

REGISTERED COMPANY NUMBER: 07444269 (England and Wales)
REGISTERED CHARITY NUMBER: 1147061

Report of the Trustees and
Unaudited Financial Statements for the Year Ended 31 July 2021
for
UK RESEARCH INTEGRITY OFFICE LTD

Plus Accounting
Chartered Accountants
Preston Park House
South Road
Brighton
East Sussex
BN1 6SB

UK RESEARCH INTEGRITY OFFICE LTD

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for the year ended 31 July 2021**

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UK RESEARCH INTEGRITY OFFICE LTD

**Reference and Administrative Details
for the year ended 31 July 2021**

TRUSTEES	Professor I L Boyd Chair Dr J M Dally Professor S Harper C M Taylor O Qureshi Professor T Brown (appointed 20.4.21) M Standing (appointed 20.4.21)
REGISTERED OFFICE	Floor 11 No. 1 Croydon 12 - 16 Addiscombe Road Croydon CR0 0XT
REGISTERED COMPANY NUMBER	07444269 (England and Wales)
REGISTERED CHARITY NUMBER	1147061
INDEPENDENT EXAMINER	Plus Accounting Chartered Accountants Preston Park House South Road Brighton East Sussex BN1 6SB

UK RESEARCH INTEGRITY OFFICE LTD

Report of the Trustees for the year ended 31 July 2021

The Trustees of the UK Research Integrity Office Limited (UKRIO) present their report for the year ended 31 July 2021.

The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019). Advantage has been taken of the exemptions contained therein for smaller charities which are not subject to a statutory audit.

CONSTITUTION

The organisation is a charitable company limited by guarantee, incorporated on 18 November 2010 and registered as a charity on 30 April 2012. The company was established under a Memorandum of Association which established the objects and powers of the charitable company and is governed under its Articles of Association.

METHOD OF APPOINTMENT OR ELECTION OF TRUSTEES

Trustees are elected at the Annual General Meeting for a term of three years, and between meetings may be co-opted by the Board until the next AGM. One third of the trustees must retire by rotation each year but may stand for re-election.

All new trustees have their duties and responsibilities fully explained to them and are given a copy of the Charity Commission's guidance, The Essential Trustee.

Trustees receive no remuneration other than reasonable expenses, the latter mainly for travel purposes when attending in-person meetings of the charity or representing UKRIO at meetings, events and external engagements.

OBJECTIVES AND ACTIVITIES

Policies and objectives

The objects of the charity are:

1. to promote for the public benefit good practice in the governance, management and conduct of medical, scientific and academic research, including the fields of medicine and healthcare, physical sciences, engineering, mathematics and computing, social sciences and social care, law, the arts and humanities, and related disciplines; and
2. to promote for the public benefit high ethical standards in the conduct of such research.

The aims of UKRIO are: to offer support to the public, researchers and organisations to further good practice in academic, scientific and medical research; to promote integrity and high ethical standards in such research; and to promote robust and fair methods to address poor practice and misconduct in such research. Our aims fully reflect the purposes that the charity was set up to further.

We pursue these aims across all disciplines of research, from the arts and humanities to the life sciences, and help all involved in research: researchers, research organisations and members of the public, including patients and research participants. UKRIO covers all research sectors: higher education, the NHS, private sector organisations and charities - wherever the research affects the public good.

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Report of the Trustees for the year ended 31 July 2021

OBJECTIVES AND ACTIVITIES

How our activities deliver public benefit

Our programme of work and who we help are described below. In accordance with our charitable purposes, all of our activities focus on promoting, supporting and safeguarding the integrity of academic, scientific and medical research, and the associated scholarly record, for the benefit of the public.

We address enquiries about research conduct and standards from members of the public, from academic institutions, public bodies such as NHS organisations, and charities. We cover research when the subject matter is a useful subject of study, the intent is that the knowledge acquired will be published and disseminated to others, and the research is conducted for the benefit of the public - i.e., in the public interest. While UKRIO does not deal with commercial matters relating to research, private sector bodies may use our services in relation to research which is conducted for the benefit of the public, particularly in collaborations with universities, the NHS or charities.

As a charity, our services are free of charge: UKRIO does not place barriers in the way of anyone with questions or concerns about the conduct of research. Our approach supports the UK's research community by improving the conduct of research and bringing concerns to the attention of appropriate organisations, such as employers, when they would otherwise remain unaware of them. Organisations involved in research can choose to subscribe to UKRIO and receive additional, long-term support and services but this is not a condition of receiving our help.

Integrity in research is essential: to safeguard high standards in research; to retain the public's trust; to enhance the UK's international reputation; and to ensure the safety and wellbeing of research participants. Since before UKRIO's inception, there has been growing scrutiny and discussion of the standards - regulatory or otherwise- for the conduct of research and whether these standards are being adhered to.

Breaches of these standards range from honest but avoidable errors ('sloppiness') and the inability to replicate research findings; through minor but deliberate transgressions ('questionable research practices'); to serious, intentional breaches of research practice, also known as research misconduct. UKRIO was set up to help safeguard and enhance integrity in research and to help address errors, questionable research practices and allegations of research misconduct.

Reflecting confidence in the services our charity provides, by 31 July 2021 105 research organisations were subscribing to UKRIO, including most of the Russell Group of universities. Both editions of The Concordat to Support Research Integrity, the UK's national policy statement on good research practice and a contractual condition of major research funders - were developed with the assistance of UKRIO and it recognises us as a key source of support for the research community.

The knowledge generated through research has become fundamentally important to society. It is used for making decisions that are crucial for public health, economic development and the general welfare of individuals and society. Significant public funds support research and researchers. Public trust in research and in researchers is based on the 'professional promise' that research will be honest, objective, accurate, legal, safe, ethical and efficient. To be worthy of the public's trust, research needs to fulfil that promise.

As well as this 'bigger picture', UKRIO always keeps in mind the need to support individual members of the public, whether from the research community or not, who have questions and concerns about the conduct of academic, scientific and medical research.

Who used and benefited from our services?

The users of UKRIO services are drawn from three groups: members of the public; individuals who conduct academic, scientific or medical research, or who work in related occupations or undertake studies in those fields as research students; and research organisations, including UKRIO subscribers and non-subscriber organisations.

Members of the public who used UKRIO's services included, but were not limited to, participants in research projects and patients. Enquiries from members of the public could often involve issues of a serious, sensitive or contentious nature, for example:

- When the enquirer, or a member of their family or a friend, was a participant in the research in question.
- When the research in question related to a medical condition of the enquirer, or that of a member of their family or a friend, or when the enquirer had some other personal interest in the research.

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Report of the Trustees for the year ended 31 July 2021

OBJECTIVES AND ACTIVITIES

- When the field of research was new, particularly specialised or the subject of considerable debate in the academic, scientific or medical communities.
- When the research in question dealt with emotive issues or had been the subject of public debate and concern.
- Allegations that persons involved in research were being harmed or were at risk of harm
- Improper use or storage of human tissue, materials or personal data.

Even when an enquiry involved relatively straightforward matters, members of the public could be nervous about seeking advice. We therefore took care to act with due sensitivity and courtesy at all times when responding to enquiries to our Advisory Service.

UKRIO also supported members of the public who work in academic, scientific or medical research. The researchers who used our services came from a wide variety of disciplinary backgrounds and career stages. They included research students, recently qualified professionals, those in charge of research teams, and senior managers or administrators. We also supported other members of the research community: for example, research governance officers and other specialists in research integrity; chairs and members of research ethics committees; human resources professionals; editors of academic journals; statisticians; and representatives of regulatory, funding or professional bodies.

We supported individuals who feared they would suffer detriment if they raised concerns about possible misconduct or breaches of accepted standards. This group included both individuals from the research community and members of the public.

Research organisations that used our services included higher education institutions, charities which conduct research, learned societies, professional bodies, NHS organisations, academic journals and bodies which award public or charitable funds to research. As noted above, UKRIO does not deal with purely commercial research but will respond to enquiries from private sector bodies in relation to research which is conducted for the benefit of the public.

As UKRIO does not have regulatory powers, it does not trespass on the remits of the statutory bodies which regulate certain types of research. However, we often work with them on issues of mutual interest.

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OBJECTIVES AND ACTIVITIES

Review of activities

Advisory service: UKRIO provides independent, expert and confidential advice on the conduct of research, whether promoting good practice or addressing alleged poor practice and misconduct. Our aim is to encourage researchers, their institutions and related bodies to think more deeply, across all disciplines, about wider organisational and ethical issues underpinning the practice of research.

The service is free of charge and open to members of the public and the research community, including students, researchers and representatives and representatives of research organisations. The service covers all subject areas and gives advice on general issues as well as specific questions, research projects and cases.

Users of the service are informed of UKRIO's role, the confidentiality that we offer, the support we can provide and the limits of our remit. UKRIO does not have any regulatory powers; it exists to advise and assist those who seek its help. We are not a centre for legal advice, nor do we have a case investigation, oversight or adjudication role. Our representatives can participate in investigations of cases at the request of an employer, regulator or other appropriate body or person.

In the period 1 August 2020 to 31 July 2021, UKRIO responded to 85 formal requests for its assistance, as well as to numerous informal approaches. We believe that the fall in requests for advice received compared to previous years is a reflection of the pandemic situation at that time. We believe that the level of requests will increase again in the future.

We gave advice on a wide variety of issues, drawing on the considerable collective expertise of UKRIO's staff and volunteers to suggest appropriate ways forward. The issues brought to our attention covered a broad spectrum of concerns. The five most common issues were:

1. individuals and organisations seeking proactive support to sustain and enhance good research practice;
2. questions relating to integrity and ethics in the dissemination/publication of academic, scientific and medical research;
3. questions of research ethics, including the proper treatment of members of the public involved in research as participants and patients;
4. advising organisations how to investigate allegations of research misconduct in a fair, thorough, timely and transparent manner; and
5. helping individuals raise concerns about research misconduct with the appropriate organisation(s).

The most common single discipline of research involved in requests to UKRIO was health and biomedicine. It is clear that failures to meet accepted standards in health and biomedical research, whether due to human error or otherwise, have clear implications for the ethical and safe treatment of research participants and patients, for the integrity of the research on which medical professionals and the public rely, and for public health.

Continuing a trend from previous years, enquiries involving the social sciences formed the second most common single discipline involved in enquiries. As with health and biomedicine, it is essential to safeguard the wellbeing, dignity and rights of participants in social science research. In addition, breaches of good practice in social science research can not only be detrimental to those participants but also to the wider public, as data from this type of research is often drawn on by policy makers, social care professionals, charities and others.

More frequent than discipline-specific enquiries were those involving multiple subject areas. These related to multi-disciplinary research projects or to activities designed to cover all disciplines of research within a particular institution. Examples of the latter category include institutional systems for research integrity, ethics and governance or policies for research integrity and related topics published by funders or other bodies.

Publications and guidance: In the period under review, UKRIO published two major publications and a variety of smaller resources.

The COVID-19 pandemic has had considerable effects on how research is designed, funded, conducted, managed, monitored and disseminated. Accordingly, in October 2020 UKRIO published Checklist for research communities during the COVID-19 pandemic. This practical guidance helps researchers and others anticipate issues that may affect the integrity of their research and consider in advance how those issues might be addressed, including but not limited to research on COVID-19 and related topics. The Checklist is a publicly-available document and can be found at:

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In February 2021, UKRIO published a new edition of our primer on research integrity issues relating to animal use in research. The revised primer is intended to provide research ethics committees, research staff, and those in research support, research integrity or governance roles with an overview of issues that need to be considered to facilitate a robust approach to good practice in the context of research involving animals: <https://ukrio.org/wp-content/uploads/UKRIO-Research-Integrity-A-primer-on-research-involving-animals-V2.0.pdf>

Following the publication in April 2020 of Research Ethics Support and Review in Research Organisations and the excellent feedback received subsequently, UKRIO published the supplementary guidance Researcher Checklist of Ethics Applications for Research with Human Beings, available at <https://ukrio.org/wp-content/uploads/Researcher-Checklist-of-Ethics-Applications-for-Research-with-Human-Beings.pdf>

During the period under review, we have expanded the resources section on the charity's website (<https://ukrio.org/research-integrity-resources/>) to link to a wide range of third party materials on key aspects of good research practice, providing a hub of useful information for the research community. Topics covered include: academic image integrity; authorship; the General Data Protection Regulation and research integrity; open access and doctoral theses; predatory journals and publishers; pre-prints vs pre-proof/pre-press; questionable research practices; and research culture.

We have continued to advise research organisations (both UKRIO subscribers and non-subscribers) on the adoption or use of our other publications, such as our Code of Practice for Research, which researchers use as a guide to the standards of good practice and ethics applicable, and which organisations adopt or otherwise use to embed good practice in their research; and our Procedure for the Investigation of Misconduct in Research, which organisations use when addressing concerns about research conducted under their auspices.

Since their publication, our Code and Procedure have been recommended by major research organisations such as UK Research and Innovation, the national body responsible for funding research, and adopted or otherwise used by more than 50 universities. They have also proved a valuable resource to individuals with queries or concerns about research practice. To that extent, the standards adopted by UKRIO represent a national consensus on best practice in research and also strongly reflect the international consensus.

We have also supported individuals who wished to use our guidance publications. For example, we have advised researchers on how to apply our guidance on authorship in academic publications or internet-mediated research to their work, the latter particularly relevant during the pandemic situation, since many in-person research methodologies are now being conducted via virtual means.

Education, training and development of systems: UKRIO recognised early on that the knowledge generated by our unique role in the UK research community was too valuable to be kept within our organisation. While the appeals for our help and our response must remain confidential, we share the issues and solutions identified in our advisory work through our other activities. In the period under review, we regularly worked with research organisations to deliver training on research integrity or to help them establish their own, in-house programmes. The aim has been to provide in-depth and long-term support to help improve standards of research practice, research governance and research ethics in these institutions.

Training was delivered at 14 research organisations during the period under review, all through virtual means. Topics included: introductory courses for research students and new staff about the responsible conduct of research; similar introductory sessions conducted as part of organisational conferences on research; raising awareness of the importance of a healthy research culture; and sessions focussing on particular aspects of good research practice, such as publication ethics and authorship or investigating allegations of research misconduct.

The charity has continued its specialist training for chairs and members of institutional research ethics committees (see <https://ukrio.org/our-work/education-and-training/> for details of course content). The training has seen considerable uptake, with 11 courses delivered August 2020-July 2021, and have generated very positive feedback. These courses have also been delivered through virtual means.

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OBJECTIVES AND ACTIVITIES

Virtual events programme: UKRIO has continued its extensive programme of free virtual events to support the research community. A key element of this was our ongoing series of regular webinars on research integrity and related issues, which led up to our virtual annual conference in May 2021. Meanwhile, our subscriber institutions were supported by a series of virtual roundtables on key topics.

Webinars: For two hours, speakers from UKRIO and invited experts explore topics of interest to the research community, with the webinars open to all and free to attend. Slides and recordings from webinars are made available on UKRIO's website as a resource for the research community and the public, with the recordings also available on UKRIO's YouTube channel:

<https://www.youtube.com/channel/UCmcttrB7LkjHhNQYvN8XQcQ/videos>

In the period under review, the charity held the following webinars:

- Research Ethics - 5 August 2020
- Consent - 9 September 2020
- Clinical Trials - 14 October 2020
- Data Sharing and Ethics - 11 November 2020
- Research Culture - 9 December 2020
- Good research practice from the publishers' perspective - 20 January 2021
- Research Involving Animals - 24 February 2021
- An Introduction to Research Integrity - 21 April 2021
- Recognising & Rewarding Responsible Research Practice - 21 July 2021

The webinars have consistently received excellent feedback, not only from UKRIO subscriber institutions but also from the wider research community. Their content, format and free and accessible nature have all been very well-received, with each webinar attracting several hundred attendees. Our April 2021 webinar, An Introduction to Research Integrity, saw over 600 sign-ups, our highest amount for a webinar to date.

Annual conference: UKRIO's eighth annual conference took place Wednesday 19 and Thursday 20 May 2021. Building on the successes of our monthly research integrity webinars, we took our 2021 conference entirely online and explored research integrity under the theme of 'good research practice in a changing world'. The conference was opened by Dame Ottoline Leyser, Chief Executive of UK Research and Innovation.

Over 1040 individuals registered to the conference from 60 countries around the world. 80% of registrations came from the UK. We also saw 37 registrations from Ireland, 21 from US, 13 from China, 10 from Australia. An additional 60 registrations came from Europe including France, Germany and Greece. Post-event analysis explored where attendees heard about the event: over 300 registrations were generated by UKRIO mailings, a further 400 registrations came in via 'word of mouth' - mostly via circulated UKRIO mailings - with the remainder via social media such as Twitter or LinkedIn, or via Eventbrite's search engine.

In total we saw 685 individuals join the conference live, with the majority of those attendees attending on both days. Feedback shows that the event proved to be very popular amongst attendees and speakers. The general theme worked well and allowed for the team to explore a number of topics under the research integrity umbrella, and allowed us to work with a diverse group of speakers. The event schedule was carefully thought through from start to end to ensure similar topics were clustered together, and timings considered with precision ensured the audience could easily engage with key elements throughout both days.

Slides and recordings from the event can be viewed at <https://ukrio.org/events/annual-conference/2021-annual-conference/>

Virtual roundtables: in the period under review, UKRIO began its programme of virtual roundtables exploring key issues in research integrity. Recognising the need to inform and support conversations and initiatives about good research practice, our virtual roundtables are facilitated, wide-ranging discussions between peers under the Chatham House Rule. These invitation-only events for representatives of UKRIO subscriber institutions allow research integrity professionals and researchers to discuss the issues that matter to them, seek advice from UKRIO and develop good working relationships with their peers in other organisations.

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Roundtables were held in December 2020 and in March, April and July 2021. The number of attendees for each event was kept to a maximum of 20, to ensure that each attendee had the opportunity to contribute significantly to discussions. A common theme of each roundtable was the topic "Research integrity: life under lockdown", with each attendee being able to: discuss challenges of supporting good research practice at their institution; share successes and significant developments, to inform the work of their peers; and get views and support from UKRIO and other attendees on any issues that they and/or colleagues were facing at their organisation.

Our charity received extremely positive feedback on this new type of event and we will be continuing them in the future. The discussions at our virtual roundtables not only enrich and benefit those who attended, but also inform the wider work of UKRIO.

Policy work and outreach: UKRIO continues its extensive contribution to national discussions on research integrity and the development of initiatives to support and sustain good research practice. We use our unique experience, expertise and data to inform these processes, to help ensure the promotion of high-quality research and the protection of research participants and patients.

During the period under review, the House of Commons Science and Technology Committee announced an inquiry into reproducibility and research integrity. This inquiry follows previous ones by the Committee and UKRIO will submit evidence to inform its work and subsequent national conversations and policy on research integrity.

UKRIO continues to collaborate with the Concordat Signatories Group to support The Concordat to Support Research Integrity and our Chief Executive continues in his Observer role on the 'Group.

As part of its work, the Signatories Group hold a national research integrity forum each year. In 2021, UKRIO hosted the forum as part of our annual conference in May 2021, supporting this important initiative for the benefit of the wider research community.

UKRIO continues to fund the UK Reproducibility Network, a peer-led group to improve the reliability and robustness of research (see <https://www.ukrn.org/> for further information on its role and remit). UKRIO is supporting UKRN for its first three years, as part of our aim to support and enhance the quality of UK research.

During the period under review, UKRIO joined the Animal Materials Working Group (AMWG). The acquisition and use of animal materials is a wide-reaching subject area posing a number of legal, ethical and practical challenges. The Animal Materials Working Group (AMWG) has been established to address the challenges that are currently faced in carrying out legal and ethical review of the sourcing of this type of material, to work to set standards and guidelines to facilitate these activities, and enable a consistent, transparent and collaborative approach in meeting these challenges.

This initiative is being led by the Wellcome Sanger Institute. NC3Rs, the Natural History Museum, ARMA, UKRI BBSRC and several of UKRIO's subscribing institutions are members of this group. UKRIO was involved in collating resource materials and disseminated the final published guidance document via the UKRIO website. This work supplements the charity's existing work on the use of animals in research and will support not only STEM subjects but also arts and humanities research where animals and animal-derived materials are used.

UKRIO contributes to the national and international promotion of integrity in research. This involves discussion of our work at conferences and other events, giving lectures and case study workshops, as well as articles in academic journals and in the press. As part of our ongoing dissemination programme, representatives of UKRIO have spoken at a variety of events in the UK during the period under review.

International: As misconduct in research does not respect international boundaries, our charity participates in discussions and initiatives to support good governance of research led by international organisations. UKRIO has continued its membership of the European Network of Research Integrity Offices (ENRIO), sharing lessons learned from our work in the UK and drawing on the considerable expertise of ENRIO's member organisations in sustaining research integrity and addressing poor practice.

We have also shared good practice in research integrity from other countries, by showcasing speakers from outside the UK at our various events. For example, the African Research Integrity Network and the European Commission-funded VIRT2UE Train-the-Trainer Programme both held sessions at our 2021 annual conference.

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While our focus will always remain on the UK, UKRIO has continued its associate membership scheme for non-UK research organisations that wish to engage our support.

FINANCIAL REVIEW

Financial Review

In the period 1 August 2020 - 31 July 2021, UKRIO continued its work to attract and register new subscriber institutions, its principal source of income despite the difficult financial conditions created by the Covid pandemic. By the end of July 2021, 105 research organisations were subscribers to UKRIO, a small increase of 1 since the end of July 2020. The majority of 'research intensive' universities in the UK, those with the highest proportion of research activity and research income, now subscribe to UKRIO.

During the 12-month period to 31 July 2021 UKRIO recorded £247,079 of incoming resources, £245,626 of which was subscriptions income. During this period there was resources expended of £264,759 which results in a deficit of £17,680 for the period. With an opening funds balance of £406,239 at 1 August 2020 the closing funds balance is £388,559 at the balance sheet date. This performance is as was expected for the period.

The charity's financial position, such as the closing funds balance, is partly associated with projected additional expenditure in the next financial year to facilitate improved services, including on staff, who were recruited towards the end of the recruiting year, and on an online training course being developed for UKRIO subscriber institutions. Funds accrued by the charity will be expended in pursuit of its charitable objectives, save for a small strategic reserve (see 'Reserves Policy', below).

As noted earlier, in order to support the increase in demand for the charity's services and to further develop UKIO's work programme, including resources offered to subscribers, the number of staff were increased at the end of the period from 2 to 4.5 (FTE) members of staff, with a significant increase in salary costs (from £85k to £145k) being reflected in the financial year to July 2021.

During the 12-month period to 31 July 2021 UKRIO also embarked on a number of initiatives to enhance the service and benefits provided to subscribers, the most notable of which was the development of an online training course with a budgeted cost of £157k which will be reflected in the financial accounts over the two years to July 2022.

Investment policy and objectives

Aside from retaining a prudent amount in reserves each year most of the charity's funds are to be spent in the short term. Accordingly, at present there are no funds for long term investment. When circumstances change, UKRIO will develop and implement an appropriate and ethical investment policy.

Reserves policy

It has been agreed it is appropriate for UKRIO to maintain strategic reserves sufficient to support unavoidable operating costs of UKRIO (primarily staff salaries and office accommodation) for a period of between 3 and 6 months. The strategic reserve must consist of unrestricted funds.

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FUTURE DEVELOPMENTS

UKRIO will continue its existing programme of work to support the public, the research community and our subscribers. We will explore and, where appropriate, develop and introduce new services for members of the public, researchers, subscribers and others. Amongst those planned for the next 12 months are:

- Ensure ongoing growth and improvement of the organisation in support of its charitable objectives, identifying resource requirements and efficiencies to help better achieve its goals.
 - Engage external experts to undertake a review of the charity's strategy for the next five years, drawing on the perspectives of the Trustees, the Advisory Council, staff, volunteers, and, via surveys, interviews and other means, the research community.
 - Continue to adjust the work programme to reflect the changing priorities of the charity's service users since the onset of the pandemic and associated infection control measures, to ensure that services remain relevant to their needs.
 - Share best practice within the research community by facilitating discussions about key issues, informing national and international initiatives, and working to improve research culture.
 - Assist the UK research community in implementing the new requirements of the revised The Concordat to Support Research Integrity, to enhance the integrity of UK research and help maintain public trust in research.
 - Continue the development of our training materials and training programme for researchers and research organisations, including our new programme of training for research ethics committees. Develop an online training course for research integrity in partnership with a UK research organisation.
 - Maintaining good relationships between UKRIO subscribers and the charity, while also raising awareness of the functions and objectives of UKRIO with a wider audience.
 - Strengthening relationships with related organisations such as UK Research and Innovation, the Concordat Signatories Group, the Association of Research Managers and Administrators, the European Network of Research Integrity Organisations, and developing links with other key stakeholders.
- Ensure continuity of service provision while complying with health advice from Public Health England (PHE)
- and the Government and safeguarding the wellbeing of staff, volunteers and service users.

STRUCTURE, GOVERNANCE AND MANAGEMENT

Governing document

The charity is controlled by its governing document, a deed of trust, and constitutes a limited company, limited by guarantee, as defined by the Companies Act 2006.

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STRUCTURE, GOVERNANCE AND MANAGEMENT

Organisational structure and decision making

UKRIO consists of four elements:

- **The Board of Trustees** directs and oversees the strategy and programme of work of UKRIO. It also has responsibility for matters of organisational administration and the management of the Office staff. The trustees are also directors of the limited company.
- **The Advisory Council** consists of the Trustees of UKRIO and other members selected for their expertise in research integrity and related issues, as well as independent and lay members. Its function is to advise on the strategy and programme of work of UKRIO.

Formal decisions are taken by the Board of Trustees, since the Advisory Council has no formal authority over the charity, but are made after advice from the Advisory Council and reported to them.

The Advisory Council includes Members from the research community as well as independent and lay members. Collectively the Advisory Council possesses significant expertise in the promotion of research integrity and in addressing poor practice and misconduct. Advisory Council Members hold no legal responsibility for the organisation: they are neither trustees of the charity nor directors of the limited company.

- **The Register of Advisers** provides an expert resource of volunteers which UKRIO draws upon when responding to enquiries on issues of research conduct. Advisers may be researchers, from any academic discipline, or be active in related occupations. Recognising their broad experience in research matters, Advisers also give feedback on the wider work of UKRIO on request and are given the opportunity to contribute to other elements of the programme of work. Advisers hold no legal responsibility for the organisation.
- **The Office team** is employed by the charity to provide administrative, policy, research and technical support to the Trustees and to carry out UKRIO's programme of work of the project under their direction.

The Trustees, Members of the Advisory Council and members of the Register of Advisers are not employees of UKRIO and give their time to the organisation pro bono. Appropriate insurances have been procured to cover Trustees, members of the Advisory Council and members of the Register of Advisers acting on behalf of UKRIO.

Staffing: During the period under review, the staff complement of the charity was as follows: 1 x Chief Executive; 1 x Communications and Information Officer (part-time); 1 x Events Officer (part-time) who left during the period; 1 x Operations Manager (part-time), 2 x Project Officer (part-time), one of whom left during the period under review and 1 x Senior Project Officer (part-time).

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STRUCTURE, GOVERNANCE AND MANAGEMENT

Risk management

The Trustees of UKRIO have a responsibility for the management of risks. A review of the major risks to the work of UKRIO has been undertaken through which the risks have been identified, reviewed and processes put in place to mitigate and manage the risks. The Trustees acknowledge that risk management is not a one-off event but is an integral part of the operation of the charity. All Trustees, staff and volunteers have a role to play in the process of identifying and managing risks.

The principal risks to UKRIO and its programme of work, and their mitigation, are as follows:

- **Litigation arising from advice, guidance or recommendations provided by UKRIO regarding the conduct of research:** full insurance cover for professional indemnity is provided at UKRIO's expense for the staff of UKRIO and for volunteers (including the trustees, members of the Advisory Council and members of the Register of Advisers) acting on behalf of UKRIO.

The risk is graded as low-medium given that UKRIO:

- Does not have a role in investigating alleged research misconduct, overseeing such investigations or otherwise adjudicating on allegations of misconduct.
- Does not impose sanctions on those against whom such allegations are upheld or other who might be regarded as having breached its recommended guidance.
- Does not act as an advocate for those seeking its advice or act as a mediator
- Is not a centre for legal advice.

The role and remit of UKRIO is explained to anyone seeking advice from the charity; for example, via emails acknowledging requests for assistance and the enquiry submission form on UKRIO's website (<http://www.ukrio.org/get-advice-from-ukrio/>). Service users are also provided with information on UKRIO's approach to personal data and other confidential information.

- **Reputational risk arising from:**
 - **person(s) or organisation(s) being dissatisfied with advice, guidance or recommendations provided by UKRIO regarding the conduct of research;**

The risk is graded as medium; however, UKRIO takes ongoing action to mitigate against it, for example:

- Ensuring that advice, guidance and recommendations from UKRIO are of a high quality and informed by multiple perspectives.
- UKRIO does not have a role in investigating alleged research misconduct, overseeing such investigations or otherwise adjudicating on allegations of misconduct.
- UKRIO does not impose sanctions on those against whom such allegations are upheld or other who might be regarded as having breached its recommended guidance.
- UKRIO does not act as an advocate for those seeking its advice or act as a mediator.
- UKRIO is not a centre for legal advice.
- The role and remit of UKRIO is explained to anyone seeking advice from the charity; for example, via emails acknowledging requests for assistance and the enquiry submission form on UKRIO's website (<http://www.ukrio.org/get-advice-from-ukrio/>).

**Report of the Trustees
for the year ended 31 July 2021**

STRUCTURE, GOVERNANCE AND MANAGEMENT

- UKRIO reserves the right to disclose details of its advice and correspondence if that advice/ correspondence is later misrepresented by the person(s) or organisation(s) who received it. This is explained to anyone seeking advice from the charity; for example, via emails acknowledging requests for assistance and the enquiry submission form on UKRIO's website (<http://www.ukrio.org/get-advice-from-ukrio/>).

- Negative publicity concerning UKRIO staff or volunteers, or concerning comments made by them;

The risk is graded as medium; however, UKRIO takes ongoing action to mitigate against it, for example:

- UKRIO's staff and volunteers (including the Trustees, members of the Advisory Council and members of the Register of Advisers) are expected to carry out any activities on behalf of the charity in a professional manner and with integrity. This is set out in the various codes of conduct which apply to these persons, e.g. employment contracts, the Code of Conduct for members of the Register of Advisers (<http://ukrio.org/wp-content/uploads/UKRIO-Code-of-Conduct-for-UKRIO-Advisers-revised-May-2017.pdf>) and the charity's Protocol for Responding to Requests for Assistance (<https://ukrio.org/wp-content/uploads/UKRIO-Protocol-for-responding-to-requests-for-assistance-revised-May-2017.pdf>). It includes declaring actual or perceived competing interests, with procedures for addressing actual or perceived competing interests set out in the charity's internal protocols (e.g., Code of Conduct for members of the Register of Advisers and the Protocol for Responding to Requests for Assistance).
- All public statements made by UKRIO, including responses to media enquiries etc., are issued from the charity's office rather than on an ad hoc basis by individual members of staff or volunteers.
- UKRIO applies the following confidentiality provisions to those seeking its advice. This is explained to anyone seeking advice from the charity; for example, via emails acknowledging requests for assistance and the enquiry submission form on UKRIO's website (<http://www.ukrio.org/get-advice-from-ukrio/>).
"UKRIO will keep a confidential record of any requests for assistance and all subsequent discussions. No other parties will be informed without your consent, unless clear evidence of criminal activity has been presented, there is an immediate need to involve others to prevent further risk or harm to people, animals or the environment, or we are otherwise under a legal obligation to do so. We also reserve the right to disclose details of our advice and correspondence if that advice is later misrepresented by you."
- The charity's Privacy Policy can be viewed at <http://ukrio.org/privacy-and-cookies/> (with a PDF version also available) and its Confidentiality Policy at <http://ukrio.org/confidentiality-policy/> (PDF version also available).

- Wider criticism regarding research integrity in the UK, the UK research community or research integrity on a global scale:

The risk is graded as medium; however, UKRIO takes ongoing action to mitigate against it, for example:

- UKRIO has publicly stated, since its inception, that it does not believe there is any room for complacency or a 'job done' attitude regarding the integrity and ethical standards of research, whether in the UK or internationally. This is inherent in our vision and values, our programme of work, and our many public statements on research integrity. Accordingly, the charity is well-placed to respond to wider criticism regarding research integrity in the UK, the UK research community or research integrity on a global scale.
- **Loss of subscription income:** UKRIO regularly reviews subscription income to ensure it is providing value for subscribers, that subscriptions are in line with expectations of research organisations and that any changes ensure broadly the same income year on year. This policy is carried out by the Chief Executive working with the Chair and the other Trustees.

UK RESEARCH INTEGRITY OFFICE LTD

Report of the Trustees for the year ended 31 July 2021

STRUCTURE, GOVERNANCE AND MANAGEMENT

- **Loss of data:** data relating to UKRIO's business and financial affairs, and to its programme of work are retained at the UKRIO office in the form of hard copy documents and computer files, and on a secure cloud data storage system. The UKRIO office is lockable, has an alarm system, and is located in an office building (No. 1 Croydon) with good security (lockable, alarm systems, access via key card, staffed reception desk during business hours and security patrols outside of business hours). Human resources and financial records are held off-site in the Sussex Innovation Centre (SINC) with good security (lockable, alarm systems, access via key card, staffed reception desk during business hours and external security patrols outside of business hours).

Hard copy documents are kept in locked cabinets.

Computer files: all UKRIO-owned desktop computers, laptop computers and portable memory devices are encrypted and require a password to decrypt the contents. UKRIO-owned computers are further password-protected, with each user given a unique password. Users are allowed access to folders and files which are relevant to their work and are given 'administrator' rights only when required by their duties. Files which contain information deemed to be particularly sensitive are password-protected; those relating to UKRIO's Advisory Service are anonymised wherever possible.

Cloud storage systems are provided by reputable providers, meeting industry standards for security, encryption and backing up of data. Access to the cloud storage is password-protected, with each user given a unique password. Backed-up data is held securely off-site and in encrypted form.

Human resources, payroll and accounts payable information: these functions are carried out on behalf of UKRIO by staff of SINC. Information relating to these functions is held in the SINC office in the form of hard copy documents and computer files, and to a similar standard as above.

Accordingly, the risk of loss of irrecoverable data is regarded as low-to-medium.

- **Health and safety:** UKRIO has established policies and processes to ensure sufficient control of the health and safety risks arising from the charity's work. UKRIO is a small, office-based organisation. Staff activities relate to office work and do not include manual work, handling of hazardous substances or operation of plant/equipment. Health and safety policies and processes are reviewed periodically and appropriate instruction given to staff and any others who may work in the office. Accordingly, risk relating to health and safety is regarded as low.
- **Data protection:** UKRIO offers confidential advice on potentially contentious issues. Accordingly, it has a clear policy on data protection and confidentiality, and it reviews its record-keeping processes regularly. UKRIO explains to service users through a variety of means - such as the contact forms on our website - how it handles personal data and other confidential information. Service users are also provided with information on our role and remit. UKRIO revised its data protection processes and policies in light of the introduction of the General Data Protection Regulation in May 2018. The charity's Privacy Policy can be viewed at <http://ukrio.org/privacy-and-cookies/> (with a PDF version also available) and its Confidentiality Policy at <http://ukrio.org/confidentiality-policy/> (PDF version also available). UKRIO is registered with the Information Commissioner's Office in accordance with the Data Protection Act and complies with the requirements of GDPR.
- **Physical assets:** UKRIO has no significant physical assets.
- **Funding policy:** UKRIO developed a funding policy, available on our website at <https://ukrio.org/about-us/our-funding/>, to ensure that external funding cannot divert UKRIO from its agreed aims and values. Donations to UKRIO do not entitle any individual or organisation to decision-making authority. No individual funding source should be of a magnitude that would allow the donor to exercise control or compromise in any significant way the independence of UKRIO.

Accordingly, UKRIO's funders do not determine who we help or how we help them. UKRIO does not share confidential information on its work - for example, who has sought our advice, what their concerns were or how we assisted them - with the organisations that support us.

UK RESEARCH INTEGRITY OFFICE LTD

Report of the Trustees for the year ended 31 July 2021

STRUCTURE, GOVERNANCE AND MANAGEMENT

Given that whether organisations should accept funding from the pharmaceutical industry has been the subject of public debate, UKRIO also published a statement setting out its position on this matter:

<https://ukrio.org/about-us/our-funding/pharmaceutical-funding/>

RESPONSE TO CORONAVIRUS/ COVID-19

The COVID-19 pandemic is an extremely challenging situation, posing significant risks to human health and wellbeing, and causing considerable disruption to society.

During the period under review, UKRIO followed advice from the UK Government, Public Health England and related bodies when responding to the pandemic and related issues. At all times, the charity was mindful of the health and wellbeing of our staff, volunteers and service users; as well as the legal and ethical responsibilities of the charity.

Oversight/ decision-making: key strategic decisions were made by Trustees in consultation with the Chief Executive, while key operational decisions were made by the Chief Executive in consultation with the Chair on behalf of the Trustees. Other operational decisions were made by the Chief Executive, with the Trustees given regular updates and opportunities to review such decisions.

The Chair and Chief Executive discussed the implications of coronavirus/COVID19 on UKRIO on a regular basis, with regular updates to the Trustees. The Chief Executive updated staff on the impact of coronavirus/COVID19 on UKRIO on a regular basis, with a strong focus on staff health/ wellbeing and an 'open door' policy allowing staff to raise any problems or concerns either openly or privately, as they prefer.

Premises/ hybrid working: UKRIO's premises were closed from March to September 2020. In September 2020, in line with public health advice, the premises were reopened and appropriate hygiene and social distancing measures put in place. Staff were then given the option to undertake a hybrid model of home- and office-based working if they desired. During subsequent periods where working from home was recommended or mandated by public health advice/ the Government, UKRIO's premises were closed and all staff worked from home. UKRIO volunteers do not normally work from the charity's premises; however, the premises have also been closed to them. UKRIO's landlords (Sussex Innovation Croydon) and the building's owners (No.1 Croydon) maintained security and essential maintenance/ facilities support during all periods of our premises being closed.

Finances: the charity's payroll, accounts payable and related functions were carried out by the Accounts team of the Sussex Innovation Centre. The Accounts team had full access to all necessary software, records, etc.

Work programme: UKRIO has operated its business effectively and adapted its activities in response to the pandemic situation and the changing needs of service users (see below for further details).

Conference and events: all UKRIO events were conducted virtually, including the 2021 annual conference, and the charity continued its series of free, monthly research integrity webinars (see below for further details).

Communications: regular updates have been given via UKRIO's website, social media, emails to subscriber institutions and other service users, and to partner organisations.

Approved by order of the board of trustees on **31 March 2022** and signed on its behalf by:



.....
Professor I L Boyd - Trustee

**Independent Examiner's Report to the Trustees of
UK Research Integrity Office Ltd**

Independent examiner's report to the trustees of UK Research Integrity Office Ltd ('the Company')

I report to the charity trustees on my examination of the accounts of the Company for the year ended 31 July 2021.

Responsibilities and basis of report

As the charity's trustees of the Company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the Directions given by the Charity Commission under section 145(5) (b) of the 2011 Act.

Independent examiner's statement

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the Company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a true and fair view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities (applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102)).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.



Mr C Morey
FCA
Plus Accounting
Chartered Accountants
Preston Park House
South Road
Brighton
East Sussex
BN1 6SB

Date: 12 April 2022

UK RESEARCH INTEGRITY OFFICE LTD

**Statement of Financial Activities
for the year ended 31 July 2021**

	Notes	2021 Unrestricted fund £	2020 Total funds £
INCOME AND ENDOWMENTS FROM			
Charitable activities			
Charitable activities		245,626	241,207
Other trading activities	2	1,451	28,593
Investment income	3	<u>2</u>	<u>5</u>
Total		247,079	269,805
 EXPENDITURE ON			
Charitable activities			
Charitable activities		232,066	134,917
Other		<u>32,693</u>	<u>-</u>
Total		264,759	134,917
 NET INCOME/(EXPENDITURE)		(17,680)	134,888
 RECONCILIATION OF FUNDS			
Total funds brought forward		406,239	271,351
 TOTAL FUNDS CARRIED FORWARD		<u>388,559</u>	<u>406,239</u>

The notes form part of these financial statements

UK RESEARCH INTEGRITY OFFICE LTD

**Balance Sheet
31 July 2021**

	Notes	2021 Unrestricted fund £	2020 Total funds £
FIXED ASSETS			
Tangible assets	8	3,466	5,445
CURRENT ASSETS			
Debtors	9	15,782	72,420
Cash at bank		<u>395,921</u>	<u>340,329</u>
		411,703	412,749
CREDITORS			
Amounts falling due within one year	10	(26,610)	(11,955)
NET CURRENT ASSETS		<u>385,093</u>	<u>400,794</u>
TOTAL ASSETS LESS CURRENT LIABILITIES		<u>388,559</u>	<u>406,239</u>
NET ASSETS		<u><u>388,559</u></u>	<u><u>406,239</u></u>
FUNDS	11		
Unrestricted funds		<u>388,559</u>	<u>406,239</u>
TOTAL FUNDS		<u><u>388,559</u></u>	<u><u>406,239</u></u>

The charitable company is entitled to exemption from audit under Section 477 of the Companies Act 2006 for the year ended 31 July 2021.

The members have not required the company to obtain an audit of its financial statements for the year ended 31 July 2021 in accordance with Section 476 of the Companies Act 2006.

The trustees acknowledge their responsibilities for

- (a) ensuring that the charitable company keeps accounting records that comply with Sections 386 and 387 of the Companies Act 2006 and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the charitable company as at the end of each financial year and of its surplus or deficit for each financial year in accordance with the requirements of Sections 394 and 395 and which otherwise comply with the requirements of the Companies Act 2006 relating to financial statements, so far as applicable to the charitable company.

These financial statements have been prepared in accordance with the provisions applicable to charitable companies subject to the small companies regime.

The financial statements were approved by the Board of Trustees and authorised for issue on **31 March 2022** and were signed on its behalf by:



.....
I L Boyd - Trustee

The notes form part of these financial statements

UK RESEARCH INTEGRITY OFFICE LTD

Notes to the Financial Statements for the year ended 31 July 2021

1. ACCOUNTING POLICIES

Basis of preparing the financial statements

The financial statements have been prepared under the historical cost convention and in accordance with the provisions applicable to small companies subject to the small companies regime and in accordance with FRS102 SORP.

Company status

The charity is a company limited by guarantee. The members of the company are the Trustees named on page 1. In the event of the charity being wound up, the liability in respect of the guarantee is limited to £1 per member of the charity.

Income

All incoming resources are included in the Statement of financial activities when the charity has entitlement to the funds, certainty of receipt and the amount can be measured with sufficient reliability.

Income tax recoverable in relation to investment income is recognised at the time the investment income is receivable.

Expenditure

All expenditure is accounted for on an accruals basis and has been included under expense categories that aggregate all costs for allocation to activities. Where costs cannot be directly attributed to particular activities they have been allocated on a basis consistent with the use of the resources.

Tangible fixed assets

Tangible fixed assets are stated at cost less depreciation. Depreciation is provided at rates calculated to write off the cost of fixed assets, less their estimated residual value, over their expected useful lives on the following bases:

Office equipment - 3 years straight line

Fund accounting

General funds are unrestricted funds which are available for use at the discretion of the Trustees in furtherance of the general objectives of the charity and which have not been designated for other purposes.

Investment income, gains and losses are allocated to the appropriate fund.

2. OTHER TRADING ACTIVITIES

	2021	2020
	£	£
Consultancy	-	13,411
Training and development workshops	1,451	1,199
Grants	-	13,983
	<u>1,451</u>	<u>28,593</u>

UK RESEARCH INTEGRITY OFFICE LTD

**Notes to the Financial Statements - continued
for the year ended 31 July 2021**

3. INVESTMENT INCOME

	2021	2020
	£	£
Deposit account interest	<u><u>2</u></u>	<u><u>5</u></u>

4. NET INCOME/(EXPENDITURE)

Net income/(expenditure) is stated after charging/(crediting):

	2021	2020
	£	£
Depreciation - owned assets	<u><u>1,979</u></u>	<u><u>495</u></u>

5. TRUSTEES' REMUNERATION AND BENEFITS

There were no trustees' remuneration or other benefits for the year ended 31 July 2021 nor for the year ended 31 July 2020.

Trustees' expenses

There were no trustees' expenses paid for the year ended 31 July 2021 nor for the year ended 31 July 2020.

6. STAFF COSTS

The average monthly number of employees during the year was as follows:

	2021	2020
	6	3
Operations	<u><u>6</u></u>	<u><u>3</u></u>

No employees received emoluments in excess of £60,000.

7. COMPARATIVES FOR THE STATEMENT OF FINANCIAL ACTIVITIES

	Unrestricted fund £
INCOME AND ENDOWMENTS FROM	
Charitable activities	
Charitable activities	241,207
Other trading activities	28,593
Investment income	<u><u>5</u></u>
Total	269,805
 EXPENDITURE ON	
Charitable activities	
Charitable activities	<u><u>134,917</u></u>
 NET INCOME	134,888

UK RESEARCH INTEGRITY OFFICE LTD

**Notes to the Financial Statements - continued
for the year ended 31 July 2021**

7. COMPARATIVES FOR THE STATEMENT OF FINANCIAL ACTIVITIES - continued

Unrestricted
fund
£

RECONCILIATION OF FUNDS

Total funds brought forward 271,351

TOTAL FUNDS CARRIED FORWARD 406,239

8. TANGIBLE FIXED ASSETS

Office
equipment
£

COST

At 1 August 2020 and 31 July 2021 8,367

DEPRECIATION

At 1 August 2020 2,922

Charge for year 1,979

At 31 July 2021 4,901

NET BOOK VALUE

At 31 July 2021 3,466

At 31 July 2020 5,445

9. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2021	2020
	£	£
Trade debtors	-	57,727
Prepayments and accrued income	<u>15,782</u>	<u>14,693</u>
	<u>15,782</u>	<u>72,420</u>

UK RESEARCH INTEGRITY OFFICE LTD

**Notes to the Financial Statements - continued
for the year ended 31 July 2021**

10. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2021	2020
	£	£
Trade creditors	6,650	3,104
Social security and other taxes	3,830	1,567
Other creditors	124	644
Pension creditor	16	-
Accruals and deferred income	14,250	5,200
Accrued expenses	<u>1,740</u>	<u>1,440</u>
	<u>26,610</u>	<u>11,955</u>

11. MOVEMENT IN FUNDS

	At 1.8.20	Net movement in funds	At
	£	£	31.7.21 £
Unrestricted funds			
General fund	406,239	(17,680)	388,559
	<hr/>	<hr/>	<hr/>
TOTAL FUNDS	<u>406,239</u>	<u>(17,680)</u>	<u>388,559</u>

Net movement in funds, included in the above are as follows:

	Incoming resources	Resources expended	Movement in funds
	£	£	£
Unrestricted funds			
General fund	247,079	(264,759)	(17,680)
	<hr/>	<hr/>	<hr/>
TOTAL FUNDS	<u>247,079</u>	<u>(264,759)</u>	<u>(17,680)</u>

Comparatives for movement in funds

	At 1.8.19	Net movement in funds	At
	£	£	31.7.20 £
Unrestricted funds			
General fund	271,351	134,888	406,239
	<hr/>	<hr/>	<hr/>
TOTAL FUNDS	<u>271,351</u>	<u>134,888</u>	<u>406,239</u>

UK RESEARCH INTEGRITY OFFICE LTD

**Notes to the Financial Statements - continued
for the year ended 31 July 2021**

11. MOVEMENT IN FUNDS - continued

Comparative net movement in funds, included in the above are as follows:

	Incoming resources £	Resources expended £	Movement in funds £
Unrestricted funds			
General fund	269,805	(134,917)	134,888
	<hr/>	<hr/>	<hr/>
TOTAL FUNDS	<u>269,805</u>	<u>(134,917)</u>	<u>134,888</u>

A current year 12 months and prior year 12 months combined position is as follows:

	At 1.8.19 £	Net movement in funds £	At 31.7.21 £
Unrestricted funds			
General fund	271,351	117,208	388,559
	<hr/>	<hr/>	<hr/>
TOTAL FUNDS	<u>271,351</u>	<u>117,208</u>	<u>388,559</u>

A current year 12 months and prior year 12 months combined net movement in funds, included in the above are as follows:

	Incoming resources £	Resources expended £	Movement in funds £
Unrestricted funds			
General fund	516,884	(399,676)	117,208
	<hr/>	<hr/>	<hr/>
TOTAL FUNDS	<u>516,884</u>	<u>(399,676)</u>	<u>117,208</u>

12. RELATED PARTY DISCLOSURES

There were no related party transactions for the year ended 31 July 2021.

UK RESEARCH INTEGRITY OFFICE LTD

**Detailed Statement of Financial Activities
for the year ended 31 July 2021**

	2021 £	2020 £
INCOME AND ENDOWMENTS		
Other trading activities		
Consultancy	-	13,411
Training and development workshops	1,451	1,199
Grants	<u>-</u>	<u>13,983</u>
	1,451	28,593
Investment income		
Deposit account interest	2	5
Charitable activities		
Subscriptions	<u>245,626</u>	<u>241,207</u>
Total incoming resources	247,079	269,805
EXPENDITURE		
Charitable activities		
Wages	130,138	73,841
Social security	8,801	2,700
Pensions	8,723	5,560
Insurance	3,712	4,106
Telephone	324	386
Postage and stationery	104	367
Advertising	2,516	2,301
Sundries	12	36
Computer & internet expenses	9,190	5,089
Subscriptions	815	9,799
Rent	21,994	19,337
Bank charges	101	83
Travel & subsistence	-	1,866
Conference and speaker fees	3,962	1,299
Meeting expenses	-	2,000
Training	8,505	19,124
Bad debts	20,413	(40,993)
Legal & professional fees	10,834	5,994
Cleaning	82	-
Entertaining	58	-
Depreciation of tangible fixed assets	<u>1,782</u>	<u>445</u>
	232,066	113,340
Support costs		
Governance costs		
Other governance costs	25,784	12,589
Carried forward	25,784	12,589

This page does not form part of the statutory financial statements

UK RESEARCH INTEGRITY OFFICE LTD

**Detailed Statement of Financial Activities
for the year ended 31 July 2021**

	2021 £	2020 £
Governance costs		
Brought forward	25,784	12,589
Accountancy and bookkeeping	<u>6,909</u>	<u>8,988</u>
	<u>32,693</u>	<u>21,577</u>
 Total resources expended	 <u>264,759</u>	 <u>134,917</u>
 Net (expenditure)/income	 <u><u>(17,680)</u></u>	 <u><u>134,888</u></u>

This page does not form part of the statutory financial statements