



# Trustees' Annual Report for the period

From	Period start date			Period end date		
	01	12	2019	30	11	2020

## Section A Reference and administration details

**Charity name** The Greg & Rosie Lock Charitable Trust Foundation

**Other names charity is known by**

**Registered charity number (if any)** 1090360

**Charity's principal address**

Crown House	
Crown Street	
Ipswich	
<b>Postcode</b>	IP1 3HS

### Names of the charity trustees who manage the charity

	Trustee name	Office (if any)	Dates acted if not for whole year	Name of person (or body) entitled to appoint trustee (if any)
1	Killik & Co Trustees	46 Grosvenor Street, LONDON W1K 3HN		The Founders: Greg & Rosie Lock
2	Gregory Hugh Lock	The Old Rectory, All Cannings, Nr Devizes, Wiltshire SN10 3PF		
3	Rosemary Elizabeth Lock			
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17				
18				
19				
20				

**Names of the trustees for the charity, if any, (for example, any custodian trustees)**

Name	Dates acted if not for whole year

#### Names and addresses of advisers (Optional information)

Type of adviser	Name	Address
<b>Solicitors</b>	Birketts LLP	Providence House, 141-145 Princes Street, Ipswich IP1 1QJ
<b>Stockbrokers</b>	Killik & Co LLP	46 Grosvenor Street, London W1K 3HN

#### Name of chief executive or names of senior staff members (Optional information)

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## Section B Structure, governance and management

#### Description of the charity's trusts

Type of governing document (eg. trust deed, constitution)	Trust deed executed in the 12 <sup>th</sup> day of September 2001
How the charity is constituted (eg. trust, association, company)	Trust
Trustee selection methods (eg. appointed by, elected by)	Appointed by Founders (Greg & Rosie Lock)

#### Additional governance issues (Optional information)

You **may choose** to include additional information, where relevant, about:

- policies and procedures adopted for the induction and training of trustees;
- the charity's organisational structure and any wider network with which the charity works;
- relationship with any related parties;
- trustees' consideration of major risks and the system and procedures to manage them.

Trustee Meetings  
Strict Vetting of requests received  
Donations only made to registered charities and recognised educational, religious etc establishments

## Section C Objectives and activities

**Summary of the objects of the charity set out in its governing document**

General Charitable Purposes

A broad range of charities supported, with some emphasis on education and sport.

**Summary of the main activities undertaken for the public benefit in relation to these objects (include within this section the statutory declaration that trustees have had regard to the guidance issued by the Charity Commission on public benefit)**

**Additional details of objectives and activities (Optional information)**

Education and sport to remain in focus for support.

You **may choose** to include further statements, where relevant, about:

- policy on grantmaking;
- policy programme related investment;
- contribution made by volunteers.

## Section D

## Achievements and performance

## Section D

## Achievements and performance

**Summary of the main achievements of the charity during the year**

Making donations to a range of charities  
Outlining mid to long term plans for the future of the charitable foundation



## Section E Financial review

**Brief statement of the charity's policy on reserves**

Prudent investment policy on investments in stocks and shares using professional advisors. Modest cash reserves held

**Details of any funds materially in deficit**

### Further financial review details (Optional information)

You **may choose** to include additional information, where relevant about:

- the charity's principal sources of funds (including any fundraising);
- how expenditure has supported the key objectives of the charity;
- investment policy and objectives including any ethical investment policy adopted.

## Section F Other optional information

## Section G Declaration

The trustees declare that they have approved the trustees' report above.

**Signed on behalf of the charity's trustees**

**Signature(s)**



**Full name(s)**

Alan Hugh McDougall

**Position (e.g. Secretary, Chair, etc)**

Trustee Director

**Date**

20/09/2021



Charity Name	No (if any)
The Greg & Rosie Lock Charitable Trust Foundation	1090360

## Receipts and payments accounts

CC16a

For the period from	Period start date	To	Period end date
	01/12/2019		30/11/2020

### Section A Receipts and payments

	Unrestricted funds to the nearest £	Restricted funds to the nearest £	Endowment funds to the nearest £	Total funds to the nearest £	Last year to the nearest £
<b>A1 Receipts</b>					
Contributions		-	-	-	-
Interest on Deposit	-	-	-	-	-
Dividends	43,231	-	-	43,231	-
Refund Account Charges	1,108	-	-	1,108	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
<b>Sub total</b>	<b>44,339</b>	<b>-</b>	<b>-</b>	<b>44,339</b>	<b>-</b>
<b>A2 Asset and investment sales, etc.</b>					
	£1,006,909.36	-	-	1,006,909	-
<b>Total receipts</b>	<b>1,051,248.35</b>	<b>-</b>	<b>-</b>	<b>1,051,248</b>	<b>-</b>
<b>A3 Payments</b>					
Charitable Donations Made	62,000	-	-	62,000	-
Account Charges	2,284	-	-	2,284	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
		-	-	-	-
<b>Sub total</b>	<b>64,284</b>	<b>-</b>	<b>-</b>	<b>64,284</b>	<b>-</b>
<b>A4 Asset and investment purchases, etc.</b>					
	767,032	-	-	767,032	-
<b>Total payments</b>	<b>831,316</b>	<b>-</b>	<b>-</b>	<b>831,316</b>	<b>-</b>
<b>Net of receipts/(payments)</b>	<b>219,933</b>	<b>-</b>	<b>-</b>	<b>219,933</b>	<b>-</b>
<b>A5 Transfers between funds</b>		-	-	-	-
<b>A6 Cash funds last year end</b>		-	-	-	-
<b>Cash funds this year end</b>	<b>219,933</b>	<b>-</b>	<b>-</b>	<b>219,933</b>	<b>-</b>

## Section B Statement of assets and liabilities at the end of the period

Categories	Details	Unrestricted funds to nearest £	Restricted funds to nearest £	Endowment funds to nearest £
<b>B1 Cash funds</b>	Current Account	269	-	-
		-	-	-
		-	-	-
	<b>Total cash funds</b>	269	-	-
	(agree balances with receipts and payments account(s))	Agreement Error	OK	OK
		Unrestricted funds to nearest £	Restricted funds to nearest £	Endowment funds to nearest £
<b>B2 Other monetary assets</b>	Details	-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
<b>B3 Investment assets</b>	Details	Fund to which asset belongs	Cost (optional)	Current value (optional)
	Investment Account		-	-
			-	-
			-	-
			-	-
			-	-
<b>B4 Assets retained for the charity's own use</b>	Details	Fund to which asset belongs	Cost (optional)	Current value (optional)
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
<b>B5 Liabilities</b>	Details	Fund to which liability relates	Amount due (optional)	When due (optional)
			-	
			-	
			-	
			-	
			-	
Signed by one or two trustees on behalf of all the trustees	Signature	Print Name	Date of approval	
		Alan Hugh McDougall		



# The Greg & Rosie Lock Charitable Trust Foundation

Accounts 01/12/19 to 30/11/20

Balance brought forward (Bank and Killik accounts)

£26,716.97

## CREDITS

Date

Amount

### Credit Cash Movements

Transfer from Killik & Co account KN48064

20/01/2021

-£90,000.00

### Current Account Interest:

### Killik & Co Income:

Dividend 69700 SCOTTISH MORTGAGE INV TRUST

06/12/2019

£968.83

Dividend 1245 ESTEE LAUDER

16/12/2019

£377.67

Dividend 5500 IMPERIAL BRANDS PLC

31/12/2019

£3,960.00

Dividend 1245 ESTEE LAUDER

16/03/2020

£411.66

Dividend 5500 IMPERIAL BRANDS PLC

31/03/2020

£3,960.55

Dividend 22600 FINSBURY GROWTH & INCOME TRUST

15/05/2020

£1,808.00

Dividend 5500 IMPERIAL BRANDS PLC

30/06/2020

£1,146.75

Dividend 69700 SCOTTISH MORTGAGE INV TRUST

01/07/2020

£1,296.42

Dividend 295 LINDSELL TRAIN INVESTMENTTST(THE)

10/09/2020

£12,980.00

Dividend 1245 ESTEE LAUDER

15/09/2020

£391.63

Dividend 129508 COMPUTACENTER

23/10/2020

£15,929.48

### Capital Payments:

Sold 10000 FINSBURY GWTH&INC Del 886 S Date 16/01/2020

16/01/2020

£87,973.50

Sold 22600 FINSBURY GWTH&INC Del 830 S Date 22/07/2020

22/07/2020

£186,458.60

Sold 5500 IMPERIAL BRANDS Del 1401.5 S Date 22/07/2020

22/07/2020

£76,513.58

Sold 11000 SCOTTISH MORTGAGE Del 917.62 S Date 24/08/2020

24/08/2020

£100,250.00

Sold 140 LND SL TRN INV TST Del 130000 S Date 27/11/2020

27/11/2020

£180,906.50

Sold 7250 SMITHSON INV Del 1572.72413 S Date 27/11/2020

27/11/2020

£113,268.88

Sold 12200 SCOTTISH MORTGAGE Del 1077 S Date 27/11/2020

27/11/2020

£130,553.53

Sold 225000 CAPITA PLC Del 45.677 S Date 27/11/2020

27/11/2020

£102,075.88

Sold 160 ESTEE LAUDER Del 246.69937 S Date 30/11/2020

30/11/2020

£28,908.89

### Account Charges:

Global Custody Quarterly Rebate (Value Date 13/01/20)

13/01/2020

£545.43

Global Custody Quarterly Rebate (Value Date 14/04/2020)

14/04/2020

£562.57

Total Credits

£961,248.35

## DEBITS

Date

Amount

£987,965.32

### less Charitable Donations made to date:-

Cheque No 800012 - Caroline Webb

03/03/2020

-£10,000.00

Cheque No 800011 - Welsh Academicals

17/03/2020

-£20,000.00

Cheque No 800013 - Doorstep Library

06/05/2020

-£10,000.00

Chance to Shine

24/07/2020

-£20,000.00

Churchill College

26/11/2020

-£2,000.00

### Capital Expenditure:

Bought 660000 CAPITA PLC Del 39.78 S Date 22/07/2020

22/07/2020

-£264,044.24

Bought 160000 VODAFONE GROUP Del 124.62 S Date 27/11/2020

27/11/2020

-£200,572.46

Bought 20600 PENNON GROUP Del 976.75 S Date 27/11/2020

27/11/2020

-£202,400.06

FP charge KN48064

24/08/2020

-£15.00

Cognition X

24/08/2020

-£100,000.00

### Account Charges:

Global Custody Quarterly Charge (Value Date 13/01/20)

13/01/2020

-£785.13

Global Custody Quarterly Charge (Value Date 14/04/2020)

14/04/2020

-£738.72

Global Custody Quarterly Charge (Value Date 13/07/2020)

13/07/2020

-£380.00

Global Custody Quarterly Charge (Value Date 12/10/2020)

12/10/2020

-£380.00

### Cash Movements

Transfer from Killik A/c to Metro

£90,000.00

Total Debits

-£731,315.61

Total balance on Bank of Scotland, Metro and Killik & Co accounts

Carry Forward

£256,649.71

Statement Balances as at 30/11/20

Bank of Scotland

£0.00

Metro Bank

£75,948.71

Killik & Co

£192,686.00

Total

£268,634.71