

# **DORSET HEALTHCARE CHARITABLE FUND**

A registered charity  
(Registered No. 1056550)

## **ANNUAL REPORT AND FINANCIAL STATEMENTS**

**FOR THE YEAR ENDED  
31 JANUARY 2022**



**ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR  
ENDED 31 JANUARY 2022**

|                                      | Page |
|--------------------------------------|------|
| <b>Contents</b>                      |      |
| Reference and Administrative Details | 1    |
| Trustees' Annual Report              | 2    |
| Independent Examiners' Report        | 13   |
| Statement of Financial Activities    | 15   |
| Statement of Financial Position      | 16   |
| Notes to the Financial Statements    | 17   |

## **REFERENCE AND ADMINISTRATIVE DETAILS**

**Charity registration number:** **1056550**

**Principal & Registered Office of Charity:**

Sentinel House  
Nuffield Industrial Estate  
Poole  
Dorset  
BH17 0RB

**Bankers:**

Lloyds Bank PLC  
45-47 Christchurch Road  
Bournemouth  
BH1 1ED

**Bankers:**

Barclays Bank PLC  
Ground Floor County Gates  
300 Poole Road  
Bournemouth  
BH12 1AZ

**Independent Examiners:**

TC Group  
10 Bridge Street  
Christchurch  
Dorset  
BH23 1EF

**Internal Auditors:**

BDO LLP  
Arcadia House, Maritime Walk  
Ocean Village, Southampton  
Hampshire  
SO14 3TL

Dorset HealthCare University NHS Foundation Trust (the Foundation Trust) is the Corporate Trustee of Dorset HealthCare Charitable Fund governed by the laws applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011. The Foundation Trust Board has responsibility for overseeing the administration of the Charity acting as the Corporate Trustee. The members of the Foundation Trust Board who served during the last two financial years were as follows:

**Corporate Trustee members:**

|                  |   |
|------------------|---|
| Andy Willis      | Chair   |
| Matthew Metcalfe | Chief Finance Officer (from 5 September 2016) |
| Heather Baily    | Non Executive Director (from 1 October 2017)  |
| Nicola Plumb     | Chief People Officer and Director of Strategy |

**Trust Board members current:**

|                         |  |
|-------------------------|--|
| Andy Willis             | Trust Chair (from 24 March 2017)   |
| Dawn Dawson             | Acting Chief Executive (from 1 May 2022)                                   |
| Dawn Dawson             | Chief Nursing Officer (from 1 April 2018 to 30 April 2022)                 |
| Cara Southgate          | Acting Chief Nursing Officer (from 1 May 2022)                             |
| Sir David Haslam CBE    | Non Executive Director (from 1 October 2020)                               |
| Andreas Haimboeck-Tichy | Non Executive Director (from 1 October 2020)                               |
| Heather Baily           | Non Executive Director   |
| Steven Peacock          | Non Executive Director (from 1 March 2020)                                 |
| Tristan Phillips        | Non Executive Director (from 1 July 2019)                                  |
| Prof Faisal Sethi       | Chief Medical Officer (from October 2020)                                  |
| Nicola Plumb            | Chief People Officer and Director of Strategy                              |
| Kristin Dominy          | Chief Operating Officer/Deputy Chief Executive Officer (from 1 April 2020) |
| Matthew Metcalfe        | Chief Finance Officer (from 5 September 2016)                              |

**Trust Board members past:**

|               |  |
|---------------|--|
| Eugene Yafele | Chief Executive (from 1 February 2019 to 1 May 2022)             |
| David Brook   | Non Executive Director (from 01 October 2020 to 31 July 2021)    |
| Des Pullen    | Non Executive Director (from 1 November 2020 to 18 October 2021) |

## Trustees' Annual Report

The Corporate Trustee presents the Charitable Funds Annual Report together with the Independently Examined Financial Statements for the year ended 31 January 2022. The prior year was the year to 31 January 2021. The trustees have adopted the provisions of the Statement of Recommended Practice (SORP) "Accounting and Reporting by Charities" FRS102 (1a) in preparing the annual report and financial statements of the charity.

The Charity's Annual Report and Financial Statements for the year ended 31 January 2022 have been prepared by the Corporate Trustee in accordance with the accounting policies set out in the notes to the financial statements on page 17 and comply with the Charity's governing document, the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard FRS102 (1a).

### Trustees of the Charity

The directors of the Foundation Trust are the Charity's trustees for the purpose of charity law. The trustees who have served during the year and since the year end are noted on page 1.

### Objectives

Dorset HealthCare Charitable Fund is an independent registered charity. It exists to raise funds and receive donations for the benefit of the patients and staff of Dorset HealthCare University NHS Foundation Trust. We are a trustee body independent from the Foundation Trust but work for the benefit of the patients, their families and the staff.

**The Charity's main objective is to hold the fund upon trust to apply the income for any charitable purpose or purposes relating to the provision of health and social care. This includes social care services; particularly in relation to services provided on or behalf of (but in no way limited to) Dorset HealthCare University NHS Foundation Trust.**

The Charity secures funding from fundraising, donations, grants and legacies received from patients, their relatives, the general public and other organisations to be able to enhance and augment the service provided and environment in which care is provided.

The fund benefits a wide range of patients in hospitals, day centres, clinics and within their own home including older people, children, those with mental health problems, those recovering from operations, as well as people with physical and sensory difficulties and those who have learning disabilities.

Staff also benefit from the fund which is used for staff appreciation, team building and the improvement of staff facilities and wellbeing as well as the education of staff by providing training over and above normal NHS standards. This enhanced training benefits both staff and patients. In September 2021 a Staff Benevolent Fund was created in recognition of staff hardship.

## **Trustees' Annual Report continued**

### **Public Benefit**

The Charity Trustees have complied with the duty in section 4 of the Charities Act 2011 to have due regard to public benefit guidance published by the Charities Commission. The Trustees:

- must act reasonably and prudently in all matters relating to the Charity;
- must protect the Charity and act in the best interests of the Charity;
- should fully discuss and agree the annual accounts and annual report;
- ensure the income and property of the Charity is applied for the purposes set out in the Governing Document;
- ensure that good policies are in place and are followed to ensure that the systems of control are rigorous and that the systems of control are well monitored;
- annually review policies and investments and method of investment;
- take proper professional advice when necessary;
- must ensure that the way in which the Charity is administered is not open to abuse by unscrupulous persons.

## **Structure**

### **Governance**

Dorset HealthCare Charitable Fund was established by a Declaration of Trust dated April 1996. The objects being for any charitable purpose(s) relating to the provision of health and social care in relation to (although no way limited to) services provided by or on behalf of Dorset HealthCare University NHS Foundation Trust.

The Board of Directors, on behalf of the Corporate Trustee, review risk via the results of any audits undertaken by both the Internal Auditors and Independent Reviewers. The Internal Audit reports are presented to the Trust's Audit Committee and any significant risks are entered onto the Corporate and/or Operational Risk Registers. No significant risks were identified during the year under review. See also the Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund on page 13. The Trustees are satisfied that there are systems in place to reduce and mitigate the exposure of the Charity to any significant risks.

The Chair and Non-Executive Directors are appointed by the Board of Governors of the Foundation Trust.

Dorset HealthCare University NHS Foundation Trust fulfils the role of Corporate Trustee. The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. The authority to spend funds has been delegated to service managers within limits agreed by the Board.

## **Structure continued**

### **Management**

The Corporate Trustee is responsible for overseeing the administration of the Charity. The finance directorate of Dorset HealthCare University NHS Foundation Trust has been given the responsibility for the administration of the fund accounts and reports to the Board twice a year. The Chief Finance Officer has responsibility for ensuring that full accounting records are maintained and that devolved decision making or delegated arrangements are in accordance with the policies and procedures governing the Charity.

The charitable funds available for spending are allocated to service managers, with each allocation managed by use of a designated fund within the general unrestricted fund. This is intended to ensure funds are spent in a way which respects the wishes of our generous donors and benefactors. Where funds are received with specific restriction set by the donor, a new restricted fund is established.

The delegated limits set by the Corporate Trustee for expenditure below £10k requires the approval of the Fund Manager. Expenditure above £10k and up to £50k requires the approval of the Service Director (or nominated Deputy). Expenditure of £50k or more requires the approval of the Chief Finance Officer and the Service Director (or nominated Deputy).

The trustees consider themselves to be the only key management personnel.

### **Risk Management**

Key risk areas include fraudulent attempts and over commitments of funds. Procedures and policies have been established to mitigate these risks.

Dorset HealthCare Charitable Fund also engages with the internal auditor to periodically carry out reviews and report any areas of weakness. Improvements to processes are implemented when any weakness is highlighted.

## **Reserves Policy**

The reserves policy consists of:

- an expectation that income in each individual fund will be spent within 18 months of receipt unless it is being accumulated for a specific purpose.
- no individual fund should hold a permanent balance of more than £25k during a three month consecutive period unless, as above, the funds are being accumulated for a specific project.
- funds with more than £50k require expenditure plans to be submitted to the Corporate Trustee.

The reserves total £903k. Fund managers are encouraged to spend funds within 18 months. However, in some cases appropriate expenditure has not yet been identified. This has led to an accumulation of reserves. These reserves will be spent on future appropriate expenditure as and when it is identified.

## **Reserves Policy continued**

There are three funds with a balance over £50k. These are:

Wimborne Hospital X-Ray Department fund - £328k

Wimborne X-Ray Department received a large legacy of £350k in 2019/20 which is to be used for the refurbishment of the X-Ray department. Work is being undertaken on the enabling works to commence this project. This is forecast to complete in 2023/24.

Wimborne Hospital designated fund - £166k

This is a designated pot to be used for the benefit of Wimborne Hospital. This hospital has a brilliant level of support from the local community and is regularly in receipt of legacy donations. The staff at Wimborne use this fund as and when they identify either a staff, patient or building requirement.

NHS Charities Together - £53k

The Charity joined NHS Charities Together in 2020 and received £176k to support staff and patients during the COVID-19 crisis. This has been partially spent on wellness rooms and staff rest spaces at Blandford and Alderney Hospitals. Further works are undergoing at Hahnemann House, a mental health Retreat space, in Bournemouth. Staff have been thanked in various ways and plans are in hand to spend the residue of £53k in 2022/23.

## **Treasury Management Policy**

The Charity usually invests £100k with HM Treasury, which previously gave a return of between 0.38% to 0.39%, resulting in a small balance in previous years. However, due to the COVID crisis HM Treasury suspended deposits. The funds were left on deposit with the main Trust waiting for the investment process to resume during 2021/22. Investment has now resumed in 2022.

The Charity's spending principle is to spend funds within 18 months therefore investment are only entered into short term options.

The Treasury Management policy states:

- surplus cash can be invested for a period of up to 95 days.
- potential risks to the reputation of the Trust that may come from an involvement with deposits in the commercial sector must be minimised.
- cash is only deposited in "safe harbour" deposits. These are low risk and high liquidity investments. They are deposited with permitted institutions which meet recognised rating agency criteria.

## The impact of COVID-19 - partial recovery begins and the Vaccination programme commences

The COVID-19 pandemic had impacts on the Charity both positive and negative.

Dorset HealthCare NHS Foundation Trust, the NHS as a whole and the Charity had to quickly adapt to deal with the crisis and the significant numbers of patients who were ill. Wards and services were re-purposed and for long periods closed to any but essential staff. This significantly reduced day to day donations as visitors could no longer attend sites. Expenditure was also reduced as staff focused their efforts on treatment and further prevention of the spread of the virus.

However the fundraising efforts of the public in support of the wider NHS, typified in the amazing response to Captain Sir Tom Moore and others, led to a huge influx of donations for the Health Service via the NHS Charities Together Charity. The Trust became a member of NHS Charities Together and as a consequence in 2020/21 received a share of these donations (£176k). A further £35k was received in 2021/22.

In 2021/22 the NHS Charities Together money has been primarily spent on staff welfare (£80k). Wellness rooms were created at Alderney Hospital and Blandford Hospital and a wider distribution of staff thank yous were undertaken such as coffee van tours so that staff could take a break with a drink and catch up with colleagues.

Additionally, some members of the public and local companies also supported their local hospitals and services. A donation of £1k was received to pay for buggies to enable people with mobility issues to access one of the vaccination sites.

Normal expenditure began to slowly recover in 2021/22 but busy teams found they were not always able to take time to fully thank their staff due to further lockdowns impacting away and training days. In December 2020 the vaccination programme commenced. Staff re-focused their efforts on vaccinating the local population rising to many new challenges whilst balancing existing work and day to day business.

Many businesses supported the vaccination process with donations to facilitate this - Starbucks donated goodie bags for staff working long hours in the vaccination sites.

The Charity is incredibly grateful for all the support during this time shown to it and to the wider NHS.





## Review of the Activities, Achievements and Performance of the Charitable Funds in 2021/22

### Activities and Achievements

The Charitable Fund spent £166k on activities and purchases (excluding support costs) to meet the Charity's objectives in the year (£50k in 2020/21). A highlight of these include:



The Westminster Memorial Hospital Gardening Group care for the Hospital grounds and additionally are now fully electric in their power use. Their produce provides healthy food for patients and staff.



### Patient Welfare

Direct patient welfare expenditure for the period totalled £85k (£23k in 2020/21) excluding support costs. The £85k includes the purchase of equipment or refurbishment to Wards and Units to provide a better patient experience (note 5). Funds are mainly used to provide additional facilities, or extras, to make the patients' stay more comfortable such as radios, particularly when patients were unable to have visitors or access patient lounges due to COVID restrictions. These funds make it possible to purchase certain special items which otherwise could not be provided from Dorset HealthCare NHS Foundation Trust's existing revenue or capital budgets. The largest items of spend include refurbishments and additional equipment purchases, which enhance the quality of care. This includes an upgrade to a palliative care suite which is above and beyond what is considered standard NHS interior.

## Review of the Activities, Achievements and Performance of the Charitable Funds in 2021/22

### Activities and Achievements continued

#### The Positive Outdoor Pursuits Project



The Positive Outdoor Pursuits Project brings an outdoor approach to enable access to healthcare professionals for individuals with mental health issues and learning disabilities who do not readily engage with other healthcare services. A variety of activities from woodworking, fishing and urban exploration are offered to patients. The staff who run the Project constantly look for innovative ways to meet the needs of the patients and plan to create a wellbeing group for female adults.



## Review of the Activities, Achievements and Performance of the Charitable Funds in 2021/22 continued

### Staff Education and Welfare

Staff education and welfare expenditure for the year excluding support costs totalled £81k (£27k in 2020/21). These costs were incurred to improve staff facilities and for staff development and also represent NHS Charities Together monies being spent to support and thank staff.

Staff were able to attend external training courses which provided higher level of teaching for the benefits of our patients. This included courses in palliative care and cancer care. Other funds were donated towards staff events and other morale and team building events.

### Other Charitable events included:

- games machine for patients on Twynham Ward;
- a bike for the Brain Injury Service;
- pet therapy guinea pigs and rabbits for patients at Alderney Hospital;
- farm sessions for young people;
- hot food and drinks for people working in the night time economy in areas of deprivation;
- Christmas gifts and wrapping paper for patients at Kimmeridge Court Eating Disorders unit;
- cotton tote bags for the End of Life services so that relatives can take their loved ones possessions home in a dignified and environmentally friendly way;
- golf buggy rental for the vaccine centre to enable less mobile patients to attend their jabs in comfort;
- an aphasia choir;
- radios for patients to use who were unable to have visitors or to visit the TV room due to COVID
- surfing lessons for patients at Pebble Lodge;
- wellness/healthy snack goodie bags for staff working extra hours delivering COVID-19 vaccinations;
- Christmas lights for hospital gardens at Christmas;
- awnings for patient garden at Alderney Hospital;
- items for speech and language therapy Café service;
- benches for staff and patients at Wimborne Hospital;
- hearing aid amplifier system for Wimborne Hospital;
- a low rise, anti-fall bed for Blandford Hospital;
- furnishings for staff wellness rooms;
- a specialist bath for Yeatman Hospital;
- Mindray monitors and other medical equipment;



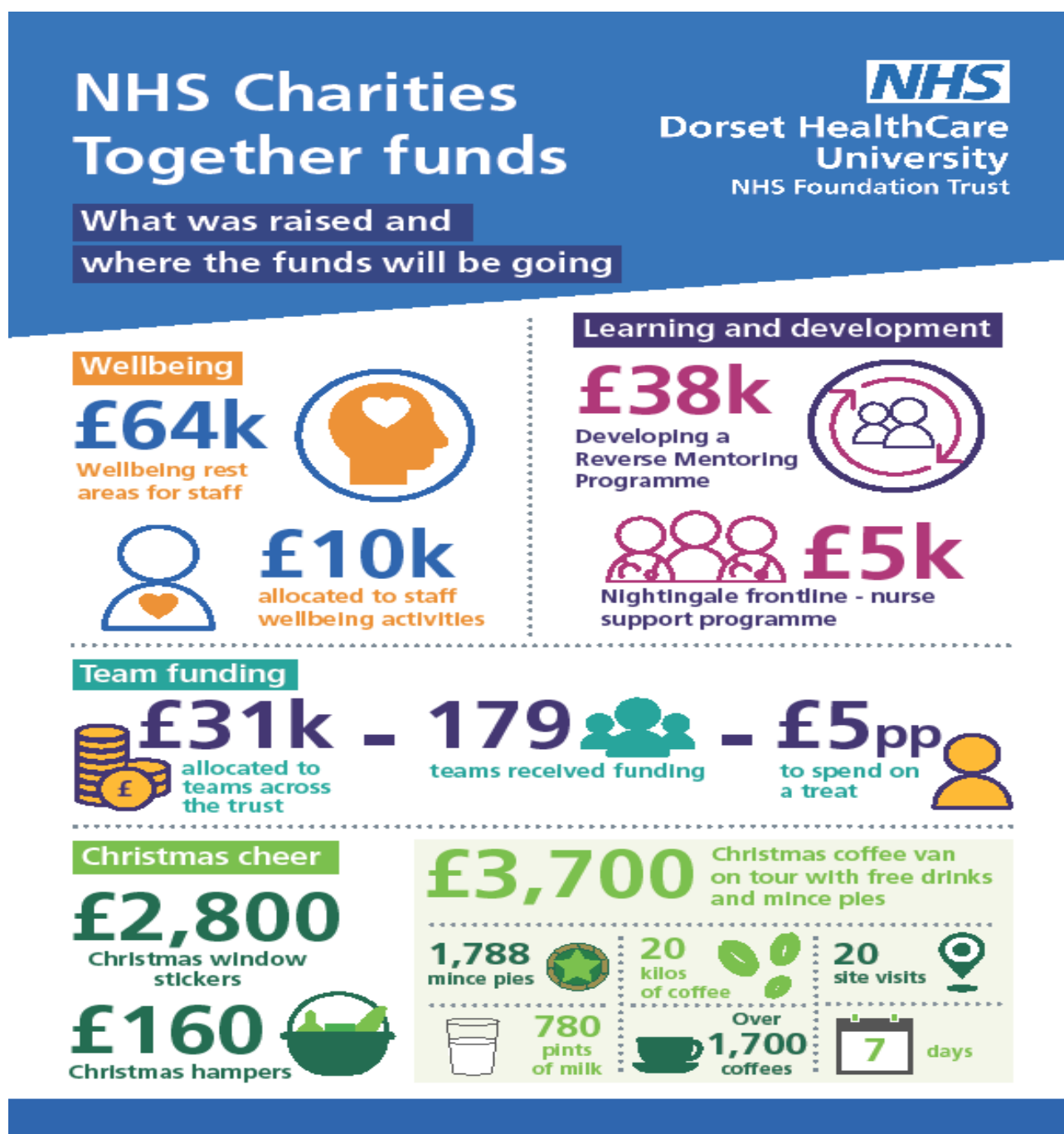
The new pagoda built by  
the Westminster  
Memorial Hospital  
Gardening Group  
volunteers



## Our Future Plans

The Charitable Fund makes plans in line with the direction and strategy of the Foundation Trust to ensure funds are being spent effectively.

The following provides a summary of the future spending plans for the NHS Charities Together funds:



## **Our Future Plans continued**

Future plans are financed by either fund reserves or new donations, legacies or grant income.

Future spend will be reflective of the future needs of the services provided or carried out on behalf of the Foundation Trust and the supporting community.

Spending plans are requested on a regular basis from all service managers who hold funds.

Other spending plans include:

Wellbeing spaces, a wellness garden at Forston Clinic and a wellbeing ambassador to develop a wellbeing programme to provide long term support to staff recovering from the impact of COVID-19 on NHS staff.

A Dragons' Den is held each year by Dorset HealthCare University NHS Foundation Trust to encourage staff to present innovative ideas. There were many worthy projects put forward and the Charity has earmarked funds to support a mental health project, Coffee Connection, in 2022-2023 and to continue to support the Speech and Language Therapy Aphasia Choir.

## **Review of the Finances of the Charitable Funds in 2021/22**

Total income decreased by £126k to £109k in year (2020/21 decrease of £178k). Previous years had shown high legacy income and in 2020/21 the NHS Charities Together funds of £176k kept income high. However in 2021-22, due to grants from NHS Charities Together and Talbot Village Trust the Charity realised £45k. A legacy in year totalled £15k and additionally over 130 donations were made totalling £49k (2020/21 £113k). This resulted in total 2021/22 donation, grant and legacy income of £109k (2020/21 £234k). Investment income was negligible due to suspended investments (2020/21 £1k) and minimal interest earned on the Fund's bank account.

Total expenditure increased by £116k to £197k in year (2020/21 decrease of £83k). A total of £166k (2020/21 £80k) was spent directly on charitable activities, a breakdown of which can be seen in note 5. In order to manage the Charity £31k (2020/21 £31k) was spent on support costs.

Total net deficit of £88k (2020/21 surplus of £154k) was deducted from the brought forward net assets of £991k resulting in total net assets at the end of 2021/22 of £903k.

The Charity relies on donations, grants and legacies as its main source of income. While the Charity does not actively seek donations, past years donations have always more than exceeded total annual running costs.

## Statement of Trustees' Responsibilities

The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources of the Charity for that period. In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

By Order of the Trustee

Signed:



13/10/2022..... Date

Andy Willis, Chair of the Corporate Trustee

### **Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund**

I report to the charity trustees on my examination of the accounts of the charity for the year ended 31 January 2022 which are set out on pages 1 to 26.

#### **Responsibilities and basis of report**

As the charity's trustees you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act').

I report in respect of my examination of the charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

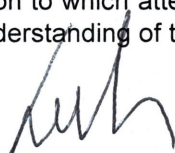
#### **Independent examiner's statement**

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

- 1 accounting records were not kept in respect of the charity as required by section 130 of the Act; or
- 2 the accounts do not accord with those records; or
- 3 the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed:



Lucy Filer FCA  
For and on behalf of  
TC Group  
10 Bridge Street  
Christchurch  
Dorset  
BH23 1EF

Date 26/10/2022



Captain Sir Tom Moore who raised £33 million for NHS Charities. Dorset HealthCare Charitable Fund has received £211k to date and plans to bid for more in the future.

## *A BIG THANK YOU...*

On behalf of the patients and staff who have benefited from the donations and legacies received, the Dorset HealthCare Charitable Fund would like to thank everyone who has made charitable donations.

In addition a special thanks to those individuals, both staff of Dorset HealthCare University Foundation Trust and external supporters who have invested their time to drive forward a charitable scheme.



## Statement of Financial Activities for the year ended 31 January 2022

|  | Note | Unrestricted<br>funds | Restricted<br>funds | 2021/22<br>Total funds | 2020/21<br>Total funds |
|--|------|-----------------------|---------------------|------------------------|------------------------|
|  |      | £000                  | £000                | £000                   | £000                   |
| <b>Income from:</b>                    |      |                       |                     |                        |                        |
| Donations and legacies                 | 3    | 43                    | 21                  | 64                     | 132                    |
| Grants                                 | 3    | 0                     | 45                  | 45                     | 102                    |
| Investments                            | 4    | 0                     | 0                   | 0                      | 1                      |
| <b>Total income</b>                    |      | <b>43</b>             | <b>66</b>           | <b>109</b>             | <b>235</b>             |
| <b>Expenditure from:</b>               |      |                       |                     |                        |                        |
| Charitable activities                  | 5    | (105)                 | (92)                | (197)                  | (81)                   |
| <b>Total expenditure</b>               |      | <b>(105)</b>          | <b>(92)</b>         | <b>(197)</b>           | <b>(81)</b>            |
| <b>Net income/(expenditure)</b>        |      | <b>(62)</b>           | <b>(26)</b>         | <b>(88)</b>            | <b>154</b>             |
| <b>Transfers between funds</b>         | 13   | 0                     | 0                   | 0                      | 0                      |
| <b>Other recognised gains/(losses)</b> |      | 0                     | 0                   | 0                      | 0                      |
| <b>Net movement in funds</b>           | 13   | <b>(62)</b>           | <b>(26)</b>         | <b>(88)</b>            | <b>154</b>             |
| <b>Reconciliation of funds:</b>        |      |                       |                     |                        |                        |
| Total funds brought forward            | 13   | 450                   | 541                 | 991                    | 837                    |
| <b>Total funds carried forward</b>     | 13   | <b>388</b>            | <b>515</b>          | <b>903</b>             | <b>991</b>             |

## Statement of Financial Position for the year ended 31 January 2022

|  | Note | Unrestricted<br>funds | Restricted<br>funds | 2021/22<br>Total funds | 2020/21<br>Total funds |
|--|------|-----------------------|---------------------|------------------------|------------------------|
|  |      | £000                  | £000                | £000                   | £000                   |
| <b>Current assets:</b>                         |      |                       |                     |                        |                        |
| Debtors  | 10   | 10                    | 4                   | 14                     | 24                     |
| Cash and cash equivalents                      | 11   | 380                   | 517                 | 897                    | 981                    |
| <b>Total current assets</b>                    |      | <b>390</b>            | <b>521</b>          | <b>911</b>             | <b>1,005</b>           |
| <b>Liabilities:</b>                            |      |                       |                     |                        |                        |
| Creditors: amounts falling due within one year | 12   | (2)                   | (6)                 | (8)                    | (14)                   |
| <b>Net current assets/(liabilities)</b>        |      | <b>388</b>            | <b>515</b>          | <b>903</b>             | <b>991</b>             |
| <b>Total net assets/(liabilities)</b>          |      | <b>388</b>            | <b>515</b>          | <b>903</b>             | <b>991</b>             |
| <b>The funds of the charity:</b>               |      |                       |                     |                        |                        |
| Restricted Funds                               | 13   | 0                     | 515                 | 515                    | 540                    |
| Unrestricted Funds                             | 13   | 388                   | 0                   | 388                    | 451                    |
| <b>Total charity funds</b>                     | 13   | <b>388</b>            | <b>515</b>          | <b>903</b>             | <b>991</b>             |

The notes at pages 17 to 26 form part of these accounts.

The financial statements were approved and authorised for issue by the Board on

Signed on behalf of the Board of Trustees

Signed:



**Andy Willis, Chair of the Corporate Trustee**

Date: 13/10/2022

Charity registration number: 1056550

## **Notes to the Financial Statements**

### **1 Summary of accounting policies**

#### **1.1 General information and basis of preparation**

Dorset HealthCare Charitable Fund is a charity in the United Kingdom. The address of the registered office is given in the Charity information on page 1 of these financial statements. The nature of the Charity's operations and principal activities are detailed in the Trustees Annual Report.

The charity constitutes a public benefit entity as defined by FRS 102. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102), the Charities Act 2011 and UK Generally Accepted Accounting Practice as it applies from 1 January 2015.

The financial statements are prepared on a going concern basis under the historical cost convention. The financial statements are prepared in sterling which is the functional currency of the Charity and rounded to the nearest £000.

The significant accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all years presented unless otherwise stated.

#### **1.2 Statement of Compliance**

These financial statements have been prepared in compliance with the provisions of FRS102 section 1a, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland'.

#### **1.3 Funds**

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of the general objectives of the Charity and which have not been designated for other purposes.

Designated funds comprise unrestricted funds which are either set aside to be used for specific purposes or which reflect non-binding wishes of donors.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the Charity for particular purposes. The cost of raising and administering such funds are charged against the specific fund.

The major funds held in each of these categories are disclosed in note 13.

## **Notes to the Financial Statements**

### **1.4 Income recognition**

All incoming resources are included in the Statement of Financial Activities (SoFA) when the Charity is legally entitled to the income after any performance conditions have been met, the amount can be measured reliably and it is probable that the income will be received.

For donations to be recognised the Charity will have been notified of the amounts and the settlement date in writing. If there are conditions attached to the donation and this requires a level of performance before entitlement can be obtained then income is deferred until those conditions are fully met or the fulfilment of those conditions is within the control of the Charity and it is probable that they will be fulfilled.

No amount is included in the financial statements for volunteer time in line with the SORP (FRS 102).

For legacies, entitlement is the earlier of the Charity being notified of an impending distribution or the legacy being received. At this point income is recognised. On occasion legacies will be notified to the Charity, however it is not possible to measure the amount expected to be distributed. On these occasions, the legacy is treated as a contingent asset and disclosed. If the details of the amount are confirmed after the reporting period but prior to the accounts being authorised for issue then the legacy will be treated as an adjusting post reporting date event and accrued as income.

Investment income is earned through holding assets for investment purposes such as shares and cash. It includes dividends and interest. Where it is not practicable to identify investment management costs incurred within a scheme with reasonable accuracy the investment income is reported net of these costs. It is included when the amount can be measured reliably. Interest income is recognised using the effective interest method and dividend income is recognised as the Charity's right to receive payment is established.

### **1.5 Expenditure recognition**

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Expenditure is recognised where there is a legal or constructive obligation to make payments to third parties, it is probable that the settlement will be required and the amount of the obligation can be measured reliably. It is categorised under the following headings:

Expenditure on charitable activities includes costs incurred in relation to the charitable operations including support and governance costs apportioned to the charitable activities.

## **Notes to the Financial Statements**

### **1.6 Support costs allocation**

Support costs are those that assist the work of the Charity but do not directly represent charitable activities and include office costs and governance costs. They are incurred directly in support of expenditure on the objects of the Charity. Where support costs cannot be directly attributed to particular headings they have been allocated to the cost of raising funds and expenditure on charitable activities on a basis consistent with use of the resources. All overheads have been allocated on an expenditure proportion basis.

Details of these costs is included in note 5.

### **1.7 Debtors and creditors receivable/payable within one year**

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in expenditure.

### **1.8 Cash and cash equivalents**

Cash at bank and in hand is held to meet the day to day running costs of the Charity as they fall due. Cash equivalents are short term, highly liquid investments, held in either an interest bearing premium account of cash.

### **1.9 Tax**

The Charity is an exempt charity within the meaning of schedule 3 of the Charities Act 2011 and is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes.

### **1.10 Going concern**

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist. The Trustees have considered the level of funds held and the expected level of income and expenditure for 12 months from authorising these financial statements. The budgeted income and expenditure is sufficient with the level of reserves for the Charity to be able to continue as a going concern.

## **Notes to the Financial Statements**

### **2 Prior year comparatives by type of fund**

The primary statements provide prior year comparatives in total; this note provides prior period comparatives for the Statement of Financial Activities and the Statement of Financial Position for the two types of funds the Charity manages.

#### **2.1 Statement of Financial Activities for the year ended 31 January 2021**

|                                    | Unrestricted<br>funds | Restricted<br>funds | 2020/21<br>Total funds |
|------------------------------------|-----------------------|---------------------|------------------------|
|                                    | £000                  | £000                | £000                   |
| <b>Income from:</b>                |                       |                     |                        |
| Donations and legacies             | 111                   | 21                  | 132                    |
| Grants                             | 0                     | 102                 | 102                    |
| Investments                        | 1                     | 0                   | 1                      |
| <b>Total incoming resources</b>    | <b>112</b>            | <b>123</b>          | <b>235</b>             |
| <b>Expenditure on:</b>             |                       |                     |                        |
| Charitable activities              | (34)                  | (47)                | (81)                   |
| <b>Total expenditure</b>           | <b>(34)</b>           | <b>(47)</b>         | <b>(81)</b>            |
| <b>Net movement in funds</b>       | <b>78</b>             | <b>76</b>           | <b>154</b>             |
| <b>Reconciliation of funds:</b>    |                       |                     |                        |
| Total funds brought forward        | 373                   | 464                 | 837                    |
| <b>Total funds carried forward</b> | <b>451</b>            | <b>540</b>          | <b>991</b>             |

#### **2.2 Statement of Financial Position for the year ended 31 January 2021**

|  | Unrestricted<br>funds | Restricted<br>funds | 2020/21<br>Total funds |
|--|-----------------------|---------------------|------------------------|
|  | £000                  | £000                | £000                   |
| <b>Current Assets:</b>                         |                       |                     |                        |
| Debtors  | 23                    | 1                   | 24                     |
| Cash at bank and in hand                       | 436                   | 545                 | 981                    |
| <b>Total Current Assets</b>                    | <b>459</b>            | <b>546</b>          | <b>1,005</b>           |
| <b>Liabilities:</b>                            |                       |                     |                        |
| Creditors: amounts falling due within one year | (8)                   | (6)                 | (14)                   |
| <b>Net current assets/(liabilities)</b>        | <b>451</b>            | <b>540</b>          | <b>991</b>             |

## **Notes to the Financial Statements**

### **3 Income from donations and legacies**

|           | Unrestricted<br>funds | Restricted<br>funds | <b>2021/22</b> | 2020/21 |
|-----------|-----------------------|---------------------|----------------|---------|
|           | £000                  | £000                | <b>£000</b>    | £000    |
| Donations | 28                    | 21                  | <b>49</b>      | 113     |
| Legacies  | 15                    | 0                   | <b>15</b>      | 19      |
| Grants    | 0                     | 45                  | <b>45</b>      | 102     |
|           | <b>43</b>             | <b>66</b>           | <b>109</b>     | 234     |

### **4 Income from investments**

|                     | Unrestricted<br>funds | Restricted<br>funds | <b>2021/22</b> | 2020/21 |
|---------------------|-----------------------|---------------------|----------------|---------|
|                     | £000                  | £000                | <b>£000</b>    | £000    |
| Interest - deposits | 0                     | 0                   | <b>0</b>       | 1       |
|                     | <b>0</b>              | <b>0</b>            | <b>0</b>       | 1       |

### **5 Analysis of charitable expenditure**

|                             | Charitable<br>activity | Support<br>costs | <b>2021/22</b> | 2020/21 |
|-----------------------------|------------------------|------------------|----------------|---------|
|                             | £000                   | £000             | <b>£000</b>    | £000    |
| Purchase of new equipment   | 44                     | 5                | <b>49</b>      | 13      |
| Building and refurbishment  | 35                     | 2                | <b>37</b>      | 11      |
| Staff education and welfare | 81                     | 19               | <b>100</b>     | 45      |
| Patient welfare             | 6                      | 5                | <b>11</b>      | 12      |
|                             | <b>166</b>             | <b>31</b>        | <b>197</b>     | 81      |

The basis for allocating support costs is by number of transactions processed to more accurately reflect the support time and resources used.

Patient welfare has attracted proportionally higher support costs due to the amount of transactions involved but at smaller expenditure cost.

Of the above support costs £17k were attributable to restricted funds (2020/21 £19k). The decrease is due to the additional administration support needed for the large amounts (£176k) of restricted monies received from NHS Charities Together.

## **Notes to the Financial Statements**

### **6 Allocation of support costs and governance costs**

Support costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of the Charity.

The bases of allocation used are as follows:

| Support cost                 | Charitable activities<br>£000 | <b>Total<br/>2021/22<br/>£000</b> | Total<br>2020/21<br>£000 |
|------------------------------|-------------------------------|-----------------------------------|--------------------------|
| Internal Audit               | 1                             | 1                                 | 1                        |
| External Independent Review  | 3                             | 3                                 | 3                        |
| <b>Governance Total</b>      | <b>4</b>                      | <b>4</b>                          | <b>4</b>                 |
| Financial administration     | 24                            | 24                                | 24                       |
| Telephone/postage/stationery | 1                             | 1                                 | 1                        |
| Computer expenses            | 2                             | 2                                 | 2                        |
| <b>Total</b>                 | <b>31</b>                     | <b>31</b>                         | <b>31</b>                |

|                       | Unrestricted funds | Restricted funds | <b>Total<br/>2021/22</b> | Total<br>2020/21 |
|-----------------------|--------------------|------------------|--------------------------|------------------|
| Charitable activities | 14                 | 17               | 31                       | 31               |
|                       | <b>14</b>          | <b>17</b>        | <b>31</b>                | <b>31</b>        |

### **7 Independent examiners' remuneration**

The independent examiners' remuneration of £3k (2020/21 - £3k) relates to the independent examination of the financial statements by our external reviewers.

### **8 Trustees' and key management personnel remuneration and expenses**

The Trustees and key management personnel neither received nor waived any remuneration during the year 2021/22 (2020/21 - £nil).

No Trustees are accruing pension arrangements (2020/21 - £nil).

The Trustees did not have any expenses reimbursed during the year (2020/21 - £nil).



## **Notes to the Financial Statements**

### **9 Staff cost and employee benefits**

For the 2021/22 year the Charitable Fund did not employ any staff (2020/21 - nil).

Staff are not directly employed by the Charitable Fund. Staff of Dorset HealthCare University NHS Foundation Trust have responsibility for the day to day running of the Charitable Fund and the time spent on this work is recharged to the Charity on a monthly basis.

### **10 Debtors**

|                                | <b>2021/22</b>   | 2020/21          |
|--------------------------------|------------------|------------------|
|                                | <b>£000</b>      | £000             |
| Other debtors - legacies       | 10               | 23               |
| Prepayments and accrued income | 4                | 1                |
|                                | <b><u>14</u></b> | <b><u>24</u></b> |

### **11 Analysis of cash and cash equivalents**

|                 | <b>2021/22</b>    | 2020/21           |
|-----------------|-------------------|-------------------|
|                 | <b>£000</b>       | £000              |
| Cash in hand    | 797               | 881               |
| Cash on deposit | 100               | 100               |
|                 | <b><u>897</u></b> | <b><u>981</u></b> |

### **12 Creditors: amounts falling due within one year**

|          | <b>2021/22</b>  | 2020/21          |
|----------|-----------------|------------------|
|          | <b>£000</b>     | £000             |
| Accruals | 8               | 14               |
|          | <b><u>8</u></b> | <b><u>14</u></b> |

## Notes to the Financial Statements

### 13 Fund reconciliation

|  | Balance at<br>01/02/2021 | Transfer   | Income     | Expenditure  | Balance at<br>31/01/2022 |
|--|--------------------------|------------|------------|--------------|--------------------------|
|  | £000                     | £000       | £000       | £000         | £000                     |
| <b>Unrestricted and designated funds:</b>      |                          |            |            |              |                          |
| <b>Designated funds</b>                        |                          |            |            |              |                          |
| Wimborne Hospital fund                         | 183                      | 0          | 16         | (33)         | 166                      |
| New Wave fund                                  | 43                       | 0          | 0          | (2)          | 41                       |
| Other immaterial designated funds (42 funds)   | 147                      | (1)        | 25         | (49)         | 122                      |
| <b>Unrestricted funds</b>                      |                          |            |            |              |                          |
| NHS Charities Together Initial Funding         | 73                       | 0          | 0          | (20)         | 53                       |
| General funds                                  | 5                        | 0          | 2          | (1)          | 6                        |
| <b>Total unrestricted and designated funds</b> | <b>451</b>               | <b>(1)</b> | <b>43</b>  | <b>(105)</b> | <b>388</b>               |
| <b>Restricted funds:</b>                       |                          |            |            |              |                          |
| Buckland Fund                                  | 7                        | 0          | 0          | (0)          | 7                        |
| Swanage Hospital                               | 12                       | 0          | 0          | (1)          | 11                       |
| Swanage Hospital Training LoF                  | 10                       | 0          | 0          | (4)          | 6                        |
| Oncology Service                               | 2                        | 0          | 6          | (0)          | 8                        |
| Dorset Working Women's Project                 | 5                        | 0          | 0          | (0)          | 5                        |
| Pebble Lodge                                   | 5                        | 0          | 0          | (1)          | 4                        |
| Wimborne Bullows A&E Eyes                      | 2                        | 0          | 0          | (0)          | 2                        |
| Kings Park Hospital                            | 35                       | 0          | 0          | (1)          | 34                       |
| Wimborne X-Ray                                 | 339                      | 0          | 0          | (11)         | 328                      |
| NHS Charities Together - COVID                 | 87                       | 0          | 1          | (64)         | 24                       |
| NHS Charities Together - Recovery Funds        | 0                        | 0          | 35         | 0            | 35                       |
| Alderney Talbot Village                        | 0                        | 0          | 10         | (0)          | 10                       |
| District Nurses Bournemouth North              | 5                        | 0          | 0          | (0)          | 5                        |
| Bridport COVID crowd funding                   | 8                        | 0          | 0          | (2)          | 6                        |
| Other immaterial restricted funds (42 funds)   | 23                       | 1          | 14         | (8)          | 30                       |
| <b>Total Restricted Funds</b>                  | <b>540</b>               | <b>1</b>   | <b>66</b>  | <b>(92)</b>  | <b>515</b>               |
| <b>Total Funds</b>                             | <b>991</b>               | <b>0</b>   | <b>109</b> | <b>(197)</b> | <b>903</b>               |

### Fund Descriptions

Full details of funds are shown within the Trustees' Report.

#### a) Unrestricted and designated funds

The purpose of the unrestricted funds are to support the charitable purpose as stated in the objects of the Dorset HealthCare Charitable Fund; particular designated funds have been created to reflect the non binding wishes of donors.

The Trustees set a closing balance of £50k or above as the threshold for reporting material designated funds. In the interests of accountability a breakdown of all such funds is available upon written request. There are two designated funds with a closing balance over £50k: Wimborne Hospital at £166k and the NHS Charities Together Initial funding at £53k.

#### Wimborne Hospital Material Designated Fund

This is a non binding legacy which was left to Wimborne Hospital in November 2013. Wimborne has also been the recipient of several further legacies in 2017/18, 2018/19, 2019/20, 2020/21 and in 2021/22.

## **Notes to the Financial Statements**

### **Fund Descriptions continued**

Funds were received from New Wave Integrated Care Ltd in April 2014 for the benefit of people in Boscombe and the immediate surrounding areas. This fund was now being used for projects which were identified in 2019/20 such as lunch clubs for lonely isolated older people but postponed due to COVID. A new project, Coffee Connection, identified via the Dragons' Den process is now going to be supported from these funds and we are actively seeking other ways to spend these monies.

### **NHS Charities Together - Unrestricted Funds**

The Charity joined NHS Charities Together in 2020 and received initial unrestricted funding of £74k to support staff and patients during the COVID crisis. Spending of £18k commenced in 2021/22 and the works are scheduled to be completed in 2022/23 though there have been delays due to the impact of COVID and supply chain issues on completing the staff well being rooms.

#### **b) Restricted funds**

##### **Restricted funds over £50k**

###### **Wimborne X-Ray Department**

A large legacy was received in 2019/20 of £350k which is solely for the benefit of the Radiology Department at Wimborne Hospital. The pandemic delayed the plans to enable this work but now the equipment requirements for the two X-ray rooms are in hand, an Architect has been contracted to work on the building detail and a ventilation survey is to be carried out.

##### **Restricted funds under £50k**

The Charity received £50k from NHS Charities Together to mentor ethnic minority, disabled and other staff (spent in 2021/2022). A second £50k has been spent on staff reward, recognition and recovery. The final £2k was spent on goodie bags containing healthy snacks and essentials to welcome, sustain and thank vaccination staff.

A further £35k has been received to aid pandemic recovery and some of this will be spent on a staff wellbeing post.

There is a restricted fund relating to a legacy left by the Reverend Buckland to be used for any charitable purpose relating to the benefit of Occupational Therapy and the Rehabilitation Assessment units.

Swanage Hospital has a restricted fund to be used for the care of cancer patients.

Wimborne Hospital received a legacy in January 2017 of £8k for use in the Minor Injury Unit with specific reference to eye care. Items for the ophthalmology department have been purchased in 2019/20.

## **Notes to the Financial Statements**

### **Fund Descriptions continued**

Kings Park Hospital received a £40k legacy in July 2018 and ideas were put forward regarding how to spend this money.

Dorset Working Women's Project received £4k in August 2016 to create a safe space for working women within the Boscombe/Springbourne areas. They have also received a £2k donation in September 2018 which is being used for an Outreach project to take health education and hot food and drinks to workers in the night time economy in deprived areas of Dorset.

Pebble Lodge, the Trust's Child and Adolescent Mental Health Hospital in Bournemouth, received a £5k donation in 2019/20 which is to be spent on young people's activities. Surfing and other activities have been undertaken.

The other immaterial restricted funds relate to funds under £2k.

### **14 Related party transactions**

Information about related party transactions and outstanding balances is outlined below:

|  | Income<br>£000 | Expenditure<br>£000 | Debtors<br>£000 | Creditors<br>£000 |
|--|----------------|---------------------|-----------------|-------------------|
| <b>Dorset HealthCare University NHS<br/>Foundation Trust - Corporate Trustee</b> |                |                     |                 |                   |
| To 31 January 2022   | 0              | 28                  | 0               | 0                 |
| To 31 January 2021   | 0              | 28                  | 0               | 0                 |

The Board of Directors of Dorset HealthCare University NHS Foundation Trust is the sole corporate trustee of the charitable fund.

Board Members of Dorset HealthCare University NHS Foundation Trust (and other senior staff) take decisions on Charity matters but endeavour to keep the interests of each discrete and do not seek to benefit personally from such decisions.

Dorset HealthCare University NHS Foundation Trust on occasion will receive income or make payments on behalf of Dorset HealthCare Charitable Fund, the Charity will then refund the balance. In addition Dorset HealthCare University NHS Foundation Trust provides management and accounting support to the Charitable Fund. During the year ended 31 January 2022 £28k (2020/21: £28k) was paid to Dorset HealthCare University NHS Foundation Trust in respect of this. The figures above include both of these elements.

During the period none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Dorset HealthCare Charitable Fund or received any benefit from the charity through payment or in kind.

### **15 Contingent Liabilities or Assets**

There are no contingent assets or liabilities in 2021/22.