the Trust, you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ("the Act").

I report in respect of my examination of the Trust's accounts carried out under section 145 of the 2011 Act and in carrying out my examination, I have followed the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

**Independent  
examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention (~~other than that disclosed below~~ \*) in connection with the examination which gives me cause to believe that in, any material respect:

- accounting records were not kept in accordance with section 130 of the Act or
- the accounts do not accord with the accounting records

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in order to enable a proper understanding of the accounts to be reached.

*\* Please delete the words in the brackets if they do not apply.*

**Signed:**

**Date:**

28.1.2023

**Name:**

Pamela Jeanne Myers

**Relevant professional  
qualification(s) or body  
(if any):**

**Address:**

53 Pike Purse Lane

Richmond

North Yorkshire DL10 4PS

Only complete if the examiner needs to highlight matters of concern (see CC32, Independent examination of charity accounts: directions and guidance for examiners).

**Give here brief details of any items that the examiner wishes to disclose.**



**SWALEDALE AND ARKENGARTHDALE ARCHAEOLOGY GROUP**

England & Wales - Charity number 1155775

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# Accounts

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# Trustees' Annual Report for the period

From	Period start date			T O	Period end date		
	1	January	2021		31	December	2021

Charity name

Swaledale and Arkengarthdale Archaeology Group

Other names charity is known by

SWAAG

Registered charity number (if any)

1155775

Charity's principal address

c/o David Brooks  
Scar House  
Preston under Scar  
Leyburn  
North Yorkshire

Postcode

DL8 4AQ

## Names of the charity trustees who manage the charity

Trustee name	Office (if any)	Dates acted if not for whole year
1 David Brooks	Chairman	
2 Andrea Dixon	Secretary	
3 Michael Keenan	Treasurer	
4 Michael Walton		
5 Phillip Bastow		Resigned 8th November 2021
6 Sue Nicholson		Resigned 17th October 2021

Name of person (or body) entitled to appoint trustee (if any)

7 Rod Flint

8 Jane Harrison

9 Jacquie Pennington

Resigned 8th January 2021

10 Barbara Colling

Elected 8th June 2021



Charity name

Other names charity is known by

Registered charity number (if any)

### Description of the charity's trusts

Type of governing document <small>(eg. trust deed, constitution)</small>	Constitution
How the charity is constituted <small>(eg. trust, association, company)</small>	CIO
Trustee selection methods <small>(eg. appointed by, elected by)</small>	Elected by ballot at the AGM, with interim options to co-opt, as per the Constitution

### Additional governance issues (Optional information)

You **may choose** to include additional information, where relevant, about:

- policies and procedures adopted for the induction and training of trustees;
- the charity's organisational structure and any wider network with which the charity works;
- relationship with any related parties;
- trustees' consideration of major risks and the system and procedures to manage them.

**Organisational Structure.** SWAAG is managed and controlled by the volunteer Trustees all of whom are elected from the membership. Specialist groups/committees reporting to the Trustees are formed as necessary

**Health and Safety:** SWAAG has Trustee-approved Health and Safety and Children and Young Peoples Safeguarding Policies in place. These are reviewed at least annually.

**Financial risks:** SWAAG has a Trustee-approved Financial Authority and Controls policy in place which is reviewed at least annually. Section E of this report provides a statement explaining the Trustees' reserves policy for SWAAG.

**Data Protection and Privacy** continue to be managed in accordance with GDPR recommendations.

**Summary of the objects of the charity set out in its governing document**

For the *public benefit* to advance the education of the public in general and more specifically the residents of, and visitors to, the County of North Yorkshire, particularly the area known as the Yorkshire Dales, in the subjects of archaeology, history, heritage, geology and the natural environment in order to promote a better understanding and management of the local heritage by, for example:

- Providing training and experience of a broad range of archaeological and historical investigative techniques which may be applied to the study of the local heritage;
- Undertaking research including local history, fieldwork and excavations into all aspects of the local heritage and publishing and making otherwise available the results of that research;
- Providing lectures, guided walks, community projects, activities with local schools and organisations and exhibitions.

The Covid-19 pandemic continued throughout the year. In order to protect the membership and volunteers, activities during 2021 were carefully managed in accordance with government regulations/recommendations.

The Trustees continued to hold virtual meetings to review the financial situation of SWAAG; to ensure that such decisions were taken as necessary to support the organisation through this difficult period and to consider prospects for activities in the light of the changing regulations.

A virtual AGM was held in June 2021.

During 2019 SWAAG secured from the Royal Archaeological Institute (RAI) an offer of a financial grant to support the planned 2020 Hagg exploration. However, due to the pandemic the exploration did not proceed. However, the RAI agreed to hold over the grant and a two week exploration was held in September 2021. In order to minimise the risks from Covid-19 the exploration was smaller than has been usual and involved limited numbers of members and volunteers. In parallel with the exploration outreach activities were held with the local Reeth/Gunnerside School and the Yorkshire Dales National Park's Young Archaeologists Club.

Towards the end of 2021 a limited walks programme was reinstated.

Throughout out 2021 a programme of monthly, virtual, meetings continued with talks given by SWAAG members and "outside" speakers. All of the presentations were free.

**Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)**

**Additional details of objectives and activities (Optional information)**

You may choose to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

Unrestricted Funds  
 Over the year the charity has generated a net surplus of £457,14 from turnover income of £2,277.88 compared to a net deficit of £1,838.89 in 2020 from turnover income of £111.82.  
 The comparative difference between the figures for 2020 and 2021 arises primarily because of the Covid-19 pandemic which caused the suspension of most of SWAAG's activities in 2020 resulting in significant reductions in the levels of both income and expenditure.  
 With regard to income, membership subscriptions were reintroduced for 2021 producing £1,775.00 and £382.50 gift aid. In addition we received income from donations, fund raising and book sales totalling £140.18. Expenditure totalling £1,820.55 was incurred. This included dig expenses of £373.57 plus the costs of insurance, bank charges, CBA membership, equipment, talks and website expenses.

**Summary of the main achievements of the charity during the year**

Restricted Funds  
 We received income totalling £2,892.10  
 The remainder income represents interest from a donated fund we hold for the establishment and support of an award for landscape archaeology which now stands at a total of £1,000.13 as at 31 December 2021.

Trustees maintain under review the commercial risks to which the charity is exposed - e.g. possible rental of storage space, work required on the web site; repairs to and replacement of equipment - as well as those "risks" that arise as a consequence of the research work that SWAAG undertakes - e.g. requirements for radio carbon dating, environmental sample analyses, finds conservation, archiving - and have reached the view that it would be prudent for SWAAG to strive to retain a level of reserves of some £5000. Cash over and above the reserves may be used to fund research projects. This policy is kept under review and formally reviewed at least annually

**Brief statement of the charity's policy on reserves**

None

**Details of any funds materially in deficit**

**Further financial review details (Optional information)**

The accounts for the financial year ending 31st December 2021 have been prepared on a Receipts and Payments basis. The accounts have been independently examined by Pamela Myers and her report accompanies this report. A summary of the charity's assets also accompanies this report. As recommended by the Charity Commission, the accounts have been segregated into Unrestricted and Restricted cash flows. These funds are considered separately below.

**Unrestricted Funds**

Over the year the charity has generated a net surplus of £457.14 from turnover income of £2,277.69 compared to a net deficit of £1,839.89 in 2020 from turnover income of £111.82. The comparative difference between the figures for 2020 and 2021 arises primarily because of the Covid-19 pandemic which caused the suspension of most of SWAAG's activities in 2020 resulting in significant reductions in the levels of both income and expenditure. With regard to income, membership subscriptions were reintroduced for 2021 producing £1,775.00 and £362.50 gift aid. In addition we received income from donations, fund raising and book sales totalling £140.19. Expenditure totalling £1,820.55 was incurred. This included dig expenses of £373.57 plus the costs of insurance, bank charges, CBA membership, equipment, talks and website expenses. There were no Year End Liabilities.

**Restricted Funds**

We received income totalling £2,592.10. This included the grant of £2,592.00 from RAI which was duly spent on professional archaeological support for our annual excavation at The Hagg. The remainder income represents interest from a donated fund we hold for the establishment and support of an award for landscape archaeology which now stands at a total of £1,000.13 as at 31 December 2021.

You may choose to include additional information, where relevant about

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

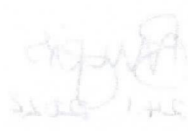
SWAAG wishes to thank the Royal Archaeological Institute for their understanding and generosity in holding over their offer of grant funding to the 2021 season; Mr Tony Liddell, Vindomora Solutions and his staff for their guidance and support for the Hagg exploration programme and the members and volunteers who generously gave their time and made the Hagg Exploration possible.

The trustees declare that they have approved the trustees' report above.

Signed on behalf of the charity's trustees

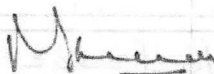
Signature(s)	A. K. Dixon PP David Brooks	
Full name(s)	David Brooks	Andrea Dixon
Position (eg Secretary, Chair, etc)	Chairman	Secretary

Date 10/02/2022

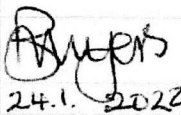


**SWALEDALE & ARKENGARHTDALE ARCHAEOLOGY GROUP**  
**ACCOUNTS FOR THE PERIOD 1st JANUARY 2021 TO 31st DECEMBER 2021**

	2021 Unrestricted	2021 Restricted	2021 Total	2020	Notes
	£	£	£	£	
<b>Income</b>					
Grant		2,592.00	2,592.00	0.00	
Membership Subscriptions	1,775.00		1,775.00	0.00	
Gift Aid	362.50		362.50	200.00	
Donations	100.00		100.00	800.00	
Book Sales	34.19		34.19	7.82	
Fund Raising	6.00		6.00	0.00	
Interest		0.10	0.10	0.03	
Talks	0.00		0.00	104.00	
<b>Total Income</b>	<b>2,277.69</b>	<b>2,592.10</b>	<b>4,869.79</b>	<b>1111.85</b>	
<b>Expenditure</b>					
Professional Archaeological Support		2,592.00	2,592.00	0.00	
Insurance	887.67		887.67	694.84	
Other Dig Expenses	373.57		373.57	0.00	
Talks	212.68		212.68	43.17	
Website	179.64		179.64	119.70	
Bank Charges	96.00		96.00	60.00	
CBA Membership	58.00		58.00	58.00	
Equipment	12.99		12.99	0.00	
Post Excavation Analysis	0.00		0.00	976.00	
<b>Total Expenditure</b>	<b>1,820.55</b>	<b>2,592.00</b>	<b>4,412.55</b>	<b>1951.71</b>	
<b>Excess Of Income Over Expenditure</b>	<b>457.14</b>	<b>0.10</b>	<b>457.24</b>	<b>-839.86</b>	
1st January 2021 Opening Balance (Bank+Cash)	6,560.96	1,000.03	7,560.99	8400.85	
<b>31st December 2021 Closing Balance (Bank &amp; Cash)</b>	<b>7018.10</b>	<b>1000.13</b>	<b>8,018.23</b>	<b>7560.99</b>	
<b>Liabilities at 31st December 2021</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Debtors at 31st December 2021</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
Signed:					
Michael Keenan, Treasurer					
Date					
Independently Examined By					
Pamela Myers					
Date					
Approved by the Trustees and signed on their behalf					
David Brooks, Chair					
Date					



12 January 2022

  
24.1.2022



**Section A**

**Independent Examiner's Report**

**Report to the trustees/  
members of**

SWALEDALE AND ARKENGARTHDAL ARCHAEOLOGY GROUP

**On accounts for the year  
ended**

31 December 2021

**Charity no  
(if any)**

1155775

**Set out on pages**

1 & 2

I report to the trustees on my examination of the accounts of the above charity ("the Trust") for the year ended 31/12/2020.

**Responsibilities and  
basis of report**

As the charity trustees of the Trust, you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ("the Act").

I report in respect of my examination of the Trust's accounts carried out under section 145 of the 2011 Act and in carrying out my examination, I have followed the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

**Independent  
examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention (~~other than that disclosed below~~\*) in connection with the examination which gives me cause to believe that in, any material respect:

- accounting records were not kept in accordance with section 130 of the Act or
- the accounts do not accord with the accounting records

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in order to enable a proper understanding of the accounts to be reached.

*\* Please delete the words in the brackets if they do not apply.*

**Signed:**

**Date:**

24.1.2022

**Name:**

Pamela Jeanne Myers

**Relevant professional  
qualification(s) or body  
(if any):**

**Address:**

53 Pike Purse Lane

Richmond

North Yorkshire DL10 4PS

**Section B**

**Disclosure**

Only complete if the examiner needs to highlight matters of concern (see CC32, Independent examination of charity accounts: directions and guidance for examiners).

Give here brief details of any items that the examiner wishes to disclose.

<p>Report to the trustees/ members of</p>	
<p>Charity no (if any)</p>	<p>31 December 2017</p>
<p>Set out on pages</p>	
<p>1 &amp; 2</p>	
<p>Responsibilities and basis of report</p>	
<p>As the charity trustees of the Trust, you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ("the Act").</p>	
<p>I report in respect of my examination of the Trust's accounts carried out under section 145 of the 2011 Act and in carrying out my examination, I have followed the applicable Directions given by the Charity Commission under section 145(b) of the Act.</p>	
<p>I have completed my examination. I confirm that no material matters have come to my attention (either then or subsequently) in connection with the examination which gives me cause to believe that in any material respect:</p>	
<ul style="list-style-type: none"> <li>the accounts do not accord with the accounting records</li> <li>accounting records were not kept in accordance with section 130 of the Act or</li> </ul>	
<p>I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in order to enable a proper understanding of the accounts to be reached.</p>	
<p>* Please delete the words in the brackets if they do not apply.</p>	
<p>Signed:</p>	<p><i>[Signature]</i></p>
<p>Name:</p>	<p>Pamela Jeanne Myers</p>
<p>Relevant professional qualification(s) or body (if any):</p>	<p></p>
<p>Address:</p>	<p>53 Pike Purse Lane Richmond</p>
<p>North Yorkshire DL10 4PS</p>	

**SWAAG ASSET REGISTER As At 1 January 2022**

Item No	Asset	Date Acquired	Number	Original Cost			Held By *
				Insured	Uninsured	Total	
				General	Over £2,000		
1	Digital projector Epson H433B s/n PTJK2900219 and screen	2012	1	£270.00			SN
2	Dumpy Level kit	2012	1			£82.00	PB
3	GPS Promark 120	2013	1		£4,434.00		MW
4	RGC sieve grader	2013	1			£840.00	MW
5	Bartington Gradiometer GRAD601-2 Dual Sensor	2013	1		£13,719.00		MW
6	Fujitsu Lifebook NS32 Serial No YLL011199 plus software, 1TB Seagate drive	2013	1	£1,596.00			MW
7	Geoscan Research RM85 Advanced Resistance Meter	2014	1		£11,128.00		MW
8	Tortuga Alabama Tent	2014	1	£955.00			DB
9	Miscellaneous small tools and equipment for digs	2014	n/a			£1,400.00	MW
10	Optical Square	2014	1			£87.00	MW
11	Display Boards	2014	3 sets			£170.00	MW
12	Scanscan SV600 Scanner	2015	1	£488.00			SN
13	HP 250 G4 laptop Serial No CND6250RPG and case, for use with scanner, 1TB Seagate drive	2015	1	£429.00			SN
14	Terrasurveyor ASO1F full license	2015	1			£2,844.00	MW
15	Panasonic Toughbook CF52 Mk5, serial number 5GTCA92185, 1 TB Seagate drive	2015	1		£2,274.00		MW
16	50m Fisco Ranger tape	2015	2			£83.45	MW
17	100m Fisco Pacer tape	2015	2			£155.95	MW
18	2 Cross-Sight Ranging Pole	2015	4			£145.92	MW
19	Olympus TG-4 Camera & Accessories	2016	1	£307.46			PB
20	Straight Edge Spades	2016	3			£47.85	MW
21	Laser Pointer	2017	1			£19.99	SN
22	Memory Sticks & Portable Hard Disk	2017	3			£84.97	PB
23	GPS Battery	2017	1			£90.00	MW
24	Additional Spades	2017	3			£47.85	MW
25	Wheelbarrows	2017	2			£75.00	MW, PB
25	Gas Stove	2017	1				RF
26	Toolport 4m x 4m Economy Marquee	2018	1	576.58			RC
27	Wheelbarrows	2019	2			£69.60	RC
28	Miscellaneous small tools and equipment for digs	2019	n/a			£204.06	MW
29	Tim Laurie Award Crook	2020	1				DB
<b>TOTAL COST</b>				<b>£4,622.04</b>	<b>£31,555.00</b>	<b>£6,447.64</b>	<b>£42,624.68</b>

**Notes**

- Held By\* indicates the person who usually stores the equipment and from whom it may be obtained:  
PB = Philip Bastow; DB = Dave Brooks; RC = Ric Carter; RF = Rod Flint; SN = Sue Nicholson; MW = Mike Walton
- This list is not exhaustive but records the material assets and those acquired most recently.
- Shaded items were included in 2021 insurance