

BIRMINGHAM AND SOLIHULL MENTAL HEALTH NHS
FOUNDATION TRUST CHARITY

(Operating as 'Caring Minds')

Trustees' Report and Financial Statements for the year
ended 31st March 2021

Registered Charity number
1098659

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Index

	Page
Report of the Trustees	3-14
Statement of Trustees Responsibilities	15
Report of the Independent Examiner	16
Statement of Financial Activities	17
Balance Sheet	18
Principle accounting policies	19-21
Notes to the financial statements	22-30

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Foreword

The Birmingham and Solihull Mental Health NHS Foundation Trust Charity ('the Charity' re-launched in October 2013 as 'Caring Minds') presents its Annual Report together with the Financial Statements for the year ended 31 March 2021.

The Charity's annual report and accounts for the year ended 31 March 2021 have been prepared by the Corporate Trustee in accordance with section 132(1) of the Charities Act 2011. The Charity's Declaration of Trust and Accounting and Reporting by Charities; Statement of Recommended Practice applicable to Charities preparing their accounts in accordance with the Financial Reporting Standard Applicable in the UK and Republic of Ireland (FRS102) (Effective 1 January 2015). The Charity's report and accounts include all the separately established funds for which the Birmingham and Solihull Mental Health NHS Foundation Trust is the sole beneficiary.

The Charity has a corporate trustee: Birmingham and Solihull Mental Health NHS Foundation Trust. The members of the NHS Trust Board who served during the financial year were as follows:

Sue Davis	Trust Chair (Resigned 30 November 2020)
Danielle Oum	Trust Chair (Appointed 01 December 2020)
Roisin Fallon-Williams	Chief Executive (Appointed 29 March 2019)
David Tomlinson	Executive Director of Finance
Susan Hartley	Executive Director of Quality, Improvement and Patient Experience (Resigned 31 March 2021)
Sarah Bloomfield	Interim Director of Quality and Safety (Appointed 01 March 2021)
Vanessa Devlin	Executive Director of Operations (Appointed 29 April 2019)
Dr Hilary Grant	Executive Medical Director
Susan Young	Interim Executive Director of Strategic Partnerships (Appointed 26 March 2020, Resigned 31 March 2021)
Patrick Nyarumbu	Executive Director of Strategy, People and Partnerships (Appointed 02 November 2020)
Philip Gayle	Non-Executive Director (Appointed 1 October 2019)
Prof Russell Beale	Non-Executive Director
Joy Warmington	Non-Executive Director
Waheed Saleem	Non-Executive Director (Associate)
Gianjeet Hunjan	Non-Executive Director
Dr Linda Cullen	Non-Executive Director (Caring Minds Chair)

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

1. Objectives and activities

Objectives and strategy

The Charity has NHS wide objectives as follows:

“The Trustee shall hold the trust fund upon trust to apply the income, and at their discretion, so far as may be permissible, the capital, for any charitable purpose or purposes relating to the National Health Service (hereinafter referred to as “the objects”).

Annual review: our activities

During the year, the funds continued to support a wide range of charitable and health related activities benefiting both patients and staff which are for the benefit of the public. In general they are used to purchase varied additional goods and services that the NHS is unable to provide from within its allocated resources.

The ward and locality charitable funds receive many donations specifically given to thank the nursing staff and these are used for charitable activities that will benefit both patients and staff. The charitable funds also enable clinical staff to attend training courses or to carry out research that otherwise could not be funded through their ordinary budgets.

As Trustees, we are concerned how the pandemic will affect the UK economy, and how that will impact on donations and fundraising to Caring Minds. We saw an amazing outpouring of support to the hospital charity and the wider NHS in general during lockdown, as was evidenced by participation in Clap for Carers, and the whole country getting behind Captain (Sir) Tom Moore walking his 100 lengths of his garden before his 100th birthday, raising over £32 million for NHS Charities Together.

The national NHS Charities Together campaign has kindly provided £225,600 to Caring Minds so far, and we hope to receive a further grant in the 2020/21 financial year

2. Achievements and performance

Caring Minds is a fantastic charity that provides funding for a range of projects that support people suffering from mental health issues. Mental health affects 1 in 4 people and it has been important that we find innovative ways to enable people to access services which would not normally be funded through the NHS, and that's where Caring Minds plays a vital role. The charity raises money through a variety of avenues and would welcome people to carry out fundraising events to raise vital funds to support the projects.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Our future plans

During 2020 we conducted a review of Caring Minds (this was completed by UHB Charities) and there are a number of recommendations that have been made including employing a Charity Manager, agreeing the Strategy & forward look for Caring Minds, establishing the existence (more impactful) of the Mental Health Charity in the West Midlands, growth and many more. We hope to see this growth and development of Caring Minds over the next couple of years.

The trustees are continually reviewing spending and fundraising plans. The trustees review the spending priorities for each fund annually, any funds that have had no activity within twelve months are reviewed and expenditure plans requested to be put in place.

The trustees will continue to welcome bids against the general fund (within the limits set) and are proactively promoting the use of Charitable Funds throughout the Foundation Trust.

The trustees will also be working closely alongside NHS Charities together during the COVID-19 Pandemic to ensure Trusts are supported accordingly.

The Charity now has its own web page on the Foundation Trust's internet <http://www.bsmhft.nhs.uk/caring-minds/> and intranet site and has opened avenues for donors to make donations online and via regular giving (staff lottery).

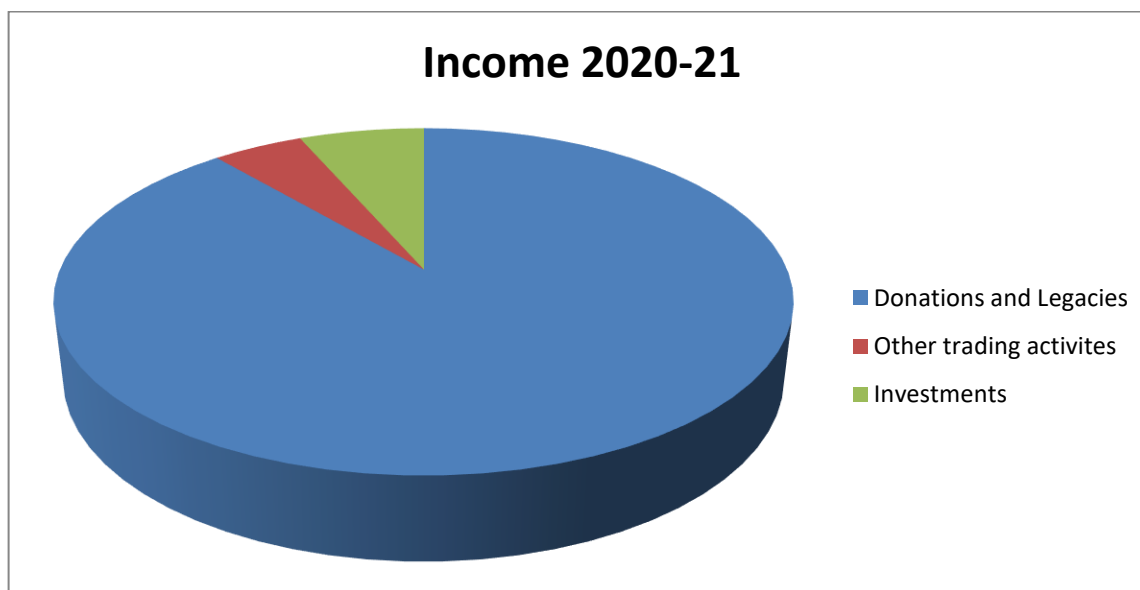
3. Financial Review-A review of our Finances, achievements and performance

Income

The Charity's main stream of income is, and has been, from relatively small donations from patients and their relatives in appreciation of the care given to them by the NHS Trust. This has been supplemented by the occasional receipt of legacies and one off donations from institutions. In accordance with Charity Commission guidelines, donations are not classified as restricted unless the terms of donation are mandatory as to the purpose of the gift; gifts with suggested uses are classified as designated, and it is the policy of the Charity to utilise them for the designated purpose. However, the Charitable Funds sub-committee in 2010/11 put in place a review programme for all funds, and designated funds that are not providing a benefit may be re-designated or have the designation removed. As part of this review a number of funds previously classified as restricted were reclassified as designated on the basis that the original intentions of the donor were not actually mandatory but rather an intention.

Total Income received by the charity was £268,097 compared to £49,466 in the previous year. The graph below shows the areas from which this income was received.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021



Donations and legacies (£237,925) – one of the main sources of income for the charity is donations and legacies from individuals and companies keen to help and support the charity in its cause.

- Gifts from Individuals (£11,590) there were a total of 73 donations from individuals during the year with an average donation value of £156.36.
- Gifts from Companies (£735) there were a total of 8 donations from companies during the year with an average donation value of £91.91.
- Legacies (£0) we received no legacy donations during the year.
- NHS Charities Together Donations (£225,600) this is monies received from the national Covid-19 urgent appeal launched in March 2020 by NHS Charities Together to provide a focal point for the national desire to express support for NHS staff and to say thank you. Helped by fundraisers such as Captain Sir Tom Moore, this appeal has raised over £100m nationwide.

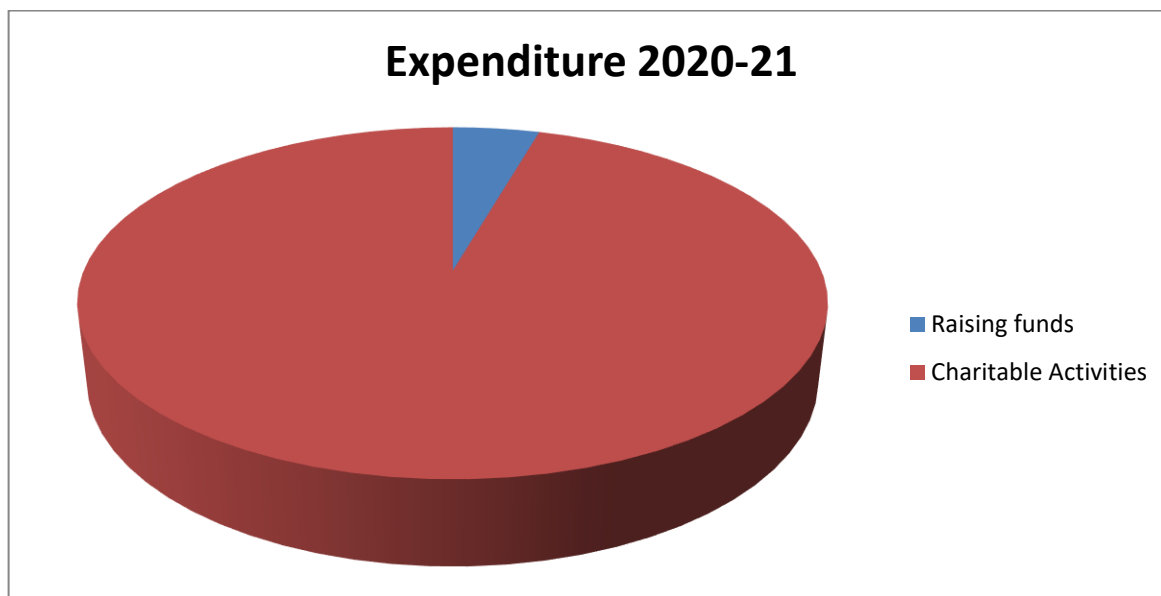
Other Trading activities (£12,668) – the charity undertakes a small number of activities each year to help supplement the income received from donations and legacies, some of the main ones are income from 4000 club lottery £11,730 and £938 from fundraising events.

Investments (£17,504) – the main source of income received from our investment are in the form of dividend payments received on a quarterly basis this totaled £17,537 during the year.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Expenditure

Total expenditure by the Charity was £98,465 compared to £54,765 in the previous year. The graph below shows how this money was spent.



Our expenditure is spread across a number of funds and projects.

We spent £94,072 on charitable activities and £4,393 on raising funds. The main area of expenditure within these categories in year was:

- £72,282 spent on staff and patient welfare.
- £16,267 spent on administration fee from BSMHFT which is a recharge from the Trust's finance department for administration of the funds.
- £2,920 spent on Lottery payouts and license fees.

At 31 March 2021 restricted funds of £248,195 remain to be spent, designated funds total £84,282 and general funds available for allocation to specific projects total £311,494.

Investments

The Charity holds all investments with Cazenove (Schroders) Investment Management Limited. In 2009-10, following advice from the investment manager, the trustees decided on investing the Charity's cash deposit into equity based fund. The fund is managed by Cazenove (Schroders) and has an investment structure approved by the Charity Commission.

The fund adopts a diversified strategy; as well as exposure to equities, bonds and cash. The fund also includes exposure to alternative asset classes such as property, commodities and absolute return. The fund has a target return objective of inflation (RPI) plus 4% per annum over an

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

economic cycle, a secondary aim is to achieve equity like returns with reduced volatility. The fund has exposure to real assets such as equities and property, therefore will not be immune to any market falls.

During 2020-21 the fund realised dividends of £17,537 It also has recognised unrealised market gains of £71,784. At the balance sheet date the fund was worth £102,784 more than the initial investment. The market value of the fund at the year-end is £435,402.

The investment manager and the trustees monitor the performance closely to ensure maximum gain whilst protecting the charities assets.

Reserves policy

Following a review of the guidance set out in the Charity Commission booklet CC19, *Charities' Reserves*, the trustee has revised the Charity's reserves policy to take account of the change in the charities expenditure policy. The trustees have agreed to an annual expenditure target of £50,000-£100,000 given that the Charity has no fixed endowment funds the trustees have planned to spend the funds that the Charity has within four years if no significant donations are received. The reserves held by the Charity are currently therefore in line with the agreed expenditure policy.

The charity will be conducting a review to look at its future and strategy with an aim to raise its profile and standing as a Mental Health Charity and overall seek to increase its donations so that it can spend more.

Grant making policy

Expenditure from specific funds is only made if it complies with the wishes of the donor when the original donation was made, these specific funds are managed by fund managers with delegated authority to spend the funds within the laid down fund criteria. The Charity has a General Fund which is used for any successful bids received by the trustees. The trustees meet twice a year for Committee meetings. Throughout the year they invite bids against the general fund and the trustees assess these bids on the following criteria:

- Does the bid fall into one of the predetermined 'charitable' categories
 - Patient Welfare and Amenities
 - Staff Welfare and Amenities
 - Research
- How will this benefit patients/staff? – How this benefits patients/staff and is covered in one of the above categories?
- What are the consequences of this bid not being successful? – What circumstances would prevail if this bid wasn't approved, would it have a knock on effect on service, will patients/staff morale be effected?

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

- Has alternative funding been sought? – has mainstream funding been reviewed, has a capital bid been submitted, have alternative charitable funds been sought?
- Is the bid value for money?
- Has a full breakdown of funding required been provided?
- Is the bid self-sustainable or is recurrent funding required?

Notable awards (£2,500 and above) set aside from the general fund during the 2020/21 were:

- A sum of £14,400 was designated in 2020/21 for Improving patient experience at The Juniper Centre. This was to fund the purchase of the “Magic Table” equipment which is specifically designed to enable engagement with patients with more advanced dementia and patients with the poorest engagement skills.
- A sum of £3,725 was designated in 2020/21 for the Enhancing care through digital solutions at Hillis Lodge bid. This was to fund the purchase of various IT Equipment to be used at the Hillis Lodge site to improve the technological infrastructure and enhance the recovery and rehabilitation for service users through access to this improved technology.
- A Sum of £3,000 was designated in 2020/21 for the installation of new multi-gym facility at Hillis Lodge. This was to fund the purchase of gym equipment for the new indoor multigym at the site.

Notable awards (£2,500 and above) set aside from the new general fund set-up from monies received from NHS Charities Together during 2020/21 were:

- A Sum of £21,652 total across 3 bids was designated in 20/21 for the Thank You bags project. This was to provide a simple “thank you bag” to frontline staff working with patients affected by the COVID-A9 Crisis, aim is to give staff a few items which would save on a trip to the shops for one night and provide a psychological lift during the crisis.
- A Sum of £11,700 was designated in 20/21 for the Recovery College online bid. This was to support the Recovery for All Team to develop and expand their means of supporting and communicating with Recovery College learners including service users, staff, families and Carers.
- A Sum of £5,000 was designated in 20/21 for training staff in psychological first aid. This was to enable the Trust to provide enhanced support to staff through the development of a cohort of peer staff supporters trained in the principles and provision of Psychological First Aid (PFA).

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

- A Sum of £3,500 was designated in 20/21 for the Severn (ICU) Sensory Room Bid. This was to provide the funds to purchase a wide variety of items for the installation of a Sensory Room on ICU at Reaside Clinic.
- A Sum of £16,092 was designated in 20/21 for the Barberry Outpatients Environment Bid. This is to provide the funds necessary to ensure the patients who attend the Barberry Outpatients Department for very specialized appointments have a therapeutic, relaxed and safe environment to enhance their well-being and be conducive to the physical and mental health needs.
- A Sum of £40,480 was designated in 20/21 for the Therapeutic ARTs Programme Bid. To provide the funds for the Arts Programme Pilot and Therapeutic sessions to support service users and staff during COVID Restrictions.

The below tables show current spend by year against each bid awarded in last 2 years.

Charitable Fund Bids Commitments 2019/20						
Charitable Fund Bid	Date Awarded	Value Awarded	Value Spent During 19/20	Value Spent During 20/21	Total Spend on Bid	Outstanding Value
Florence Nightingale London Event 2019 - Travel and Refreshments Application	12/04/2019	1,000.00	889.94		889.94	110.06
SIAS Recovery Garden	12/06/2019	1,340.00			-	1,340.00
Establishing a Trainee Prize in the Name of Dr Zoonia Nazir	04/07/2019	750.00			-	750.00
RITA Funding Application	19/08/2019	19,785.60	19,785.60		19,785.60	-
Light Weight Wheelchair Grant Application	14/10/2019	4,370.00	4,370.00		4,370.00	-
Senior Art Psychotherapist and Clinical Academic Researcher	14/10/2019	2,500.00			-	2,500.00
Jasmine Suite Interactive Video Tour (Google 360 Camera -'associated headsets')	16/01/2020	400.00	206.98		206.98	193.02
Google 360 Camera	16/01/2020	400.00			-	400.00
Healing Words and Visions	30/03/2020	2,140.00		2,140.00	2,140.00	-
Total for 2019-20 Bids		32,685.60	25,252.52	2,140.00	27,392.52	5,293.08

Charitable Fund Bids Commitments 2020/21						
Charitable Fund Bid - General Fund	Date Awarded	Value Awarded	Value Spent During 19/20	Value Spent During 20/21	Total Spend on Bid	Outstanding Value
Melissa Suite Sensory Modulation Room	19/08/2020	1,054.00		1,090.75	1,090.75	(36.75)
Improving inpatient staff rest and patient activity facilities within the Oleaster Centre	19/08/2020	2,485.99		2,551.20	2,551.20	(65.21)
Improving patient experience at The Juniper Centre _Magic Table	19/08/2020	14,400.00		14,400.00	14,400.00	-
ENHANCING CARE THROUGH DIGITAL SOLUTIONS AT HILLIS LODGE	20/09/2020	3,725.40		2,964.05	2,964.05	761.35
Condolence cards for Families, Carers and Friends of our Service Users	20/09/2020	300.00			-	300.00
Virtual Coffee & Cake	01/12/2020	85.00			-	85.00
Ardenleigh Hospital library	24/11/2020	1,500.00			-	1,500.00
Charitable Funds Bid- Reaside and Ardenleigh- Sent on behalf of the Board	31/12/2020	200.00			-	200.00
Ardenleigh Hospital library-Additional for Furniture	20/01/2021	1,500.00			-	1,500.00
Hillis Gym (Pre Hillis Lodge Patient Camping Experience Bid-E2,340)	03/08/2020	3,000.00		2,878.20	2,878.20	121.80
Total for 2020-21 Bids - General Fund		28,250.39	-	23,884.20	23,884.20	4,366.19
Charitable Fund Bid - NHS Charities Together	Date Awarded	Value Awarded	Value Spent During 19/20	Value Spent During 20/21	Total Spend on Bid	Outstanding Value
Thank you bags for colleagues during Covid-19 crisis	08/04/2020	10,000.00		18,301.24	18,301.24	(8,301.24)
Thank you bags for colleagues during Covid-19 crisis -Additional Funding 1	24/05/2020	7,800.00			-	7,800.00
Thank you bags for colleagues during Covid-19 crisis -Additional Funding 2	01/12/2020	3,851.46			-	3,851.46
Tamarind _Centre-Cooking v COVID	24/04/2020	560.00		565.84	565.84	(5.84)
The Art of Giving- Help the NHS to stay motivated artwork	29/04/2020	1,140.00		1,140.00	1,140.00	-
Laurel Ward Sensory Room	29/04/2020	1,896.08		1,805.17	1,805.17	90.91
Coping with Lockdown Kits for Community Service Users	04/05/2020	800.00			-	800.00
Recovery College Online	18/05/2020	11,700.00		3,000.00	3,000.00	8,700.00
Sensory Room -Newbridge House	25/05/2020	950.00		249.99	249.99	700.01
Training Staff in Psychological First Aid	18/06/2020	5,000.00		4,280.00	4,280.00	720.00
Arboreal Healing and Reflective Space	29/06/2020	1,600.00		591.99	591.99	1,008.01
Rookery Gardens -Dining table & Chairs for staff house & Laptop for service users (To be act	01/07/2020	680.00		652.95	652.95	27.05
Severn (ICU) Sensory Room	28/07/2020	3,500.00			-	3,500.00
Reaside Picnic Benches	28/07/2020	1,134.00		1,134.00	1,134.00	-
Barberry Outpatients Environment	04/08/2020	16,092.18		7,484.52	7,484.52	8,607.66
Therapeutic ARTs programme to support service users and staff during COVID restrictions	22/01/2021	40,480.00		5,280.00	5,280.00	35,200.00
Total for 2020-21 Bids - NHS Charities Together		107,183.72	-	44,485.70	44,485.70	62,698.02

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

4. Structure, governance and management

Structure, governance and management

The corporate trustee fulfills its legal duty by ensuring that funds are spent in accordance with the objectives of each fund and by designating funds the Trustee respects the wishes of our generous donors to benefit patient care and advance the good health and welfare of patients, careers and staff.

The corporate trustee has paid due regard to the Charity Commission's guidance on public benefit in deciding what activities the Charity should undertake.

The charitable funds available for spending are allocated to specialties within the NHS Foundation Trust's directorate management structure. Each allocation is managed by use of a designated fund. For example there are charitable funds for each ward within our main hospitals and funds for specific mental health locality units.

The Chair and Non-Executive Directors of the Foundation Trust Board are appointed by the Council of Governors. The Chair and Non-executives then appoint the Chief Executive Officer. A committee consisting of the Chair, the Chief Executive Officer and other Non-Executive Directors appoint the Executive members of the Board. Members of the Foundation Trust Board and the charitable funds Committee are not individual trustees under charity law but act as agents on behalf of the corporate trustee.

The Charity has its own procedural guidance that acts as an induction pack for newly appointed members of the NHS Foundation Trust Board and Charitable Funds Committee. This pack provides information about the charity, including the governing document, the Charitable Funds Committee terms of reference, trustees' annual report and accounts, budgets, policies and minutes, and information about trusteeship, including Charity Commission booklet CC3, *The Essential Trustee*.

Acting for the Corporate trustee, the Charitable Funds Committee is responsible for the overall management of the Charitable Fund. The Committee is required to:

- Control, manage and monitor the use of the fund's resources
- Provide support, guidance and encouragement for all its income raising activities whilst managing and monitoring the receipt of all income.
- Ensure that 'best practice' is followed in the conduct of all its affairs fulfilling all of its legal responsibilities.
- Ensure that the approved Investment Policy approved by the NHS Trust Board as Corporate trustee is adhered to and that performance is continually reviewed whilst being aware of ethical considerations.
- Keep the Trust Board informed on the activity, performance and risks of the Charity.

The accounting records and the day-to-day administration of the funds are dealt with by the Finance Department located at Unit 1, B1, 50 Summerhill Road, Birmingham, B1 3RB.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Risk Management

The major risks to which the Charity is exposed have been identified and considered. They have been reviewed and systems established to mitigate those risks. The most significant risks identified were possible losses from a fall in the value of the investments and the level of reserves available to mitigate the impact of such losses. There are procedures in place to review the investment policy and to ensure that both spending and firm financial commitments remain in line with income.

Income and expenditure is being monitored in total and is compared with the approved plan on a quarterly basis to detect trends as part of the risk management process to avoid unforeseen calls on reserve.

5. Reference and administration details

Reference and administrative details

The Charity, registered number 1098659, was entered on the Central Register of Charities on the 21 July 2003. In October 2013 the Charity changed its registered name from Birmingham and Solihull Mental Health NHS Foundation Trust Charity to Caring Minds.

The Charity is governed in accordance with a Declaration of Trust dated 18 July 2003 as amended by a Supplemental Deed dated 1 July 2008.

Charitable funds received by the Charity are accepted, held and administered as funds and property held on trust for purposes relating to the health service in accordance with the National Health Service Act 1977 and the National Health Service and Community Care Act 1990 and these funds are held on trust by the corporate body.

Trustee

The Birmingham and Solihull Mental Health NHS Foundation Trust is the corporate trustee of the Charity governed by the law applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011.

The NHS Trust Board devolved responsibility for the on-going management of funds to the Charitable Funds Sub-Committee which administers the funds on behalf of the corporate trustee. This committee was formed on 1 April 2003.

The names of those people who served as agents for the corporate trustee (during 2019/20 financial year), as permitted under regulation 16 of the NHS Trusts (Membership and Procedures) Regulations 1990, were as follows:

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Joy Warmington	Non-Executive Director
Linda Cullen	Chair of the charitable funds sub-committee
Roisin Fallon-Williams	Chief Executive (Appointed 29/03/19)
Sue Davis	Trust Chair (Resigned 30 November 2020)
Danielle Oum	Trust Chair (Appointed 01 December 2020)
Susan Hartley	Executive Director of Quality, Improvement and Patient Experience (Resigned 31 March 2021)
Sarah Bloomfield	Interim Director of Quality and Safety (Appointed 01 March 2021)
Hilary Grant	Executive Medical Director
Richard Sollars	Deputy Director of Finance
Louise Butler	Head of Communications & Marketing
Dan Conway	Deputy Company Secretary

Principal charitable fund adviser to the Board:

The Chief executive of the NHS Foundation Trust, who, under a scheme of delegated authority approved by the corporate trustee, has day to day responsibility for the management of the Charitable Fund and must approve, on behalf of the corporate trustee, all expenditure over £1,000 with an upper limit of £5,000 using their delegated authority. All expenditure over £5,000 must be approved by the Charitable Funds Sub-Committee.

Principal Office

The principal office for the Charity is:

Finance Department
Unit 1
B1
50 Summerhill Road
Birmingham
B1 3RB

Principal Professional Advisers:

Independent Examiner

Karen Hanlan
Independent Examiner Limited
12 Waterloo Close
Wellesbourne
CV35 9JG

Investment Fund Managers

Cazenove Capital Management (nee Schroder)
3rd Floor
100 Wood Street
London, EC2V 7ER

Bankers

The Royal Bank of Scotland Plc
Government Banking Services c/o RBS
London Corporate Service Centre
CPB Services 2nd Floor, 280 Bishopsgate, London, EC2M 4RB

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Partnership Working and Networks

Birmingham and Solihull Mental Health NHS Foundation Trust is the main beneficiary of the Charity and is a related party by virtue of being corporate trustee of the Charity. By working in partnership with the Trust, the charitable funds are used to best effect. When deciding upon the most beneficial way to use charitable funds, the corporate trustee has regard to the main activities, objectives, strategies and plans of the Trust.

The Sub-Committee encourages bidders to seek additional external funding partnerships with external agencies.

A big thank you

On behalf of the staff and patients who have benefited from improved services due to donations and legacies, the Corporate trustee would like to thank all patients and relatives and staff who have made charitable donations.

Approved on behalf of the Corporate trustee:



Linda Cullen

24th January 2022

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Statement of trustees' responsibilities

The trustees are required to prepare financial statements for each financial year which give a true and fair view of the state of the affairs of the charity and of the surplus or deficit incurred by the charity for that year. In preparing those financial statements the trustees are required to:

- select suitable accounting policies and then apply them consistently;
- make judgments and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the accounts; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue to exist.

The trustees have overall responsibility for ensuring that the charity has an appropriate system of controls, financial and otherwise.

The trustees are responsible for keeping proper accounting records which disclose with reasonable accuracy, at any time, the financial position of the charity and to enable them to ensure that the financial statements comply with the Charities Act 2011.

They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities and to provide reasonable assurance that:

- the charity is operating efficiently and effectively;
- its assets are safeguarded against unauthorised use or disposition;
- proper records are maintained and financial information used within the charity or for publication is reliable;
- the charity complies with relevant laws and regulations.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Independent examiner's report to the Trustees of Birmingham and Solihull Mental Health NHS Foundation Trust General Charity

I report on the financial statements of Birmingham and Solihull Mental Health NHS Foundation Trust General Charity ('the Charity') for the year ended 31st March 2021 which are set out on pages 17 to 30.

Responsibilities and basis of report

As the Charity's trustees you are responsible for the preparation of the financial statements in accordance with the requirements of the Charities Act 2011 ('the Act').

I report in respect of my examination of the Charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145 (5)(b) of the Act.

Independent examiner's statement

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

- 1) accounting records were not kept in respect of the Charity as required by section 130 of the Act; or
- 2) the accounts do not accord with those records; or
- 3) the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair' view which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Karen Hanlan, ACA
Independent Examiner Limited, 12 Waterloo Close
Wellesbourne, CV35 9JG

24th January 2022

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Statement of financial activities

		Unrestricted Funds 2020/21	Restricted Funds 2020/21	Total Funds 2020/21	Total Funds 2019/20
	Note	£	£	£	£
Income and endowments from:					
Donations and Legacies	1	12,030	225,895	237,925	18,019
Other trading activities	2	12,668	-	12,668	12,871
Investments	3	17,517	(13)	17,504	18,576
Total Income		42,215	225,882	268,097	49,466
Expenditure on:					
Raising funds	4	4,393	-	4,393	4,185
Charitable Activities	5	41,749	52,323	94,072	50,580
Total Expenditure		46,142	52,323	98,465	54,765
Net (Expenditure) and net movement in funds before investment (losses)		(3,927)	173,559	169,632	(5,299)
Net (losses) on investment	13	71,784	-	71,784	(45,936)
Transfers between funds		(2)	2	-	-
Net movement in funds		67,855	173,561	241,416	(51,235)
Reconciliation of funds:					
Total funds brought forward		327,921	74,634	402,555	453,790
Total funds carried forward		395,776	248,195	643,971	402,555

The statement of financial activities includes all gains and losses recognised in the year.

All income and expenditure derive from continuing activities.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Balance sheet

	Note	Unrestricted Funds 2020/21 £	Restricted Funds 2020/21 £	Total Funds 2020/21 £	Total Funds 2019/20 £
Fixed Assets:					
Tangible assets	12	-	-	-	1,907
Investments	13	435,402	-	435,402	363,618
Total Fixed Assets		435,402	-	435,402	365,525
Current Assets:					
Debtors	9	5,073	-	5,073	7,186
Cash held in investment portfolio	11	67,366	47,832	115,198	98,246
Cash at bank and in hand	11	104,776	244,849	349,625	113,998
Total Current Assets		177,215	292,681	469,896	219,430
Current Liabilities:					
Creditors: amounts falling due within one year	10	(216,841)	(44,486)	(261,327)	(182,400)
Total Current Liabilities		(216,841)	(44,486)	(261,327)	(182,400)
Net Current (Liabilities)/Assets		(39,626)	248,195	208,569	37,030
Total Assets Less Current Liabilities		395,776	248,195	643,971	402,555
Total Net Assets		395,776	248,195	643,971	402,555
The Funds of the Charity:					
Restricted Income Funds	15	-	248,195	248,195	74,634
Unrestricted income funds				-	-
- General Funds	15	311,494	-	311,494	241,859
- Designated Funds	15	84,282	-	84,282	86,062
Total Charity Funds		395,776	248,195	643,971	402,555

The accompanying accounting policies and notes form part of these financial statements.

Approved by the Trustees and signed on their behalf by:



Linda Cullen

24th January 2022

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Principal accounting policies

1. Basis of preparation

The financial statements have been prepared under the historic cost convention, with the exception of investments which are held at market value.

The accounts have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (Updated 1 January 2019-Charities SORP (FRS 102)) and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The Trust meets the definition of a public benefit entity under FRS102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy note.

The trustees consider that there are no material uncertainties about the Charities ability to continue as a going concern. There are no material uncertainties affecting the current year's accounts.

2. Fund structure

General accumulated funds are unrestricted funds available for general purposes and include funds designated for a particular purpose; the use of such funds remains at the discretion of the trustees.

Restricted funds are funds subject to conditions imposed by the donor or by specific terms of the appeal under which the funds are raised. The restrictive conditions are binding upon the Charity.

3. Income from donations and legacies

Income is recognised once the charity has entitlement to the funds, it is probable (more likely than not) that the income will be received and the monetary value can be measured with sufficient reliability.

Legacies are accounted for as income either upon receipt or where the receipt of the legacy is probable.

Receipt is probable when confirmation has been received from the representatives of the estate that probate has been granted. The executors have established that there are sufficient assets in the estate to pay the legacy and all conditions attached to the legacy have been fulfilled or are within the charity's control.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

4. Expenditure and irrecoverable VAT

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to each category of expense shown in the Statement of Financial Activities. Expenditure is recognised when there is a present legal or constructive obligation resulting from a past event. It is more likely than not that a transfer of benefits (usually a cash payment) will be required in settlement. The amount of the obligation can be measured or estimated reliably.

Irrecoverable VAT is charged against the category of expenditure for which it was incurred.

5. Investments

Investments quoted on a recognised stock exchange are valued at market value at the year end.

6. Interest and investment income receivable

Bank interest and income from investments are included in the financial statements when receivable.

7. Investment gains and losses

Investment gains and losses include any gain or loss on the sale of investments and any gain or loss resulting from revaluing investments to market value at the end of the year.

8. Debtors

Debtors are amounts owed to the charity. They are measured on the basis of their recoverable amount.

9. Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due.

10. Creditors

Creditors are amounts owed by the charity. They are measured at the amount that the charity expects to have to pay to settle the debt.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

11. Tangible Fixed Asset

Depreciation is provided at a rate calculated to write off the cost on a straight line basis over a period of less than the estimated useful life of the assets at the following rates:

Ford Galaxy (David Bromley House)	-	10 years
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Fixed assets are capitalised depending on their value at the discretion of the trustees.

12. Taxation

As a registered charity no provision is considered necessary for taxation.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Notes to the financial statements

1. Income from Donations and Legacies

	Unrestricted Funds 2020/21 £	Restricted Funds 2020/21 £	Total Funds 2020/21 £	Total Funds 2019/20 £
Donations from Individuals	11,589	-	11,589	8,514
Corporate Donations	441	295	736	9,505
Legacies	-	-	-	-
NHS Charities Together Donations	-	225,600	225,600	-
Total	12,030	225,895	237,925	18,019

	Unrestricted Funds 2019/20 £	Restricted Funds 2019/20 £	Total Funds 2019/20 £	Total Funds 2018/19 £
Donations from Individuals	7,969	545	8,514	9,652
Corporate Donations	9,309	196	9,505	13,216
Legacies	-	-	-	-
Total	17,278	741	18,019	22,868

The proceeds from the sale of the log cabin £7,307 are included within corporate donations above.

2. Income from Other Trading Activities

	Unrestricted Funds 2020/21 £	Restricted Funds 2020/21 £	Total Funds 2020/21 £	Total Funds 2019/20 £
Lottery Income	11,730	-	11,730	11,234
Fundraising Events	938	-	938	1,637
Total	12,668	-	12,668	12,871

	Unrestricted Funds 2019/20 £	Restricted Funds 2019/20 £	Total Funds 2019/20 £	Total Funds 2018/19 £
Lottery Income	11,234	-	11,234	11,721
Fundraising Events	1,637	-	1,637	3,019
Total	12,871	-	12,871	14,740

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

3. Investment Income

	Unrestricted Funds 2020/21	Restricted Funds 2020/21	Total Funds 2020/21	Total Funds 2019/20
	£	£	£	£
Interest	(20)	(13)	(33)	863
Dividends	17,537	-	17,537	17,713
Total	17,517	(13)	17,504	18,576

The Negative interest values above relate to release of accruals from previous year end, Due to COVID-19 Pandemic the interest rates on our bank accounts were reduced so that we are no longer receiving any interest on our accounts.

	Unrestricted Funds 2019/20	Restricted Funds 2019/20	Total Funds 2019/20	Total Funds 2018/19
	£	£	£	£
Interest	741	122	863	567
Dividends	17,713	-	17,713	17,289
Total	18,454	122	18,576	17,856

4. Expenditure on raising funds

	Unrestricted Funds 2020/21	Restricted Funds 2020/21	Total Funds 2020/21	Total Funds 2019/20
	£	£	£	£
Lottery Payouts and license fees	2,920	-	2,920	3,050
Fundraising events	835	-	835	535
Domain Name fees	53	-	53	-
Investment Managers Fee	585	-	585	600
Total	4,393	-	4,393	4,185

	Unrestricted Funds 2019/20	Restricted Funds 2019/20	Total Funds 2019/20	Total Funds 2018/19
	£	£	£	£
Lottery Payouts and license fees	3,050	-	3,050	3,538
Fundraising events	535	-	535	211
Investment Managers Fee	600	-	600	586
Total	4,185	-	4,185	4,335

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

5. Expenditure on Charitable Activities

	Unrestricted Funds 2020/21	Restricted Funds 2020/21	Total Funds 2020/21	Total Funds 2019/20
	£	£	£	£
Staff education and welfare	250	22,581	22,831	-
Patient education and welfare	28,002	21,449	49,451	36,087
Depreciation of charitable assets	-	1,908	1,908	1,908
Support costs :			-	-
- Administration Fees	9,882	6,385	16,267	11,280
- Independent Examiners fee	1,000	-	1,000	1,000
- Bank charges	302	-	302	305
- Bad Debt Write Off	2,313	-	2,313	-
Total	41,749	52,323	94,072	50,580

	Unrestricted Funds 2019/20	Restricted Funds 2019/20	Total Funds 2019/20	Total Funds 2018/19
	£	£	£	£
Staff education and welfare	-	-	-	-
Patient education and welfare	35,769	318	36,087	38,325
Depreciation of charitable assets	-	1,908	1,908	1,908
Support costs :			-	-
- Administration Fees	9,120	2,160	11,280	11,787
- Independent Examiners fee	1,000	-	1,000	1,000
- Bank charges	305	-	305	306
Total	46,194	4,386	50,580	53,326

6. Trustees' remuneration, benefits and expenses

The charity's trustees give their time freely and receive no remuneration for the work that they undertake as trustees. No expenses were reimbursed to any trustee.

7. Analysis of staff costs

The charity employed no staff during the year (2019/20: None).

8. Independent Examiner's remuneration

The independent Examiner's remuneration of £1,000 (2019/20: £1,000) related solely to the Independent Examination with no other additional work being undertaken.

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

9. Debtors

	2020/21	2019/20
	£	£
Other Debtors	5,073	7,186

10. Creditors: amounts falling due within one year

	2020/21	2019/20
	£	£
Accruals: Amounts owed to BSMHFT	261,327	182,400

11. Cash and Cash Equivalents

	2020/21	2019/20
	£	£
Cash held in investment portfolio	115,198	98,246
Cash at bank and in hand	349,625	113,998
Total	464,823	212,244

12. Tangible Assets

	Log Cabin £	Ford Galaxy £	Total £
Cost			
At beginning of year	-	19,078	19,078
Additions	-	-	-
Disposals	-	-	-
At end of the Year	-	19,078	19,078
Depreciation			
At beginning of year	-	17,171	17,171
Charge for the year	-	1,907	1,907
Disposals	-	-	-
At end of the Year	-	19,078	19,078
Net Book Value			
At 1 April 2020	-	1,907	1,907
At 31 March 2021	-	-	-

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

13. Investment Asset

Movement in fixed asset investment	2020/21	2019/20
	£	£
Market Value at beginning of the year	363,618	409,554
Less: Disposal Proceeds		
Less: Net Loss on Revaluation	71,784	(45,936)
Market Value at end of the year	435,402	363,618
Historic Cost at end of the year	332,618	332,618
Fixed asset investment by type	2020/21	2019/20
	£	£
Schroder Charity Multi-Asset Fund (Income Units)	435,402	363,618
Total Listed Investment	435,402	363,618
Cash held in investment portfolio	115,198	98,246
Total	550,600	461,864

Investment is held at market value

14. Related Party Transactions

As stated in the Trustees Report all funds are held and administered by the Charity for the benefit of the Birmingham and Solihull Mental Health NHS Foundation Trust ('the Trust') which is the Corporate Trustee. As such all grants and donations are made to the various departments within the Trust and are not treated as related party transactions. There were no further transactions with related parties in the year (2019/20: None).

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

15. Analysis of Funds

Analysis of Funds 2020/21	Balance B/FWD	Income	Expenditure	Transfers	Investment Gains/(Losses)	Balance C/FWD
	£	£	£	£	£	£
Restricted Funds						
Norman Imlah Day Centre	1,006	-	(25)			981
B'ham Working Age Dementia Service	3,071	-	(77)			2,994
Little Bromwich Centre	574	-	(14)			560
David Bromley House	1,004	-	(25)			979
Hertford House	2,105	-	(53)			2,052
Ward 10 Solihull (Inc Solihull STEPS)	2,799	-	(70)			2,729
Highcroft / Northcroft	47,082	(2)	(1,181)			45,899
Sycamore Patient Fund	-	-	-			-
Gospel Choir	89	-	(2)			87
East AOT Team Fund	1,192	-	(30)			1,162
Chamomile Mother & Baby Unit	1,456	-	(36)			1,420
Endeavour Court & Hse, George Ward Eden Unit	297	-	(8)			289
Grove Avenue	46	-	(1)			45
Melissa Suite	90	-	(2)			88
Caffra Suite Fund	100	-	(3)			97
DBH - Ford Galaxy	1,450	-	(1,452)	2		-
Admiral Nursing	296	258	(14)			540
Birmingham Healthy Minds	466	-	(12)			454
Personality Disorder-Staff Awareness	531	-	(13)			518
David Bromley & Dan Mooney House	9,520	-	(239)			9,281
Dan Mooney House	950	-	(24)			926
Solihull Assertive Outreach	510	35	(14)			531
NHS Charities Together	-	165,594	(49,028)			116,566
NHS Charities Together - UHB	-	59,997	-			59,997
Total Restricted Funds	74,634	225,882	(52,323)	2	-	248,195
Unrestricted Funds						
General Funds	241,859	41,662	(43,809)	(2)	71,784	311,494
Designated Funds	86,062	553	(2,333)			84,282
Total Unrestricted Funds	327,921	42,215	(46,142)	(2)	71,784	395,776
Total Funds	402,555	268,097	(98,465)	-	71,784	643,971

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Purpose of Funds

Fund	Purpose
Norman Imlah Day Centre	To provide rehabilitation, educational and social activities only for the patients of the Norman Imlah day centre
B'ham Working Age Dementia Service	To promote the wellbeing of the older adult client group.
Little Bromwich Centre	To benefit the service users and staff of the Little Bromwich Centre only.
David Bromley House	The balance of the fund is for the benefit of all residents at David Bromley House only.
Hertford House	To benefit the patients of Hertford House only.
Ward 10 Solihull Hospital	To benefit the patients of Birmingham and Solihull Mental Health Foundation Trust within the Solihull area only.
STEPS	To provide activities recreational and entertainment for day hospital patients
Highcroft / Northcroft	To benefit the service user of Highcroft/Northcroft only.
Sycamore Patient Fund	To benefit the service users of Sycamore ward at the tamarind centre.
Gospel Choir	To benefit the BSMHFT Gospel Choir
East AOT Team Fund	To benefit the service users of East AOT team.
Chamomile Mother & Baby Unit	To benefit the service users of Chamomile Unit
Endeavour Court & Hse, George Ward Eden Unit	To benefit the service users of Endeavour Court & House, George ward and eden unit
Grove Avenue	To benefit the service users of Grove Avenue
Melissa Suite	To benefit the service users of Melissa Suite
Caffra Suite Fund	To benefit the service users of Caffra Suite
DBH - Ford Galaxy	To benefit the service users of David Bromley House
Admiral Nursing	To benefit the service users of Solihull Admiral Nurses service
Birmingham Healthy Minds	To Benefit the service users of the Birmingham Healthy Minds service
Personality Disorder-Staff Awareness	To aid staff awareness in relation to personality disorders
David Bromley & Dan Mooney House	To Benefit the service users of Dan Mooney and David Bromley Hse
Dan Mooney House	To benefit the service users of Dan Mooney Hse
Solihull Assertive Outreach	To benefit the service users of Solihull Assertive Outreach
NHS Charities Together	To provide support during COVID-19
NHS Charities Together - UHB	To provide support during COVID-19

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

Analysis of Funds 2019/20	Balance B/FWD £	Income £	Expenditure £	Transfers £	Investment Gains/(Losses) £	Balance C/FWD £
Restricted Funds						
Norman Imlah Day Centre	1,033	2	(29)			1,006
B'ham Working Age Dementia Service	3,155	5	(89)			3,071
Little Bromwich Centre	779	1	(206)			574
David Bromley House	1,031	2	(29)			1,004
Hertford House	2,163	3	(61)			2,105
Ward 10 Solihull (Inc Solihull STEPS)	2,875	5	(81)			2,799
Highcroft / Northcroft	48,368	77	(1,363)			47,082
Sycamore Patient Fund	128	-	(128)			-
Gospel Choir	92	-	(3)			89
East AOT Team Fund	1,224	2	(34)			1,192
Chamomile Mother & Baby Unit	1,496	2	(42)			1,456
Endeavour Court & Hse, George Ward Eden Unit	306	-	(9)			297
Grove Avenue	47	-	(1)			46
Melissa Suite	93	-	(3)			90
Caffra Suite Fund	103	-	(3)			100
DBH - Ford Galaxy	3,398	2	(1,950)			1,450
Admiral Nursing	88	217	(9)			296
Birmingham Healthy Minds	478	1	(13)			466
Personality Disorder-Staff Awareness	545	1	(15)			531
David Bromley & Dan Mooney House	9,779	16	(275)			9,520
Dan Mooney House	976	2	(28)			950
Solihull Assertive Outreach	-	525	(15)			510
Total Restricted Funds	78,157	863	(4,386)	-	-	74,634
Unrestricted Funds						
General Funds	295,937	38,987	(47,129)		(45,936)	241,859
Designated Funds	79,696	9,616	(3,250)			86,062
Total Unrestricted Funds	375,633	48,603	(50,379)	-	(45,936)	327,921
Total Funds	453,790	49,466	(54,765)	-	(45,936)	402,555

Birmingham and Solihull Mental Health NHS Foundation Trust Charity
Financial Statements
for the year ended 31st March 2021

16. Comparative 2019/20 SOFA

		Unrestricted Funds	Restricted Funds	Total Funds	Total Funds
		2019/20	2019/20	2019/20	2018/19
	Note	£	£	£	£
Income and endowments from:					
Donations and Legacies	1	17,278	741	18,019	22,868
Other trading activities	2	12,871	-	12,871	14,740
Investments	3	18,454	122	18,576	17,856
Total Income		48,603	863	49,466	55,464
Expenditure on:					
Raising funds	4	4,185	-	4,185	4,335
Charitable Activities	5	46,194	4,386	50,580	53,326
Total Expenditure		50,379	4,386	54,765	57,661
Net (Expenditure) and net movement in funds before investment (losses)		(1,776)	(3,523)	(5,299)	(2,197)
Net (losses) on investment	13	(45,936)	-	(45,936)	(682)
Net movement in funds		(47,712)	(3,523)	(51,235)	(2,879)
Reconciliation of funds:					
Total funds brought forward		375,633	78,157	453,790	456,669
Total funds carried forward		327,921	74,634	402,555	453,790