

Registered number

03539586

Charity Registration number

1088823

Northern Roots

Report and Accounts

31 March 2022

**Northern Roots**  
**Report and accounts**  
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## **Northern Roots Company Information**

### **Directors**

K Dougherty  
D Holmes  
B Mather  
Y Ngoo  
R Perdik  
T Ali  
K Dubois  
N Johnson  
B Mamuini  
L Naruse  
R Reza

### **Secretary**

K Dougherty

### **Accountants**

Bell Anderson Limited  
Lindum  
264-266 Durham Road  
Gateshead  
Tyne and Wear  
NE8 4JR

### **Registered office**

Office 44  
63 High Bridge  
Newcastle upon Tyne  
Tyne and Wear  
NE1 6BX

### **Registered number**

03539586

### **Charity registration number**

1088823

**Northern Roots****Registered number: 03539586****Trustees' Report**

The Trustees present their report and accounts for the year ended 31 March 2022.

The accounts have been prepared in accordance with the accounting policies set out in note 1 to the accounts and comply with the governing document, the Companies Act 2006 and follow the Charities SORP (FRS 102) . Structure, governance and management The Charity is a company limited by guarantee. The company was established under the Memorandum of Association which established the objects and power of the charitable company and is governed under the Articles of Association. In the event of the company being wound up members are required to contribute an amount not exceeding £1.

The Trustees have assessed the major risks to which the Charity is exposed, and are satisfied that systems are in place to mitigate exposure to the major risks.

**Objectives and activities**

The objects of the Charity is to foster and promote the arts in society for the benefit of the general public in the United Kingdom but in particular the North-East of England by developing public appreciation of the arts and by improving public access to the arts and the quality of the arts. The Trustees have paid due regard to guidance issued by the Charity Commission including that regarding public benefit in deciding what activities the Charity should undertake.

**Trustees**

The following persons served as Trustees during the year:

K Dougherty  
D Holmes  
B Mather  
Y Ngoo  
R Perdik  
T Ali  
K Dubois  
N Johnson  
B Mamuini  
L Naruse  
R Reza

## **Northern Roots**

**Registered number:**

**03539586**

## **Trustees' Report**

### **Achievements and performance**

The Covid 19 Pandemic has continued to cause issues with in person concerts, audience numbers and the ability to promote artists from outside of the UK, especially those travelling from the USA. Northern Roots has continued to lead a focused fundraising campaign to support our outreach and development work with Artists from the Global Majority in the North East. We have maintained a consistent Jumpin' Hot Club (JHC) programme with a minimised risk through stripped back programming, working closely with venues and artists, and a focused marketing campaign.

Over the past year we have continued to support Kema Kay and Kay Greyson to work extensively with young people from the west end of Newcastle. Over the past year Northern Roots has continued to focus our work - maximising on the impact of our outreach work, and maintaining a high quality JHC programme.

Youth Music continued to support work with Kema Kay and Kay Greyson, working with communities of young people from the Global Majority based in the West End of Newcastle - which has meant the organisation has been able to support 30 young people. The feedback from participants has been very positive, and the impact of the work has been shown in showcase concerts which continue to grow in popularity.

We have continued to provide mentoring to artists throughout this time - supporting members of Voices of Virtue, Radical Queen, Vitamin Noir, Kema Kay and Kay Greyson - supporting with fundraising, mentoring, platforming and business development. This year has been made more complex with the need to continuously flex to the changing regulations surrounding covid - whilst not losing the trust of our audiences or the artists and venues we work with. We have had to bring in new programming whilst also re-programming acts from 2020 and early 2021.

We managed to deliver a small scale Country Cantina event in Easington during the summer of 2021. This was a success and ensured we maintained a strong connection with our loyal audiences, we were able to give work to UK based acts and technicians, and ensure we had a presence in local media etc. As well as continue the long running partnership with the owners of The Barn at Easington.

### **Partnerships**

As part of our focus we have developed and nurtured partnerships to strengthen our outreach and programming – this includes Youth Music, music development agency Generator, and venues such as Gosforth Civic Theatre, The Globe Newcastle and Hoochie Coochie.

### **Funding**

We have responded well to a very challenging funding environment. We have continued to strengthen the relationship with Tyne and Wear Community Foundation which has ensured we have been able to secure core funding for 3 years from 2022 financial year from the Newcastle Cultural Investment Fund. We have also managed to secure future core funding from Youth Music to support the development of the organisation.

We have continued to strengthened our relationship with Arts Council, re-establishing ourselves as an important part of the cultural and music scene in the North East of England, drawing down funds to support with the continued employment of core staff and artists throughout the pandemic. This enabled us to also work with an established fundraiser through the Culture Recovery Investment Continuity Fund.

**Northern Roots****Registered number: 03539586****Trustees' Report****Financial review**

It is the charities policy that unrestricted funds which have not been designated for a specific use should be maintained at the level equivalent to between three and six months expenditure. Due to the changes in funding sources and the timing of receipts it has not always been possible to adhere to this policy whilst pursuing the charities aims and objectives. Part of the focus of the last years fundraising and financial planning has been to secure funds to run longer and more sustained programmes - which includes funding to build up a reserves amount amounting to 3 months of core expenditure. We have also scaled back core costs by giving notice on paid office space - relying more on staff working from home and some of the creative hubs, which are developing across the city.

**Small company provisions**

This report has been prepared in accordance with the provisions in Part 15 of the Companies Act 2006 applicable to companies subject to the small companies regime.

This report was approved by the board on 17 November 2022 and signed by its order.

R Perdik  
Chairman

K Dougherty  
Secretary

**Northern Roots**  
**Independent examiner's report on the accounts**

**Report to the trustees, directors & members of Northern Roots on accounts for the year ended 31 March 2020 Set out on pages 1 to 9 of the financial statements.**

**Charity no.: 1088823**

**Company no.: 03539586**

**Responsibilities and basis of report**

I report to the charity trustees on my examination of the accounts of the Company for the year ended 31/03/2022. As the charity's trustees of the Company (who are also the directors of the company for the purposes of company law), you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ("the 2006 Act"). Having satisfied myself that the accounts of the Company are not required to be audited for this year under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under section 145 of the Charities Act 2011 ("the 2011 Act"). In carrying out my examination, I have followed the Directions given by the Charity Commission (under section 145(5)(b) of the 2011 Act.

**Independent examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention which gives me cause to believe that:

- accounting records were not kept in accordance with section 386 of the Companies Act 2006; or
- the accounts do not accord with such records; or
- the accounts do not comply with relevant accounting requirements under section 396 of the Companies Act 2006 other than any requirement that the accounts give a 'true and fair' view which is not a matter considered as part of an independent examination; or
- the accounts have not been prepared in accordance with the Charities SORP (FRS102).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Bell Anderson Limited  
Chartered Accountants

Lindum  
264-266 Durham Road  
Gateshead  
Tyne and Wear  
NE8 4JR

17 November 2022

**Northern Roots**  
**Statement of Financial Activities**  
**for the year ended 31 March 2022**

	Notes	Unrestricted funds £	Restricted funds £	2022 £	2021 £
<b>Income from</b>					
Donations and legacies	3	-		-	2,719
Charitable activities	4	43,087	164,336	207,423	91,460
Other trading activities	5	13,875		13,875	1,083
Other operating income		6,464		6,464	22,303
		<u>63,426</u>	<u>164,336</u>	<u>227,762</u>	<u>117,565</u>
<b>Expenditure on</b>					
Raising funds	6	<u>53,324</u>		<u>53,324</u>	<u>38,898</u>
Charitable activities	7	<u>7,466</u>	<u>100,020</u>	<u>107,486</u>	<u>39,080</u>
<b>Total Expenditure</b>		<u>60,790</u>	<u>100,020</u>	<u>160,810</u>	<u>77,978</u>
<b>Net income before transfers</b>		2,636	64,316	66,952	39,587
<b>Net Income for the year/Net movement in funds</b>		2,636	64,316	66,952	39,587
Fund balances at 31 March 2021		5,759	41,290	47,049	7,462
<b>Fund balances at 31 March 2022</b>		<u>8,395</u>	<u>105,606</u>	<u>114,001</u>	<u>47,049</u>



**Northern Roots****Registered number:** 03539586**Balance Sheet****as at 31 March 2022**

	Notes	2022 £	2021 £
<b>Fixed assets</b>			
Tangible assets	8	1,503	1,311
<b>Current assets</b>			
Debtors	9	9,606	-
Cash at bank and in hand		118,949	56,442
		<u>128,555</u>	<u>56,442</u>
<b>Creditors: amounts falling due within one year</b>	10	(16,057)	(10,704)
<b>Net current assets</b>		<u>112,498</u>	<u>45,738</u>
<b>Net assets</b>		<u>114,001</u>	<u>47,049</u>
<b>Funds</b>			
Restricted funds		105,606	41,290
Unrestricted funds		8,395	5,759
<b>Total funds</b>		<u>114,001</u>	<u>47,049</u>

The Trustees are satisfied that the company is entitled to exemption from the requirement to obtain an audit under section 477 of the Companies Act 2006.

The members have not required the company to obtain an audit in accordance with section 476 of the Act.

The Trustees acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of accounts.

The accounts have been prepared and delivered in accordance with the special provisions applicable to companies subject to the small companies regime. The profit and loss account has not been delivered to the Registrar of Companies.

R Perdik

Chair

Approved by the board on 17 November 2022

**Northern Roots**  
**Notes to the Accounts**  
**for the year ended 31 March 2022**

**1 Accounting policies**

***Basis of preparation***

The accounts have been prepared under the historical cost convention and in accordance with FRS 102, The Financial Reporting Standard applicable in the UK and Republic of Ireland (as applied to small entities by section 1A of the standard).

***Turnover***

Turnover is measured at the fair value of the consideration received or receivable, net of discounts and value added taxes. Turnover includes revenue earned from the sale of goods and from the rendering of services. Turnover from the sale of goods is recognised when the significant risks and rewards of ownership of the goods have transferred to the buyer. Turnover from the rendering of services is recognised by reference to the stage of completion of the contract. The stage of completion of a contract is measured by comparing the costs incurred for work performed to date to the total estimated contract costs.

***Tangible fixed assets***

Tangible fixed assets are measured at cost less accumulative depreciation and any accumulative impairment losses. Depreciation is provided on all tangible fixed assets, other than freehold land, at rates calculated to write off the cost, less estimated residual value, of each asset evenly over its expected useful life, as follows:

Plant and machinery	20% reducing balance
Fixtures, fittings, tools and equipment	20% reducing balance

***Debtors***

Short term debtors are measured at transaction price (which is usually the invoice price), less any impairment losses for bad and doubtful debts. Loans and other financial assets are initially recognised at transaction price including any transaction costs and subsequently measured at amortised cost determined using the effective interest method, less any impairment losses for bad and doubtful debts.

***Creditors***

Short term creditors are measured at transaction price (which is usually the invoice price). Loans and other financial liabilities are initially recognised at transaction price net of any transaction costs and subsequently measured at amortised cost determined using the effective interest method.

***Provisions***

Provisions (ie liabilities of uncertain timing or amount) are recognised when there is an obligation at the reporting date as a result of a past event, it is probable that economic benefit will be transferred to settle the obligation and the amount of the obligation can be estimated reliably.

***Leased assets***

A lease is classified as a finance lease if it transfers substantially all the risks and rewards incidental to ownership. All other leases are classified as operating leases. The rights of use and obligations under finance leases are initially recognised as assets and liabilities at amounts equal to the fair value of the leased assets or, if lower, the present value of the minimum lease payments. Minimum lease payments are apportioned between the finance charge and the reduction in the outstanding liability using the effective interest rate method. The finance charge is allocated to each period during the lease so as to produce a constant periodic rate of interest on the remaining balance of the liability. Leased assets are depreciated in accordance with the company's policy for tangible fixed assets. If there is no reasonable certainty that ownership will be obtained at the end of the lease term, the asset is depreciated over the lower of the lease term and its useful life. Operating lease payments are recognised as an expense on a straight line basis over the lease term.

***Pensions***

Contributions to defined contribution plans are expensed in the period to which they relate.

**Northern Roots**  
**Notes to the Accounts**  
**for the year ended 31 March 2022**

<b>2 Employees</b>	<b>2022</b>	<b>2021</b>
	<b>Number</b>	<b>Number</b>
Average number of persons employed by the company	3	3
<b>Employment Costs</b>	<b>2022</b>	<b>2021</b>
	<b>£</b>	<b>£</b>
Wages and salaries	48,255	47,765
<b>3 Donations and legacies</b>	<b>2022</b>	<b>2021</b>
	<b>£</b>	<b>£</b>
Donations & gifts	-	2,719
	-	2,719
All donations for 2021 are unrestricted		
<b>4 Charitable activities</b>	<b>2022</b>	<b>2021</b>
	<b>£</b>	<b>£</b>
<b>Restricted Funds</b>		
Arts Council - Catalyst Project	-	-
Community Foundation	14,200	13,750
Durham	-	-
Joicey Trust	-	8,000
Barbour Foundation	-	2,000
Gateshead project	10,959	2,502
US Embassy	2,274	8,748
HMPPS	-	5,000
National Foundation Youth Music	50,600	15,000
Arts Council	26,315	4,193
Virgin	24,300	-
VoV Schools Project	15,000	-
Sadler Wells	8,188	-
Allen Lane	7,500	-
Mentoring	5,000	-
Catherine Cookson	-	1,000
The Shears Foundation	-	4,000
	164,336	64,193
	<b>2022</b>	<b>2021</b>
	<b>£</b>	<b>£</b>
<b>Unrestricted Funds</b>		
Unrestricted income - Merchandising etc	399	299
Unrestricted Arts Council Grant	42,688	19,468
Unrestricted Freemasons	-	1,000
Unrestricted GMBC Covid	-	5,000
Unrestricted Shear Foundation	-	1,500
	43,087	27,267
<b>5 Other trading activities</b>	<b>2022</b>	<b>2021</b>
	<b>£</b>	<b>£</b>
Ticket sales	13,875	1,083
	13,875	1,083

**Northern Roots**  
**Notes to the Accounts**  
**for the year ended 31 March 2022**

<b>6 Raising funds</b>	<b>2022</b>	<b>2021</b>
	<b>£</b>	<b>£</b>
Bank charges	81	84
Accountancy fees	3,716	347
Adverts	3,613	(300)
Artists	12,463	-
Computer costs	-	-
Consultancy - Fundraising	1,814	-
Core Costs reallocation	(24,139)	-
Depreciation	166	-
Entertaining	372	-
Equipment hire	-	400
Finance costs		
Insurance	131	164
Other direct costs	-	-
Other legal and professional	13	763
Pension	-	-
Printing, postage and stationery	78	73
Rent	2,194	3,000
repairs	250	-
Sound engineering	3,685	580
Subcontractor costs	-	-
Subscriptions	438	144
Sundries - Fundraising	431	825
Sundries	91	-
Software and IT	547	315
Telephone	-	-
Travel and accommodation	1,328	(68)
Venue hire	1,191	83
Wages	44,861	32,488
	<u>53,324</u>	<u>38,898</u>

## 7 Charitable activities

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**Northern Roots**  
**Notes to the Accounts**  
**for the year ended 31 March 2022**

**8 Tangible fixed assets**

	<b>Fixtures and fittings £</b>
<b>Cost</b>	
At 1 April 2021	10,600
Additions	358
At 31 March 2022	<u>10,958</u>
<b>Depreciation</b>	
At 1 April 2021	9,289
Charge for the year	166
At 31 March 2022	<u>9,455</u>
<b>Net book value</b>	
At 31 March 2022	<u>1,503</u>
At 31 March 2021	<u>1,311</u>

**9 Debtors**

	<b>2022 £</b>	<b>2021 £</b>
Trade debtors	<u>9,606</u>	<u>-</u>

**10 Creditors: amounts falling due within one year**

	<b>2022 £</b>	<b>2021 £</b>
Trade creditors	819	785
Taxation and social security costs	1,331	168
Other creditors	<u>13,907</u>	<u>9,751</u>
	<u>16,057</u>	<u>10,704</u>

**11 Restricted funds**

	<b>Balance at 1 April 2021 £</b>	<b>Incoming Resources</b>	<b>Resources Expended</b>	<b>Transfers</b>	<b>Balance at 31 March 2022 £</b>
Arts Council		26,315	26,315		-
Community Foundation	10,336	14,200	6,200		18,336
Gateshead Project		10,959	10,959		-
Other	22,208	39,074	5,175		56,107
Sadlers Wells	-	8,188	7,580		608
VoV Schools Project		15,000	3,000		12,000
National Foundation Youth Music	8,746	50,600	40,791		18,555
	<u>41,290</u>	<u>164,336</u>	<u>100,020</u>	<u>-</u>	<u>105,606</u>

**12 Other information**

Northern Roots is a private company limited by guarantee without share capital and incorporated in England. Its registered office is:  
Office 44  
63 High Bridge  
Newcastle upon Tyne  
Tyne and Wear  
NE1 6BX