

THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
COMPANY LIMITED BY GUARANTEE
FINANCIAL STATEMENTS
FOR
31 MARCH 2021

Company Registration Number 05758432
Charity Number 1117141

DERBY COMMUNITY ACCOUNTANCY SERVICE

Babington Lodge
128 Green Lane
Derby
DE1 1RY

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
COMPANY LIMITED BY GUARANTEE**

FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

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THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH COMPANY LIMITED BY GUARANTEE

MEMBERS OF THE BOARD AND PROFESSIONAL ADVISERS

The Board of Trustees

Helen Boocock	(Chair)
Jonathan Harris	
Matthew Dennison	
Zoe Woodward	
Lee Mellor	
Rob Merriman	
Carolyn Curtis	
Sara Haslam	Resigned 12 th June 2020
Peter Allen	Appointed 15 th July 2020
Pam Gregory	Appointed 20 th January 2021
Yvonne Taylor	Appointed 20 th January 2021

Senior/Principal Employees

Rona Rawson	Chief Executive
Andrea Lyons	Senior Support Officer
Tim Westwood	Clinical Governance Manager
Chantelle Ross	Youth Services Manager
Nicole Ball	Adult Services Manager
Toni Bryan	Administration Manager

Registered office

7 Lime Tree Business Park
Lime Tree Road
Matlock
Derbyshire
DE4 3EJ

Independent Examiner

Mark Newey ACMA
Community Accountant
Derby Community Accountancy Service
Babington Lane
128 Green Lane
Derby DE1 1RY

Bankers

HSBC Bank plc
Market Place
Chesterfield S40 1TN

Royal Bank of Scotland
Matlock DE24 3LZ

Nationwide Building Society
Northampton
NN3 6NW

THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH COMPANY LIMITED BY GUARANTEE

TRUSTEES' ANNUAL REPORT YEAR ENDED 31 MARCH 2021

The trustees, who are also Directors for the purposes of the Companies Act, have pleasure in presenting their report and the unaudited financial statements of the charity for the year ended 31 March 2021.

STRUCTURE, GOVERNANCE AND MANAGEMENT

Governing document

The organisation is a charitable company limited by guarantee, incorporated on 27th March 2006 and registered as a charity. The company was established under a memorandum of association which established the objects and powers of the charitable company and is governed by its articles of association. In the event of the company being wound up, members are required to contribute an amount not exceeding £1 each.

Role of the Executive Board

The organisation is governed by an Executive Board - the charity trustees for the purposes of charity law. New trustees may be appointed by the Board subject to approval by the Annual General Meeting. There can be up to 12 trustees.

Trustees have a wide variety of knowledge and experience to guide the work of the Federation. Most do not have a professional mental health background but have organisation-relevant experience e.g. in finance, human resources, the law, charity management etc. and add value to the management team. Their role is, with the Chief Executive Officer (CEO), to determine the strategy of the Federation and, in committee work to contribute to the development of policies and procedures to underpin this. The Board has responsibility for ensuring adherence to relevant legislation e.g. financial, equalities, health and safety, information, employment, safeguarding etc. It maintains and reviews regularly a risk register to inform progress.

Management Structure

As a small charity, the Board aims to keep down core costs in order to maximise spending on service delivery. However, a management review undertaken by the Board during this year recommended an increase in the management team. Growth and a build-up of reserves made this possible.

Two part-time posts, Support Services Officer (operational senior manager) and Personnel Officer were created and filled early in 2021, on a temporary basis. With the increase in staffing, having a dedicated personnel officer and a specialist support officer who can oversee the team on a daily basis also increased other management capacity for planning and fundraising.

Equalities and diversity

The Federation takes its responsibilities under the Equality Act 2010 seriously. In addition to ensuring that potential and actual staff and clients do not encounter discrimination in their dealings with the organisation, the Federation actively examines how well it meets the needs of those it works with. In 2020 it established a Service Quality Assurance committee whose remit includes the review of services to ensure that they meet the needs of the population it serves and that they are accessible to all who need them. All staff and trustees undertake equalities and diversity training.

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TRUSTEES' ANNUAL REPORT YEAR ENDED 31 MARCH 2021

MISSION STATEMENT, OBJECTIVES AND ACTIVITIES

Mission Statement

The Federation is a specialist mental health support service provider with strong service user focus and high professional standards.

Aims and Objectives

To help to improve mental health in Derbyshire and elsewhere by:

1. Accepting self-referrals, and referrals from statutory agencies, to work individually with people with mental health problems and to promote independence.
2. Supporting people with mental health problems to promote and maintain their independence.
3. Raising awareness and understanding in the community.
4. Creating and supporting sustainable and independent self-help groups.
5. Providing a variety of programmes to people with mild to moderate mental ill health.
6. Working with other agencies to promote improved mental health services provision.

How Our Activities Deliver Public Benefit

We deliver public benefit by

- Promoting independent living for those with mental health problems;
- Working alongside statutory agencies to supplement the services that they provide in order to provide additional help and support for those with mental health problems which would not otherwise be available to them;
- Promoting self-help groups and by doing so reducing social isolation for those with mental problems, and enhancing well-being in the community;
- Providing an opportunity for volunteering and in particular for those who are recovering from mental ill-health, which aids health improvement and allows a gateway to return to paid employment;
- Reaching out through our self-referral and groups work to individuals who may otherwise not be reached by the statutory services;
- Delivering services that are accessible by all members of the community and for which there is particular demand from disadvantaged socio-economic groups.

ACHIEVEMENTS AND PERFORMANCE

Information in this section is a summary and review of the services carried out by the Derbyshire Federation for Mental Health ('the Federation') during the period 1st April 2020 to 31st March 2021. In line with its mission statement the Federation continued to be

'a specialist mental health service provider with strong service user focus and high professional standards'

Overview

The year was dominated by the Covid-19 Pandemic with the whole country under some level of lockdown during the whole period.

By April 2020 the Federation had reassessed all of its services and altered them as appropriate to adhere to the Government guidelines and ensure the safety of its clients and workers. Some services

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e.g. group work, stopped but almost all other work continued, albeit differently. Managers constantly reassessed the needs of clients and staff and responded flexibly. Where appropriate to client need, some services were delivered by phone or online. Where necessary, direct 1:1 contact continued with appropriate Personal Protective Equipment (PPE) and cleaning routines and funds were made available to pay for the additional costs.

The main office in Matlock was staffed throughout according to guidelines but was not open to clients. A decision was taken by the Board to close permanently the Buxton office as no longer necessary for the business. The two Wellbeing Hubs in Matlock and Buxton were closed temporarily. Four staff only were furloughed for short periods. Most staff and managers worked from home or remotely, going out to clients during the period with an increase in IT equipment and licences to support this.

Despite these considerable challenges, the Federation grew during this period, taking on new contracts and expanding its staff team accordingly. This is a testament to how positively the Federation is viewed within the Mental Health sector.

In addition, in May 2020 the Federation was awarded Trusted Charity status following a detailed assessment and this was granted with no recommendations for improvement required.

Staff structure/staffing

On April 1st 2020 there were 23 posts for paid staff and 10 trustees which was an increase over the previous year and 1 volunteer, a reduction. During the year, 5 staff left (mainly Support Workers) and 11 were recruited.

At the end of this year there were 42 paid staff posts of whom the majority were Support Workers (ISW) employed in the adult and youth projects providing direct support to clients with mental health problems. None of the paid staff works full time.

Support staff join the Federation with relevant experience and qualifications (either a degree in a relevant subject or health and social care diploma) or we provide the opportunity to train within the first few years. All staff have a personal development plan identifying gaps in training and knowledge which are enhanced in regard to the project they are employed in.

There is an emphasis on continual professional development and in addition to mandatory training e.g. safeguarding, diversity, health and safety and Prevent – Anti Terrorism, staff attended, amongst others, courses as appropriate to address: LGBT and Youth Support, Eating Disorders Boys and Men, Alcohol Awareness and Introduction to Brief Interventions, Volunteer Walk Leader, Leadership and Management Skills, Dementia Awareness.

Management and general support staff provide a strong and stable core within the Federation with four having over 10 years of service and another dozen with up to 5 years each in the organisation.

Services Provided

Direct Payments/Personal Budgets and Private Contracts

Most mental health services provided by the Federation are delivered free of charge to clients as they have been funded by statutory bodies. The exception is that we are also able to provide services

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funded by direct payments, personal budgets or private payment arrangements (means tested). Generally, this type of support is provided to individuals with severe and enduring mental health conditions requiring regular and reliable support in the community.

The type of support we provided during the year included support to live at home e.g. assisting with cooking meals, shopping or managing money. Telephone support was also provided for safe and well checks and for clients to talk to a Support Worker about problems and anxieties and to find coping strategies.

Due to Covid restrictions the number of support hours delivered reduced but almost 5,000 were delivered, only slightly below the previous year's level. There were 47 regular clients in receipt of this service.

Derbyshire Recovery and Peer Support Service

Funded by Derbyshire County Clinical Commissioning Groups (CCG) & Derbyshire County Council (DCC). This was a countywide contract (excluding Derby City) awarded to Rethink with subcontractors P3 and the Federation and commenced in April 2017. The contract was developmental for 2 years and in April 2020 commenced the second additional year of 3 for the contract. The service provides support for adults with a primary diagnosed mental health condition, living in Derbyshire County and deemed to be in recovery. The Federation delivers the contracted support in the High Peak and Derbyshire Dales.

The service provided a mixture of options in different settings across the county e.g. telephone support, drop-in sessions, therapeutic and activity groups. As restrictions were imposed the number of group sessions were reduced then stopped then moved online. Most 1:1 support was provided over the phone. Nevertheless, approximately three quarters of planned contact hours were achieved (over 770 hours per quarter on average). Clients were assessed at the beginning and end of their contact with the project and reported outcomes were very positive with, for example 100% reporting that they felt they had developed self-care skills and skills to live independently. 82% felt they had developed skills to better manage their mental health, 91% felt they had hope for the future and 36% had gained or regained employment.

Young Peoples Project – Build Sound Minds

From June 2019 in partnership with Action for Children the Federation has been providing a comprehensive early intervention service for children aged 0-18 who are experiencing mild to moderate mental health difficulties. The service is commissioned to provide services across the county, including Derby City, and referrals are accepted from people

who are registered with a Derbyshire or Derby General Practitioner. The Federation specialises in providing the transition services to young people aged 16 and 17 years old (the “transition” from child to adult).

Build Sound Minds offers 1:1 support, group work, telephone support and a digital support service. With schools closing it was inevitable that referrals and levels of contact would drop. This has created a significant waiting list which will continue to have an impact into the next year. During this year 1:1 intervention was offered primarily by Zoom or alternative video link or telephone for those not wishing to engage with video sessions. By the end of the year drop in sessions in person in schools

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had re-started. Despite the barriers, 70% of those young people ending their contact with the service reported improvements in the problem areas identified at the start.

Wellbeing Services

In 2019 the Board funded a dedicated post to develop and deliver the Federation's Wellbeing services. By March 2020 a suite of Wellbeing and Resilience Workshops, Therapeutic Programmes and Workplace Wellbeing Courses had been developed and were being piloted. In all, 90 participants undertook 20 sessions and feedback was overwhelmingly positive e.g. "I feel like I have some tools to continue in gaining so much more awareness of what I need to support my wellbeing."

As these were all designed as group activities they were put on hold during this year. As a response to Covid, the Wellbeing Coordinator adapted the programmes and courses. "The New Normal" is to support people going back to work after Lockdown, helping them to cope with employment in the pandemic. In addition, during the summer 2020 the Coordinator converted the group work programmes addressing mindfulness and anxiety etc into online courses. These were targeted at the businesses already interested in employee wellbeing and advertised in health and social care networks and training forums.

At the start of the financial year Wellbeing Services were being developed and marketed by the Federation as an enterprise that would grow and bring in significant income. Instead we are using Covid linked funding to provide the service free of charge.

Other Activities

In a normal year the Federation regularly organises other activities and events to give individuals living with or recovering from mental ill health the opportunity to access additional support, to experience healthy social activities e.g. walking and to gain information about what is available in the health and social care sector for them. These are usually group based activities and were suspended during this year. This included the Flower in the Field Project – use of a community open space and allotment in Darley Dale. After the area became overgrown during Lockdown it was agreed to hand the management of the project back to Darley Dale Town council.

New Services

During this year the Federation was invited to become a partner in two pilot projects because we are already part of the current key mental health services in Derbyshire via the DRPSS contract.

Derbyshire Living Well Service

This is a national initiative, already trialled in several areas in England and now being rolled out further. The aim of this project is to help NHS primary care to develop an effective Derbyshire Living Well Service, a multi-disciplinary approach to crisis and community care.

The Federation is working in partnership with the NHS, Derbyshire County Council adult social care and other voluntary sector organisations in the delivery of a prototype team in the High Peak area of Derbyshire. The Community Mental Health Team social workers, nurses and other healthcare staff will work with clients for up to 12 weeks, offering wrap around care and support. We provide Wellbeing and Peer Coach staff.

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The Federation has been involved in the development of the prototype through attendance at a series of meetings to progress governance, structures and operations.

The project went live in January 2021 and will continue to be funded until March 2022. It has increased the workforce by 4 staff members and income by £100k per annum.

Derbyshire Mental Health Helpline.

The Federation was invited to join the development of this project due to our involvement in the youth project - Build Sound Minds. Derbyshire Health Foundation Trust, in partnership with P3 set up the Derbyshire Mental Health Helpline; it went live for adults in 2018. During 2020/21 it was recognised that the Helpline needed a children's and young person's element. The helpline is a telephone triage service and was commissioned in response to the pressure on emergency services from increasing mental health issues. The Federation provides the specialist children and young people provision with P3 offering adult provision. The service was run from a community hub at Chesterfield Hospital during this year moving to Ripley later 2021.

The service involves staff acting as first responder on the helpline providing support, guidance and de-escalation techniques then helping to connect clients to services in the community; where this is not possible they can provide access to nurses and other clinically training professionals with a view to avoiding hospital admissions.

This project brought 3 full time equivalent posts and an increase in income of approximately £100,000 per annum.

Opportunities and Threats

Most current contracts are due to end in March 2022. Decision making about the DRPSS contract has been delayed due to the pandemic. Whilst it is anticipated that this will roll over for an extra year, no announcements have been made about the longer- term future of the service provided.

The two pilot projects are due to go out for tender in 2022 and the Federation hopes to bid jointly with partners for the work. The Federation also sees potential for other services not addressed by current contracts should funds become available.

Since the start of 2020 recruiting staff has become more difficult. The potential recruitment pool for social care staff has become much smaller so vacancies are taking longer to fill. This is the situation for all health and social care organisations and improvements are not anticipated in the foreseeable future.

The Federation will continue to review core costs and implement change where advantageous.

The Board will investigate new enterprise initiatives to improve the future generation of income for the Federation. Covid has made it necessary to review what services are required and what is possible in this new era.

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The last year has seen an expansion of the Federation's work from its traditional North Dales and High Peak base into Derby (Build Sound Minds and Derbyshire Helpline) and further out into Derbyshire county with Direct Payments. Whilst this creates a wider market for its services it also risks increasing costs and complexity i.e. the need to deal with different statutory and support services across boundaries.

As service needs are reviewed and new methods of delivery developed, it is intended to explore alternative venues for delivery in different parts of the county.

FINANCIAL REVIEW

The unrestricted surplus for this financial year was £30,217 and at 31st March 2021 the charity had unrestricted reserves of £241,629.

Reserves Policy

We are aiming to maintain our reserves at a level which is at least equivalent to 3 months operating costs. The Finance committee regularly review the amount of reserves that are required to ensure that they are adequate to fulfil our continuing obligations.

Designated Reserves

The Trustees have undertaken a review to establish the contingency costs associated with closure of the charity and have designated £110000 for this purpose. These Designated Reserves would cover redundancy costs and other commitments associated with closure.

Risk Management Policy

The trustees have reviewed the major and financial risks that impact on the work of the charity. The systems that have been established enable the trustees to review and take the necessary steps to lessen these risks.

INDEPENDENT EXAMINERS

Derby Community Accountancy Services were appointed as independent examiners during the year and have expressed their willingness to continue in office. A resolution to re-appoint them as auditors will be put to the members at the annual general meeting.

SMALL COMPANY PROVISIONS

This report has been prepared in accordance with the special provisions for small companies under section 477 of the Companies Act 2006.

Registered office:
7 Lime Tree Business Park
Lime Tree Road
Matlock
Derbyshire
DE4 3EJ

Signed on behalf of the trustees:



Trustee **H Boocock**

Approved by the trustees on

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**INDEPENDENT EXAMINER'S REPORT TO THE TRUSTEES
YEAR ENDED 31 MARCH 2021**

I report on the accounts of the company for the year ended 31 March 2021 which are set out on pages 10 to 21.

Respective responsibilities of trustees and examiner

The trustees (who are also the directors of the company for the purposes of company law) are responsible for the preparation of the accounts. The trustees consider that an audit is not required for this year under section 144(2) of the Charities Act 2011 (the 2011 Act) and that an independent examination is needed.

Having satisfied myself that the charity is not subject to an audit under company law and is eligible for independent examination, it is my responsibility to:

- examine the accounts under section 145 of the 2011 Act;
- follow the procedures laid down in the general Directions given by the Charity Commission under section 145(5)(b) of the 2011 Act; and
- state whether particular matters have come to my attention.

Basis of independent examiner's report

My examination was carried out in accordance with general Directions given by the Charity Commission. An examination includes a review of the accounting records kept by the charity and a comparison of the accounts presented with those records. It also includes consideration of any unusual items or disclosures in the accounts, and seeking explanations from you as trustees concerning any such matters. The procedures undertaken do not provide all the evidence that would be required in an audit, and consequently no opinion is given as to whether the accounts present a "true and fair view" and the report is limited to those matters set out in the statement below.

Independent examiner's statement

In connection with my examination, no matter has come to my attention:

- 1 which gives me reasonable cause to believe that, in any material respect, the requirements:
 - to keep accounting records in accordance with section 386 of the Companies Act 2006; and
 - to prepare accounts which accord with the accounting records, comply with the accounting requirements of section 396 of the Companies Act 2006 and with the methods and principles of the Statement of Recommended Practice: Accounting and Reporting by Charities have not been met; or
- 2 to which, in my opinion, attention should be drawn in order to enable a proper understanding of the accounts to be reached.

M. Newey

4/10/21

Mark Newey ACMA
Derby Community Accountancy Service
Babington Lodge
128 Green
Derby
DE1 1RY

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
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STATEMENT OF FINANCIAL ACTIVITIES

YEAR ENDED 31 MARCH 2021

	Note	Unrestricted Funds £	Restricted Funds £	Total Funds Year to 31 Mar 2021 £	Total Funds Year to 31 Mar 2020 £
Income from:					
Donations	3	1,889	-	1,889	465
Charitable activities	4	136,397	346,039	482,436	393,269
Investment income	5	256	-	256	1,033
Total incoming resources		138,542	346,039	484,581	394,767
<u>Expenditure on:</u>					
Raising funds		-	-	-	171
Charitable activities		108,325	352,005	460,330	371,683
Total expenditure	6	108,325	352,005	460,330	371,854
Net income (expenditure)		30,217	(5,966)	24,251	22,913
Transfer between funds		-	-	-	-
Net movement in funds		30,217	(5,966)	24,251	22,913
Fund balances at 1 Apr 2020		211,412	9,466	220,878	197,965
Fund balances at 31 March 2021		241,629	3,500	245,129	220,878

The company had no new or discontinued activities during the year.

The notes on pages 12 to 21 form part of these financial statements.

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
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BALANCE SHEET
YEAR ENDED 31 MARCH 2021**

	Note	2021 £	2020 £
Fixed assets			
Tangible assets	10	-	-
Current assets			
Debtors	11	229,842	118,058
Cash at bank and in hand		233,099	225,463
		<u>462,941</u>	<u>343,521</u>
Creditors: amounts falling due within one year	12	<u>(217,812)</u>	<u>(122,643)</u>
Net current assets		<u>245,129</u>	<u>220,878</u>
Total assets less current liabilities		<u>245,129</u>	<u>220,878</u>
Net assets		<u>245,129</u>	<u>220,878</u>
The funds of the charity:			
Restricted	14	3,500	9,466
Unrestricted – general reserves	14	131,629	211,412
Designated Reserves	14	110,000	-
TOTAL CHARITY FUNDS		<u>245,129</u>	<u>220,878</u>

For the year ending 31 March 2021 the company was entitled to exemption from audit under section 477 of the Companies Act 2006 relating to small companies.

Directors' responsibilities:-

- The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476;
- The directors acknowledge their responsibility for complying with the requirements of the Act with respect to accounting records and the preparation of accounts.

These financial statements have been prepared in accordance with the provisions applicable to companies subject to the small companies regime.

These financial statements were approved by the directors and authorised for issue on _____ and are signed on their behalf by:

Helen Boocock
Director

Company Registration Number: 05758432

The notes on pages 12 to 21 form part of these financial statements.

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NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

1. Accounting policies

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

Company information

The Derbyshire Federation for Mental Health is a company limited by guarantee not having a share capital. The company's registered office is at 7 Lime tree Business Park, Lime Tree Road, Matlock, Derbyshire, DE4 3EJ. At the end of the year there were 10 Trustees, each of whom, under the terms of the Memorandum and Articles of Association, had undertaken to contribute the sum not exceeding £1 in the event of a winding up of the company.

1.1 Accounting convention

These accounts have been prepared in accordance with FRS 102, "The Financial Reporting Standard applicable in the UK and Republic of Ireland" ("FRS 102"), "Accounting and Reporting by Charities" the Statement of Recommended Practice for charities applying FRS 102, the Companies Act 2006 and UK Generally Accepted Accounting Practice as it applies from 1 January 2015. The charity is a Public Benefit Entity as defined by FRS 102.

The accounts are prepared in Sterling which is the functional currency of the company. Monetary amounts in these financial statements to the nearest £.

The accounts have been prepared on historical cost convention apart from freehold property that is carried at market value. The principal accounting policies adopted are set out below.

These are accounts for the year ended 31 March prepared in accordance with FRS 102, The Financial Reporting Standard applicable in the UK and Republic of Ireland. The date of transition to FRS 102 was 1 April 2014. The impact on the reported financial position and financial performance for the previous period are not affected by the transition to FRS 102.

1.2 Going concern

At the time of approving the accounts, the directors have a reasonable expectation that the charity has adequate resources to continue in operational existence for the foreseeable future. Thus the Trustees continue to adopt the going concern basis of accounting in preparing the accounts.

1.3 Charitable Funds

Unrestricted funds are available for use at the discretion of the trustees in furtherance of the general objectives of the charity.

Designated funds are unrestricted funds earmarked by the trustees for particular purposes.

Restricted funds are subjected to restrictions on their expenditure imposed by the donor or through the terms of an appeal.

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NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

1.4 Incoming resources

All incoming resources are included in the SOFA when the charity is legally entitled to the income and the amount can be quantified with reasonable accuracy. Gifts in kind have been included at market value of gifts received and in assets acquired. No amounts are included in the financial statements for services donated by volunteers.

1.5 Resources expended

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs relating to the category. Where costs cannot be directly attributed to particular headings they have been allocated to activities on a basis consistent with use of the resources. Fund-raising costs are those incurred in seeking voluntary contributions and do not include the costs of disseminating information in the support of the charitable activities.

1.6 Debtors

Trade and other debtors are recognised at the settlement amount due after any trade discounts offered. Prepayments are valued at the amount prepaid net of any trade discounts due.

1.7 Cash and cash equivalents

Cash and cash equivalents include cash in hand, deposits held at call with banks, other short-term liquid investments with original maturities of three months or less, and bank overdrafts. Bank overdrafts are shown within borrowings in current liabilities.

1.8 Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

1.9 Financial instruments

The charity has financial assets and financial liabilities of a kind that qualify as basic financial instruments. Basic financial instruments are initially recognised at transaction value and subsequently measured at their settlement value.

Derecognition of financial liabilities

Financial liabilities are derecognised when the company's contractual obligations expire or are discharged or cancelled.

1.10 Employee benefits

The cost of any unused holiday entitlement is recognised in the period in which the employee's services are received.

Termination benefits are recognised immediately as an expense when the company is demonstrably committed to terminate the employment of an employee or to provide termination benefits.

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NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

1.11 Taxation

The charity is exempt from tax on income and gains falling with section 505 of the Taxes Act 1988 or section 252 of the Taxation of Chargeable Gains Act 1992 to the extent that these are applied to its charitable objects.

2. Critical accounting estimates and judgements

In the application of the charity's accounting policies, the directors are required to make judgements, estimates and assumptions about the carrying amount of assets and liabilities that are not readily apparent from other sources. The estimated and associated assumptions are based on historical experience and other factors that are considered to be relevant. Actual results may differ from these estimates.

3. Donations

	Unrestricted Funds £	Restricted Funds £	Total Funds Year to 31 Mar 2021 £	Total Funds Year to 31 Mar 2020 £
Donations and fundraising	1,889	-	1,889	465
	<u>1,889</u>	<u>-</u>	<u>1,889</u>	<u>465</u>

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NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

4. Income from charitable activities

	Unrestricted Funds £	Restricted Funds £	Total Funds Year to 31 Mar 2021 £	Total Funds Year to 31 Mar 2020 £
Rethink-DRPSS	-	100,373	100,373	101,715
Rethink-Derbyshire Living Well	-	44,456	44,456	-
P3- Triage Service	-	18,658	18,658	-
P3- iDecide Consortium	-	-	-	10,084
Action For Children- Building Sound Minds	-	110,000	110,000	91,667
Lloyds Bank Foundation for England and Wales	-	24,613	24,613	24,107
Foundation Derbyshire	-	11,203	11,203	-
Big Lottery	-	-	-	19,515
National Lottery Community Fund	-	10,000	10,000	-
HMRC Job Retention Scheme	-	8,692	8,692	-
Clotheworkers Foundation	-	5,000	5,000	-
Derbyshire District Council	-	4,615	4,615	-
Co-op Community Fund	-	4,479	4,479	-
Active Partners	-	1,500	1,500	-
In This Together Western Power	-	1,200	1,200	-
Charities Trust- CADENT	-	1,000	1,000	-
Masonic Charitable Foundation	-	250	250	-
Brewin Dolphin	-	-	-	2,007
DVA	-	-	-	902
Derbyshire Dales CVS	-	-	-	610
Chargeable Support Services	112,722	-	112,722	110,228
Well Being Projects	72	-	72	46
Travel	19,636	-	19,636	18,103
Meal Allowance	-	-	-	670
Social Mileage	3,920	-	3,920	6,036
Income from activities	-	-	-	2,884
Private contract	-	-	-	3,248
Other Income	47	-	47	1,447
	<u>136,397</u>	<u>346,039</u>	<u>482,436</u>	<u>393,269</u>

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
COMPANY LIMITED BY GUARANTEE**

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

5. Investment income

	Total Funds	Total Funds
	Year to 31	Year to 31
	Mar 2021	Mar 2020
	£	£
Bank interest receivable (unrestricted)	<u>256</u>	<u>1,033</u>

6. Analysis of expenditure (unrestricted)

	Total Funds	Total Funds
	Year to 31	Year to 31
	Mar 2021	Mar 2020
	£	£
Employment Costs	371,065	279,574
Travelling and Support Costs	14,554	23,633
HR Costs	1,885	2,596
Training & Recruitment	3,410	3,529
Telephone	6,909	6,017
Electricity	3,065	4,017
Water	299	245
Stationery & Supplies	4,125	5,986
Rent & Insurance	23,194	26,932
Professional Fees	2,984	2,733
Computers & Equipment	26,168	9,330
Repairs & Maintenance	1,053	2,803
Bank Service Charges	322	323
Support Groups	667	2,098
Publicity	261	715
Miscellaneous Expenses	369	1,152
Fundraising Expenses	-	171
	<u>460,330</u>	<u>371,854</u>

Expenditure on charitable activities was £460,330 (2020: £371,854) of which £352,005 was restricted (2020: £252,154).

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
COMPANY LIMITED BY GUARANTEE**

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

7. Net (expenditure)/income

Net (expenditure)/income for the year is stated after charging/(crediting):

	2021	2020
	£	£
Independent Examiner's Fees	<u>1,200</u>	<u>1,200</u>

8. Directors and key management personnel

During the year trustees did not receive remuneration

The key management personnel of the charity consist of the trustees.

9. Employees

The average monthly number of persons employed during the year was

	Year to 31 Mar 2021	Year to 31 Mar 2020
	£	£
Charitable Staff	20	14
Management and Administration	10	2
	<u>30</u>	<u>16</u>

Employment costs

	Year to 31 Mar 2021	Year to 31 Mar 2020
	£	£
Wages and salaries	342,203	259,651
Travelling & Support Costs	14,554	23,633
Social security costs	15,327	9,879
Pension costs	13,535	10,044
	<u>385,619</u>	<u>303,207</u>

No employee earned more than £60,000 per annum.

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
COMPANY LIMITED BY GUARANTEE**

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

10. Fixed Asset Investments

	Fixtures & Fittings £	Total £
Cost		
At 1 April 2020	10,381	10,381
Additions	-	-
At 31 st March 2021	<u>10,381</u>	<u>10,381</u>
Depreciation		
At 1 April 2020	10,381	10,381
Charge for year	-	-
At 31 March 2021	<u>10,381</u>	<u>10,381</u>
Net book value		
At 31 March 2021	-	-
	<hr/>	<hr/>
At 31 March 2020	-	-
	<hr/>	<hr/>

11. Debtors

	2021 £	2020 £
Trade debtors	229,842	118,058
	<u>229,842</u>	<u>118,058</u>

12. Creditors: Amounts falling due within one year

	2021 £	2020 £
Trade creditors	932	2,757
Credit Card	24	-
Deferred Income	205,696	118,286
Accruals	1,200	1,200
NEST	2,645	400
HMRC	7,315	-
	<u>217,812</u>	<u>122,643</u>

**THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH
COMPANY LIMITED BY GUARANTEE**

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

13. Analysis of charitable funds

Analysis of movements in unrestricted funds

	At 1 Apr 2020 £	Incoming £	Outgoing £	Transfer	At 31Mar 2021 £
Unrestricted- General Reserves	211,412	138,542	(108,325)	(110,000)	131,629
Designated Reserve	-	-	-	110,000	110,000
Total unrestricted funds	211,412	138,542	(108,325)	-	241,629
Rethink-DRPSS	-	100,373	(100,373)	-	-
Rethink-Derbyshire Living Well	-	44,456	(44,456)	-	-
P3- Triage Service	-	18,658	(18,658)	-	-
Action For Children- Building Sound Minds	-	110,000	(110,000)	-	-
Lloyds Bank Foundation for England and Wales	-	24,613	(24,613)	-	-
Foundation Derbyshire	-	11,203	(9,203)	-	2,000
National Lottery Community Fund	-	10,000	(10,000)	-	-
HMRC Job Retention Scheme	-	8,692	(8,692)	-	-
Clotheworkers Foundation	-	5,000	(5,000)	-	-
Derbyshire District Council	-	4,615	(4,615)	-	-
Co-op Community Fund	-	4,479	(4,479)	-	-
Active Partners	-	1,500	(1,500)	-	-
In This Together Western Power	-	1,200	(1,200)	-	-
Charities Trust-CADENT	-	1,000	(1,000)	-	-
Masonic Charitable Foundation	-	250	(250)	-	-
BUPA	7,966	-	(7,966)	-	-
Brewin Dolphin	1,500	-	-	-	1,500
Total restricted funds	9,466	346,039	(352,005)	-	3,500
Total funds	220,878	484,581	(460,330)	-	245,129

Rethink funding is for the provision of the Derbyshire recovery and Peer Support Service which provides support for adults with a primary diagnosed mental health condition, living in Derbyshire County and deemed to be in recovery. The service is funded by Derbyshire County Clinical Commissioning Groups (CCG) and Derbyshire County Council (DCC). The countywide contract (not Derby City) was awarded to Rethink who have sub contracted it to P3 and Derbyshire Federation for Mental Health. The Federation delivers the contracted support in the High Peak and Derbyshire Dales.

THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

The aim of the Derbyshire Living Well Service is to assist NHS primary care to develop an effective, multi-disciplinary approach to local mental health crisis and community care. The federation is working in partnership with the NHS, Derbyshire County Council adult social care and other voluntary sector organisations in the delivery of a prototype team in the High Peak area of Derbyshire. The Federation provides the Wellbeing and Peer Coach staff element of the 12 week wrap around care and support package being developed to offer clients.

Derbyshire Mental health helpline and support service is a telephone triage service supporting adults and children facing mental health crisis. P3 are the lead organisation for the provision of 3rd sector triage mental health support workers who work alongside members of the Derbyshire Health Foundation Trust nursing staff. P3 subcontract to the Federation for the provision of children and young people triage support workers.

Action for Children is a commissioned service to provide early intervention services across the county, including Derby City, and referrals are accepted from people who are registered with a Derbyshire or Derby GP. This funding is to support the Young Peoples Project-Build Sound Minds which offers one to one support, group work, telephone support and a digital support service.

Lloyds Bank Foundation for England and Wales provided part funding for the CEO salary costs. Funding for the post had been obtained for 3 years and ceased in January 2021. Lloyds also provided monetary support during the pandemic to assist with the purchase and upgrade of our IT equipment and increased provision of staff PPE.

Foundation Derbyshire provided funding via their Coronavirus relief fund to expand our Wellbeing Resilience sessions to meet the growing demand on our services for this type of support during the pandemic.

National Lottery Community Fund assisted with the purchase and installation of our new server along with additional laptops and associated software.

The HMRC Job Retention Scheme was provided to support the retention of staff during the COVID pandemic. Minimal use of this scheme was required due to the reshaping of our services and redeployment of the affected staff.

Clothworkers Foundation provided funding towards replacing our server and the installation costs via their Capital Emergency Program.

Co-op Local Community Fund was a contribution towards salary costs for a project worker delivering support through group activities in Derbyshire Dales.

Active Partners through their Tackling Inequalities fund assisted us to provide a programme of activities during the Autumn months for local people struggling with their mental health. We worked in partnership with members of the Derbyshire Dales District Council community team.

THE DERBYSHIRE FEDERATION FOR MENTAL HEALTH COMPANY LIMITED BY GUARANTEE

NOTES TO THE FINANCIAL STATEMENTS

YEAR ENDED 31 MARCH 2021

In This Together Western Power assisted us with the shortfall to meet some of our core costs during the pandemic due to a reduced income during the initial few months.

Charities Trust-CADENT provided funding towards additional PPE required for our staff working in the community during the pandemic.

Masonic Charitable Foundation also provided funding towards the additional cleaning materials and PPE we required to keep staff and clients safe during the pandemic.

BUPA funding was secured to provide Wellbeing Resilience training for our Midlife Matters project- providing a 4week workshop to individuals aged 30-50 to improve their mental wellbeing.

Brewin Dolphin Funding was provided to cover costs associated with Worker led activities at weekends.

14. Analysis of net assets between funds

	Tangible fixed assets	Other net assets	Total
	£	£	£
Unrestricted funds			
Unrestricted Reserves	-	131,629	131,629
Designated Reserve	-	110,000	110,000
 Restricted funds			
Foundation Derbyshire	-	2,000	2,000
Brewin Dolphin	-	1,500	1,500
 Total funds	 -	 245,129	 245,129

15. Related party transactions

The charity had no related party transactions that required disclosure.

16. Company limited by guarantee

The Company is a company limited by guarantee. At the end of the year there were ten Trustees, each of whom, under the terms of the Memorandum and Articles of Association, had undertaken to contribute the sum not exceeding £1 in the event of a winding up of the company.