

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

England & Wales · Charity number 1082961

## Details

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<b>Other names</b>	NORTH EAST WARWICKSHIRE INDEPENDANT ADVOCACY ALLIANCE, WARWICKSHIRE INDEPENDANT ADVOCACY ALLIANCE, INDEPENDENT ADVOCACY
<b>Status</b>	Registered
<b>Legal form</b>	Charitable company
<b>Company number</b>	<a href="#">03942462</a>
<b>Registered</b>	2000-10-19
<b>Register</b>	<a href="#">View on the Charity Commission register</a>

## Contact

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<b>Address</b>	Warwickshire Independent Advocacy A 4-6 Clemens Street Leamington Spa Warwickshire CV31 2DL
<b>Phone</b>	02476 697443
<b>Email</b>	<a href="mailto:office@independentadvocacy.org">office@independentadvocacy.org</a>
<b>Website</b>	<a href="http://www.independentadvocacy.org">www.independentadvocacy.org</a>

## Activities

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**Objects:** THE OBJECTS FOR WHICH THE COMPANY IS ESTABLISHED ARE TO BE EFFECTED WITHIN THE UNITED KINGDOM, ("THE AREA OF BENEFIT") AND ARE TO PROMOTE THE RELIEF OF PERSONS RESIDING IN THE AREA OF BENEFIT BEING PERSONS IN NEED OF SUCH RELIEF BY REASON OF AGE, ILLNESS, PHYSICAL SENSORY DISABILITY, MENTAL DISTRESS, LEARNING DISABILITIES OR OTHER DISADVANTAGES BY DEVELOPING SUPPORTING PROMOTING AND PROVIDING A VARIETY OF ADVOCACY, REPRESENTATION, APPOINTEE AND OTHER SERVICES TO SUCH PEOPLE TO ENABLE THEM TO MANAGE THEIR AFFAIRS IN A WAY WHICH WILT IMPROVE THEIR OVERALL QUALITY OF LIFEAND TO PROMOTE SUCH OTHER CHARITABLE PURPOSES AS MAY BE BENEFICIAL TO SUCH PERSONS

**Activities:** Independent Advocacy helps empower people to lead a full life through innovation. We empower people as a way to make them less vulnerable, improve their self-esteem and become more confident. Independent Advocacy delivers a range of work including Kinder Communities, Advocacy, Information, Advice, Corporate Appointee work , Digital Champions etc.

## Classification

- **How:** Provides Services, Provides Advocacy/advice/information, Other Charitable Activities
- **What:** General Charitable Purposes, The Advancement Of Health Or Saving Of Lives, Disability, The Prevention Or Relief Of Poverty, Accommodation/housing, Other Charitable Purposes
- **Who:** Elderly/old People, People With Disabilities, Other Charities Or Voluntary Bodies, Other Defined Groups, The General Public/mankind

## Geography

- **Area of benefit:** COUNTY OF WARWICKSHIRE AND ITS SURROUNDING DISTRICTS
- Coventry City
- Lincolnshire
- Solihull
- Warwickshire

## Finances

Period end	Income	Expenditure	Assets	Employees
2025-03-31	£309,119	£272,636	-	-
2024-03-31	£307,865	£318,353	-	-
2023-03-31	£319,536	£334,638	-	-
2022-03-31	£339,605	£391,119	-	-
2021-03-31	£337,499	£386,079	-	-

## Trustees

Name	Role	Appointed
Christopher David Bain		2021-06-28
Michael Roberts-Owen		2025-06-10
Omar Sultani		2024-06-10

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

England & Wales - Charity number 1082961

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# Accounts

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**Charity registration number 1082961 (England and Wales)**

**Company registration number 03942462**

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**ANNUAL REPORT AND UNAUDITED FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 31 MARCH 2025**

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## LEGAL AND ADMINISTRATIVE INFORMATION

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<b>Trustees</b>	D Enifeni (Chair)	(Appointed 10 June 2024)
	O Sultani	(Appointed 10 June 2024)
	C D Bain	
	M Roberts-Owen	(Appointed 10 June 2025)
<b>Charity number (England and Wales)</b>	1082961	
<b>Company number</b>	03942462	
<b>Registered office</b>	4-6 Clemens Street Leamington Spa CV31 2DL	
<b>Independent examiner</b>	Burgis & Bullock 23-25 Waterloo Place Leamington Spa Warwickshire CV32 5LA	

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# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

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# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## TRUSTEES' REPORT (INCLUDING DIRECTORS' REPORT)

*FOR THE YEAR ENDED 31 MARCH 2025*

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### **Introduction**

The Trustees are pleased to present their annual report and accounts for the year ending 31 March 2025. These have been prepared to meet the requirements for a Directors' report and accounts for the purposes of the Companies Acts.

The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

### **Objectives and activities**

The Objects of the Company are set out in its Memorandum of Association. The Objects for which the Company is established are to be effected within the United Kingdom ('The area of Benefit') and are to promote the relief of persons residing in the Area of Benefit being persons in need of such relief by reason of age, illness, physical disability, sensory disability, mental distress, learning disabilities or other disadvantages by developing, supporting, promoting and providing a variety of advocacy, representation, appointee and other services to such people to enable them to manage their affairs in a way which will improve their overall quality of life, and to promote such other charitable purposes as may be beneficial to such persons.

#### *Public benefit*

The Trustees have reviewed the public benefit provided by the Charity and are satisfied that it continues to meet the requirements of the Charity Commission.

### **Achievements and performance**

#### *Highlights and Key Developments*

The Trustees continue to support the charity's objectives, focusing on sustainability, quality of service, and financial stability. During the year, over 500 people were directly supported across Warwickshire, Solihull, and Coventry, and over 1500 people indirectly benefited.

#### *The Advocacy Hub*

The Advocacy Hub continued to deliver statutory and non-statutory advocacy. Around 250 people received direct advocacy support. The partnership with Solihull Action through Advocacy (SAAtA) concluded in September 2025. During the partnership, the organisation delivered IMHA, IMCA, Care Act, NHS Complaints, and Community Peer Support advocacy across Solihull.

Additional services included Relevant Paid Representative, Parental Advocacy, Private Advocacy, and Nearest Relative advocacy.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## TRUSTEES' REPORT (INCLUDING DIRECTORS' REPORT) (CONTINUED)

*FOR THE YEAR ENDED 31 MARCH 2025*

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### *The Money Hub*

The Money Hub continued to manage Corporate Appointeeship services across multiple regions, supporting 323 clients and safeguarding approximately £2.9 million in client funds. The charity successfully secured the Solihull Money Management Contract worth approximately £84,000 per annum, running until June 2027 with an option for a four-year extension.

The Warwickshire County Council Appointee Contract, worth approximately £60,000 was extended until March 2028.

### *The Community Hub*

The Bedworth Community Corner and Charity Shop closed in June 2024 due to insufficient income generation. The organisation relocated to new serviced offices in Leamington Spa, reducing overheads and providing modern, flexible workspaces.

### *Fundraising and Data Protection*

The charity fundraises primarily through internal and volunteer efforts, not using third-party agencies. Robust data protection policies are maintained, and all client records are securely managed using Charity Log.

### **Financial review**

The charity made a surplus of £36,483 for the year, compared with a deficit of £10,488 in 2023/24. The move to new premises and the award of new contracts have strengthened financial resilience.

### *Reserves policy*

Reserves are maintained at a level sufficient to enable six months of operation during unforeseen difficulties and to meet long-term liabilities.

As at the year end, the charity's reserves have fallen below the reserves policy. The shortfall in reserves will be generated through cost-saving measures across operating expenses, combined with a new fundraising campaign concentrating on increasing donations. Monthly financial monitoring and immediate designation of these funds will ensure the policy requirement is met quickly and efficiently.

### *Principal funding sources*

Principal funding sources include Warwickshire County Council, Coventry City Council, and the new Solihull Money Management Contract. The SAa partnership ended in September 2025.

### *Investment policy*

The Trustees can invest surplus funds in short-term, low-risk deposits to protect capital value.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## TRUSTEES' REPORT (INCLUDING DIRECTORS' REPORT) (CONTINUED)

*FOR THE YEAR ENDED 31 MARCH 2025*

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### **Structure, governance and management**

Warwickshire Independent Advocacy Alliance (the Company) is a company limited by guarantee, formed in March 2000 and adopted by Special Resolution in January 2001, and by Written Resolution in June 2012. The Company is a registered charity governed by its Memorandum and Articles of Association.

The Board of Trustees administers the charity and continues to meet monthly throughout the year. Matters that would normally be handled by subcommittees were initially managed directly at the Board level. In the following year, subcommittees for HR & Operations and Finance were established.

Mike Lee was appointed Chief Executive on 16 October 2024, having previously been Operations Manager. The Trustees, who are also the directors for the purpose of company law, and who served during the year and up to the date of signature of the financial statements were:

D Enifeni (Chair)	(Appointed 10 June 2024)
O Sultani	(Appointed 10 June 2024)
C D Bain	
F Rahman	(Resigned 30 August 2024)
M Roberts-Owen	(Appointed 10 June 2025)

### *Recruitment and appointment of trustees*

The Directors of the Company are also Charity Trustees under Charity Law. One third retire by rotation each year and may stand for re-election. Trustees are regularly briefed on the charity's operations at Board meetings

### *Risk Management*

The Board reviews key risks annually via a Risk Register. Key risks include contract renewal uncertainty, operational resilience, and data protection. Mitigations include robust internal controls, delegated authority, and policy reviews.

### **Funds held as custodian trustee**

At 31 March 2025, £4,776,539 was held on behalf of Appointeeship clients in designated client accounts. These funds are safeguarded and not part of the charity's assets.

The Trustees' report was approved by the Board of Trustees.

*Chris Bain*

C D Bain  
**Trustee**

25 November 2025

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## INDEPENDENT EXAMINER'S REPORT

### TO THE TRUSTEES OF WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

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I report to the Trustees on my examination of the financial statements of Warwickshire Independent Advocacy Alliance (the Charity) for the year ended 31 March 2025.

#### **Responsibilities and basis of report**

As the Trustees of the Charity (and also its directors for the purposes of company law), you are responsible for the preparation of the financial statements in accordance with the requirements of the Companies Act 2006.

Having satisfied myself that the financial statements of the Charity are not required to be audited under Part 16 of the Companies Act 2006 and are eligible for independent examination, I report in respect of my examination of the Charity's financial statements carried out under section 145 of the Charities Act 2011. In carrying out my examination I have followed the Directions given by the Charity Commission under section 145(5)(b) of the Charities Act 2011.

#### **Independent examiner's statement**

Since the Charity's gross income exceeded £250,000, the independent examiner must be a member of a body listed in section 145 of the Charities Act 2011. I confirm that I am qualified to undertake the examination because I am a member of the Institute of Chartered Accountants in England and Wales which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

- 1 accounting records were not kept in respect of the Charity as required by section 386 of the Companies Act 2006.
- 2 the financial statements do not accord with those records; or
- 3 the financial statements do not comply with the accounting requirements of section 396 of the Companies Act 2006 other than any requirement that the financial statements give a true and fair view, which is not a matter considered as part of an independent examination; or
- 4 the financial statements have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the financial statements to be reached.

*W A Hubbard*  
**Wende Hubbard FCCA**

**Burgis & Bullock**

23-25 Waterloo Place

Leamington Spa

Warwickshire

CV32 5LA 25th November 2025

Date: .....

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## STATEMENT OF FINANCIAL ACTIVITIES INCLUDING INCOME AND EXPENDITURE ACCOUNT

**FOR THE YEAR ENDED 31 MARCH 2025**

		Unrestricted funds 2025	Restricted funds 2025	Total 2025	Unrestricted funds 2024 as restated	Restricted funds 2024 as restated	Total 2024 as restated
	Notes	£	£	£	£	£	£
<b>Income from:</b>							
Donations and legacies	3	1,280	-	1,280	2,727	-	2,727
Charitable activities	4	-	300,479	300,479	-	268,661	268,661
Fundraising	5	5,634	-	5,634	34,773	-	34,773
Investment income	6	1,726	-	1,726	1,704	-	1,704
<b>Total income</b>		<u>8,640</u>	<u>300,479</u>	<u>309,119</u>	<u>39,204</u>	<u>268,661</u>	<u>307,865</u>
<b>Expenditure on:</b>							
Raising funds	7	37,177	-	37,177	43,412	-	43,412
Charitable activities	8	-	235,459	235,459	-	274,941	274,941
<b>Total expenditure</b>		<u>37,177</u>	<u>235,459</u>	<u>272,636</u>	<u>43,412</u>	<u>274,941</u>	<u>318,353</u>
<b>Net income/(expenditure)</b>		(28,537)	65,020	36,483	(4,208)	(6,280)	(10,488)
Transfers between funds		-	-	-	(6,280)	6,280	-
<b>Net movement in funds</b>		(28,537)	65,020	36,483	(10,488)	-	(10,488)
<b>Reconciliation of funds:</b>							
Fund balances at 1 April 2024		74,368	-	74,368	84,856	-	84,856
<b>Fund balances at 31 March 2025</b>		<u>45,831</u>	<u>65,020</u>	<u>110,851</u>	<u>74,368</u>	<u>-</u>	<u>74,368</u>

The statement of financial activities includes all gains and losses recognised in the year. All income and expenditure derive from continuing activities.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## BALANCE SHEET

AS AT 31 MARCH 2025

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		2025		2024	
	Notes	£	£	£	£
<b>Current assets</b>					
Debtors	14	74,056		18,705	
Cash at bank and in hand		48,680		70,919	
		<u>122,736</u>		<u>89,624</u>	
<b>Creditors: amounts falling due within one year</b>	<b>15</b>	<u>(11,885)</u>		<u>(15,256)</u>	
<b>Net current assets</b>			<u>110,851</u>		<u>74,368</u>
<b>The funds of the Charity</b>					
Restricted income funds	17		65,020		-
Unrestricted funds	18		45,831		74,368
			<u>110,851</u>		<u>74,368</u>

The company is entitled to the exemption from the audit requirement contained in section 477 of the Companies Act 2006, for the year ended 31 March 2025.

The directors acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of financial statements.

The members have not required the company to obtain an audit of its financial statements for the year in question in accordance with section 476.

These financial statements have been prepared in accordance with the provisions applicable to companies subject to the small companies regime.

The financial statements were approved by the Trustees on 25 November 2025

*Chris Bain*

C D Bain  
**Trustee**

Company registration number 03942462 (England and Wales)

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS

*FOR THE YEAR ENDED 31 MARCH 2025*

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### **1 Accounting policies**

#### **Charity information**

Warwickshire Independent Advocacy Alliance is a private company limited by guarantee incorporated in England and Wales. The registered office is 4-6 Clemens Street, Leamington Spa, CV31 2DL.

#### **1.1 Basis of preparation**

The financial statements have been prepared in accordance with the Charity's governing document, the Companies Act 2006, FRS 102 "The Financial Reporting Standard applicable in the UK and Republic of Ireland" and the Charities SORP "Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102)". The Charity is a Public Benefit Entity as defined by FRS 102.

The financial statements are prepared in sterling, which is the functional currency of the Charity. Monetary amounts in these financial statements are rounded to the nearest £.

The financial statements have been prepared under the historical cost convention. The principal accounting policies adopted are set out below.

#### **1.2 Going concern**

As set out in more detail in the financial review of the trustee's report, the charity's reserves have fallen below the reserves policy and a key contract of the charity ended in September 2025. The trustee's have considered budgets and forecasts for the next 12 months to consider the financial viability of the charity and at the time of approving the financial statements, the Trustees have a reasonable expectation that the Charity has adequate resources to continue in operational existence for the foreseeable future. Thus the Trustees continue to adopt the going concern basis of accounting in preparing the financial statements.

#### **1.3 Charitable funds**

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of their charitable objectives.

Restricted funds are subject to specific conditions by donors or grantors as to how they may be used. The purposes and uses of the restricted funds are set out in the notes to the financial statements.

#### **1.4 Income**

Income is recognised when the Charity is legally entitled to it after any performance conditions have been met, the amounts can be measured reliably, and it is probable that income will be received.

Cash donations are recognised on receipt. Other donations are recognised once the Charity has been notified of the donation, unless performance conditions require deferral of the amount. Income tax recoverable in relation to donations received under Gift Aid or deeds of covenant is recognised at the time of the donation.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

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### 1 Accounting policies

(Continued)

Legacies are recognised on receipt or otherwise if the Charity has been notified of an impending distribution, the amount is known, and receipt is expected. If the amount is not known, the legacy is treated as a contingent asset.

#### 1.5 Expenditure

Expenditure is recognised once there is a legal or constructive obligation to transfer economic benefit to a third party, it is probable that a transfer of economic benefits will be required in settlement, and the amount of the obligation can be measured reliably.

Expenditure is classified by activity. The costs of each activity are made up of the total of direct costs and shared costs, including support costs involved in undertaking each activity. Direct costs attributable to a single activity are allocated directly to that activity. Shared costs which contribute to more than one activity and support costs which are not attributable to a single activity are apportioned between those activities on a basis consistent with the use of resources. Central staff costs are allocated on the basis of time spent, and depreciation charges are allocated on the portion of the asset's use.

#### 1.6 Tangible fixed assets

Tangible fixed assets are initially measured at cost and subsequently measured at cost or valuation, net of depreciation and any impairment losses.

Depreciation is recognised so as to write off the cost or valuation of assets less their residual values over their useful lives on the following bases:

Fixtures and fittings	25% straight line
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The gain or loss arising on the disposal of an asset is determined as the difference between the sale proceeds and the carrying value of the asset, and is recognised in the statement of financial activities.

#### 1.7 Cash and cash equivalents

Cash and cash equivalents include cash in hand, deposits held at call with banks, other short-term liquid investments with original maturities of three months or less, and bank overdrafts. Bank overdrafts are shown within borrowings in current liabilities.

#### 1.8 Financial instruments

The Charity has elected to apply the provisions of Section 11 'Basic Financial Instruments' and Section 12 'Other Financial Instruments Issues' of FRS 102 to all of its financial instruments.

Financial instruments are recognised in the Charity's balance sheet when the Charity becomes party to the contractual provisions of the instrument.

Financial assets and liabilities are offset, with the net amounts presented in the financial statements, when there is a legally enforceable right to set off the recognised amounts and there is an intention to settle on a net basis or to realise the asset and settle the liability simultaneously.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

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### 1 Accounting policies

(Continued)

#### ***Basic financial assets***

Basic financial assets, which include debtors and cash and bank balances, are initially measured at transaction price including transaction costs and are subsequently carried at amortised cost using the effective interest method unless the arrangement constitutes a financing transaction, where the transaction is measured at the present value of the future receipts discounted at a market rate of interest. Financial assets classified as receivable within one year are not amortised.

#### ***Basic financial liabilities***

Basic financial liabilities, including creditors and bank loans are initially recognised at transaction price unless the arrangement constitutes a financing transaction, where the debt instrument is measured at the present value of the future payments discounted at a market rate of interest. Financial liabilities classified as payable within one year are not amortised.

Debt instruments are subsequently carried at amortised cost, using the effective interest rate method.

Trade creditors are obligations to pay for goods or services that have been acquired in the ordinary course of operations from suppliers. Amounts payable are classified as current liabilities if payment is due within one year or less. If not, they are presented as non-current liabilities. Trade creditors are recognised initially at transaction price and subsequently measured at amortised cost using the effective interest method.

#### ***Derecognition of financial liabilities***

Financial liabilities are derecognised when the Charity's contractual obligations expire or are discharged or cancelled.

#### **1.9 Employee benefits**

The cost of any unused holiday entitlement is recognised in the period in which the employee's services are received.

Termination benefits are recognised immediately as an expense when the Charity is demonstrably committed to terminate the employment of an employee or to provide termination benefits.

#### **1.10 Retirement benefits**

Payments to defined contribution retirement benefit schemes are charged as an expense as they fall due.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

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### 2 Critical accounting estimates and judgements

In the application of the Charity's accounting policies, the Trustees are required to make judgements, estimates and assumptions about the carrying amount of assets and liabilities that are not readily apparent from other sources. The estimates and associated assumptions are based on historical experience and other factors that are considered to be relevant. Actual results may differ from these estimates.

The estimates and underlying assumptions are reviewed on an ongoing basis. Revisions to accounting estimates are recognised in the period in which the estimate is revised where the revision affects only that period, or in the period of the revision and future periods where the revision affects both current and future periods.

#### Key sources of estimation uncertainty

##### *Allocation of expenditure across activities*

Direct and support costs are allocated based on the estimation of staff time spent of each activity.

### 3 Income from donations and legacies

	Unrestricted funds 2025 £	Unrestricted funds 2024 £
Donations and gifts	1,280	2,727

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

### 4 Income from charitable activities

	Restricted funds 2025 £	Restricted funds 2024 as restated £
<b>Community &amp; learning hub</b>		
Grants and contracts	-	5,400
<b>Advocacy hub</b>		
Grants and contracts	128,951	152,402
<b>Money hub</b>		
Grants and contracts	171,528	110,859
	<u>300,479</u>	<u>268,661</u>

### Charitable trading income

Total restricted income includes £280,179 of government grant and contract income.

### 5 Income from fundraising

	Unrestricted funds 2025 £	Unrestricted funds 2024 £
Fundraising events	5,634	34,773
	<u>5,634</u>	<u>34,773</u>

### 6 Income from investment income

	Unrestricted funds 2025 £	Unrestricted funds 2024 £
Interest receivable	1,726	1,704
	<u>1,726</u>	<u>1,704</u>

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

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7 Expenditure on raising funds

	Unrestricted funds 2025 £	Unrestricted funds 2024 as restated £
<b>Fundraising and publicity</b>		
Other fundraising costs	1,755	1,853
Staff costs	29,618	31,403
Support costs	5,804	10,156
	<u>37,177</u>	<u>43,412</u>

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

8 Expenditure on charitable activities	Advocacy Money hub		Total	Community & learning hub		Advocacy Money hub		Total
	2025	2025		2024	2024	2024	2024	
	£	£	£	as restated	as restated	as restated	as restated	£
<b>Direct costs</b>								
Staff costs	96,259	91,323	187,582	5,400	127,639	65,844	198,883	
Travel, training and recruitment	5,572	5,286	10,858	-	5,837	5,537	11,374	
Volunteer expenses	132	125	257	-	188	178	366	
	<u>101,963</u>	<u>96,734</u>	<u>198,697</u>	<u>5,400</u>	<u>133,664</u>	<u>71,559</u>	<u>210,623</u>	
<b>Share of support and governance costs (see note 9)</b>								
Support	18,865	17,897	36,762	-	33,005	31,313	64,318	
	<u>120,828</u>	<u>114,631</u>	<u>235,459</u>	<u>5,400</u>	<u>166,669</u>	<u>102,872</u>	<u>274,941</u>	
<b>Analysis by fund</b>								
Restricted funds	120,828	114,631	235,459	5,400	166,669	102,872	274,941	
	<u>120,828</u>	<u>114,631</u>	<u>235,459</u>	<u>5,400</u>	<u>166,669</u>	<u>102,872</u>	<u>274,941</u>	

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

### 9 Support costs allocated to activities

	2025	2024
	£	£
Premises costs	17,477	46,200
Office costs	14,430	18,853
Other costs	4,052	3,150
Governance costs	6,607	6,271
	<u>42,566</u>	<u>74,474</u>
	<u><u>42,566</u></u>	<u><u>74,474</u></u>
<b>Analysed between:</b>		
Fundraising	5,804	10,156
Advocacy hub	18,865	33,005
Money hub	17,897	31,313
	<u>42,566</u>	<u>74,474</u>
	<u><u>42,566</u></u>	<u><u>74,474</u></u>
	2025	2024
	£	£
<b>Governance costs comprise:</b>		
Independent examination fees	6,400	3,491
Legal and professional	207	2,780
	<u>6,607</u>	<u>6,271</u>
	<u><u>6,607</u></u>	<u><u>6,271</u></u>

Independent examination fees includes a £3,500 accrual relating to the 2025 financial year whilst the remainder relates to prior periods.

### 10 Trustees

None of the Trustees (or any persons connected with them) received any remuneration or benefits from the Charity during the year.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

### 11 Employees

The average monthly number of employees during the year was:

	2025 Number	2024 Number
Office	2	2
Charitable activities	7	8
Total	9	10

Employment costs	2025 £	2024 £
Wages and salaries	194,419	212,342
Social security costs	15,475	12,270
Other pension costs	7,306	5,674
	217,200	230,286

There were no employees whose annual remuneration was more than £60,000.

#### Remuneration of key management personnel

The remuneration of key management personnel was as follows:

	2025 £	2024 £
Aggregate compensation	59,314	57,515

The key management personnel is comprised of the finance officer and CEO.

### 12 Taxation

The charity is exempt from taxation on its activities because all its income is applied for charitable purposes.

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

*FOR THE YEAR ENDED 31 MARCH 2025*

### 13 Tangible fixed assets

	Fixtures and fittings £
<b>Cost</b>	
At 1 April 2024	7,934
At 31 March 2025	7,934
<b>Depreciation and impairment</b>	
At 1 April 2024	7,934
At 31 March 2025	7,934
<b>Carrying amount</b>	
At 31 March 2025	-
At 31 March 2024	-

### 14 Debtors

	2025 £	2024 £
<b>Amounts falling due within one year:</b>		
Debtors	37,294	15,864
Other debtors	20,091	-
Prepayments and accrued income	16,671	2,841
	74,056	18,705
	74,056	18,705

### 15 Creditors: amounts falling due within one year

	2025 £	2024 £
Other taxation and social security	4,034	3,995
Creditors	206	7,324
Other creditors	-	2,632
Accruals and deferred income	7,645	1,305
	11,885	15,256
	11,885	15,256

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

**FOR THE YEAR ENDED 31 MARCH 2025**

### 16 Retirement benefit schemes

	2025	2024
Defined contribution schemes	£	£
Charge to profit or loss in respect of defined contribution schemes	7,306	5,674
	7,306	5,674

The Charity operates a defined contribution pension scheme for all qualifying employees. The assets of the scheme are held separately from those of the Charity in an independently administered fund.

### 17 Restricted funds

The restricted funds of the charity comprise the unexpended balances of donations and grants held on trust subject to specific conditions by donors as to how they may be used.

	At 1 April 2024	Incoming resources	Resources expended	Transfers	At 31 March 2025
	£	£	£	£	£
Solihull First Advocacy	-	118,865	(93,144)	-	25,721
WCC Appointee	-	73,275	(57,419)	-	15,856
Solihull Money Management	-	71,753	(56,227)	-	15,526
Private Appointee	-	20,300	(15,907)	-	4,393
Other appointee	-	6,200	(4,858)	-	1,342
Other advocacy	-	10,086	(7,904)	-	2,182
	-	300,479	(235,459)	-	65,020
	-	300,479	(235,459)	-	65,020

#### Previous year:

	At 1 April 2023	Incoming resources as restated	Resources expended as restated	Transfers as restated	At 31 March 2024
	£	£	£	£	£
Solihull First Advocacy	-	129,622	(129,622)	-	-
WCC Appointee	-	64,921	(64,921)	-	-
Heart of England	-	5,400	(5,400)	-	-
Other appointee	-	31,671	(37,951)	6,280	-
Other advocacy	-	37,047	(37,047)	-	-
	-	268,661	(274,941)	6,280	-
	-	268,661	(274,941)	6,280	-

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

### 18 Unrestricted funds

The unrestricted funds of the charity comprise the unexpended balances of donations and grants which are not subject to specific conditions by donors and grantors as to how they may be used. These include designated funds which have been set aside out of unrestricted funds by the trustees for specific purposes.

	At 1 April 2024	Incoming resources	Resources expended	Transfers	At 31 March 2025
	£	£	£	£	£
Redundancy and office costs	50,000	-	(3,300)	-	46,700
Disaster recovery	6,000	-	-	-	6,000
General funds	18,368	8,640	(33,877)	-	(6,869)
	<u>74,368</u>	<u>8,640</u>	<u>(37,177)</u>	<u>-</u>	<u>45,831</u>

Previous year:	At 1 April 2023	Incoming resources as restated	Resources expended as restated	Transfers as restated	At 31 March 2024
	£	£	£	£	£
Redundancy and office costs	50,000	-	-	-	50,000
Disaster recovery	6,000	-	-	-	6,000
General funds	28,856	39,204	(43,412)	(6,280)	18,368
	<u>84,856</u>	<u>39,204</u>	<u>(43,412)</u>	<u>(6,280)</u>	<u>74,368</u>

### 19 Analysis of net assets between funds

	Unrestricted funds 2025	Restricted funds 2025	Total 2025
	£	£	£
<b>At 31 March 2025:</b>			
Current assets/(liabilities)	45,831	65,020	110,851
	<u>45,831</u>	<u>65,020</u>	<u>110,851</u>

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

FOR THE YEAR ENDED 31 MARCH 2025

### 19 Analysis of net assets between funds

(Continued)

	Unrestricted funds 2024 £	Restricted funds 2024 £	Total 2024 £
<b>At 31 March 2024:</b>			
Current assets/(liabilities)	74,368	-	74,368
	<u>74,368</u>	<u>-</u>	<u>74,368</u>

### 20 Financial commitments, guarantees and contingent liabilities

The Royal Bank of Scotland hold a fixed charge which contains a negative pledge over £20,000 which is held in other debtors.

### 21 Operating lease commitments

#### Lessee

At the reporting end date the Charity had outstanding commitments for future minimum lease payments under non-cancellable operating leases, which fall due as follows:

	2025 £	2024 £
Within one year	844	3,431
Between two and five years	1,040	1,634
	<u>1,884</u>	<u>5,065</u>

### 22 Events after the reporting date

Included within accruals at the year end is £3,300 in relation to anticipated dilapidation costs. After the year end, dilapidation costs of £10,000 were agreed with the landlord. These costs will be funded by the 'redundancy and office costs' designated fund, which is included within unrestricted funds.

### 23 Related party transactions

There were no disclosable related party transactions during the year (2024 - none).

# WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

## NOTES TO THE FINANCIAL STATEMENTS (CONTINUED)

*FOR THE YEAR ENDED 31 MARCH 2025*

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### **24 Prior year adjustment**

The prior year allocation of expenditure did not fully reflect the actual utilisation of resources across the activities and funds. The revised methodology provides a more accurate representation of expenditure by charitable activity and fund type. Total expenditure in the prior period remains unchanged.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

England & Wales - Charity number 1082961

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# Accounts

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REGISTERED COMPANY NUMBER: 03942462 (England and Wales)  
REGISTERED CHARITY NUMBER: 1082961

REPORT OF THE TRUSTEES AND  
UNAUDITED FINANCIAL STATEMENTS  
FOR THE YEAR ENDED 31 MARCH 2024  
FOR  
WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE

Harrison Beale & Owen Limited  
Chartered Accountants  
Highdown House  
11 Highdown Road  
Leamington Spa  
Warwickshire  
CV31 1XT

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**CONTENTS OF THE FINANCIAL STATEMENTS  
for the year ended 31 March 2024**

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WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE

REPORT OF THE TRUSTEES  
for the year ended 31 March 2024

The trustees who are also directors of the charity for the purposes of the Companies Act 2006, present their report with the financial statements of the charity for the year ended 31 March 2024. The trustees have adopted the provisions of Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019).

STRUCTURE, GOVERNANCE AND MANAGEMENT

Governing document

The charity is controlled by its governing document, a deed of trust, and constitutes a limited company, limited by guarantee, as defined by the Companies Act 2006.

REFERENCE AND ADMINISTRATIVE DETAILS

Registered Company number  
03942462 (England and Wales)

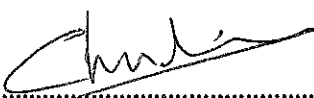
Registered Charity number  
1082961

Registered office  
Avenue R  
Stoneleigh Park  
Kenilworth  
Warwickshire  
CV8 2LG

Trustees  
Ms M Hanlon (resigned 22.5.2023)  
P N Parker (resigned 5.4.2023)  
Ms K K Bachada (appointed 9.8.2023) (resigned 10.6.2024)  
A Britton (resigned 12.6.2023)  
D Enifeni (appointed 10.6.2024)  
F Rahman (appointed 22.8.2023) (resigned 30.8.2024)  
O Sultani (appointed 10.6.2024)  
R Taylor (resigned 31.5.2023)  
C D Bain

Independent Examiner  
Harrison Beale & Owen Limited  
Chartered Accountants  
Highdown House, 11 Highdown Road  
Leamington Spa, Warwickshire  
CV31 1XT

Approved by order of the board of trustees on ..... 19/11/2024 ..... and signed on its behalf  
by:

.....  ..... C D Bain - Trustee

## Introduction

The Trustees are pleased to present their annual report and accounts for the year ending 31 March 2024. These have been prepared to meet the requirements for a Directors' report and accounts for the purposes of the Companies Acts.

The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102). The Financial Reporting Standard came into effect from 1 January 2015.

Our purposes The Objects of the Company are set out in its Memorandum of Association. The Objects for which the Company is established are to be effected within the United Kingdom ("The area of Benefit") and are to promote the relief of persons residing in the Area of Benefit being persons in need of such relief by reasons of age, illness, physical disability, sensory disability, mental distress, learning disabilities or other disadvantages by developing, supporting, promoting and providing a variety of advocacy, representation, appointee and other services to such people to enable them to manage their affairs in a way which will improve their overall quality of life and to promote such other charitable purposes as may be beneficial to such persons.

Detail is provided in this report on the ways in which the Charity has supported the achievement of its purposes through varied activities during the financial year. Having reviewed the level of public benefit and social impact provided by the Charity as identified in these Objects and Activities during the year the trustees are satisfied that the Charity meets the conditions set out in the guidance provided by the Charity Commission.

## Structure, governance and management of the organisation

Warwickshire Independent Advocacy Alliance (the Company) is a company limited by guarantee, formed in March 2000, adopted by Special Resolution in January 2001 and by way of Written Resolution in June 2012. The Company is a registered charity, governed by its Memorandum and Articles of Association.

The Board of Trustees administers the charity. The Board has been meeting monthly throughout the year whilst our trustee numbers have not been sufficient for the normal bi-monthly meetings and the normal work of subcommittee's covering Human Resources, and Finance and Audit which previously met bi-monthly has been handled at the board meetings. A Chief Executive was appointed by the Trustees to manage the day-to-day operations of the charity, but the post was made redundant in December 2022. On 16th October 2024 Mike Lee was appointed as Chief Executive having previously been the lead officer as Operations manager.

## The Trustees report:

The Trustees continue to support the charity's objects. The continued reduction in funding has meant further focus on cost control and reduction.

Highlights during the year include the following: -

### Outputs and Outcomes

During the year we directly supported over 500 people across Warwickshire, Solihull and Coventry and indirectly over 1700 people, professionals and organisations.

Our work and the individual outcomes we achieve for people we work with cover Corporate Appointeeship, Statutory Advocacy, non statutory Advocacy, Parental Advocacy, Nearest Relative and Community Support in Bedworth and surrounding area.

### The Advocacy Hub

There are many people whose individual circumstances preclude them from being able to take part fully in important discussions that they themselves are the subject of. Disability and cognitive impairment in particular, present barriers to understanding and engaging with the often complicated processes that can result in life changing consequences. Our advocacy service aims to support each individual to exercise as much control over their lives as possible. We worked with around 250 people in our advocacy services during the year.

Independent Advocacy is partnered with Solihull Action through Advocacy to deliver "Solihull First Advocacy" from 2019 until 2024. We deliver the following Statutory Advocacy Services in Solihull:

- *Statutory IMHA services under the Mental Health Act 2007.*
- *Community Peer Support.* We provide mental well-being "peer support groups" These peer support groups will be assisting individuals with their own support planning (so they can go on to support others) and in building confidence and community inclusion.
- *Care Act Advocacy contract for Mental Health Service users.* We provide assessment support and review referrals from Mental Health Social Workers as per the guidelines under the use of Independent Advocacy in the Care Act 2014.
- *NHS Complaints Advocacy.* Support for people to raise concerns they may have about their treatment, or that of a family member, through the NHS complaints procedure. The aim is for speedy local resolution. Assistance can be given in referring complaints to the Ombudsman.
- *IMCA - Independent Mental Capacity Advocates* work with clients where a decision needs to be made around long term accommodation or serious medical treatment but a client lacks the capacity to do so.

As well as our statutory work in Solihull we also deliver the following advocacy in both Solihull and further afield:

- *Relevant paid representative* - These advocates work with clients who are in a care or nursing home. They visit the client and liaise with their care providers to ensure that their liberty is not being overly restricted.
- *Parental (Child protection advocacy)* - Advocates work with clients who are involved in the child protection process. They attend meetings with or on behalf of clients including court hearings. Our advocates also liaise with social workers to help clients express their wishes and feelings and understand their rights. This is funded by spot purchase and is not restricted to a geographic area.
- *Private advocacy* - We occasionally get requests from individuals who cannot access advocacy from other sources to attend meetings or undertake work for them as spot purchase. This service is not limited to a geographic area.
- *Nearest relative* - The nearest relative is a person or organisation who has certain responsibilities and powers if you are detained in hospital under the Mental Health Act. These include the right to information and to discharge in some situations.

## The Money Hub

The Money Hub provided a Corporate Appointee Service for people within Warwickshire, Solihull, Coventry, Northamptonshire, Leicester, Birmingham and Lincolnshire throughout the year.

Corporate Appointee means that we are authorised by the Department for Work and Pensions to manage benefits on behalf of people who do not have the capacity to do so themselves. The service protects people's benefits and ensures that they are able to spend them as they wish within a set budget and also safeguards against financial abuse. During the year our Corporate Appointee service worked with over 200 clients, safeguarding their benefits and managing their finances.

## The Community Hub

### Bedworth Community Corner:

The Bedworth Community Corner and Charity Shop offered good quality items at well below the normal retail prices and is stocked from donations from the surrounding community. The Corner also offers a meeting place for people to have a drink and chat. The community is also welcome to come in and discuss their problems with trained staff and find solutions to their issues or are signposted to a more relevant organisation. The Corner has hosted several events including a mental health self help group arts group. The Corner also engages volunteers to help in the shop and offers internships to pupils from a local special needs college. Due to the lack of sufficient income from the shop and community hub the shop and hub closed in June 2024 and the registered office is now based in Leamington Spa.

## Governance

### Recruitment and appointment of Board of Trustees

The Directors of the Company are also Charity Trustees for the purpose of Charity Law and under the Company's Articles are also members of the Company. Under the requirements of the Memorandum and Articles of Association at each Annual General Meeting one third of directors stand down on a rotation basis after which they can be re-elected.

Trustees are briefed by staff members on the work they do by briefings at Board meetings, which ensures that Trustees are kept up-to-date with the charity's work.

### Risk Management

The Board of Trustees has undertaken a review of the major risks to which the charity is exposed. A Risk Register has been established and is formally reviewed by the Board annually or if there are material changes in the charity's operating environment. Where appropriate, systems or procedures have been established to mitigate the risks the Charity faces.

Systems of internal control and delegated authority are in place with robust procedures for authorisation of all transactions. The organisation ensures that policies and procedures are in place and that training is delivered to ensure compliance with health and safety of staff, volunteers and clients. All procedures and policies are reviewed regularly to ensure they continue to reflect best practice and remain relevant.

### Quality Assurance

In addition to using the QPM for quality in Advocacy Services, Service User feedback is a key part of our quality assurance and the Charity is further developing opportunities for service user engagement.

### Financial Review

(These need to be the figures shown in the y/e accounts).

The Charity made a deficit of £10,488 in the financial year - in comparison to last year's deficit of £15,102.

Warwickshire Independent Advocacy again showed a deficit in operations during this financial year due to difficulties in securing income. The board continues to monitor finances very closely and retain our designated reserves to a prudent level.

### Principal Funding Sources

The principal funding sources are Solihull Metropolitan Borough Council, Solihull Action Through Advocacy, Warwickshire County Council, Coventry City Council. Some smaller grants came from Councillors in Warwickshire.

**Reserves Policy**

The Reserves Policy has been set to ensure:

- Reserves are maintained at a level, which ensures our company core activity could continue during a period of unforeseen difficulty by retaining funds to cover our long-term liabilities and enable six months operation at reduced running costs.
- Maintain a proportion of reserves in a readily realisable form to meet liabilities including redundancy payments and commitments to staff, partners and creditors should the charity cease to operate.

**Investment Policy**

The Trustees have wide powers of investment. The investment policy of the Trustees is to protect all capital sums held by prudent investment. Consequently, surplus funds are normally held as short-term deposits in the money market with the Charity's bank or invested in term deposit accounts.

**Funds held on behalf of others**

Warwickshire Independent Advocacy Alliance has custody of £2,979,982 held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

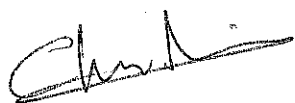
**Fundraising Policy**

Warwickshire Independent Advocacy Alliance actively fundraises for its work. We do not envisage substantially using third party suppliers but we are involving volunteers.

**Data Protection**

Warwickshire Independent Advocacy Alliance takes data protection very seriously. We have a clear Privacy Policy, Data Protection Policy, Confidentiality Policy and Data Processing Statement. We already use Charity Log, a Cloud-based secure case management system for all our client records.

Approved by the Board of Trustees and signed on its behalf by:



.....  
Chris Bain- of the Board of Directors of Independent Advocacy

Dated: 19/11/2024

**INDEPENDENT EXAMINER'S REPORT TO THE TRUSTEES OF  
WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**Independent examiner's report to the trustees of Warwickshire Independent Advocacy Alliance ('the Company').** I report to the charity trustees on my examination of the accounts of the Company for the year ended 31 March 2024.

**Responsibilities and basis of report**

As the charity's trustees of the Company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under Section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the Directions given by the Charity Commission under Section 145(5) (b) of the 2011 Act.

**Independent examiner's statement**

Since your charity's gross income exceeded £250,000 your examiner must be a member of a listed body. I can confirm that I am qualified to undertake the examination because I am a member of the Institute of Chartered Accountants in England and Wales, which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the Company as required by Section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of Section 396 of the 2006 Act other than any requirement that the accounts give a true and fair view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities (applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102)).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Gregg Olnier MPhil BA (Hons) FCA

Harrison Beale & Owen Limited  
Chartered Accountants  
Highdown House  
11 Highdown Road  
Leamington Spa  
Warwickshire  
CV31 1XT

Date: .....

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**STATEMENT OF FINANCIAL ACTIVITIES  
for the year ended 31 March 2024**

		Unrestricted		2024	2023
		Total		Restricted	Total
	Notes	funds	fund	funds	funds
		£	£	£	£
<b>INCOME AND ENDOWMENTS FROM</b>					
Donations and legacies		2,727	-	2,727	470
Charitable activities					
Community & learning hub		-	5,400	5,400	54,947
Advocacy hub		22,780	129,622	152,402	142,555
Money hub		45,938	64,921	110,859	120,141
Fundraising		34,773	-	34,773	139
Investment income	2	<u>1,704</u>	-	<u>1,704</u>	<u>1,284</u>
<b>Total</b>		<u>107,922</u>	<u>199,943</u>	<u>307,865</u>	<u>319,536</u>
<b>EXPENDITURE ON</b>					
Charitable activities					
Community & learning hub		-	1,196	1,196	45,422
Advocacy hub		-	242,757	242,757	123,880
Money hub		-	-	-	95,431
Governance hub		<u>74,474</u>	<u>(74)</u>	<u>74,400</u>	<u>69,905</u>
<b>Total</b>		<u>74,474</u>	<u>243,879</u>	<u>318,353</u>	<u>334,638</u>
<b>NET INCOME/(EXPENDITURE)</b>					
Transfers between funds	12	33,448	(43,936)	(10,488)	(15,102)
		<u>(43,936)</u>	<u>43,936</u>	-	-
Net movement in funds		(10,488)	-	(10,488)	(15,102)
<b>RECONCILIATION OF FUNDS</b>					
Total funds brought forward		84,856	-	84,856	99,958
		<u>84,856</u>	<u>-</u>	<u>84,856</u>	<u>99,958</u>
<b>TOTAL FUNDS CARRIED FORWARD</b>		<u>74,368</u>	<u>-</u>	<u>74,368</u>	<u>84,856</u>

**CONTINUING OPERATIONS**

All income and expenditure has arisen from continuing activities.

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**BALANCE SHEET  
31 March 2024**

	Notes	Unrestricted funds £	Restricted fund £	2024 Total funds £	2023 Total funds £
<b>FIXED ASSETS</b>					
Tangible assets	7	-	-	-	2,648
<b>CURRENT ASSETS</b>					
Debtors	8	18,705	-	18,705	32,578
Cash at bank	9	<u>70,919</u>	<u>-</u>	<u>70,919</u>	<u>67,849</u>
		89,624 -			89,624 100,427
<b>CREDITORS</b>					
Amounts falling due within one year	10	(15,256)	-	(15,256)	(18,219)
		<u>74,368</u>	<u>-</u>	<u>74,368</u>	<u>82,208</u>
<b>NET CURRENT ASSETS</b>					
		<u>74,368</u>	<u>-</u>	<u>74,368</u>	<u>82,208</u>
<b>TOTAL ASSETS LESS CURRENT LIABILITIES</b>					
		<u>74,368</u>	<u>-</u>	<u>74,368</u>	<u>84,856</u>
<b>NET ASSETS</b>					
		<u>74,368</u>	<u>-</u>	<u>74,368</u>	<u>84,856</u>
<b>FUNDS</b>					
Unrestricted funds	12			<u>74,368</u>	<u>84,856</u>
<b>TOTAL FUNDS</b>					
				<u>74,368</u>	<u>84,856</u>

The charitable company is entitled to exemption from audit under Section 477 of the Companies Act 2006 for the year ended 31 March 2024.

The members have not required the company to obtain an audit of its financial statements for the year ended 31 March 2024 in accordance with Section 476 of the Companies Act 2006.

The trustees acknowledge their responsibilities for

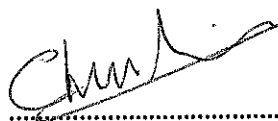
- (a) ensuring that the charitable company keeps accounting records that comply with Sections 386 and 387 of the Companies Act 2006 and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the charitable company as at the end of each financial year and of its surplus or deficit for each financial year in accordance with the requirements of Sections 394 and 395 and which otherwise comply with the requirements of the Companies Act 2006 relating to financial statements, so far as applicable to the charitable company.

WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE

BALANCE SHEET - continued  
31 March 2024

These financial statements have been prepared in accordance with the provisions applicable to charitable companies subject to the small companies regime.

The financial statements were approved by the Board of Trustees and authorised for issue on .....19/11/2024..... and were signed on its behalf by:



.....  
C Bain - Trustee

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**CASH FLOW STATEMENT  
for the year ended 31 March 2024**

	Notes	2024 £	2023 £
<b>Cash flows from operating activities</b>			
Cash generated from operations	1	<u>(321)</u>	<u>(4,538)</u>
<b>Net cash used in operating activities</b>		<u>(321)</u>	<u>(4,538)</u>
<b>Cash flows from investing activities</b>			
Purchase of tangible fixed assets		-	(1,470)
Sale of tangible fixed assets		1,687	-
Interest received		<u>1,704</u>	<u>1,284</u>
<b>Net cash provided by/(used in) investing activities</b>		<u>3,391</u>	<u>(186)</u>
<hr/>			
<b>Change in cash and cash equivalents in the reporting period</b>		3,070	(4,724)
<b>Cash and cash equivalents at the beginning of the reporting period</b>		<u>67,849</u>	<u>72,573</u>
<b>Cash and cash equivalents at the end of the reporting period</b>		<u>70,919</u>	<u>67,849</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE CASH FLOW STATEMENT  
for the year ended 31 March 2024**

**1. RECONCILIATION OF NET EXPENDITURE TO NET CASH FLOW FROM OPERATING ACTIVITIES**

	2024	2023
	£	£
Net expenditure for the reporting period (as per the Statement of Financial Activities)	(10,488)	(15,102)
Adjustments for:		
Depreciation charges      961    2,259		
Interest received    (1,704) (1,284)		
Decrease in debtors      13,873 15,272		
Decrease in creditors    (2,963) (5,683)	_____	_____
 Net cash used in operations	 <u>(321)</u>	 <u>(4,538)</u>

**2. ANALYSIS OF CHANGES IN NET FUNDS**

	At 1.4.23	Cash flow	At 31.3.24
	£	£	£
Net cash			
Cash at bank	<u>67,849</u>	<u>3,070</u>	<u>70,919</u>
	<u>67,849</u>	<u>3,070</u>	<u>70,919</u>
 Total	 <u>67,849</u>	 <u>3,070</u>	 <u>70,919</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS  
for the year ended 31 March 2024**

**1. ACCOUNTING POLICIES**

**Basis of preparing the financial statements**

The financial statements of the charitable company, which is a public benefit entity under FRS 102, have been prepared in accordance with the Charities SORP (FRS 102) 'Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019)', Financial Reporting Standard 102 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' and the Companies Act 2006. The financial statements have been prepared under the historical cost convention.

**Income**

All income is recognised in the Statement of Financial Activities once the charity has entitlement to the funds, it is probable that the income will be received and the amount can be measured reliably.

Income is deferred only when the charity has to fulfil conditions before becoming entitled to it or where the donor has specified that the income is to be expended in a future period.

Voluntary income is received by way of grants, donations and gifts and is included in full in the Statement of Financial Activities when receivable. Grants, where entitlement is not conditional on the delivery of a specific performance by the charity, are recognised when the charity becomes unconditionally entitled to the grant.

Donated services and facilities are included at the value to the charity where this can be quantified. The value of services provided by volunteers has not been included in these accounts. Investment income is included when received.

Incoming resources from grants, where related to performance and specific deliverables, are accounted for as the charity earns the right to consideration by its performance.

For legacies, entitlement is taken as the earlier of :

- a ) the date on which the charity is aware that probate has been granted ;
- b ) the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- c ) when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

**Expenditure**

Liabilities are recognised as expenditure as soon as there is a legal or constructive obligation committing the charity to that expenditure, it is probable that a transfer of economic benefits will be required in settlement and the amount of the obligation can be measured reliably.

Expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all cost related to the category. Where costs cannot be directly attributed to particular headings they have been allocated to activities on a basis consistent with the use of resources.

Premises and office costs have been allocated on a basis of usage. Costs of generating funds comprise the costs associated with attracting voluntary income. Charitable expenditure comprises those costs incurred by the charity in the delivery of its activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

Governance costs include those costs associated with meeting the constitutional and statutory requirements of the charity and include the audit fees and the costs linked to the strategic management of the charity.

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024**

**1. ACCOUNTING POLICIES - continued**

**Tangible fixed assets**

Tangible fixed assets are stated at cost less depreciation. Items less than £500 are not capitalised. Depreciation is provided at rates calculated on a straight line basis to write off the cost less estimated residual value of each asset over its expected useful life, as follows:

Fixtures, fittings & equipment - 4 years

**Taxation**

The charity is exempt from corporation tax on its charitable activities.

**Fund accounting**

Unrestricted funds can be used in accordance with the charitable objectives at the discretion of the trustees.

Restricted funds can only be used for particular restricted purposes within the objects of the charity. Restrictions arise when specified by the donor or when funds are raised for particular restricted purposes.

Further explanation of the nature and purpose of each fund is included in the notes to the financial statements.

**Pension costs and other post-retirement benefits**

The charitable company operates a defined contribution pension scheme. Contributions payable to the charitable company's pension scheme are charged to the Statement of Financial Activities in the period to which they relate.

**Financial instruments**

Loans and borrowings are initially recognised at the transaction price including transaction costs. Subsequently, they are measured at amortised cost using the effective interest rate method, less impairment.

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in the income statement.

**2. INVESTMENT INCOME**

	2024	2023
	£	£
Deposit account interest	<u>1,704</u>	<u>1,284</u>

**3. NET INCOME/(EXPENDITURE)**

Net income/(expenditure) is stated after charging/(crediting):

2024	2023
------	------

Depreciation - owned assets

£  
961

£  
2,259

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024**

**4. TRUSTEES' REMUNERATION AND BENEFITS**

There were no trustees' remuneration or other benefits for the year ended 31 March 2024 nor for the year ended 31 March 2023.

**Trustees' expenses**

There were no trustees' expenses paid for the year ended 31 March 2024 nor for the year ended 31 March 2023.

**5. STAFF COSTS**

The average monthly number of employees during the year was as follows:

	2024	2023
Office	2	3
Charitable activities	<u>8</u>	<u>8</u>
	<u>10</u>	<u>11</u>

No employees received emoluments in excess of £60,000.

The key management personnel are considered to be the Operations Manager & Head of Finance. The employee benefits totalled £57,515 (2023 - £83,477).

During the prior year one position was made redundant and the charity made payments in lieu of notice and redundancy totalling £nil (2023 - £9,327).

**6. COMPARATIVES FOR THE STATEMENT OF FINANCIAL ACTIVITIES**

	Unrestricted		Restricted
	funds	Total	funds
	£	fund	£
<b>INCOME AND ENDOWMENTS FROM</b>			
Donations and legacies	470	-	470
<b>Charitable activities</b>			
Community & learning hub	25,847	29,100	54,947
Advocacy hub	2,178	140,377	142,555
Money hub	48,869	71,272	120,141
Fundraising	139	-	139
Investment income	<u>1,284</u>	-	<u>1,284</u>
<b>Total</b>	<u>78,787</u>	<u>240,749</u>	<u>319,536</u>

<b>EXPENDITURE ON</b>			
Charitable activities			
Community & learning hub	-	45,422	45,422
Advocacy hub	-	123,880	123,880
Money hub	8,751	86,680	95,431
Governance hub	<u>69,905</u>	<u>-</u>	<u>69,905</u>
Total	<u>78,656</u>	<u>255,982</u>	<u>334,638</u>
NET INCOME/(EXPENDITURE)	131	(15,233)	(15,102)
Transfers between funds	(15,233)	15,233	-

WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE  
NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024

6. COMPARATIVES FOR THE STATEMENT OF FINANCIAL ACTIVITIES - continued

	Unrestricted	Total	Restricted
	funds	fund	funds
	<u>£</u>	<u>£</u>	<u>£</u>
Net movement in funds	(15,102) -		(15,102)
<b>RECONCILIATION OF FUNDS</b>			
Total funds brought forward	99,958 -		99,958
	<u>          </u>		<u>          </u>
<b>TOTAL FUNDS CARRIED FORWARD</b>	<u>84,856</u> -		<u>84,856</u>

7. TANGIBLE FIXED ASSETS

	Fixtures and fittings £
<b>COST</b>	
At 1 April 2023	38,025
Disposals	<u>(30,091)</u>
At 31 March 2024	<u>7,934</u>
<b>DEPRECIATION</b>	
At 1 April 2023	35,377
Charge for year	961
Eliminated on disposal	<u>(28,404)</u>
At 31 March 2024	<u>7,934</u>
<b>NET BOOK VALUE</b>	
At 31 March 2024	<u>-</u>
At 31 March 2023	<u>2,648</u>

8. DEBTORS: AMOUNTS FALLING DUE WITHIN ONE YEAR

	2024	2023
	£	£
Trade debtors	15,864	31,924
Prepayments and accrued income	<u>2,841</u>	<u>654</u>
	<u>18,705</u>	<u>32,578</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024**

**9. CASH AT BANK**

Warwickshire Independent Advocacy Alliance has custody of £2,979,982 (2023 - £2,917,834) being held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

**10. CREDITORS: AMOUNTS FALLING DUE WITHIN ONE YEAR**

	2024	2023
	£	£
Trade creditors	7,324	59
Social security and other taxes	3,995	3,792
Other creditors	2,632	3,699
Accruals and deferred income	<u>1,305</u>	<u>10,669</u>
	<u>15,256</u>	<u>18,219</u>

Included within accruals and deferred income are balances totalling £nil (2023 - £5,470) relating to deferred income. During the year £5,470 (2023 - £5,288) was released to the statement of financial activities. Deferred income relates to contract income received in advance of performing the relevant services.

**11. LEASING AGREEMENTS**

Minimum lease payments under non-cancellable operating leases fall due as follows:

	2024	2023
	£	£
Within one year	3,431	17,320
Between one and five years	<u>1,634</u>	<u>13,398</u>
	<u>5,065</u>	<u>30,718</u>

**12. MOVEMENT IN FUNDS**

	At 1.4.23	Net movement in funds	Transfers between funds	At 31.3.24
	£	£	£	£
<b>Unrestricted funds</b>				
General fund	28,856	33,448	(43,936)	18,368
Redundancy & office running costs	50,000	-	-	50,000
Disaster recovery	<u>6,000</u>	<u>-</u>	<u>-</u>	<u>6,000</u>
	84,856	33,448	(43,936)	74,368
<b>Restricted funds</b>				
Restricted funds	-	(43,936)	43,936	-

TOTAL FUNDS

84,856

(10,488) -

74,368

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024**

**12. MOVEMENT IN FUNDS - continued**

Net movement in funds, included in the above are as follows:

	Incoming resources £	Resources expended £	Movement in funds £
Unrestricted funds			
General fund	107,922	(74,474)	33,448
Restricted funds			
Restricted funds	199,943	(243,879)	(43,936)
	<u>307,865</u>	<u>(318,353)</u>	<u>(10,488)</u>
<b>TOTAL FUNDS</b>	<b><u>307,865</u></b>	<b><u>(318,353)</u></b>	<b><u>(10,488)</u></b>

Comparatives for movement in funds

	At 1.4.22 £	Net movement in funds £	Transfers between funds £	At 31.3.23 £
Unrestricted funds				
General fund	4,843	9,458	14,555	28,856
Redundancy & office running costs	89,115	(9,327)	(29,788)	50,000
Disaster recovery	<u>6,000</u>	-	-	<u>6,000</u>
	99,958	131	(15,233)	84,856
Restricted funds				
Restricted funds	-	(15,233)	15,233	-
	<u>99,958</u>	<u>(15,102)</u>	<u>-</u>	<u>84,856</u>
<b>TOTAL FUNDS</b>	<b><u>99,958</u></b>	<b><u>(15,102)</u></b>	<b><u>-</u></b>	<b><u>84,856</u></b>

Comparative net movement in funds, included in the above are as follows:

	Incoming resources £	Resources expended £	Movement in funds £
Unrestricted funds			
General fund	78,787	(69,329)	9,458
Redundancy & office running costs	-	(9,327)	(9,327)
	<u>78,787</u>	<u>(78,656)</u>	<u>131</u>
Restricted funds			

Restricted funds	240,749	(255,982)	(15,233)
	<u>          </u>	<u>          </u>	<u>          </u>
TOTAL FUNDS	<u>319,536</u>	<u>(334,638)</u>	<u>(15,102)</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024**

**12. MOVEMENT IN FUNDS - continued**

A current year 12 months and prior year 12 months combined position is as follows:

	At 1.4.22 £	Net movement in funds £	Transfers between funds £	At 31.3.24 £
Unrestricted funds				
General fund	4,843	42,906	(29,381)	18,368
Redundancy & office running costs	89,115	(9,327)	(29,788)	50,000
Disaster recovery	<u>6,000</u>	-	-	<u>6,000</u>
	99,958	33,579	(59,169)	74,368
Restricted funds				
Restricted funds	-	(59,169)	59,169	-
	<u>          </u>	<u>          </u>	<u>          </u>	<u>          </u>
<b>TOTAL FUNDS</b>	<u><b>99,958</b></u>	<u><b>(25,590)</b></u>	<u><b>-</b></u>	<u><b>74,368</b></u>

A current year 12 months and prior year 12 months combined net movement in funds, included in the above are as follows:

	Incoming resources £	Resources expended £	Movement in funds £
Unrestricted funds			
General fund	186,709	(143,803)	42,906
Redundancy & office running costs	-	(9,327)	(9,327)
186,709	(153,130)	33,579	
Restricted funds			
Restricted funds	440,692	(499,861)	(59,169)
	<u>          </u>	<u>          </u>	<u>          </u>
<b>TOTAL FUNDS</b>	<u><b>627,401</b></u>	<u><b>(652,991)</b></u>	<u><b>(25,590)</b></u>

**Restricted funds**

	At 1.4.23 £	Incoming resources £	Resources expended £	Transfers £	At 31.3.24 £
Solihull First Advocacy	-	129,622	158,106	28,484	-
WCC Appointee	-	64,921	79,188	14,267	-
Heart of England	-	<u>5,400</u>	<u>6,585</u>	<u>1,185</u>	<u>-</u>

-	199,943	243,879	43,936	-
---	---------	---------	--------	---

Included within the unrestricted funds is £56,000 which has been designated by the Trustees to meet any future redundancy costs and to cover any office running costs. The Trustees consider that these are necessary in view of the uncertain nature of the funding of the Charity.

Designated funds totalling £56,000 (2023 - £56,000) are included within net current assets.

**WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE**

**NOTES TO THE FINANCIAL STATEMENTS - continued  
for the year ended 31 March 2024**

**12. MOVEMENT IN FUNDS - continued**

General funds totalling £nil (2023 - £2,648) are included within fixed assets and the remaining £18,368 (2023 - £26,208) are included within net current assets.

Solihull First Advocacy (including a Volunteer coordination element run through our Community Innovation and Learning Hub) - an advocacy service for residents in Solihull in partnership with Solihull Action through Advocacy.

Warwickshire County Council Appointee - a corporate appointee service for Warwickshire residents.

Heart of England - development of Community Circles in Bedworth.

**13. EMPLOYEE BENEFIT OBLIGATIONS**

Contributions payable of £6,365 for the year have been charged in the statement of financial activities (2023 - £6,365). The amount outstanding at the year end was £2,632 (2023 - £2,406) and is shown within creditors.

**14. RELATED PARTY DISCLOSURES**

There were no related party transactions for the year ended 31 March 2024.

WARWICKSHIRE INDEPENDENT ADVOCACY  
ALLIANCE

DETAILED STATEMENT OF FINANCIAL ACTIVITIES  
for the year ended 31 March 2024

	2024	2023
	£	£
<b>INCOME AND ENDOWMENTS</b>		
Donations and legacies		
Donations	2,727	470
Investment income		
Deposit account interest	1,704	1,284
Charitable activities		
Community & learning hub	5,400	54,947
Advocacy hub	152,402	142,555
Money hub	110,859	120,141
Fundraising	<u>34,773</u>	<u>139</u>
	<u>303,434</u>	<u>317,782</u>
Total incoming resources	307,865	319,536
<b>EXPENDITURE</b>		
Charitable activities		
Wages	212,268	231,784
Social security	12,270	14,248
Pensions	5,674	6,365
Travel, training & recruitment	13,169	12,472
Volunteer expenses	<u>498</u>	<u>440</u>
	243,879	265,309
Support costs		
Management		
Premises costs	46,200	29,777
Office costs	<u>18,853</u>	<u>23,546</u>
	65,053	53,323
Finance		
Bank charges	433	537
Governance costs		
Subscriptions	64	587
Web site	1,076	336

Fundraising costs	1,577	(700)
Independent examination fees	3,491	2,130
Legal & professional fees	<u>2,780</u>	<u>13,116</u>
8,988 15,469	_____	_____
Total resources expended	<u>318,353</u>	<u>334,638</u>
Net expenditure	<u>(10,488)</u>	<u>(15,102)</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

England & Wales - Charity number 1082961

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# Accounts

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WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)



Independent  
**ADVOCACY**

*Empowering people to lead fulfilling lives*

Charity Registration No. 1082961

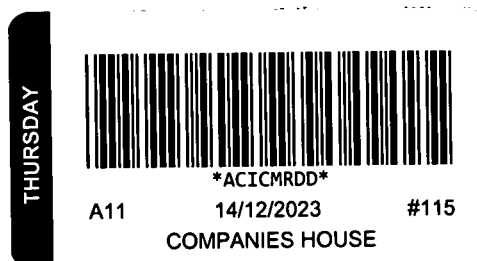
Company Registration No. 3942462 (England and Wales)

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE

*(A Company Limited by Guarantee having no share capital)*

TRUSTEES' REPORT AND ACCOUNTS

Year ended 31 March 2023



**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**LEGAL AND ADMINISTRATIVE INFORMATION**

Trustees	
Mr P Anthony	Vice Chairman (acting Chair) resigned 26 September 2022
Mr N Parker	Resigned 5th April 2023
Mrs M Hanlon	Resigned 22 May 2023
Mr Z Hussein	Resigned 22 September 2022
Chris Bain	
Rob Taylor	Resigned 31 May 2023
Adam Britten	Resigned 12 June 2023

Patron	Hon Jeremy Wright KC MP
Senior Management Team:	Mr S Cottingham Chief Executive Officer Redundancy on 2 Dec 2022  Mr M Lee Operations Manager
Charity number:	1082961
Company number:	3942462
Principal address:	4 - 6 Congreve Walk  Bedworth  CV12 8LY
Registered office:	4 - 6 Congreve Walk  Bedworth  CV12 8LY
Independent Examiner:	Gregg Oler  Harrison Beale & Owen Limited  Highdown House  11 Highdown Road  Leamington Spa  CV31 1XT

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

Accountants	Finlings 2, Printer's Yard, 90a The Broadway, Wimbledon SW19 1RD
Bankers:	Barclays Bank plc 48/50 The Parade Leamington Spa CV32 4DD
Solicitors:	Lodders Solicitors Number Ten Elm Court Arden Street Stratford upon Avon Warwickshire CV37 6PA

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Trustees review of the year, Trustees' report	5-10
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Statement of financial activities	12
Balance sheet	13
Statement of cash flows	14
Notes to the accounts	15-23

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**Introduction**

The Trustees are pleased to present their annual report and accounts for the year ending 31 March 2023. These have been prepared to meet the requirements for a Directors' report and accounts for the purposes of the Companies Acts.

The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102). The Financial Reporting Standard came into effect from 1 January 2019.

**Our purposes**

The Objects of the Company are set out in its Memorandum of Association. The Objects for which the Company is established are to be effected within the United Kingdom ("The area of Benefit") and are to promote the relief of persons residing in the Area of Benefit being persons in need of such relief by reasons of age, illness, physical disability, sensory disability, mental distress, learning disabilities or other disadvantages by developing, supporting, promoting and providing a variety of advocacy, representation, appointee and other services to such people to enable them to manage their affairs in a way which will improve their overall quality of life and to promote such other charitable purposes as may be beneficial to such persons.

Detail is provided in this report on the ways in which the Charity has supported the achievement of its purposes through varied activities during the financial year. Having reviewed the level of public benefit and social impact provided by the Charity as identified in these Objects and Activities during the year the trustees are satisfied that the Charity meets the conditions set out in the guidance provided by the Charity Commission.

**Structure, governance and management of the organisation**

Warwickshire Independent Advocacy Alliance (the Company) is a company limited by guarantee, formed in March 2000, adopted by Special Resolution in January 2001 and by way of Written Resolution in June 2011. The Company is a registered charity, governed by its Memorandum and Articles of Association.

The Board of Trustees administers the charity. The Board has been meeting monthly throughout the year whilst our trustee numbers have not been sufficient for the normal bi-monthly meetings and the normal work of subcommittee's covering Human Resources, and Finance and Audit which previously met bi-monthly has been handled at the board meetings. A Chief Executive was appointed by the Trustees to manage the day-to-day operations of the charity, but the post was made redundant in December 2022.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**The Trustees report:**

The Trustees continue to support the charity to become increasingly community focused. The continued reduction in funding opportunities, due to the pandemic has meant further focus on cost control and reduction. We have used our reserves this year to continue to invest in fundraising and changing the organisation to be more flexible for the future.

**Highlights during the year include the following: -**

**Outputs and Outcomes**

During the year we directly supported over 500 people across Warwickshire, Solihull and Coventry and indirectly over 1700 people, professionals and organisations.

Our work and the individual outcomes we achieve for people we work with cover income and financial inclusion, mental health and physical well-being, maintaining employment through timely support and helping people connect to their community.

We work closely with members of our target communities to help them to help themselves in ways that they determine.

**The Advocacy Hub**

There are many people whose individual circumstances preclude them from being able to take part fully in important discussions that they themselves are the subject of. Disability and cognitive impairment in particular, present barriers to understanding and engaging with the often complicated processes that can result in life changing consequences. Our advocacy service aims to support each individual to exercise as much control over their lives as possible. We worked with 223 people in our advocacy services during the year.

Independent Advocacy is partnered with Solihull Action through Advocacy to deliver "Solihull First Advocacy" from 2019 until 2024. We deliver the following Statutory Advocacy Services in Solihull:

- *Statutory IMHA services under the Mental Health Act 2007.*
- *Community Peer Support.* We provide mental well-being "peer support groups" These peer support groups will be assisting individuals with their own support planning (so they can go on to support others) and in building confidence and community inclusion.
- *Care Act Advocacy contract for Mental Health Service users.* We provide assessment support and review referrals from Mental Health Social Workers as per the guidelines under the use of Independent Advocacy in the Care Act 2014.
- *NHS Complaints Advocacy.* Support for people to raise concerns they may have about their treatment, or that of a family member, through the NHS complaints procedure. The aim is for speedy local resolution. Assistance can be given in referring complaints to the Ombudsman.
- *IMCA - Independent Mental Capacity Advocates* work with clients where a decision needs to be made around long term accommodation or serious medical treatment but a client lacks the capacity to do so.

As well as our statutory work in Solihull we also deliver the following advocacy in both Solihull and further afield:

- *Community Deprivation of Liberty Orders (DOLS)* - Advocates work with clients who are living in the community who are subject to restrictions around their ability to leave their accommodation unaccompanied due to concerns about their capacity.
- *Relevant paid representative* - These advocates work with clients who are in a care or nursing home. They visit the client and liaise with their care providers to ensure that their liberty is not being overly restricted.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

- *Parental (Child protection advocacy)* - Advocates work with clients who are involved in the child protection process. They attend meetings with or on behalf of clients including court hearings. Our advocates also liaise with social workers to help clients express their wishes and feelings and understand their rights. This is funded by spot purchase and is not restricted to a geographic area.
- *Private advocacy* - We occasionally get requests from individuals who cannot access advocacy from other sources to attend meetings or undertake work for them as spot purchase. This service is not limited to a geographic area.
- *Nearest relative* - The nearest relative is a person or organisation who has certain responsibilities and powers if you are detained in hospital under the Mental Health Act. These include the right to information and to discharge in some situations.

**The Money Hub**

The Money Hub provided a Corporate Appointee Service for people within Warwickshire, Solihull, Coventry, Northamptonshire, Leicester, Birmingham and Lincolnshire throughout the year.

Corporate Appointee means that we are authorised by the Department for Work and Pensions to manage benefits on behalf of people who do not have the capacity to do so themselves. The service protects people's benefits and ensures that they are able to spend them as they wish within a set budget and also safeguards against financial abuse. During the year our Corporate Appointee service worked with 196 clients, safeguarding their benefits and managing their finances.

**The Community Hub**

Bedworth Community Corner:

The Bedworth Community Corner and Charity Shop offers good quality items at well below the normal retail prices and is stocked from donations from the surrounding community. The Corner also offers a meeting place for people to have a drink and chat. The community is also welcome to come in and discuss their problems with trained staff and find solutions to their issues or are signposted to a more relevant organisation. The Corner has hosted several events including a mental health self help group arts group. The Corner also engages volunteers to help in the shop and offers internships to pupils from a local special needs college.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**Governance**

**Recruitment and appointment of Board of Trustees**

The Directors of the Company are also Charity Trustees for the purpose of Charity Law and under the Company's Articles are also members of the Company. Under the requirements of the Memorandum and Articles of Association at each Annual General Meeting one third of directors stand down on a rotation basis after which they can be re-elected.

The Trustees, who are also the directors for the purpose of company law, who served during the year, were:

Mr P Anthony	Vice Chairman (acting Chair) resigned 26 September 2022
Mr N Parker	Resigned 5th April 2023
Mrs M Hanlon	Resigned 22 May 2023
Mr Z Hussein	Resigned 22 September 2022
Chris Bain	Resigned 31 May 2023
Rob Taylor	Resigned 12 June 2023
Adam Britten	

Trustees are briefed by staff members on the work they do by briefings at Board meetings, which ensures that Trustees are kept up-to-date with the charity's work.

**Risk Management**

The Board of Trustees has undertaken a review of the major risks to which the charity is exposed. A Risk Register has been established and is formally reviewed by the Board annually or if there are material changes in the charity's operating environment. Where appropriate, systems or procedures have been established to mitigate the risks the Charity faces.

Systems of internal control and delegated authority are in place with robust procedures for authorisation of all transactions. The organisation ensures that policies and procedures are in place and that training is delivered to ensure compliance with health and safety of staff, volunteers and clients. All procedures and policies are reviewed regularly to ensure they continue to reflect best practice and remain relevant.

**Quality Assurance**

In addition to using the QPM for quality in Advocacy Services, Service User feedback is a key part of our quality assurance and the Charity is further developing opportunities for service user engagement.

**Financial Review**

The Company made a deficit of £15,102 in the financial year - in comparison to last year's deficit of £51,514.

Warwickshire Independent Advocacy again showed a deficit in operations during this financial year due to difficulties in securing income. The board continues to monitor finances very closely and retain our designated reserves to a prudent level.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**Principal Funding Sources**

The principal funding sources are Solihull Metropolitan Borough Council via SATA, Warwickshire County Council, Coventry City Council and Orbit. We have a range of smaller funds from various trusts, individuals and events.

**Reserves Policy**

The Reserves Policy has been set to ensure:

- Reserves are maintained at a level, which ensures our company core activity could continue during a period of unforeseen difficulty by retaining funds to cover our long-term liabilities and enable six months operation at reduced running costs.
- Maintain a proportion of reserves in a readily realisable form to meet liabilities including redundancy payments and commitments to staff, partners and creditors should the charity cease to operate.

**Investment Policy**

The Trustees have wide powers of investment. The investment policy of the Trustees is to protect all capital sums held by prudent investment. Consequently, surplus funds are normally held as short-term deposits in the money market with the Charity's bank or invested in term deposit accounts.

**Funds held on behalf of others**

Warwickshire Independent Advocacy Alliance has custody of £2,917,834, being held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

**Fundraising Policy**

Warwickshire Independent Advocacy Alliance actively fundraises for its work. We do not envisage substantially using third party suppliers but we are involving volunteers.

**Data Protection**

Warwickshire Independent Advocacy Alliance takes data protection very seriously. We have a clear Privacy Policy, Data Protection Policy, Confidentiality Policy and Data Processing Statement. We already use Charity Log, a Cloud-based secure case management system for all our client records.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**Statement of Trustees' Responsibilities**

The Trustees (who are also directors of Warwickshire Independent Advocacy Alliance for the purposes of company law) are responsible for preparing the Trustees' Report and financial statements in accordance with applicable law and United Kingdom Accepted Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

Company law requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charitable company and of its incoming resources and application of resources, including the income and expenditure, of the charitable company for the year.

In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP;
- make judgments and estimates that are reasonable and prudent;
- state whether applicable UK Accounting Standards have been followed, subject to any material departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation.

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charitable company and which enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the charitable company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

In so far as the Trustees are aware:

- There is no relevant audit information of which the charitable company's auditor is unaware; and
- The Trustees have taken all appropriate and reasonable steps to make themselves aware of any relevant audit information and to establish that the auditor is aware of that information and has access to all relevant records to form a reasonable opinion on the Financial Statements.

Approved by the Board of Trustees and signed on its behalf by:

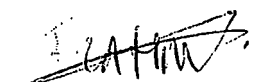


Chris Bain

Kavita Bachada



Fayaz Rahman



Date: 6/12/23

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**Independent Examiner's Report to the members of Warwickshire Independent Advocacy Alliance ('the Company')**

I report to the charity trustees on my examination of the accounts of the Company for the year ended 31 March 2023.

**Responsibilities and basis of report**

As the charity's trustees of the Company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under Section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the Directions given by the Charity Commission under Section 145(5) (b) of the 2011 Act.


**Independent examiner's statement**

Since your charity's gross income exceeded £250,000 your examiner must be a member of a listed body. I can confirm that I am qualified to undertake the examination because I am a member of the Institute of Chartered Accountants in England and Wales, which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the Company as required by Section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of Section 396 of the 2006 Act other than any requirement that the accounts give a true and fair view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities (applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102)).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.



Gregg Olnier MPhil BA (Hons) FCA  
Harrison Beale & Owen Limited  
Chartered Accountants  
Highdown House  
11 Highdown Road  
Leamington Spa  
Warwickshire  
CV31 1XT

Dated 6 December 2023

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
STATEMENT OF FINANCIAL ACTIVITIES  
FOR THE YEAR ENDED 31 MARCH 2023

	<u>Notes</u>	<u>Unrestricted Funds</u> £	<u>Restricted Funds</u> £	<u>Total 2023</u> £	<u>Total 2022</u> £
<b><u>Income</u></b>					
Donations, Legacies & Grants	2	470	-	470	521
Investment Income	3	1,284	-	1,284	-
		1,754	-	1,754	521
Income from Charitable Activities	4	77,033	240,749	317,782	339,084
<b>Total Income</b>		<b>78,787</b>	<b>240,749</b>	<b>319,536</b>	<b>339,605</b>
<b><u>Expenditure</u></b>					
<b>Expenditure on Charitable Activities</b>					
Community & Learning Hub		-	45,422	45,422	52,196
Advocacy Hub		-	123,880	123,880	125,586
Money Hub		8,751	86,680	95,431	107,924
Governance Hub		69,905	-	69,905	105,413
<b>Total Expenditure on Charitable Activities</b>		<b>78,656</b>	<b>255,982</b>	<b>334,638</b>	<b>391,119</b>
<b>Net Incoming Resources before Transfers</b>		<b>131</b>	<b>(15,233)</b>	<b>(15,102)</b>	<b>(51,514)</b>
Transfers between funds		(15,233)	15,233	-	-
<b>Net Movement in Funds</b>		<b>(15,102)</b>	<b>-</b>	<b>(15,102)</b>	<b>(51,514)</b>
<b>Fund Balances 1st April 2022</b>		<b>99,958</b>	<b>-</b>	<b>99,958</b>	<b>151,472</b>
<b>Fund Balances at 31st March 2023</b>		<b>84,856</b>	<b>-</b>	<b>84,856</b>	<b>99,958</b>

The statement of financial activities includes all gains and losses recognised in the year. All income and expenditure derive from continuing activities.

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
BALANCE SHEET  
AS AT 31ST MARCH 2023

	Notes	2023		2022	
		£	£	£	£
<b>Fixed Assets</b>					
Tangible assets	11		2,648		3,437
<b>Current Assets</b>					
Debtors	12	32,578		47,850	
Cash at bank and in hand	13	67,849		72,573	
			100,427		120,423
<b>Creditors : amounts falling due within one year</b>	14	(18,219)		(23,902)	
<b>Net Current Assets</b>			82,208		96,521
<b>Total Assets less Current Liabilities</b>			84,856		99,958
<b>Net Assets</b>			84,856		99,958
<b>Funds of the Charity</b>					
Unrestricted funds:					
Designated	16		56,000		95,115
Other charitable funds	18		28,856		4,843
<b>Total Charity Funds</b>			84,856		99,958

For the year ended 31 March 2023 the company was entitled to exemption from audit under Section 477 of the Companies Act 2006 relating to small companies.

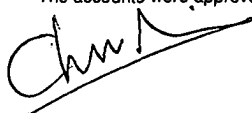
The members have not required the company to obtain an audit of its financial statements for the year ended 31 March 2023 in accordance with Section 476 of the Companies Act 2006.

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with Sections 386 and 387 of the Companies Act 2006 and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of Sections 394 and 395 and which otherwise comply with the requirements of the Companies Act 2006 relating to financial statements, so far as

These accounts are prepared in accordance with the special provisions of Part 15 of the Companies Act relating to small companies and constitute the annual accounts required by the Companies Act 2006 and are for circulation to members of the company. The notes on pages 19 to 26 form part of these accounts

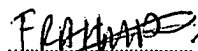
The accounts were approved by the Board on 6/12/23



Chris Bain



Kavita Bachada



Fayez Rahman

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
STATEMENT OF CASH FLOWS  
FOR THE YEAR ENDED 31ST MARCH 2023

	<u>Notes</u>	<u>2023</u> £	<u>2022</u> £
Cash (used in) / Provided by Operating Activities	23	(4,538)	(65,307)
<b>Cash Flows from Investing Activities</b>			
Interest Income		1,284	-
Purchase of Tangible Fixed Assets		(1,470)	(1,367)
<b>Cash used in Investing Activities</b>		<b>(186)</b>	<b>(1,367)</b>
Increase (Decrease) in Cash & Cash Equivalents in the Year		(4,724)	(66,674)
Cash & Cash Equivalents at the Beginning of the Year		72,573	139,247
<b>Total Cash &amp; Cash Equivalents at the End of the Year</b>		<b>67,849</b>	<b>72,573</b>

**Cash & Cash Equivalents**

The amounts disclosed in the Cash Flow Statement in respect of the cash and cash equivalents are in respect of these Balance Sheet amounts :

<b>Year ended 31 March 2023</b>	<b>31.3.23</b> £	<b>1.4.22</b> £
Cash & Cash equivalents	<u>67,849</u>	<u>72,573</u>
<b>Year ended 31 March 2022</b>	<b>31.3.22</b>	<b>1.4.21</b>
Cash & Cash equivalents	<u>72,573</u>	<u>139,247</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS**  
**FOR THE YEAR ENDED 31ST MARCH 2023**

**1 Accounting Policies**

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

**1.1 Basis of Preparation**

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard for applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019) - ( Charities SORP (FRS 102)), the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) and the Companies Act 2006. Warwickshire Independent Advocacy Alliance meets the definition of a public benefit entity under FRS 102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy notes.

**1.2 Incoming Resources**

Income is recognised in the period in which the charity is entitled to receipt and the amount can be measured with reasonable accuracy. Income is deferred only when the charity has to fulfil conditions before becoming entitled to it or where the donor has specified that the income is to be expended in a future period.

Voluntary income is received by way of grants, donations and gifts and is included in full in the Statement of Financial Activities when receivable. Grants, where entitlement is not conditional on the delivery of a specific performance by the charity, are recognised when the charity becomes unconditionally entitled to the grant.

Donated services and facilities are included at the value to the charity where this can be quantified. The value of services provided by volunteers has not been included in these accounts. Investment income is included when received.

Incoming resources from grants, where related to performance and specific deliverables, are accounted for as the charity earns the right to consideration by its performance.

For legacies, entitlement is taken as the earlier of :

- a ) the date on which the charity is aware that probate has been granted ;
- b ) the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- c ) when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

**1.3 Resources expended**

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Where costs cannot be directly attributed to particular headings, they have been allocated to activities on a basis consistent with the use of resources. Premises and office costs have been allocated on a basis of usage. Costs of generating funds comprise the costs associated with attracting voluntary income. Charitable expenditure comprises those costs incurred by the charity in the delivery of its activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

Governance costs include those costs associated with meeting the constitutional and statutory requirements of the charity and include the audit fees and the costs linked to the strategic management of the charity.

**1.4 Tangible fixed assets and depreciation**

Tangible fixed assets are stated at cost less depreciation. Items less than £500 are not capitalised. Depreciation is provided at rates calculated on a straight line basis to write off the cost less estimated residual value of each asset over its expected useful life, as follows:

Fixtures, fittings & equipment	4 years
--------------------------------	---------

**1.5 Pensions**

The company operates defined pension contribution schemes. The assets of the scheme are held separately from those of the charitable company in an independently administered fund. Contributions payable of £6,365 for the year have been charged in the statement of financial activities (2022: £7,634). The amount outstanding at the year end was £2,406 (2022: £1,657) and is shown within creditors.

**1.6 Fund Accounting**

General funds are unrestricted funds, which are available for the use at the discretion of the trustees in furtherance of the general objectives of the charity.

Designated funds have been designated by the trustees for a specific purpose.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds is charged against the specific funds.

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

1.7 Operating Leases

Rentals are payable in respect of the operating leases where substantially all the benefits and risks of ownership remain with the lessor were charged to Statement of Financial Activities as incurred.

1.8 Debtors

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid after taking account of any trade discounts due.

1.9 Cash at bank and in hand

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

1.10 Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

	Unrestricted Funds 2023 £	Restricted Funds 2023 £	Total 2023 £	2022 £
<b>2 Income from Donations, Legacies &amp; Grants</b>				
Donations and Gifts	470	-	470	521
	470	-	470	521
<b>3 Investment Income</b>				
Interest received	1,284	-	1,284	-
<b>4 Income from Charitable Activities</b>				
Community & Learning Hub	25,847	29,100	54,947	69,733
Advocacy Hub	2,178	140,377	142,555	139,108
Money Hub	48,869	71,272	120,141	129,327
Fundraising	139	-	139	916
	77,033	240,749	317,782	339,084

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

5 Total Expenditure	<u>Staff Costs</u> £	<u>Other Costs</u> £	<u>Total 2023</u> £	<u>Total 2022</u> £
<b>Expenditure on Charitable Activities</b>				
<b><u>Community &amp; Learning Hub</u></b>				
Activities undertaken directly	43,639	1,783	45,422	51,842
Support costs	-	-	-	354
<b>Total Community &amp; Learning Hub</b>	<b>43,639</b>	<b>1,783</b>	<b>45,422</b>	<b>52,196</b>
<b><u>Advocacy Hub</u></b>				
Activities undertaken directly	113,226	10,653	123,879	125,436
Support costs	-	1	1	150
<b>Total Advocacy Hub</b>	<b>113,226</b>	<b>10,654</b>	<b>123,880</b>	<b>125,586</b>
<b><u>Money Hub</u></b>				
Activities undertaken directly	95,431	-	95,431	107,924
Support costs	-	-	-	-
<b>Total Money Hub</b>	<b>95,431</b>	<b>-</b>	<b>95,431</b>	<b>107,924</b>
<b><u>Governance Hub</u></b>				
Activities undertaken directly	101	476	577	11,201
Support costs	-	69,328	69,328	94,212
<b>Total Governance Hub</b>	<b>101</b>	<b>69,804</b>	<b>69,905</b>	<b>105,413</b>
<b>TOTAL EXPENDITURE</b>	<b>252,397</b>	<b>82,241</b>	<b>334,638</b>	<b>391,119</b>

100% of Community & Learning Hub expenditure, 100% of Advocacy Hub expenditure and 91% of Money Hub expenditure relates to Restricted Funds.

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

6 Activities Undertaken Directly	<u>2023</u> £	<u>2022</u> £
<b><u>Community &amp; Learning Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	1,390	1,734
Volunteer expenses	<u>393</u>	<u>136</u>
	<u>1,783</u>	<u>1,870</u>
<b><u>Advocacy Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	<u>10,653</u>	<u>5,599</u>
<b><u>Money Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	<u>-</u>	<u>3,239</u>
<b><u>Governance Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	429	1,922
	<u>47</u>	<u>-</u>
	<u>476</u>	<u>1,922</u>

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

7 Support Costs	<u>Community &amp; Learning Hub</u>	<u>Advocacy Hub</u>	<u>Money Hub</u>	<u>Governance Hub</u>	<u>Total 2023</u> £	<u>Total 2022</u> £
Premises Costs	-	1	-	29,776	29,777	30,168
Office Costs	-	-	-	23,546	23,546	35,079
Governance Costs	-	-	-	16,006	16,006	29,469
	-	1	-	69,328	69,329	94,716

Governance Costs consist of :	<u>2023</u> £	<u>2022</u> £
Auditors Remuneration	2,130	3,516
Legal & Professional Fees	13,116	22,716
Trustees Meetings	-	-
Bank Charges	537	(1,015)
Subscriptions	587	1,518
Web site	336	840
Fundraising Costs	(700)	1,894
	16,006	29,469

8 Trustees

No Trustee received remuneration or expenses during the year ended 31st March 2023 (2022 : nil).

9 Number of Employees

The average monthly number of employees (including part time) during the year w

	<u>2023</u>	<u>2022</u>
Office	3	3
Charitable Activities	8	9
	11	12

10 Employment Costs

	<u>2023</u> £	<u>2022</u> £
Wages & Salaries	222,457	259,232
Social Security Costs	14,248	16,907
Other pension Costs	6,365	7,634
Compensation Payment	9,327	-
	252,397	283,773

There were no employees whose remuneration was £60,000 or more. The key management personnel are considered to be the CEO, Head of Finance & Operations Manager. The employee benefits totalled £83,477 (2022 - £46,293).

During the year one position was made redundant and the charity made payments in lieu of notice and redundancy totalling £9,327 (2022 - £nil).

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

<b>11 Tangible Fixed Assets</b>		<b>Fixtures, Fittings &amp; Equipment £</b>
<b>Cost</b>		
At 1st April 2022		36,555
Additions		1,470
Disposals		-
		38,025
At 31st March 2023		38,025
<b>Depreciation</b>		
At 1st April 2022		33,118
Charge for the Year		2,259
Disposals		-
		35,377
At 31st March 2023		35,377
<b>Net book value</b>		
At 31st March 2023		2,648
		2,648
At 31st March 2022		3,437
		3,437
<b>12 Debtors</b>	<b>2023</b>	<b>2022</b>
	<b>£</b>	<b>£</b>
Trade Debtors	31,924	21,347
Prepayments and Accrued Income	654	26,503
	32,578	47,850
	32,578	47,850
<b>13 Cash at Bank and in Hand</b>	<b>2023</b>	<b>2022</b>
	<b>£</b>	<b>£</b>
WIAA Funds	67,849	72,573
	67,849	72,573
<p>Warwickshire Independent Advocacy Alliance has custody of £2,917,834 (2022 - £2,761,971) being held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.</p>		
<b>14 Creditors : Amounts falling due within one year</b>	<b>2023</b>	<b>2022</b>
	<b>£</b>	<b>£</b>
Trade Creditors	59	3,293
Taxes and Social Security Costs	3,792	6,170
Other Creditors	3,699	-
Accruals and Deferred Income	10,669	14,439
15		
	18,219	23,902
	18,219	23,902
<b>15 Deferred Income</b>	<b>2023</b>	<b>2022</b>
	<b>£</b>	<b>£</b>
Balance as at 1st April 2022	5,288	17,776
Amount Released in the Year	(5,288)	(17,776)
Amount Deferred in the Year	5,740	5,288
	5,740	5,288
Balance as at 31st March 2023	5,740	5,288
	5,740	5,288

This deferred income relates to contract income received in advance of delivering the service.

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

16 Designated Funds	<u>Balance at 1 April 2022</u>	<u>Incoming Resources</u>	<u>Resources expended</u>	<u>Transfers</u>	<u>Balance at 31 March 2023</u>
	£	£	£	£	£
Redundancy & Office Running Costs	89,115	-	9,327	(29,788)	50,000
Disaster Recovery	6,000	-	-	-	6,000
	95,115	-	9,327	(29,788)	56,000

Included within the unrestricted funds is £56,000 which has been designated by the Trustees to meet any future redundancy costs and to cover any office running costs. The Trustees consider that these are necessary in view of the uncertain nature of the funding of the Charity.

Designated funds totalling £56,000 are included within net current assets.

17 Restricted Funds	<u>Balance at 1 April 2022</u>	<u>Incoming Resources</u>	<u>Resources expended</u>	<u>Transfers</u>	<u>Balance at 31 March 2023</u>
	£	£	£	£	£
Solihull First Advocacy	-	140,377	149,262	8,885	-
Warwickshire County Council Appointee	-	71,272	75,771	4,499	-
Heart of England	-	21,600	22,962	1,362	-
29th May 1961 Trust	-	7,500	7,987	487	-
	-	240,749	255,982	15,233	-

Solihull First Advocacy ( including a Volunteer coordination element run through our Community Innovation and Learning Hub)- an advocacy service for residents in Solihull in partnership with Solihull Action through Advocacy.

Warwickshire County Council Appointee - a corporate appointee service for Warwickshire residents.

Heart of England - development of Community Circles in Bedworth.

29th May 1961 Trust - development of Community Circles in Bedworth.

18 Unrestricted Funds	<u>Balance at 1 April 2022</u>	<u>Incoming Resources</u>	<u>Resources expended</u>	<u>Transfers</u>	<u>Balance at 31 March 2023</u>
	£	£	£	£	£
General Funds	4,843	78,786	69,328	14,555	28,856

General funds totalling £2,648 are included within fixed assets and the remaining £26,208 are included within net current assets

19 Commitments under Operating Leases

At 31st March 2023 the company had annual commitments under non - cancellable operating leases as follows:

	<u>2023</u>		<u>2022</u>	
	<u>Land and Building</u>	<u>Other</u>	<u>Land and Building</u>	<u>Other</u>
	£	£	£	£
Expiry Date :				
Less than One Year	16,667	653	14,120	5,202
Between Two and Five Years	11,111	2,287	-	-
	27,778	2,940	14,120	5,202

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

20 Ultimate Controlling Party

The controlling party is the board of directors.

21 Corporation Tax

No liability for Corporation Tax arises in this or previous periods.

22 Related Parties

There have been no related party transactions during the year

23 Reconciliation of the new movement in funds to net cash flow from operating activities

	<u>2023</u>	<u>2022</u>
	£	£
Net Movement in Funds	(15,102)	(51,514)
Add Back Depreciation Charge	2,259	7,078
Deduct Interest Income Shown	(1,284)	-
Decrease ( Increase ) in Debtors	15,272	(13,687)
Increase( Decrease) in Creditors	(5,683)	(7,184)
	<hr/>	<hr/>
Net Cash (used in) provided by Operating Activities	(4,538)	(65,307)
	<hr/> <hr/>	<hr/> <hr/>

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2023

7 Support Costs	<u>Community &amp; Learning Hub</u>	<u>Advocacy Hub</u>	<u>Money Hub</u>	<u>Governance Hub</u>	<u>Total 2023</u> £	<u>Total 2022</u> £
Premises Costs	-	1	-	29,776	29,777	30,168
Office Costs	-	-	-	23,546	23,546	35,079
Governance Costs	-	-	-	16,006	16,006	29,469
	-	1	-	69,328	69,329	94,716

Governance Costs consist of :	<u>2023</u> £	<u>2022</u> £
Auditors Remuneration	2,130	3,516
Legal & Professional Fees	13,116	22,716
Trustees Meetings	-	-
Bank Charges	537	(1,015)
Subscriptions	587	1,518
Web site	336	840
Fundraising Costs	(700)	1,894
	16,006	29,469

8 Trustees

No Trustee received remuneration or expenses during the year ended 31st March 2023 (2022 : nil).

9 Number of Employees

The average monthly number of employees (including part time) during the year w

	<u>2023</u>	<u>2022</u>
Office	3	3
Charitable Activities	8	9
	11	12

10 Employment Costs

	<u>2023</u> £	<u>2022</u> £
Wages & Salaries	222,457	259,232
Social Security Costs	14,248	16,907
Other pension Costs	6,365	7,634
Compensation Payment	9,327	-
	252,397	283,773

There were no employees whose remuneration was £60,000 or more. The key management personnel are considered to be the CEO, Head of Finance & Operations Manager. The employee benefits totalled £83,477 (2022 - £46,293).

During the year one position was made redundant and the charity made payments in lieu of notice and redundancy totalling £9,327 (2022 - £nil).

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

England & Wales - Charity number 1082961

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# Accounts

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WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)



Charity Registration No. 1082961

Company Registration No. 3942462 (England and Wales)

## **WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

*(A Company Limited by Guarantee having no share capital)*

### **TRUSTEES' REPORT AND ACCOUNTS**

**Year ended 31 March 2022**

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**LEGAL AND ADMINISTRATIVE INFORMATION**

<b>Trustees:</b>	Mr P Anthony	Vice Chairman (acting Chair) (resigned 26 September 2022)
	Mr N Parker	
	Mrs M Hanlon	(acting Chair from 21 October 2022)
	Mr D Smith	Resigned 28 March 2022
	Mr Z Hussein	Resigned 28 September 2022
	Chris Bain	Appointed 28 June 2021
	Rob Taylor	Appointed 22 September 2022
	Adam Britten	Appointed 18 October 2022
<b>Secretary:</b>	Ms A White	
<b>Patron:</b>	Hon Jeremy Wright KC, MP	
<b>Senior Management Team</b>	Mr S Cottingham	Chief Executive Officer (appointment ceased 2 December 2022)
	Ms C Evans	Head of Finance & Appointee Service (resigned 9 July 2021)
	Mr M Lee	Operations Manager
<b>Charlty number:</b>	1082961	
<b>Company number:</b>	3942462	
<b>Principal address:</b>	Independent Advocacy Avenue R Stoneleigh Park Warwickshire CV8 2LG	Moved to: - 4 - 6 Congreve Walk Bedworth CV12 8LY In December 2021
<b>Auditors:</b>	Harrison Beale & Owen Limited Highdown House Leamington Spa CV31 1XT	
<b>Bankers:</b>	Barclays Bank plc 48/50 The Parade Leamington Spa CV32 4DD	

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**Solicitors:** Wright Hassall  
Olympus Avenue  
Warwickshire  
C34 6BF

Lodders Solicitors  
Number Ten Elm Court  
Arden Street  
Stratford upon Avon  
Warwickshire  
CV37 6PA

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)

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**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

## **Introduction**

The Trustees are pleased to present their annual report and accounts for the year ending 31 March 2022. These have been prepared to meet the requirements for a Directors' report and accounts for the purposes of the Companies Acts.

The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102). The Financial Reporting Standard came into effect from 1 January 2019.

## **Our purposes**

The Objects of the Company are set out in its Memorandum of Association. The Objects for which the Company is established are to be effected within the United Kingdom ("The area of Benefit") and are to promote the relief of persons residing in the Area of Benefit, being persons in need of such relief by reasons of age, illness, physical disability, sensory disability, mental distress, learning disabilities or other disadvantages by developing, supporting, promoting and providing a variety of advocacy, representation, appointee and other services to such people to enable them to manage their affairs in a way which will improve their overall quality of life and to promote such other charitable purposes as may be beneficial to such persons.

Detail is provided in this report on the ways in which the Charity has supported the achievement of its purposes through varied activities during the financial year. Having reviewed the level of public benefit and social impact provided by the Charity as identified in these Objects and Activities during the year the trustees are satisfied that the Charity meets the conditions set out in the guidance provided by the Charity Commission.

## **Structure, governance and management of the organisation**

Warwickshire Independent Advocacy Alliance (the Company) is a company limited by guarantee, formed in March 2000, adopted by Special Resolution in January 2001 and by way of Written Resolution in June 2011. The Company is a registered charity, governed by its Memorandum and Articles of Association.

The Board of Trustees administers the charity. The Board has been meeting monthly throughout the year whilst our trustee numbers have not been sufficient for the normal bi-monthly meetings, and the normal work of subcommittees covering Human Resources, and Finance and Audit which previously met bi-monthly, has been handled at the board meetings. A Chief Executive is appointed by the Trustees to manage the day-to-day operations of the Charity. To facilitate effective operations the Chief Executive chairs a Management Group and has delegated authority, within the terms of delegation approved by the Trustees, for operational matters including finance and human resources.

## **Acting Chair, Madelaine Hanlon's review of the year and Trustees' report**

This year the Annual Report and Accounts continue to reflect the impact of the Covid pandemic on delivery of our work and on our finances, as well as the turbulent changes in the social care sector. The Trustees continue to support the Chief Executive to make our charity become increasingly community focused to meet client needs and by investing in enabling and encouraging our team to work from home. The reduction in funding opportunities due to the pandemic has meant further focus on cost control and reduction. We have used our reserves this year to continue to invest in fundraising and changing the organisation to be more flexible for the future.

Highlights during the year include the following: -

### **Outputs and Outcomes**

During the year we directly supported over 700 people across Warwickshire, Solihull and Coventry and indirectly over 2,000 people, professionals and organisations.

Our work and the individual outcomes we achieve for people we work with cover income and financial inclusion, mental health and physical well-being, maintaining employment through timely support and helping people connect to their community.

### **Strategic move to be community based**

Following the loss of contracts three years ago, the whole team and Trustees have supported our CEO's lead to transform IA to become a charity with a much larger proportion of finance from fundraising and a strategic focus on becoming community-based. That means that we work closely with members of our target communities to help them to help themselves in ways that they determine rather than being an organisation solely delivering work contracted by public authorities. Many aspects of this strategic change had to be put on hold due to the pandemic and focus shifted to supporting our clients while working from home and continuing our charitable aims, despite the severe disruption and rapid change caused by the pandemic.

Our planned move to Bedworth in a repurposed shop is a major example of our strategic move. This had to be put on hold due to the impact of Covid 19 but we were able to complete the move in December 2021.

### **Advocacy Hub**

There are many people whose individual circumstances preclude them from being able to take part fully in important discussions that they themselves are the subject of. Disability and cognitive impairment in particular, present barriers to understanding and engaging with the, often complicated, processes that can result in life changing consequences. Our advocacy service aims to support each individual to exercise as much control over their lives as possible. We worked with 526 people in our advocacy services during the year.

Independent Advocacy is partnered with Solihull Action through Advocacy to deliver "Solihull First Advocacy" from 2019 until 2026. We deliver the following Statutory Advocacy Services in Solihull:

- *Statutory IMHA services under the Mental Health Act 2007.* We have been operating this since 2009.
- *Community Peer Support.* We provide mental well-being "peer support groups" These peer support groups will be assisting individuals with their own support

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

planning (so they can go on to support others) and in building confidence and community inclusion.

- *Care Act Advocacy contract for Mental Health Service users.* We provide assessment support and review referrals from Mental Health Social Workers as per the guidelines under the use of Independent Advocacy in the Care Act 2014.
- *NHS Complaints Advocacy.* Support for people to raise concerns they may have about their treatment, or that of a family member, through the NHS complaints procedure. The aim is for speedy local resolution. Assistance can be given in referring complaints to the Ombudsman.
- *IMCA - Independent Mental Capacity Advocates* work with clients where a decision needs to be made around long-term accommodation or serious medical treatment but a client lacks the capacity to do so.

As well as our statutory work in Solihull we also deliver the following advocacy in both Solihull and further afield:

- *Community Deprivation of Liberty Orders (DOLS)* - Advocates work with clients who are living in the community who are subject to restrictions around their ability to leave their accommodation unaccompanied due to concerns about their capacity.
- *Relevant paid representative* - These advocates work with clients who are in a care or nursing home. They visit the client and liaise with their care providers to ensure that their liberty is not being overly restricted.
- *Parental (Child protection advocacy)* - Advocates work with clients who are involved in the child protection process. They attend meetings with or on behalf of clients including court hearings. Our advocates also liaise with social workers to help clients express their wishes and feelings and understand their rights. This is funded by spot purchase and is not restricted to a geographic area.
- *Private advocacy* - We occasionally get requests from individuals who cannot access advocacy from other sources to attend meetings or undertake work for them as spot purchase. This service is not limited to a geographic area.
- *Nearest relative* - The nearest relative is a person or organisation who has certain responsibilities and powers if you are detained in hospital under the Mental Health Act. These include the right to information and to discharge in some situations.

### **Money Hub**

The Money Hub provided a Corporate Appointee Service and Support Service for people within Warwickshire, Solihull, Coventry and Lincolnshire throughout the year. The Hub further developed the reporting and analysis work, which is now providing an extensive range of data on the operation and achievements of our charity.

Corporate Appointee means that we are authorised by the Department for Work and Pensions to manage benefits on behalf of people who do not have the capacity to do so themselves. The service protects people's benefits and ensures that they are able to spend them as they wish within a set budget and also guards against financial abuse. During the year our Corporate Appointee service worked with 208 clients, safeguarding their benefits and managing their finances.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
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**Community Hub**

***Work with Orbit Housing:***

Following the work last year with Orbit (the landlord of several ex local authority housing estates), our team extended the involvement successfully to improve provision for households. Lockdown affected the ability to deliver and so much work was put on hold during the year.

***Bedworth Community Corner:***

The Board approved the proposed major change to become community based via a move to a repurposed shop in Bedworth. Lockdown meant that these proposals were put on hold in 2020. However, the move to be community-based and close to clients (rather than the previous remote site at the National Agricultural Centre) was finally achieved in December 2021.

**Governance**

**Recruitment and appointment of Board of Trustees**

The Directors of the Company are also Charity Trustees for the purpose of Charity Law and under the Company's Articles are also members of the Company. Under the requirements of the Memorandum and Articles of Association at each Annual General Meeting one third of directors stand down on a rotation basis after which they can be re-elected. The Board of Trustees seek to ensure that representation on the Board covers adult disability groups and a broad mix of skills to provide financial, corporate and social care experience.

The Trustees, who are also the directors for the purpose of company law, who served during the year, were:

P Anthony- Vice Chairman and acting Chair – resigned 26 September 2022  
N Parker  
M Hanlon  
D Smith –resigned 28 March 2022  
Z Hussein – resigned 28 September 2022  
C Bain – 28 June 2021

Due to the Covid 19 pandemic and its aftermath, a new chairman and new trustees were difficult to recruit and Paul Anthony continued as acting Chair. Throughout the year, board meetings have been by video conference and are monthly so that the meetings also cover the work done previously by sub-committees.

**Trustees' development**

New Trustees attend a series of meetings to familiarise themselves with the work of the charity and meet key staff. Initial induction meetings include information sessions with the Vice Chairman and the Chief Executive and reflect upon the governance responsibility of the Charity and Codes of Practice. Governance training is also provided to new Trustees. New Trustees receive an induction pack containing governing documents, purpose and mission, structure chart, strategic plan, role description, contact details in addition to good practice publications issued by the Charity Commission. New Trustees also meet with key staff to learn in more detail about the services the charity delivers.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

The Board of Trustees usually meet annually at a Board Away day. This is to review the performance of the Board and its sub-committees, its strengths and development needs and recruitment and succession issues. This meeting also reviews the strategic direction of the organisation and informs the development and updating of the Charity's Business Plan. This has been postponed due to Covid 19 and until a new Chair is in position.

Trustees are briefed by staff members on the work they do by briefings at Board meetings, which ensures that Trustees are kept up-to-date with the charity's work.

**Risk Management**

The Board of Trustees has undertaken a review of the major risks to which the charity is exposed. A Risk Register has been established and is formally reviewed by the Board annually or if there are material changes in the charity's operating environment. Where appropriate, systems or procedures have been established to mitigate the risks the Charity faces. The Board of Trustees and Senior Management Team explore significant external risks to the organisation and its development plans through a business planning process and preparation of a Strategic Business Plan reviewed annually. A key focus within the Strategic Plan is to diversify income streams and ensure our human resources development plans deliver the people skills the organisation needs to grow and continuously improve the quality of our offer to our service users and partners.

Systems of internal control and delegated authority are in place with robust procedures for authorisation of all transactions. The organisation ensures that policies and procedures are in place and that training is delivered to ensure compliance with health and safety of staff, volunteers and clients. All procedures and policies are reviewed regularly to ensure they continue to reflect best practice and remain relevant.

**Quality Assurance**

In addition to using the QPM for quality in Advocacy Services, Service User feedback is a key part of our quality assurance and the Charity is further developing opportunities for service user engagement.

**Financial Review**

The Company made a deficit of £51,514 in the financial year – a slight increase in comparison to last year's deficit of £48,580.

Warwickshire Independent Advocacy again showed a deficit in operations during this financial year due to investment in changes to become sustainable in the longer term and due to the difficulty in securing grant income. The board continues to monitor finances very closely and retain our designated reserves to a prudent level.

Several members of staff have agreed to reduce their hours to help sustain the charity during this difficult period and the Board offer their thanks and appreciation for these sacrifices.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
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**Principal Funding Sources**

The principal funding sources are Solihull Metropolitan Borough Council, Warwickshire County Council, Coventry City Council and Orbit. We have a range of smaller funds from various trusts, individuals and events.

**Reserves Policy**

The Trustees have reviewed their Reserves policy during the year. The Policy has been set to ensure:

- Reserves are maintained at a level, which ensures our company core activity could continue during a period of unforeseen difficulty by retaining funds to cover our long-term liabilities and enable six months operation at reduced running costs.
- Maintain a proportion of reserves in a readily realisable form to meet liabilities including redundancy payments and commitments to staff, partners and creditors should the charity cease to operate.

The calculation of the required level of reserves takes into account:

- Risks associated with each stream of income and expenditure being different from budget.
- Planned level of activity and the organisation's commitments.
- The expectation that several members of staff would be subject to TUPE if IA were to be closed down.

To cover the above the planned level set aside as designated reserves is £95,115 (£115,115 in 2021).

**Investment Policy**

The Trustees have wide powers of investment. The investment policy of the Trustees is to protect all capital sums held by prudent investment. Consequently, surplus funds are normally held as short-term deposits in the money market with the Charity's bank or invested in term deposit accounts.

**Funds held on behalf of others**

Warwickshire Independent Advocacy Alliance has custody of £2,761,971 (2021: £2,863,744) being held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

**Fundraising Policy**

Warwickshire Independent Advocacy Alliance actively fundraises for its work. We currently have some staff time dedicated to this. -We do not envisage substantially using third party suppliers but we are involving volunteers.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

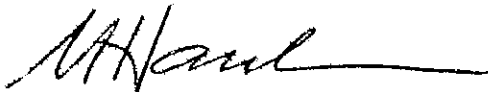
**Key Management Compensation**

Warwickshire Independent Advocacy Alliance has an HR Committee comprising Trustees and Senior Management staff who oversee the pay structure. NJC/SCP pay scales are used for the remuneration of all staff, except the CEO.

**Data Protection**

Warwickshire Independent Advocacy Alliance takes data protection very seriously. We have a clear Privacy Policy, Data Protection Policy, Confidentiality Policy and Data Processing Statement. We already use Charity Log, a Cloud-based secure case management system for all our client records.

Approved by the Board of Trustees and signed on its behalf by:



**Madeleine Hanlon  
Acting Chair of the Board**

**Dated: 31 January 2023**

## Statement of trustees' responsibilities

The trustees are responsible for preparing the Report of the Trustees and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales, the Charities Act 2011, Charity (accounts and Reports) Regulations 2008 and the provisions of the trust deed requires the trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources and application of resources, including the income and expenditure, of the charity for that period. In preparing those financial statements, the trustees are required to

- select suitable accounting policies and apply them consistently;
- observe the methods and principles in the Charity SORP;
- make judgements and estimates that are reasonable and prudent;
- prepare the financial statements on a going concern basis unless it is inappropriate to presume that the charity will continue in business.

The trustees are responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the charity and to enable them to ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

Approved by order of the board of trustees on 31 January 2023 and signed on its behalf by:



Madelaine Hanlon  
Trustee

## **Independent Examiner's Report to the Trustees of Warwickshire Independent Advocacy Alliance**

I report to the charity trustees on my examination of the accounts of the company for the year ended 31 March 2022 which are set out on the following pages.

### **Responsibilities and basis of report**

As the charity trustees of the company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your company's accounts as carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the Directions given by the Charity Commission under section 145(5)(b) of the 2011 Act.

### **Independent examiner's statement**

Since the company's gross income exceeded £250,000 your examiner must be a member of a body listed in section 145 of the 2011 Act. I confirm that I am qualified to undertake the examination because I am a member of ICAEW, which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

1. accounting records were not kept in respect of the company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Gregg Olnor ACA

Harrison Beale & Owen Limited  
Seven Stars House  
1 Wheler Road  
Coventry  
CV3 4LB

31 January 2023

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
STATEMENT OF FINANCIAL ACTIVITIES  
FOR THE YEAR ENDED 31 MARCH 2022

	<u>Notes</u>	<u>Unrestricted Funds</u> £	<u>Restricted Funds</u> £	<u>Total 2022</u> £	<u>Total 2021</u> £
<b><u>Income</u></b>					
Donations, Legacies & Grants	2	521	-	521	66
Investment income	3	-	-	-	1,452
		521	-	521	1,518
Income from Charitable Activities	4	82,142	256,942	339,084	335,982
<b>Total Income</b>		82,663	256,942	339,605	337,500
<b><u>Expenditure</u></b>					
	5				
<b>Expenditure on Charitable Activities</b>					
Community & Learning Hub		-	67,328	67,328	56,546
Advocacy Hub		14,949	114,728	129,675	141,788
Money Hub		40,247	74,888	115,135	119,298
Governance Hub		78,981	-	78,981	68,448
<b>Total Expenditure on Charitable Activities</b>		134,177	256,942	391,119	386,080
<b>Net Incoming Resources before Transfers</b>		(51,514)	-	(51,514)	(48,580)
<b>Net Movement In Funds</b>		(51,514)	-	(51,514)	(48,580)
<b>Fund Balances 1st April 2021</b>		151,472	-	151,472	200,052
<b>Fund Balances at 31st March 2022</b>		<b>99,958</b>	<b>-</b>	<b>99,958</b>	<b>151,472</b>

The statement of financial activities includes all gains and losses recognised in the year. All income and expenditure derive from continuing activities.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**BALANCE SHEET**  
**AS AT 31ST MARCH 2022**

	<u>Notes</u>	<u>2022</u>		<u>2021</u>	
		£	£	£	£
<b>Fixed Assets</b>					
Tangible assets	11		3,437		9,149
<b>Current Assets</b>					
Debtors	12	47,850		34,163	
Cash at bank and In hand	13	72,573		139,247	
		<hr/>		<hr/>	
			120,423		173,410
<b>Creditors : amounts falling due within one year</b>	14	(23,902)		(31,087)	
<b>Net Current Assets</b>			<hr/>		<hr/>
			96,521		142,323
<b>Total Assets less Current Liabilities</b>			<hr/>		<hr/>
			99,958		151,472
<b>Net Assets</b>			<hr/>		<hr/>
			99,958		151,472
<b>Funds of the Charity</b>					
Unrestricted funds:					
Designated	16		95,115		115,115
Other charitable funds			4,843		36,357
			<hr/>		<hr/>
<b>Total Charity Funds</b>			<hr/>		<hr/>
			99,958		151,472

For the year ending 31 March 2022 the company was entitled to exemption from audit under Section 477 of the Companies Act 2006 relating to small companies.

The members have not required the company to obtain an audit of its financial statements for the year ended 31 March 2022 in accordance with Section 476 of the Companies Act 2006.

The directors acknowledge their responsibilities for:

- (a) ensuring that the company keeps accounting records which comply with Sections 386 and 387 of the Companies Act 2006 and
- (b) preparing financial statements which give a true and fair view of the state of affairs of the company as at the end of each financial year and of its profit or loss for each financial year in accordance with the requirements of Sections 394 and 395 and which otherwise comply with the requirements of the Companies Act 2006 relating to financial statements, so far as applicable to the company.

These accounts are prepared in accordance with the special provisions of Part 15 of the Companies Act relating to small companies and constitute the annual accounts required by the Companies Act 2006 and are for circulation to members of the company. The notes on pages 19 to 26 form part of these accounts.

The accounts were approved by the Board on 31 January 2023

.....  
Madelaine Hanlon  
Trustee

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**STATEMENT OF CASH FLOWS**  
**FOR THE YEAR ENDED 31ST MARCH 2022**

	<u>Notes</u>	<u>2022</u> £	<u>2021</u> £
<b>Cash (used In) / Provided by Operating Activities</b>	21	(65,307)	(32,803)
<b>Cash Flows from Investing Activities</b>			
Interest Income		-	1,452
Purchase of Tangible Fixed Assets		(1,367)	(9,734)
<b>Cash used In Investing Activities</b>		(1,367)	(8,282)
Increase (Decrease) In Cash & Cash Equivalents in the Year		(66,674)	(41,085)
Cash & Cash Equivalents at the Beginning of the Year		139,247	180,332
<b>Total Cash &amp; Cash Equivalents at the End of the Year</b>		<b>72,573</b>	<b>139,247</b>

**Cash & Cash Equivalents**

The amounts disclosed in the Cash Flow Statement in respect of the cash and cash equivalents are in respect of these Balance Sheet amounts :

<b>Year ended 31 March 2022</b>	<b>31.3.22</b> £	<b>1.4.21</b> £
Cash & Cash equivalents	<u>72,573</u>	<u>139,247</u>
<b>Year ended 31 March 2021</b>	<b>31.3.21</b>	<b>01.04.20</b>
Cash & Cash equivalents	<u>139,247</u>	<u>180,332</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS**  
**FOR THE YEAR ENDED 31ST MARCH 2022**

**1 Accounting Policies**

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

**1.1 Basis of Preparation**

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard for applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019) - (Charities SORP (FRS 102)), the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) and the Companies Act 2006. Warwickshire Independent Advocacy Alliance meets the definition of a public benefit entity under FRS 102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy notes.

**1.2 Incoming Resources**

Income is recognised in the period in which the charity is entitled to receipt and the amount can be measured with reasonable accuracy. Income is deferred only when the charity has to fulfil conditions before becoming entitled to it or where the donor has specified that the income is to be expended in a future period.

Voluntary income is received by way of grants, donations and gifts and is included in full in the Statement of Financial Activities when receivable. Grants, where entitlement is not conditional on the delivery of a specific performance by the charity, are recognised when the charity becomes unconditionally entitled to the grant.

Donated services and facilities are included at the value to the charity where this can be quantified. The value of services provided by volunteers has not been included in these accounts. Investment income is included when received.

Incoming resources from grants, where related to performance and specific deliverables, are accounted for as the charity earns the right to consideration by its performance.

For legacies, entitlement is taken as the earlier of:

- a) the date on which the charity is aware that probate has been granted;
- b) the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- c) when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

**1.3 Resources expended**

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Where costs cannot be directly attributed to particular headings, they have been allocated to activities on a basis consistent with the use of resources. Premises and office costs have been allocated on a basis of usage. Costs of generating funds comprise the costs associated with attracting voluntary income. Charitable expenditure comprises those costs incurred by the charity in the delivery of its activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

Governance costs include those costs associated with meeting the constitutional and statutory requirements of the charity and include the audit fees and the costs linked to the strategic management of the charity.

**1.4 Tangible fixed assets and depreciation**

Tangible fixed assets are stated at cost less depreciation. Items less than £500 are not capitalised. Depreciation is provided at rates calculated on a straight line basis to write off the cost less estimated residual value of each asset over its expected useful life, as follows:

Fixtures, fittings & equipment	4 years
IT Infrastructure	Written off over the remaining term of the lease

**1.5 Pensions**

The company operates defined pension contribution schemes. The assets of the scheme are held separately from those of the charitable company in an independently administered fund. Contributions payable of £7,834 for the year have been charged in the statement of financial activities (2021: £7,856). The amount outstanding at the year end was £1,657 (2021: £1,719). An auto-enrolment pension scheme was set up in August 2015.

**1.6 Fund Accounting**

General funds are unrestricted funds, which are available for the use at the discretion of the trustees in furtherance of the general objectives of the charity.

Designated funds have been designated by the trustees for a specific purpose.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds is charged against the specific funds.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2022**

**1.7 Operating Leases**

Rentals are payable in respect of the operating leases where substantially all the benefits and risks of ownership remain with the lessor were charged to Statement of Financial Activities as incurred.

**1.8 Debtors**

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid after taking account of any trade discounts due.

**1.9 Cash at bank and in hand**

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

**1.10 Creditors and provisions**

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

	<b>Unrestricted Funds 2022 £</b>	<b>Restricted Funds 2022 £</b>	<b>Total 2022 £</b>	<b>2021 £</b>
<b>2 Income from Donations, Legacies &amp; Grants</b>				
Donations and Gifts	521	-	521	66
	521	-	521	66

The income from donations and legacies was £521 (2021: £66) of which £nil was restricted (2021: £nil).

	<b>Unrestricted Funds 2022 £</b>	<b>Restricted Funds 2022 £</b>	<b>Total 2022 £</b>	<b>2021 £</b>
<b>3 Investment Income</b>				
Interest received	-	-	-	1,452

	<b>Unrestricted Funds 2022 £</b>	<b>Restricted Funds 2022 £</b>	<b>Total 2022 £</b>	<b>2021 £</b>
<b>4 Income from Charitable Activities</b>				
Community & Learning Hub	2,405	67,328	69,733	56,546
Advocacy Hub	24,382	114,726	139,108	132,366
Money Hub	54,439	74,888	129,327	140,184
Fundraising	916	-	916	6,886
	82,142	256,942	339,084	335,982

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2022

5 Total Expenditure	<u>Staff Costs</u> £	<u>Other Costs</u> £	<u>Total 2022</u> £	<u>Total 2021</u> £
<b>Expenditure on Charitable Activities</b>				
<b><u>Community &amp; Learning Hub</u></b>				
Activities undertaken directly	49,972	1,870	51,842	54,894
Support costs	-	354	354	1,652
<b>Total Community &amp; Learning Hub</b>	<b>49,972</b>	<b>2,224</b>	<b>52,196</b>	<b>56,546</b>
<b><u>Advocacy Hub</u></b>				
Activities undertaken directly	119,837	5,599	125,436	139,184
Support costs	-	150	150	2,804
<b>Total Advocacy Hub</b>	<b>119,837</b>	<b>5,749</b>	<b>125,586</b>	<b>141,788</b>
<b><u>Money Hub</u></b>				
Activities undertaken directly	104,685	3,239	107,924	117,430
Support costs	-	-	-	1,868
<b>Total Money Hub</b>	<b>104,685</b>	<b>3,239</b>	<b>107,924</b>	<b>119,298</b>
<b><u>Governance Hub</u></b>				
Activities undertaken directly	9,279	1,922	11,201	10,358
Support costs	-	94,212	94,212	68,090
<b>Total Governance Hub</b>	<b>9,279</b>	<b>96,134</b>	<b>105,413</b>	<b>68,448</b>
<b>TOTAL EXPENDITURE</b>	<b>283,773</b>	<b>107,348</b>	<b>391,119</b>	<b>386,080</b>

100% of Community & Learning Hub expenditure , 68.47% of Advocacy Hub expenditure and 65.0% of Money Hub expenditure relates to Restricted Funds.

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2022

6 Activities Undertaken Directly	<u>2022</u> £	<u>2021</u> £
<b><u>Community &amp; Learning Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	1,734	296
Volunteer expenses	136	-
	<hr/>	<hr/>
	1,870	296
	<hr/>	<hr/>
<b><u>Advocacy Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	5,599	8,284
	<hr/>	<hr/>
<b><u>Money Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	3,239	1,383
Client burial plot	-	1,700
	<hr/>	<hr/>
	3,239	3,083
	<hr/>	<hr/>
<b><u>Governance Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	1,922	236
	<hr/>	<hr/>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2022**

<b>7 Support Costs</b>	<b><u>Community &amp; Learning Hub</u></b>	<b><u>Advocacy Hub</u></b>	<b><u>Money Hub</u></b>	<b><u>Governance Hub</u></b>	<b><u>Total 2022</u></b>	<b><u>Total 2021</u></b>
					<b>£</b>	<b>£</b>
Premises Costs	171	150	-	29,847	30,168	26,547
Office Costs	183	-	-	34,896	35,079	40,592
Governance Costs	-	-	-	29,469	29,469	(2,925)
	<b>354</b>	<b>150</b>	<b>-</b>	<b>94,212</b>	<b>94,716</b>	<b>64,214</b>

<b>Governance Costs consist of :</b>	<b><u>2022</u></b>	<b><u>2021</u></b>
	<b>£</b>	<b>£</b>
Auditors/Independent Examiners' Remuneration	3,516	3,600
Legal & Professional Fees	22,716	(10,000)
Trustees Meetings	-	-
Bank Charges	(1,015)	106
Subscriptions	1,518	2,320
Web site	840	628
Fundraising Costs	1,894	421
	<b>29,469</b>	<b>(2,925)</b>

**8 Trustees**

No Trustee received remuneration or expenses during the year ended 31st March 2022 (2021 : nil).

**9 Number of Employees**

The average monthly number of employees (including part time) during the year was:

	<b><u>2022</u></b>	<b><u>2021</u></b>
Office	3	3
Charitable Activities	9	10
	<b>12</b>	<b>13</b>

**10 Employment Costs**

	<b><u>2022</u></b>	<b><u>2021</u></b>
	<b>£</b>	<b>£</b>
Wages & Salaries	259,232	277,767
Social Security Costs	16,907	19,106
Other pension Costs	7,634	7,956
Compensation Payment	-	5,138
	<b>283,773</b>	<b>309,967</b>

There were no employees whose remuneration was £60,000 or more. The key management personnel are considered to be the CEO, Head of Finance & Operations manager. The employee benefits totalled £46,293 (2021: £59,018).

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2022

11 Tangible Fixed Assets

	Fixtures, Fittings & Equipment £
<b>Cost</b>	
At 1st April 2021	35,688
Additions	1,367
Transfer	(500)
Disposals	-
At 31st March 2022	<u>36,555</u>
<b>Depreciation</b>	
At 1st April 2021	28,539
Charge for the Year	7,078
Transfer	(499)
Disposals	-
At 31st March 2022	<u>33,118</u>
<b>Net book value</b>	
At 31st March 2022	<u>3,437</u>
At 31st March 2021	<u>9,149</u>

12 Debtors

	<u>2022</u> £	<u>2021</u> £
Trade Debtors	21,347	10,186
Prepayments and Accrued Income	26,503	23,977
	<u>47,850</u>	<u>34,163</u>

13 Cash at Bank and In Hand

	<u>2022</u> £	<u>2021</u> £
WIAA Funds	72,573	139,247

Warwickshire Independent Advocacy Alliance has custody of £2,761,971 (2021: £2,663,744) being held on behalf of Appointmentship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

14 Creditors : Amounts falling due within one year

	<u>2022</u> £	<u>2021</u> £
Trade Creditors	3,293	2,576
Taxes and Social Security Costs	6,170	1,719
Accruals and Deferred Income	14,439	26,792
	<u>23,902</u>	<u>31,087</u>

15 Deferred Income

	<u>2022</u> £	<u>2021</u> £
Balance as at 1st April 2021	17,776	148,307
Amount Released in the Year	(17,776)	(144,807)
Amount Deferred in the Year	5,288	14,276
Balance as at 31st March 2022	<u>5,288</u>	<u>17,776</u>

This deferred income relates to contract income received in advance of delivering the service.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2022**

<b>16 Designated Funds</b>	<u>Balance at 1 April 2021</u>	<u>Incoming Resources</u>	<u>Resources expended</u>	<u>Transfers</u>	<u>Balance at 31 March 2022</u>
	£	£	£	£	£
Redundancy & Office Running Costs	109,115	-	-	(20,000)	89,115
Disaster Recovery	6,000	-	-	-	6,000
	<b>115,115</b>	<b>-</b>	<b>-</b>	<b>(20,000)</b>	<b>95,115</b>

Included within the unrestricted funds is £89,115 which has been designated by the Trustees to meet any future redundancy costs and to cover any office running costs. The Trustees consider that these are necessary in view of the uncertain nature of the funding of the Charity.

Designated funds totalling £95,115 are included within net current assets.

<b>17 Restricted Funds</b>	<u>Balance at 1 April 2021</u>	<u>Incoming Resources</u>	<u>Resources expended</u>	<u>Transfers</u>	<u>Balance at 31 March 2022</u>
	£	£	£	£	£
Sollihull First Advocacy	-	143,302	143,302	-	-
Camino Healthcare	-	30	30	-	-
Warwickshire County Council Appointee	-	74,888	74,888	-	-
Orbit Group	-	16,867	16,867	-	-
Heart of England	-	7,589	7,589	-	-
TAB Training	-	190	190	-	-
Sheldon Trust	-	3,778	3,778	-	-
Leamington Town Trust	-	1,000	1,000	-	-
29th May 1961	-	5,000	5,000	-	-
Financial Literacy	-	2,500	2,500	-	-
Warwick	-	798	798	-	-
Consultancy	-	1,000	1,000	-	-
	<b>-</b>	<b>256,942</b>	<b>256,942</b>	<b>-</b>	<b>-</b>

Sollihull First Advocacy (including a Volunteer coordination element run through our Community Innovation and Learning Hub)- an advocacy service for residents in Sollihull in partnership with Sollihull Action through Advocacy.

Camino Healthcare - providing independent advocacy in care homes in the West Midlands.

Warwickshire County Council Appointee - a corporate appointee service for Warwickshire residents.

Orbit Group - providing community organising and development for residents of Tanyard Farm, Coventry.

Awards for All - supporting the work of the Community Hub including development of online TAB training, online networks and Community Circles.

Edward Gosling - development of Community Circles in Bedworth.

Heart of England - development of Community Circles in Bedworth.

TAB Training - providing training and mentoring services.

Sheldon Trust - provision of independent advocacy services.

Leamington Town Trust - provision of independent advocacy services.

29th May 1961 - provision of independent advocacy services.

Financial Literacy - provision of independent advocacy services.

Warwick - provision of independent advocacy services.

Consultancy - provision of independent advocacy services.

<b>18 Unrestricted Funds</b>	<u>Balance at 1 April 2021</u>	<u>Incoming Resources</u>	<u>Resources expended</u>	<u>Transfers</u>	<u>Balance at 31 March 2021</u>
	£	£	£	£	£
<b>General Funds</b>	<b>36,357</b>	<b>82,663</b>	<b>194,177</b>	<b>20,000</b>	<b>4,843</b>

General funds totalling £3,437 are included within fixed assets and the remaining £1,408 are included within net current assets.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2022**

**19 Commitments under Operating Leases**

At 31st March 2022 the company had annual commitments under non - cancellable operating leases as follows:

	<u>2022</u>		<u>2021</u>	
	<u>Land and Building</u>	<u>Other</u>	<u>Land and Building</u>	<u>Other</u>
	£	£	£	£
Expiry Date :				
Less than One Year	14,120	5,202	14,120	7,064
Between Two and Five Years	-	-	-	5,202
	<hr/>	<hr/>	<hr/>	<hr/>
	14,120	5,202	14,120	12,266
	<hr/>	<hr/>	<hr/>	<hr/>

**20 Ultimate Controlling Party**

The controlling party is the board of directors.

**21 Corporation Tax**

No liability for Corporation Tax arises in this or previous periods.

**22 Related Parties**

There have been no related party transactions during the year

**23 Reconciliation of the new movement in funds to net cash flow from operating activities**

	<u>2022</u>	<u>2021</u>
	£	£
Net Movement In Funds	(51,514)	(48,580)
Add Back Depreciation Charge	7,078	11,380
Deduct Interest Income Shown	-	(1,452)
Decrease ( Increase ) In Debtors	(13,687)	176,967
Increase( Decrease) In Creditors	(7,184)	(171,118)
	<hr/>	<hr/>
Net Cash (used in) provided by Operating Activities	(65,307)	(32,803)
	<hr/>	<hr/>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

England & Wales - Charity number 1082961

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# Accounts

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**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**



Charity Registration No. 1082961

Company Registration No. 3942462 (England and Wales)

## **WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**

*(A Company Limited by Guarantee having no share capital)*

### **TRUSTEES' REPORT AND ACCOUNTS**

**Year ended 31 March 2021**

**Charity number: 1082961**

**Company number: 3942462**

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**

**LEGAL AND ADMINISTRATIVE INFORMATION**

<b>Trustees:</b>	Mr P Anthony Mr N Parker Mrs M Hanlon Mr G Flemons Mr D Smith Mr Z Hussein	Vice Chairman (acting Chair)  Resigned October 2020 Joined September 2020 Joined February 2021 (Chair Designate)
<b>Secretary:</b>	Ms A White	
<b>Patron</b>	Hon Jeremy Wright MP & QC	
<b>Senior Management Team:</b>	Mr S Cottingham Ms C Evans	Chief Executive Officer Head of Finance & Appointee Service (Resigned July 2021)
<b>Charity number:</b>	1082961	
<b>Company number:</b>	3942462	
<b>Principal address:</b>	Independent Advocacy Avenue R Stoneleigh Park Warwickshire CV8 2LG	
<b>Registered office:</b>	Independent Advocacy Avenue R Stoneleigh Park Warwickshire CV8 2LG	
<b>Auditors:</b>	Harrison Beale & Owen Limited Highdown House 11 Highdown Road Leamington Spa CV31 1XT	
<b>Bankers:</b>	Barclays Bank plc 48/50 The Parade Leamington Spa CV32 4DD	
<b>Solicitors:</b>	Wright Hassall Olympus Avenue Warwickshire CV34 6BF  Lodders Solicitors Number Ten Elm Court Arden Street Stratford upon Avon Warwickshire CV37 6PA	

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**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
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**Introduction**

The Trustees are pleased to present their annual report and accounts for the year ending 31 March 2021. These have been prepared to meet the requirements for a Directors' report and accounts for the purposes of the Companies Acts.

The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102). The Financial Reporting Standard came into effect from 1 January 2019.

**Our purposes**

The Objects of the Company are set out in its Memorandum of Association. The Objects for which the Company is established are to be effected within the United Kingdom ("The area of Benefit") and are to promote the relief of persons residing in the Area of Benefit being persons in need of such relief by reasons of age, illness, physical disability, sensory disability, mental distress, learning disabilities or other disadvantages by developing, supporting, promoting and providing a variety of advocacy, representation, appointee and other services to such people to enable them to manage their affairs in a way which will improve their overall quality of life and to promote such other charitable purposes as may be beneficial to such persons.

Detail is provided in this report on the ways in which the Charity has supported the achievement of its purposes through varied activities during the financial year. Having reviewed the level of public benefit and social impact provided by the Charity as identified in these Objects and Activities during the year the trustees are satisfied that the Charity meets the conditions set out in the guidance provided by the Charity Commission.

**Structure, governance and management of the organisation**

Warwickshire Independent Advocacy Alliance (the Company) is a company limited by guarantee, formed in March 2000, adopted by Special Resolution in January 2001 and by way of Written Resolution in June 2011. The Company is a registered charity, governed by its Memorandum and Articles of Association.

The Board of Trustees administers the charity. The Board has been meeting monthly during the Covid pandemic whilst our trustee numbers have not been sufficient for the normal bi-monthly meetings and the normal subcommittee's covering Human Resources, and Finance and Audit which previously met bi-monthly have been handled at the board meetings. A Chief Executive is appointed by the Trustees to manage the day-to-day operations of the charity. To facilitate effective operations the Chief Executive chairs a Management Group and has delegated authority, within the terms of delegation approved by the Trustees, for operational matters including finance and human resources.

## **Acting Chair Paul Anthony's review of the year and Trustees' report**

This year the Annual Report and Accounts reflect the impact of the Covid pandemic on delivery of our work and on our finances as well as the turbulent changes in the social care sector. The Trustees continue to support the Chief Executive to make our charity become increasingly community focused to meet client needs and by investing in enabling and encouraging our team to work from home. The reduction in funding opportunities due to the pandemic has meant further focus on cost control and reduction. We have used our reserves this year to continue to invest in fundraising and changing the organisation to be more flexible for the future.

Highlights during the year include the following: -

### **Outputs and Outcomes**

During the year we directly supported over 600 people across Warwickshire, Solihull and Coventry and indirectly over 2,000 people, professionals and organisations.

Our work and the individual outcomes we achieve for people we work with cover income and financial inclusion, mental health and physical well-being, maintaining employment through timely support and helping people connect to their community.

### **Strategic move to be community based**

Following the loss of contracts two years ago, the whole team and Trustees have supported our CEO's lead to transform IA to become a charity with a much larger proportion of finance from fundraising and a strategic focus on becoming community-based. That means that we work closely with members of our target communities to help them to help themselves in ways that they determine rather than being an organisation solely delivering work contracted by public authorities. Many aspects of this strategic change have had to be put on hold due to the pandemic and focus shifted to supporting our clients while working from home and continuing our charitable aims despite the severe disruption and rapid change caused by the pandemic.

Our planned move to Bedworth in a repurposed shop is a major example of our strategic move and had to be put on hold due to the impact of Covid 19 but we are hoping to complete the move later in 2021.

### **Advocacy Hub**

There are many people whose individual circumstances preclude them from being able to take part fully in important discussions that they themselves are the subject of. Disability and cognitive impairment in particular, present barriers to understanding and engaging with the often complicated processes that can result in life changing consequences. Our advocacy service aims to support each individual to exercise as much control over their lives as possible. We worked with 251 people in our advocacy services during the year.

Independent Advocacy is partnered with Solihull Action through Advocacy to deliver "Solihull First Advocacy" from 2019 until 2026. We deliver the following Statutory Advocacy Services in Solihull:

- *Statutory IMHA services under the Mental Health Act 2007.* We have been operating this since 2009.
- *Community Peer Support.* We provide mental well-being "peer support groups" These peer support groups will be assisting individuals with their own support planning (so they can go on to support others) and in building confidence and community inclusion.
- *Care Act Advocacy contract for Mental Health Service users.* We provide assessment support and review referrals from Mental Health Social Workers as per the guidelines under the use of Independent Advocacy in the Care Act 2014.
- *NHS Complaints Advocacy.* Support for people to raise concerns they may have about their treatment, or that of a family member, through the NHS complaints procedure. The aim is for speedy local resolution. Assistance can be given in referring complaints to the Ombudsman.

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- *IMCA* - Independent Mental Capacity Advocates work with clients where a decision needs to be made around long term accommodation or serious medical treatment, but a client lacks the capacity to do so.

As well as our statutory work in Solihull we also deliver the following advocacy in both Solihull and further afield:

- *Community Deprivation of Liberty Orders (DOLS)* - Advocates work with clients who are living in the community who are subject to restrictions around their ability to leave their accommodation unaccompanied due to concerns about their capacity.
- *Relevant paid representative* - These advocates work with clients who are in a care or nursing home. They visit the client and liaise with their care providers to ensure that their liberty is not being overly restricted.
- *Parental (Child protection advocacy)* - Advocates work with clients who are involved in the child protection process. They attend meetings with or on behalf of clients including court hearings. Our advocates also liaise with social workers to help clients express their wishes and feelings and understand their rights. This is funded by spot purchase and is not restricted to a geographic area.
- *Private advocacy* - We occasionally get requests from individuals who cannot access advocacy from other sources to attend meetings or undertake work for them as spot purchase. This service is not limited to a geographic area.
- *Nearest relative* - The nearest relative is a person or organisation who has certain responsibilities and powers if you are detained in hospital under the Mental Health Act. These include the right to information and to discharge in some situations.

### **Money Hub**

The Money Hub provided a Corporate Appointee Service and Support Service for people within Warwickshire & Solihull throughout the year and also delivered bespoke Financial Literacy Sessions and continued to develop the Access to Justice and Self Employment embryonic projects. The hub further developed the reporting and analysis work, which is now providing an extensive range of data on the operation and achievements of our charity.

Corporate Appointee means that we are authorised by the Department for Work and Pensions to manage benefits on behalf of people who do not have the capacity to do so themselves. The service protects people's benefits and ensures that they are able to spend them as they wish within a set budget and also guards against financial abuse. During the year our Corporate Appointee service worked with 232 clients, safeguarding their benefits and managing their finances.

### **Community Hub**

Work with Orbit Housing:

Following the work last year with Orbit (the landlord of several ex local authority housing estates), our team extended the involvement successfully to improve provision for households. Lockdown affected the ability to deliver and so much work was put on hold during the year.

Bedworth Community Corner:

The Board approved the proposed major change to become community based by the proposed move to a shop in Bedworth. Lockdown meant that these proposals were put on hold whilst the board continues to support the proposed move to be community-based and close to clients rather than remote in the current site at the National Agricultural Centre.

## **Chief Executive Report**



Last year's annual report was written at a time when we were experiencing the first lockdown due to COVID 19. We were optimistic that the lockdown would be lifted and we could get on with life as normal. Oh, for the power of foresight. None of us thought that we would go into another lockdown and that the challenges we were facing would get even harder.

One of those challenges was fundraising. Most funders stopped their normal funding programmes and changed criteria to focus on COVID 19 support, mainly towards the social impact on communities of isolation and mental health. Whilst we managed to secure some minor funding to help us through this period the Funders Covid Focus on direct mental health support meant that organisations that provided direct mental health support to individuals saw their funding increase 10-fold.

The second challenge we faced was councils delaying commissioning services for a year and instead carrying out extensive market testing. This meant that we had little opportunity to bid for work and spent our effort on trying to influence future tenders through the market testing process.

Our third challenge was core costs. Whilst we have done really well on getting our core costs down, indeed all the project work now pays for itself, the financial environment was such that we needed to continue to invest reserves in maintaining the core without cutting again and not having the capacity to respond quickly when the situation improved.

Our fourth challenge has two faces. The trustee board was reduced to three (dedicated and supportive) trustees. Staff morale was affected by a range of issues including increased pressures from home, drop off of referrals in the advocacy hub, not being able to meet face to face for a long time and COVID 19 itself with a number of staff having to self-isolate or having to shield.

Our fifth challenge is the one we have least information on. We do not know the impact on staff's overall health because they have been doing more work at the computer especially Video Conference calls. We are monitoring the national research that is going on in this area to see if we need to consider any learning.

Whilst the challenges have been great, there have been opportunities and success. We have pared down our costs which is leading to us becoming more sustainable. We have found a new property in Bedworth which offers a significantly increased opportunity compared to the property we had been looking at last year. Because of the work we did moving everything online we were able to react quickly to home working as soon as lockdowns were announced.

We have been successful in establishing the processes and procedures needed to ensure that our staff could return to work face to face with clients; all new and needed at short notice.

We have been in talks with a range of partners who are looking to include us in future bids and have been fortunate that our funders have extended the grant timescales so that we would be able to deliver against them when the lockdown was lifted.

Last year we said that we wanted to hold a level of reserves that is appropriate to the organisation. The reserves have continued to be invested in our future and we have recalculated them recently to reflect the smaller organisation that we are.

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We have worked on bringing greater diversity to the board and staff team. We have new members of the board including one from an Asian and Muslim background and another who is under a community mental health team. We had our first member of staff from a non-white background and have 3 members of staff who have lived experience of mental ill health. We will continue to develop our workforce and board to reflect the community in which we work.

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## **Governance**

### **Recruitment and appointment of Board of Trustees**

The Directors of the Company are also Charity Trustees for the purpose of Charity Law and under the Company's Articles are also members of the Company. Under the requirements of the Memorandum and Articles of Association at each Annual General Meeting one third of directors stand down on a rotation basis after which they can be re-elected. The Board of Trustees seek to ensure that representation on the Board covers adult disability groups and a broad mix of skills to provide financial, corporate and social care experience.

The Trustees, who are also the directors for the purpose of company law, who served during the year, were:

P Anthony- Vice Chairman and acting Chair

N Parker

M Hanlon

G Flemons - resigned October 2020

D Smith – elected September 2020

Z Hussein – elected February 2021 as Chair Designate

The board has seen significant change this year due to Coronavirus, a new chairman and new trustees were difficult to recruit and Paul continues as acting Chair. We welcome David Smith and Zeid Hussein as new trustees and Graham resigned as planned. Since April 2020, board meetings have been by video conference and are monthly so that the meetings also cover the work done previously by sub-committees.

### **Trustees' development**

New Trustees attend a series of meetings to familiarise themselves with the work of the charity and meet key staff. Initial induction meetings include information sessions with the Vice Chairman and the Chief Executive and reflect upon the governance responsibility of the Charity and Codes of Practice. Governance training is also provided to new Trustees. New Trustees receive an induction pack containing governing documents, purpose and mission, structure chart, strategic plan, role description, contact details in addition to good practice publications issued by the Charity Commission. New Trustees also meet with key staff to learn in more detail about the services the charity delivers.

The Board of Trustees usually meet annually at a Board Away day. This is to review the performance of the Board and its sub-committees; its strengths and development needs and recruitment and succession issues. This meeting also reviews the strategic direction of the organisation and informs the development and updating of the Charity's Business Plan. This has been postponed due to Covid 19 and until a new Chair is in position.

Trustees are briefed by staff members on the work they do by briefings at Board meetings, which ensures that Trustees are kept up to date with the charity's work.

### **Risk Management**

The Board of Trustees has undertaken a review of the major risks to which the charity is exposed. A Risk Register has been established and is formally reviewed by the Board annually or if there are material changes in the charity's operating environment. Where appropriate, systems or procedures have been established to mitigate the risks the Charity faces. The Board of Trustees and Senior Management Team explore significant external risks to the organisation and its development plans through a business planning process and preparation of a Strategic Business Plan reviewed annually. A key focus within the Strategic Plan is to diversify income streams and ensure our human resources development plans deliver the people skills the organisation needs to grow and continuously improve the quality of our offer to our service users and partners.

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Systems of internal control and delegated authority are in place with robust procedures for authorisation of all transactions. The organisation ensures that policies and procedures are in place and that training is delivered to ensure compliance with health and safety of staff, volunteers and clients. All procedures and policies are reviewed regularly to ensure they continue to reflect best practice and remain relevant.

**Quality Assurance**

In addition to using the QPM for quality in Advocacy Services, Service User feedback is a key part of our quality assurance and the Charity is further developing opportunities for service user engagement.

**Financial Review**

The Company made a deficit of (£48,580) in the financial year - this was a massive achievement in comparison to last year's deficit of (£138,378).

Warwickshire Independent Advocacy again showed a deficit in operations during this financial year due to investment in changes to become sustainable in the longer term and due to the difficulty in securing grant income. The board continues to monitor finances very closely and retain our designated reserves to a prudent level.

Several members of staff have agreed to reduce their hours to help sustain the charity during this difficult period and the Board offer their thanks and appreciation for these sacrifices.

**Principal Funding Sources**

The principal funding sources are Solihull Metropolitan Borough Council, Warwickshire County Council, Coventry City Council and Orbit. We have a range of smaller funds from various trusts, individuals and events.

**Reserves Policy**

The Trustees have reviewed their Reserves policy during the year. The Policy has been set to ensure:

- Reserves are maintained at a level, which ensures our company core activity could continue during a period of unforeseen difficulty by retaining funds to cover our long-term liabilities and enable six months operation at reduced running costs.
- Maintain a proportion of reserves in a readily realisable form to meet liabilities including redundancy payments and commitments to staff, partners and creditors should the charity cease to operate.

The calculation of the required level of reserves takes into account:

- Risks associated with each stream of income and expenditure being different from budget.
- Planned level of activity and organisation's commitments.
- The expectation that several members of staff would be subject to TUPE if IA were to be closed down.

To cover the above the planned level set aside as designated reserves is £115,115 (£157,781 in 2020).

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**Investment Policy**

The Trustees have wide powers of investment. The investment policy of the Trustees is to protect all capital sums held by prudent investment. Consequently, surplus funds are normally held as short-term deposits in the money market with the Charity's bank or invested in term deposit accounts.

**Funds held on behalf of others**

Warwickshire Independent Advocacy Alliance has custody of £2,863,744 (2020 - £2,660,277) being held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

**Fundraising Policy**

Warwickshire Independent Advocacy Alliance actively fundraises for its work. We currently have some staff time dedicated to this and have used a consultant one day a month to help us develop our approach. We use a software package called 'Donor Impact' to keep a check on our donations and this helps us to manage and comply with our responsibilities to the public. We do not envisage substantially using third party suppliers, but we are involving volunteers.

**Key Management Compensation**

Warwickshire Independent Advocacy Alliance has an HR Committee comprising Trustees and Senior Management staff who oversee the pay structure. NJC/SCP pay scales are used for the remuneration of all staff, except the CEO.

**Data Protection**

Warwickshire Independent Advocacy Alliance takes data protection very seriously. We have a clear Privacy Policy, Data Protection Policy, Confidentiality Policy and Data Processing Statement. We are intending to move more of our data into the Cloud, we already use Charity Log, a Cloud-based secure case management system for all our client records.

**Auditors**

The Charity's current Auditors are Harrison Beale & Owen Limited.

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**Statement of Trustees' Responsibilities**

The Trustees (who are also directors of Warwickshire Independent Advocacy Alliance for the purposes of company law) are responsible for preparing the Trustees' Report and financial statements in accordance with applicable law and United Kingdom Accepted Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

Company law requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charitable company and of its incoming resources and application of resources, including the income and expenditure, of the charitable company for the year.

In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP;
- make judgments and estimates that are reasonable and prudent;
- state whether applicable UK Accounting Standards have been followed, subject to any material departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation.

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charitable company and which enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the charitable company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

In so far as the Trustees are aware:

- There is no relevant audit information of which the charitable company's auditor is unaware; and
- The Trustees have taken all appropriate and reasonable steps to make themselves aware of any relevant audit information and to establish that the auditor is aware of that information and has access to all relevant records to form a reasonable opinion on the Financial Statements.

Approved by the Board of Trustees and signed on its behalf by:

**Paul Anthony**

**Acting Chair of the Board**

Dated:

## **Independent auditor's report**

### **Independent Auditor's Report to the members of Warwickshire Independent Advocacy Alliance**

#### **Opinion**

We have audited the financial statements of Warwickshire Independent Advocacy Alliance (the 'charitable company') for the year ended 31 March 2021 which comprise the Statement of Financial Activities, the Balance Sheet, the Statement of Cash Flows and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the charitable company's affairs as at 31 March 2021, and of its incoming resources and application of resources, including its income and expenditure for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Companies Act 2006.

#### **Basis for opinion**

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditors' responsibilities for the audit of the financial statements section of our report. We are independent of the charitable company in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

#### **Conclusions relating to going concern**

In auditing the financial statements, we have concluded that the trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charitable company's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the trustees with respect to going concern are described in the relevant sections of this report.

However not all future events or conditions can be foreseen. The COVID-19 viral pandemic is one of the most significant economic events for the UK with unprecedented levels of uncertainty of outcomes. It is therefore difficult to evaluate all of the potential implications on the company's trade, residents, suppliers and the wider economy. The trustee's views on the impact of COVID-19 are disclosed in the Trustees' Report and in the notes to the financial statements.

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**Other information**

The trustees are responsible for the other information. The other information comprises the information included in the Annual Report, other than the financial statements and our Report of the Independent Auditors thereon.

Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether this gives rise to a material misstatement in the financial statements themselves. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact. We have nothing to report in this regard.

**Matters on which we are required to report by exception**

In the light of the knowledge and understanding of the charitable company and its environment obtained in the course of the audit, we have not identified material misstatements in the Report of the Trustees.

We have nothing to report in respect of the following matters where the Companies Act 2006 requires us to report to you if, in our opinion:

- adequate accounting records have not been kept or returns adequate for our audit have not been received from branches not visited by us or
- the financial statements are not in agreement with the accounting records and returns; or
- certain disclosures of trustees' remuneration specified by law are not made; or
- we have not received all the information and explanations we require for our audit; or
- the trustees were not entitled to take advantage of the small companies exemption from the requirement to prepare a Strategic Report or in preparing the report of the Trustees.

**Responsibilities of trustees**

As explained more fully in the Statement of Trustees' Responsibilities, the trustees (who are also the directors of the charitable company for the purposes of company law) are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charitable company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charitable company or to cease operations, or have no realistic alternative but to do so.

**Our responsibilities for the audit of the financial statements**

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue a Report of the Independent Auditors that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in

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respect of irregularities, including fraud. The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

The audit process includes an assessment of the entity's risk environment, through enquiry of and discussion with management, including an assessment of any key laws and regulations with which the company must comply in the ordinary course of its business. We have concluded that there are no such key laws and regulations that are particular to the company's activities.

Additionally, the overall risks of irregular transactions occurring are assessed following our observations and confirmation of the design and implementation of management's controls. Whilst we are mindful of these risks, our audit focus is geared towards the risk of material misstatement in the financial statements as a whole.

As such, our procedures cannot guarantee that all transactions have been fully compliant with all relevant laws and regulations, including those regulations relating to fraud, as our procedures are not designed to detect all instances of non-compliance.

Nevertheless, nothing has come to our attention that has given us significant cause for concern in the course of the procedures we have undertaken in order to give our opinion on whether the financial statements are free from material misstatement, as shown above.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: [www.frc.org.uk/auditorsresponsibilities](http://www.frc.org.uk/auditorsresponsibilities). This description forms part of our auditor's report.

**Use of our report**

This report is made solely to the charitable company's members, as a body, in accordance with Chapter 3 of Part 16 of the Companies Act 2006. Our audit work has been undertaken so that we might state to the charitable company's members those matters we are required to state to them in an auditors' report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charitable company and the charitable company's members as a body, for our audit work, for this report, or for the opinions we have formed.

Gregg Olnor MPhil BA (Hons) ACA (Senior Statutory Auditor)  
for and on behalf of Harrison Beale & Owen Limited  
Chartered Accountants and Statutory Auditor  
Highdown House  
11 Highdown Road  
Leamington Spa  
Warwickshire  
CV31 1XT

Date:

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
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STATEMENT OF FINANCIAL ACTIVITIES  
FOR THE YEAR ENDED 31 MARCH 2021

	<u>Notes</u>	<u>Unrestricted Funds</u> £	<u>Restricted Funds</u> £	<u>Total 2021</u> £	<u>Total 2020</u> £
<b>Income</b>					
Donations, Legacies & Grants	2	66	-	66	100
Investment Income	3	1,452	-	1,452	1,577
		1,518	-	1,518	1,677
Income from Charitable Activities	4	84,792	251,190	335,982	396,943
<b>Total Income</b>		86,310	251,190	337,500	398,620
<b>Expenditure</b>					
	5				
<b>Expenditure on Charitable Activities</b>					
Community & Learning Hub		-	56,546	56,546	66,494
Advocacy Hub		28,029	113,759	141,788	144,659
Money Hub		38,413	80,885	119,298	160,484
Governance Hub		68,448	-	68,448	165,361
<b>Total Expenditure on Charitable Activities</b>		134,890	251,190	386,080	536,998
<b>Net Incoming Resources before Transfers</b>		(48,580)	-	(48,580)	(138,378)
<b>Net Movement in Funds</b>		(48,580)	-	(48,580)	(138,378)
<b>Fund Balances 1st April 2020</b>		200,052	-	200,052	338,430
<b>Fund Balances at 31st March 2021</b>		151,472	-	151,472	200,052

The statement of financial activities includes all gains and losses recognised in the year. All income and expenditure derive from continuing activities.

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**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**BALANCE SHEET**  
**AS AT 31ST MARCH 2021**

	<u>Notes</u>	<u>2021</u>		<u>2020</u>	
		£	£	£	£
<b>Fixed Assets</b>					
Tangible assets	11		9,149		10,795
<b>Current Assets</b>					
Debtors	12	34,163		211,130	
Cash at bank and in hand	13	139,247		180,332	
		<hr/>		<hr/>	
			173,410		391,462
<b>Creditors : amounts falling due within one year</b>	14	(31,087)		(202,205)	
<b>Net Current Assets</b>		<hr/>	142,323	<hr/>	189,257
<b>Total Assets less Current Liabilities</b>			<hr/>		<hr/>
			<b>151,472</b>		<b>200,052</b>
<b>Net Assets</b>			<hr/>		<hr/>
			<b>151,472</b>		<b>200,052</b>
			<hr/> <hr/>		<hr/> <hr/>
<b>Funds of the Charity</b>					
Unrestricted funds:					
Designated	16		115,115		157,781
Other charitable funds			36,357		42,271
			<hr/>		<hr/>
<b>Total Charity Funds</b>			<b>151,472</b>		<b>200,052</b>
			<hr/> <hr/>		<hr/> <hr/>

These accounts are prepared in accordance with the special provisions of Part 15 of the Companies Act relating to small companies and constitute the annual accounts required by the Companies Act 2006 and are for circulation to members of the company. The notes on pages 19 to 26 form part of these accounts.

The accounts were approved by the Board on .....

.....

Paul Anthony  
Trustee

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**STATEMENT OF CASH FLOWS**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

	<u>Notes</u>	<u>2021</u> £	<u>2020</u> £
<b>Cash (used in) / Provided by Operating Activities</b>	21	(32,803)	(101,652)
<b>Cash Flows from Investing Activities</b>			
Interest Income		1,452	1,577
Purchase of Tangible Fixed Assets		(9,734)	(11,700)
<b>Cash used in Investing Activities</b>		(8,282)	(10,123)
Increase (Decrease) in Cash & Cash Equivalents in the Year		(41,085)	(111,775)
Cash & Cash Equivalents at the Beginning of the Year		180,332	292,107
<b>Total Cash &amp; Cash Equivalents at the End of the Year</b>		<b>139,247</b>	<b>180,332</b>

**Cash & Cash Equivalents**

The amounts disclosed in the Cash Flow Statement in respect of the cash and cash equivalents are in respect of these Balance Sheet amounts :

<b>Year ended 31 March 2021</b>	<b>31.2.21</b> £	<b>1.4.20</b> £
Cash & Cash equivalents	<u>139,247</u>	<u>180,332</u>
<b>Year ended 31 March 2020</b>	<b>31.3.20</b>	<b>1.4.19</b>
Cash & Cash equivalents	<u>180,332</u>	<u>292,107</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

**1 Accounting Policies**

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

**1.1 Basis of Preparation**

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard for applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019) - (Charities SORP (FRS 102)), the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) and the Companies Act 2006. Warwickshire Independent Advocacy Alliance meets the definition of a public benefit entity under FRS 102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy notes.

**1.2 Incoming Resources**

Income is recognised in the period in which the charity is entitled to receipt and the amount can be measured with reasonable accuracy. Income is deferred only when the charity has to fulfil conditions before becoming entitled to it or where the donor has specified that the income is to be expended in a future period.

Voluntary income is received by way of grants, donations and gifts and is included in full in the Statement of Financial Activities when receivable. Grants, where entitlement is not conditional on the delivery of a specific performance by the charity, are recognised when the charity becomes unconditionally entitled to the grant.

Donated services and facilities are included at the value to the charity where this can be quantified. The value of services provided by volunteers has not been included in these accounts. Investment income is included when received.

Incoming resources from grants, where related to performance and specific deliverables, are accounted for as the charity earns the right to consideration by its performance.

For legacies, entitlement is taken as the earlier of :

- a) the date on which the charity is aware that probate has been granted ;
- b) the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- c) when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

**1.3 Resources expended**

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Where costs cannot be directly attributed to particular headings, they have been allocated to activities on a basis consistent with the use of resources. Premises and office costs have been allocated on a basis of usage. Costs of generating funds comprise the costs associated with attracting voluntary income. Charitable expenditure comprises those costs incurred by the charity in the delivery of its activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

Governance costs include those costs associated with meeting the constitutional and statutory requirements of the charity and include the audit fees and the costs linked to the strategic management of the charity.

**1.4 Tangible fixed assets and depreciation**

Tangible fixed assets are stated at cost less depreciation. Items less than £500 are not capitalised. Depreciation is provided at rates calculated on a straight line basis to write off the cost less estimated residual value of each asset over its expected useful life, as follows:

Fixtures, fittings & equipment	4 years
IT infrastructure	Written off over the remaining term of the lease

**1.5 Pensions**

The company operates defined pension contribution schemes. The assets of the scheme are held separately from those of the charitable company in an independently administered fund. Contributions payable of £7,956 for the year have been charged in the statement of financial activities (2020: £9,563). The amount outstanding at the year end was £1,719 (2020: £2,165). An auto - enrolment pension scheme was set up in August 2015.

**1.6 Fund Accounting**

General funds are unrestricted funds, which are available for the use at the discretion of the trustees in furtherance of the general objectives of the charity.

Designated funds have been designated by the trustees for a specific purpose.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds is charged against the specific funds.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

**1.7 Operating Leases**

Rentals are payable in respect of the operating leases where substantially all the benefits and risks of ownership remain with the lessor were charged to Statement of Financial Activities as incurred.

**1.8 Debtors**

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid after taking account of any trade discounts due.

**1.9 Cash at bank and in hand**

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

**1.10 Creditors and provisions**

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

<b>2 Income from Donations, Legacies &amp; Grants</b>	<b>Unrestricted Funds 2021 £</b>	<b>Restricted Funds 2021 £</b>	<b>Total 2021 £</b>	<b>2020 £</b>
Donations and Gifts	66	-	66	100
	66	-	66	100

The income from donations and legacies was £ 66 (2020: £100) of which £66 was unrestricted (2020: £100).

<b>3 Investment Income</b>	<b>Unrestricted Funds 2021 £</b>	<b>Restricted Funds 2021 £</b>	<b>Total 2021 £</b>	<b>2020 £</b>
Interest received	1,452	-	1,452	1,577

<b>4 Income from Charitable Activities</b>	<b>Unrestricted Funds 2021 £</b>	<b>Restricted Funds 2021 £</b>	<b>Total 2021 £</b>	<b>2020 £</b>
Community & Learning Hub	-	56,546	56,546	45,353
Advocacy Hub	18,607	113,759	132,366	154,988
Money Hub	59,299	80,885	140,184	194,030
Fundraising	6,886	-	6,886	2,572
	84,792	251,190	335,982	396,943

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2021

5 Total Expenditure	<u>Staff Costs</u> £	<u>Other Costs</u> £	<u>Total 2021</u> £	<u>Total 2020</u> £
<b>Expenditure on Charitable Activities</b>				
<b><u>Community &amp; Learning Hub</u></b>				
Activities undertaken directly	54,598	296	54,894	64,879
Support costs	-	1,652	1,652	1,615
<b>Total Community &amp; Learning Hub</b>	<b>54,598</b>	<b>1,948</b>	<b>56,546</b>	<b>66,494</b>
<b><u>Advocacy Hub</u></b>				
Activities undertaken directly	130,900	8,284	139,184	141,500
Support costs	-	2,604	2,604	3,159
<b>Total Advocacy Hub</b>	<b>130,900</b>	<b>10,888</b>	<b>141,788</b>	<b>144,659</b>
<b><u>Money Hub</u></b>				
Activities undertaken directly	114,347	3,083	117,430	157,966
Support costs	-	1,868	1,868	2,518
<b>Total Money Hub</b>	<b>114,347</b>	<b>4,951</b>	<b>119,298</b>	<b>160,484</b>
<b><u>Governance Hub</u></b>				
Activities undertaken directly	10,122	236	10,358	42,477
Support costs	-	58,090	58,090	122,884
<b>Total Governance Hub</b>	<b>10,122</b>	<b>58,326</b>	<b>68,448</b>	<b>165,361</b>
<b>TOTAL EXPENDITURE</b>	<b>309,967</b>	<b>76,113</b>	<b>386,080</b>	<b>536,998</b>

100% of Community & Learning Hub expenditure , 80.23% of Advocacy Hub expenditure and 67.8% of Money Hub expenditure relates to Restricted Funds.

WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE  
(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)  
NOTES TO THE ACCOUNTS (CONTINUED)  
FOR THE YEAR ENDED 31ST MARCH 2021

6 Activities Undertaken Directly	<u>2021</u> £	<u>2020</u> £
<b><u>Community &amp; Learning Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	296	654
Volunteer expenses	-	836
	<u>296</u>	<u>1,490</u>
<b><u>Advocacy Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	<u>8,284</u>	<u>6,810</u>
<b><u>Money Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	1,383	7,625
Volunteer expenses	-	102
Client burial plot	<u>1,700</u>	-
	<u>3,083</u>	<u>7,625</u>
<b><u>Governance Hub</u></b>		
Other costs comprise :-		
Travel , Training & Recruitment	<u>236</u>	<u>2,041</u>

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

<b>7 Support Costs</b>	<b><u>Community &amp; Learning Hub</u></b>	<b><u>Advocacy Hub</u></b>	<b><u>Money Hub</u></b>	<b><u>Governance Hub</u></b>	<b><u>Total 2021</u></b>	<b><u>Total 2020</u></b>
					<b>£</b>	<b>£</b>
Premises Costs	740	-	-	25,807	26,547	34,007
Office Costs	912	2,604	1,868	35,208	40,592	67,720
Governance Costs	-	-	-	(2,925)	(2,925)	28,449
	1,652	2,604	1,868	58,090	64,214	130,176

Governance Costs consist of :	<b><u>2021</u></b>	<b><u>2020</u></b>
	<b>£</b>	<b>£</b>
Auditors Remuneration	3,600	3,600
Legal & Professional Fees	(10,000)	18,151
Trustees Meetings	-	211
Bank Charges	106	460
Subscriptions	2,320	981
Web site	628	4,923
Fundraising Costs	421	123
	(2,925)	28,449

The balance included within legal and professional fees relates to the reversal of an accrual.

**8 Trustees**

No Trustee received remuneration or expenses during the year ended 31st March 2021 (2020 : nil).

**9 Number of Employees**

The average monthly number of employees (including part time) during the year was:

	<b><u>2021</u></b>	<b><u>2020</u></b>
Office	3	4
Charitable Activities	10	12
	13	16

**10 Employment Costs**

	<b><u>2021</u></b>	<b><u>2020</u></b>
	<b>£</b>	<b>£</b>
Wages & Salaries	277,767	347,580
Social Security Costs	19,106	25,950
Other pension Costs	7,956	9,563
Temporary Staff	-	578
Compensation Payment	5,138	5,083
	309,967	388,754

There were no employees whose remuneration was £60,000 or more. The key management personnel are considered to be the CEO & Head of Finance. The employee benefits totalled £ 59,018 (2020: £75,270).

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

**11 Tangible Fixed Assets**

	<b>Fixtures, Fittings &amp; Equipment £</b>
<b>Cost</b>	
At 1st April 2020	25,954
Additions	9,734
Disposals	-
At 31st March 2021	35,688
<b>Depreciation</b>	
At 1st April 2020	15,159
Charge for the Year	11,380
Disposals	-
At 31st March 2021	26,539
<b>Net book value</b>	
At 31st March 2021	9,149
At 31st March 2020	10,795

**12 Debtors**

	<b><u>2021</u> £</b>	<b><u>2020</u> £</b>
Trade Debtors	10,186	161,491
Prepayments and Accrued Income	23,977	49,639
	34,163	211,130

**13 Cash at Bank and in Hand**

	<b><u>2021</u> £</b>	<b><u>2020</u> £</b>
WIAA Funds	139,247	180,332

Warwickshire Independent Advocacy Alliance has custody of £2,863,744 (2020: £2,660,277) being held on behalf of Appointeeship clients. These funds are held in a designated client account, and do not form part of the Charity's assets.

**14 Creditors : Amounts falling due within one year**

	<b><u>2021</u> £</b>	<b><u>2020</u> £</b>
Trade Creditors	2,576	24,863
Taxes and Social Security Costs	1,719	9,400
Accruals and Deferred Income	26,792	167,942
	31,087	202,205

**15 Deferred Income**

	<b><u>2021</u> £</b>	<b><u>2020</u> £</b>
Balance as at 1st April 2020	148,307	10,366
Amount Released in the Year	(144,807)	(10,366)
Amount Deferred in the Year	14,276	148,307
Balance as at 31st March 2021	17,776	148,307

This deferred income relates to contract income received in advance of delivering the service.

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

<b>16 Designated Funds</b>	<b>Balance at 1 April 2020</b>	<b>Incoming Resources</b>	<b>Resources expended</b>	<b>Transfers</b>	<b>Balance at 31 March 2021</b>
	£	£	£	£	£
Redundancy & Office Running Costs	151,781	-	-	(42,666)	109,115
Disaster Recovery	6,000	-	-	-	6,000
	157,781	-	-	(42,666)	115,115

Included within the unrestricted funds is £109,115 which has been designated by the Trustees to meet any future redundancy costs and to cover any office running costs. The Trustees consider that these are necessary in view of the uncertain nature of the funding of the Charity.

Designated funds totalling £109,115 are included within net current assets.

<b>17 Restricted Funds</b>	<b>Balance at 1 April 2020</b>	<b>Incoming Resources</b>	<b>Resources expended</b>	<b>Transfers</b>	<b>Balance at 31 March 2021</b>
	£	£	£	£	£
Solihull First Advocacy	-	139,452	139,452	-	-
Camino Healthcare	-	2,913	2,913	-	-
Warwickshire County Council Appointee	-	80,885	80,885	-	-
Orbit Group	-	13,494	13,494	-	-
Awards For All	-	10,000	10,000	-	-
Edward Gosling	-	2,500	2,500	-	-
Heart of England	-	1,946	1,946	-	-
	-	251,190	251,190	-	-

Solihull First Advocacy ( including a Volunteer coordination element run through our Community Innovation and Learning Hub)- an advocacy service for residents in Solihull in partnership with Solihull Action through Advocacy.

Camino Healthcare - providing independent advocacy in care homes in the West Midlands.

Warwickshire County Council Appointee - a corporate appointee service for Warwickshire residents.

Orbit Group - providing community organising and development for residents of Tanyard Farm , Coventry.

Awards for All - supporting the work of the Community Hub including development of online TAB training, online networks and Community Circles.

Edward Gosling - development of Community Circles in Bedworth.

Heart of England - development of Community Circles in Bedworth.

<b>18 Unrestricted Funds</b>	<b>Balance at 1 April 2020</b>	<b>Incoming Resources</b>	<b>Resources expended</b>	<b>Transfers</b>	<b>Balance at 31 March 2021</b>
	£	£	£	£	£
<b>General Funds</b>	42,271	86,310	134,890	42,666	36,357

General funds totalling £ 9,149 are included within fixed assets and the remaining £27,208 are included within net current assets.

**19 Commitments under Operating Leases**

At 31st March 2021 the company had annual commitments under non - cancellable operating leases as follows:

	<b>2021</b>		<b>2020</b>	
	<b>Land and Building</b>	<b>Other</b>	<b>Land and Building</b>	<b>Other</b>
	£	£	£	£
Expiry Date :				
Less than One Year	14,120	7,064	20,545	7,131
Between Two and Five Years	-	5,202	14,755	-
	14,120	12,266	35,300	7,131

**WARWICKSHIRE INDEPENDENT ADVOCACY ALLIANCE**  
**(A COMPANY LIMITED BY GUARANTEE HAVING NO SHARE CAPITAL)**  
**NOTES TO THE ACCOUNTS (CONTINUED)**  
**FOR THE YEAR ENDED 31ST MARCH 2021**

**20 Ultimate Controlling Party**

The controlling party is the board of directors.

**21 Corporation Tax**

No liability for Corporation Tax arises in this or previous periods.

**22 Related Parties**

There have been no related party transactions during the year

**23 Reconciliation of the new movement in funds to net cash flow from operating activities**

	<u>2021</u> £	<u>2020</u> £
Net Movement in Funds	(48,580)	(138,378)
Add Back Depreciation Charge	11,380	8,298
Deduct Interest Income Shown	(1,452)	(1,577)
Decrease ( Increase ) in Debtors	176,967	(134,750)
Increase( Decrease) in Creditors	(171,118)	164,755
	<hr/>	<hr/>
Net Cash (used in) provided by Operating Activities	(32,803)	(101,652)
	<hr/> <hr/>	<hr/> <hr/>