# DORSET HEALTHCARE CHARITABLE FUND

England & Wales · Charity number 1056550

## Details

| | |
|---|---|
| **Status** | Registered |
| **Legal form** | Other |
| **Registered** | 1996-07-03 |
| **Register** | View on the Charity Commission register |

## Contact

| | |
|---|---|
| **Address** | Sentinel House<br>Nuffield Industrial Estate<br>Nuffield Road<br>Poole<br>Dorset<br>BH17 0RB |
| **Phone** | 01202277255 |
| **Email** | tyrell.bowcher@nhs.net |
| **Website** | https://www.dorsethealthcare.nhs.uk |

## Activities

**Objects:** FOR ANY CHARITABLE PURPOSE OR PURPOSES RELATING TO THE NATIONAL HEALTH SERVICE, WHOLLY OR MAINLY FOR THE SERVICES PROVIDED BY DORSET HEALTHCARE NHS FOUNDATION TRUST

**Activities:** The Charity's Funds are used to promote the well being of patients, volunteers and staff by improving/enhancing facilities and equipment, enhance training and development, providing opportunities for events, excursions, recognition and rewards.

## Classification

- **How:** Makes Grants To Individuals, Makes Grants To Organisations
- **What:** General Charitable Purposes, Education/training, The Advancement Of Health Or Saving Of Lives, Disability
- **Who:** Children/young People, Elderly/old People, People With Disabilities, Other Defined Groups

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## Geography

- Throughout England And Wales

## Finances

| Period end | Income | Expenditure | Assets | Employees |
|---|---|---|---|---|
| 2025-01-31 | £220,000 | £140,000 | - | - |
| 2024-01-31 | £250,000 | £192,000 | - | - |
| 2023-01-31 | £179,349 | £494,406 | - | - |
| 2022-01-31 | £108,971 | £196,702 | - | - |
| 2021-01-31 | £234,486 | £80,548 | - | - |

## Trustees

| Name | Role | Appointed |
|---|---|---|
| DORSET HEALTHCARE UNIVERSITY NHS FOUNDATION TRUST | | |

## Linked charities

- BUCKLAND ST LEONARDS FUND (1056550-1)
- THE DORSET HEALTHCARE NHS TRUST (EXPENDABLE FUNDS) COMMON INVESTMENT FUND (1056550-2)
- BUCKLAND ST LEONARDS FUND (1056550-3)

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**DORSET HEALTHCARE CHARITABLE FUND**

England & Wales - Charity number 1056550

# Accounts

# DORSET HEALTHCARE CHARITABLE FUND

A registered charity
(Registered No. 1056550)

# ANNUAL REPORT AND FINANCIAL STATEMENTS

## FOR THE YEAR ENDED 31 JANUARY 2025

# ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 JANUARY 2025

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## REFERENCE AND ADMINISTRATIVE DETAILS

**Charity registration number:** **1056550**

**Principal & Registered Office of Charity:**
Sentinel House
Nuffield Industrial Estate
Poole
Dorset
BH17 0RB

**Bankers:**
Lloyds Bank PLC
45-47 Christchurch Road
Bournemouth
BH1 1ED

**Bankers:**
Barclays Bank PLC
Ground Floor County Gates
300 Poole Road
Bournemouth
BH12 1AZ

**Independent Examiners:**
PKF-Francis Clark
Towngate House
2-8 Parkstone Rd
Poole
BH15 2PW

**Internal Auditors:**
BDO LLP
Arcadia House, Maritime Walk
Ocean Village, Southampton
Hampshire
SO14 3TL

Dorset HealthCare University NHS Foundation Trust (the Foundation Trust) is the Corporate Trustee of Dorset HealthCare Charitable Fund governed by the laws applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011. The Foundation Trust Board has responsibility for overseeing the administration of the charity acting as the Corporate Trustee. The members of the Foundation Trust Board who served during the last two financial years were as follows:

**Corporate Trustee members:**

| | |
|---|---|
| David Clayton-Smith | Joint Trust Chair (from 1 May 2023) |
| Chris Hearn | Joint Chief Financial Officer (from 1 February 2024) |
| Nicola Plumb | Joint Chief People Officer and Director of Strategy (from 1 March 2014, Joint Officer from 01 February 2024) |

**Trust Board members current:**

| | |
|---|---|
| David Clayton-Smith | Joint Trust Chair (from 1 May 2023) |
| Matthew Bryant | Joint Chief Executive Officer (from 1 April 2023) |
| Dawn Dawson | Joint Chief Nursing Officer (from 1 April 2018, Joint Officer from 1 April 2024) |
| Rachel Small | Interim Chief Operating Officer from 1 July 2024 |
| Steven Peacock | Non Executive Director (from 1 March 2020) |
| Sir David Haslam CBE | Non Executive Director (from 1 October 2020) |
| Andreas Haimboeck-Tichy | Non Executive Director (from 1 October 2020) |
| Suresh Ariaratnam | Non Executive Director (from 1 September 2022) |
| Frances West | Non Executive Director (from 1 September 2022) |
| Dr Andy Dean | Chief Medical Officer (from 6 September 2024 - 27 October 2024) |
| Dr Lucy Knight | Chief Medical Officer (from 28 October 2024) |
| Nicola Plumb | Joint Chief People Officer and Director of Strategy (from 1 March 2014, Joint Officer from 01 February 2024) |
| Chris Hearn | Joint Chief Financial Officer (from 1 February 2024) |
| Nick Johnson | Joint Chief Strategy, Transformation and Partnerships Officer (from 1 February 2024) |
| Jenny Horrabin | Joint Executive Director of Corporate Affairs (from 11 March 2024) |

**Trust Board members past:**

| | |
|---|---|
| Tristan Phillips | Non Executive Director (from 1 July 2019 to 31 July 2024) |
| Prof Faisil Sethi | Chief Medical Officer (from October 2020 - 5 September 2024) |
| Kristin Dominy | Chief Operating Officer/Deputy Chief Executive Officer (from 1 April 2020 - June 2024) |

Many of our Executive Directors are in joint posts with Dorset County Hospital NHS Foundation Trust.

# Trustees' Annual Report

The Corporate Trustee presents the Charitable Funds Annual Report together with the Independently Examined Financial Statements for the year ended 31 January 2025. The prior year was the year to 31 January 2024. The trustees have adopted the provisions of the Statement of Recommended Practice (SORP) "Accounting and Reporting by Charities" FRS102 (1a) in preparing the annual report and financial statements of the charity.

The Charity's Annual Report and Financial Statements for the year ended 31 January 2025 have been prepared by the Corporate Trustee in accordance with the accounting policies set out in the notes to the financial statements on page 16 and comply with the charity's governing document, the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard FRS102 (1a).

**Trustees of the Charity**

The Board of Directors of the Foundation Trust are the Charity's trustees for the purpose of charity law. The Trustees who have served during the year and since the year end are noted on page 1.

**Objectives**

Dorset HealthCare Charitable Fund is an independent registered charity. It exists to raise funds and receive donations for the benefit of the patients and staff of Dorset HealthCare University NHS Foundation Trust. We are a trustee body independent from the Foundation Trust but work for the benefit of the patients, their families and the staff.

**The Charity's main objective is to hold the fund upon trust to apply the income for any charitable purpose or purposes relating to the provision of health and social care. This includes social care services; particularly in relation to services provided on or behalf of (but in no way limited to) Dorset HealthCare University NHS Foundation Trust.**

The Charity secures funding from fundraising, donations, grants and legacies received from patients, their relatives, the general public and other organisations to be able to enhance the service provided and environment in which care is provided.

The fund benefits a wide range of patients in hospitals, day centres, clinics and within their own home including older people, children, those with mental health problems, those recovering from operations, as well as people with physical and sensory difficulties and those who have learning disabilities.

Staff also benefit from the fund which is used for staff appreciation, team building and the improvement of staff facilities and wellbeing as well as the education of staff by providing training over and above normal NHS standards. This enhanced training benefits both staff and patients. In September 2021 a Staff Support Group was created in recognition of staff hardship. Since inception it has paid out £35k to 84 staff in need.

**Trustees' Annual Report continued**

**Public Benefit**

The Charity Trustees have complied with the duty in section 4 of the Charities Act 2011 to have due regard to public benefit guidance published by the Charities Commission. The Trustees:

- must act reasonably and prudently in all matters relating to the Charity;
- must protect the Charity and act in the best interests of the Charity;
- should fully discuss and agree the annual accounts and annual report;
- ensure the income and property of the Charity is applied for the purposes set out in the Governing Document;
- ensure that good policies are in place and are followed to ensure that the systems of control are rigorous and that the systems of control are well monitored;
- annually review policies and investments and method of investment;
- take proper professional advice when necessary;
- must ensure that the way in which the Charity is administered is not open to abuse by unscrupulous persons;

## Structure

**Governance**

Dorset HealthCare Charitable Fund was established by a Declaration of Trust dated April 1996. The objects being for any charitable purpose(s) relating to the provision of health and social care in relation to (although no way limited to) services provided by or on behalf of Dorset HealthCare University NHS Foundation Trust.

The Board of Directors, acting as the Corporate Trustee, review risk via the results of any audits undertaken by both the Internal Auditors and Independent Reviewers. The Internal Audit reports are presented to the Trust's Audit Committee and any significant risks are entered onto the Corporate and/or Operational Risk Registers. No significant risks were identified during the year under review. See also the Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund on page 12. The Trustees are satisfied that there are systems in place to reduce and mitigate the exposure of the Charity to any significant risks.

The Chair and Non-Executive Directors are appointed by the Board of Governors of the Foundation Trust.

The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. The authority to spend funds has been delegated to service managers within limits agreed by the Trust Board.

**Management**

The Corporate Trustee is responsible for overseeing the administration of the charity. The finance directorate of Dorset HealthCare University NHS Foundation Trust has been given the responsibility for the administration of the fund accounts and reports to the Board twice a year. The Joint Chief Finance Officer has responsibility for ensuring that full accounting records are maintained and that devolved decision making or delegated arrangements are in accordance with the policies and procedures governing the Charity.

**Structure continued**

**Management**

The charitable funds available for spending are allocated to service managers, with each allocation managed by use of a designated fund within the general unrestricted fund. This is intended to ensure funds are spent in a way which respects the wishes of our generous donors and benefactors. Where funds are received with specific restriction set by the donor, a new restricted fund is established.

The delegated limits for expenditure below £10k requires the approval of the Fund Manager. Expenditure above £10k and up to £50k requires the approval of the Service Director (or nominated Deputy). Expenditure of £50k or more requires the approval of the Joint Chief Finance Officer and the Service Director (or nominated Deputy).

The Trustees consider themselves to be the only key management personnel.

**Risk Management**

Key risk areas include fraud and over commitments of funds. Procedures and policies have been established to mitigate these risks.

Dorset HealthCare Charitable Fund also engages with the internal auditor to periodically carry out reviews and report any areas of weakness. Improvements to processes are implemented as required and deemed reasonable.

## Reserves Policy

The reserves policy consists of:

• an expectation that income in each individual fund will be spent within 18 months of receipt unless it is being accumulated for a specific purpose.

• no individual fund should hold a permanent balance of more than £25k during a three month consecutive period unless, as above, the funds are being accumulated for a specific project.

• funds with more than £50k require expenditure plans to be submitted to the Corporate Trustee.

The reserves in 2024/25 total £725k. There are four funds in 2024/25 over £25k. In some cases appropriate expenditure has not yet been identified. This has led to an accumulation of reserves. These reserves will be spent on future appropriate expenditure as and when it is identified.

There is a restricted fund relating to a legacy left by the Reverend Buckland to be used for any charitable purpose relating to the benefit of Occupational Therapy and the Rehabilitation Assessment units.

Amounts for Kings Park Hospital (£29k), NHS Charities Together Development grants for the development of the Charity (£28k), Blandford Hospital (£48K) and New Wave (£32K) contribute to the reserves figure. Further details on these are included in note 13.

**Reserves Policy continued**

There are two funds in 2024/25 with a balance over £50k and one fund has an accrued balance. These are:

Wimborne Hospital designated fund - £218k

This is a designated pot to be used for the benefit of Wimborne Hospital. This hospital has a high level of support from the local community and is regularly in receipt of legacy donations. The staff at Wimborne use this fund as and when they identify either a staff, patient or building requirement.

NHS Charities Together Recovery Fund

The NHS Charities Together Grant with £52k left for recovery is also detailed in note 13.

St Leonards Hospital has been notified of a large legacy of £135k which is due to be received in 2025-2026.

## Treasury Management Policy

The Fund invests £100k with HM Treasury and this has realised a return of £5k (£8k 2023/24) on a rate of between 4.64% and 5.14%.

The Charitable Fund spending principle is to spend funds within 18 months therefore only short term investments are made to maximise balances held but at low risk.

The Treasury Management policy states:

- surplus cash can be invested for a period of up to 6 months.
- potential risks to the reputation of the Trust that may come from an involvement with deposits in the commercial sector must be minimised.
- cash is only deposited in "safe harbour" deposits. These are low risk and high liquidity investments. They are deposited with permitted institutions which meet recognised rating agency criteria.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2024/25

**Activities and Achievements**

The Charitable Fund spent £108k on activities and purchases (excluding support costs) to meet the Charity's objectives in the year (£159k in 2023/24). A highlight of these include:

## Westminster Memorial Hospital Tree, garden and End of Life care suite

The Team at Westminster Memorial Hospital have been busy fundraising for a Memorial tree/Garden with the aim to create a peaceful environment for patients and staff as well as giving families somewhere to come and remember their loved ones. They have also been busy raising funds to enhance the End of Life services at WMH. the fundraising included bingo nights, bacon butty days, raffles and skittle evenings as well as the support from local businesses who were more than happy to support such a good cause.





# Review of the Activities, Achievements and Performance of the Charitable Funds in 2024/25 continued

**Patient Welfare**

Direct patient welfare expenditure for the period totalled £46k (£89k in 2023/24) excluding support costs. The £46k includes the purchase of equipment and/or refurbishment to Wards and Units to provide a better patient experience (note 5). Funds are mainly used to provide additional facilities, or extras, to make the patients' stay more comfortable. These funds make it possible to purchase certain special items which otherwise could not be provided from Dorset HealthCare University NHS Foundation Trust's existing revenue or capital budgets.

**Staff Education and Welfare**

Staff education and welfare expenditure for the year excluding support costs totalled £62k (£70k in 2023/24). These costs were incurred to improve staff facilities and for staff development and also represent NHS Charities Together monies being spent to support and thank staff. This includes funding ongoing Health and Wellbeing posts to enhance staff welfare within the Trust.

Staff were able to attend external training courses which provided higher level of teaching for the benefits of our patients. This included courses in palliative care and cancer care. Other funds were donated towards staff events and other morale and team building events.

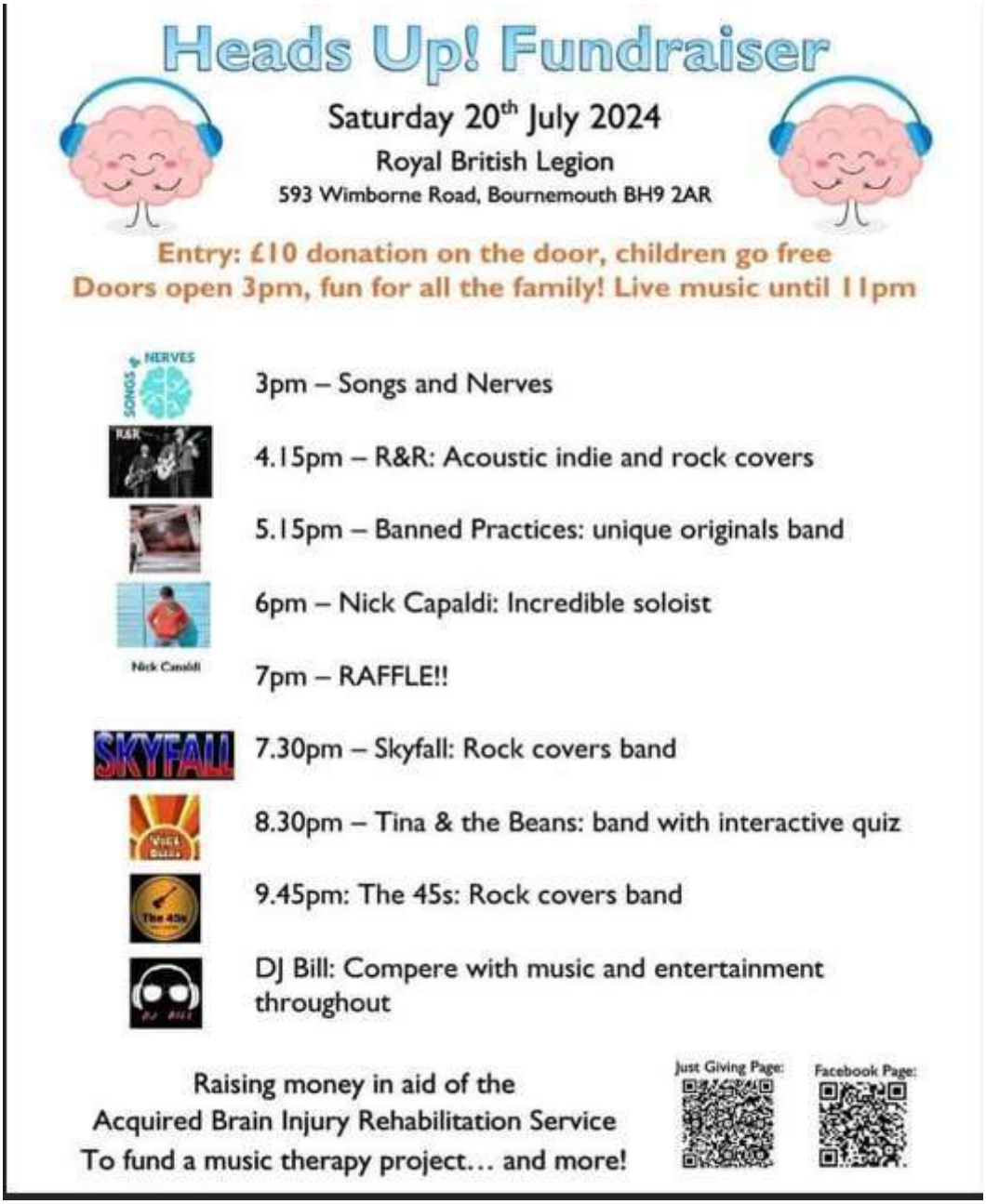
**Other charitable spend included:**

- cookery groups for patients;
- Christmas gifts for patients hospitalised over Christmas;
- climbing activities for young people;
- hot food and drinks for people working in the night time economy in areas of deprivation;
- team days for staff and training courses for staff;
- health and wellbeing posts to benefit and enhance Dorset HealthCare staff wellbeing;
- medical equipment;
- benches and furniture for other Trust sites with garden areas;
- furniture, carpeting and redecoration at Wimborne Hospital;
- Easter eggs and Easter lamb for Alderney Hospital patients;
- Maternal mental health awareness coffee morning;
- Carers Day events;
- allotment group equipment and supplies;

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2024/25

## Brain Injury Unit music event

The Brain Injury Unit put on a music event fundraiser which realised over £2.4k for the service. The funds are being spent on music therapy for patients.



## Our Future Plans

The Charitable Fund makes plans in line with the direction and strategy of the Foundation Trust to ensure funds are being spent effectively.

The following provides a summary of what we have spend and also the future spending plans for the NHS Charities Together funds:



## Our Future Plans continued

Future plans are financed by either fund reserves or new donations, legacies or grant income.

Future spend will be reflective of the future needs of the services provided or carried out on behalf of the Foundation Trust and the supporting community.

Spending plans are requested on a regular basis from all service managers who hold funds.

Current and ongoing spending plans include:

Wellbeing spaces at Nightingale House, Grenville House, Pebble Lodge and Bridport Hospital; the completion of the wellness garden at Forston Clinic and a wellbeing post to administer the delivery of a wellbeing programme for staff.

A project with the Youth Sports Trust to improve health and wellbeing in schools in areas of deprivation funded from the New Wave funds.

Funds of £28k have been received for a Volunteers Partnership project which will improve links between Dorset HealthCare Charitable Fund and the very valued contributions of the various League of Friends which support our local hospitals and services. This project is currently at planning stage.

## Review of the Finances of the Charitable Funds in 2024/25

Total income decreased by £30k to £220k in year (2023/24 increase of £71k). Realised legacy income has been lower although a large legacy of £135k is accrued for in 2024/25 and has been received in 2025/26. Grants income has also decreased in year although the Charity has benefitted from further NHS Charities Together funding. Over 250 donations were made totalling £37k (2023/24 £50k). Fundraising income increased to £9k (2023/24 £nil). Investment income increased to £17K as investment resumed (2023/24 £8k) and interest earned on the Fund's bank account increased.

Total expenditure decreased by £52k to £140k in year (2023/24 decrease of £303k). A total of £108k (2023/24 £159k) was spent directly on charitable activities, a breakdown of which can been seen in note 5. In order to manage the charity £32k (2023/24 £33k) was spent on support costs.

Total net gain of £80k (2023/24 gain of £58k) was added to the brought forward net assets of £645k resulting in total net assets at the end of 2024/25 of £725k. Spend was steady in 2024/25 but lower than in 2023/24. The bulk of the NHS Charities Together funding has already been spent but 2024/25 saw the final completion of ongoing projects but with a smaller spend to complete these.

The charity relies on donations, grants and legacies as its main source of income. Historically, the charity has not previously fundraised but in Summer 2024 the staff in the Brain Injury Unit held a music event. Other staff took part in running events, raffles and bake sales to raise funds for EOL Suites and memorial gardens. Further activity and a fundraising strategy is planned for future years - a staff post to link the Charity, volunteers and other charitable organisations is being funded in 2025/26 working towards these goals.

In past years funds have always more than exceeded total annual running costs.

# Statement of Trustees' Responsibilities

The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources of the Charity for that period. In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

By Order of the Trustee
Signed:



23rd October 2025 ..... Date

David Clayton-Smith, Joint Chair of the Corporate Trustee

## Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund

I report to the charity trustees on my examination of the accounts of the charity for the year ended 31 January 2025 which are set out on pages 1 to 26.

### Responsibilities and basis of report

As the charity's trustees you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act').

I report in respect of my examination of the charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

### Independent examiner's statement

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

1 accounting records were not kept in respect of the charity as required by section 130 of the Act; or

2 the accounts do not accord with those records; or

3 the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

.....

Adrian Way FCA FCCA (a Director of)
PFK Francis Clark
Towngate House
2-8 Parkstone Road
Poole
Dorset
BH15 2PW

Date: 31 October 2025

# *A BIG THANK YOU...*

On behalf of the patients and staff who have benefited from the donations and legacies received, the Dorset HealthCare Charitable Fund would like to thank everyone who has made charitable donations.

In addition a special thanks to those individuals, both staff of Dorset HealthCare University Foundation Trust and external supporters who have invested their time to drive forward a charitable scheme.



## Statement of Financial Activities for the year ended 31 January 2025

| | Note | Unrestricted funds | Restricted funds | **2024/25 Total funds** | 2023/24 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Income from:** | | | | | |
| Donations and legacies | 3 | 161 | 15 | **176** | 155 |
| Fundraising | 3 | 0 | 9 | **9** | 0 |
| Grants | 3 | 0 | 18 | **18** | 87 |
| Investments | 4 | 12 | 5 | **17** | 8 |
| **Total income** | | **173** | **47** | **220** | 250 |
| **Expenditure from:** | | | | | |
| Charitable activities | 5 | (73) | (67) | **(140)** | (192) |
| **Total expenditure** | | **(73)** | **(67)** | **(140)** | (192) |
| **Net income/(expenditure)** | | **100** | **(20)** | **80** | 58 |
| **Transfers between funds** | | 0 | 0 | **0** | 0 |
| **Net movement in funds** | | **100** | **(20)** | **80** | 58 |
| **Reconciliation of funds:** | | | | | |
| Total funds brought forward | 13 | 440 | 205 | **645** | 587 |
| **Total funds carried forward** | 13 | **540** | **185** | **725** | 645 |

## Statement of Financial Position for the year ended 31 January 2025

| | Note | Unrestricted funds | Restricted funds | **2024/25 Total funds** | 2023/24 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Current assets:** | | | | | |
| Debtors | 10 | 137 | 1 | **138** | 2 |
| Cash and cash equivalents | 11 | 436 | 198 | **634** | 662 |
| **Total current assets** | | **573** | **199** | **772** | 664 |
| **Liabilities:** | | | | | |
| Creditors: amounts falling due within one year | 12 | (33) | (14) | **(47)** | (19) |
| **Net current assets/(liabilities)** | | **540** | **185** | **725** | 645 |
| **Total net assets/(liabilities)** | | **540** | **185** | **725** | 645 |
| **The funds of the charity:** | | | | | |
| Restricted Funds | 13 | 0 | 185 | **185** | 205 |
| Unrestricted Funds | 13 | 540 | 0 | **540** | 440 |
| **Total charity funds** | | **540** | **185** | **725** | 645 |

The notes at pages 16 to 25 form part of these accounts.

The financial statements were approved and authorised for issue by the Board on

Signed on behalf of the Board of Trustees

Signed: 

**David Clayton-Smith, Joint Chair of the Corporate Trustee**

Date: 23rd October 2025

Charity registration number: 1056550

## Notes to the Financial Statements

### 1 Summary of accounting policies

### 1.1 General information and basis of preparation

Dorset HealthCare Charitable Fund is a charity in the United Kingdom. The address of the registered office is given in the charity information on page 1 of these financial statements. The nature of the charity's operations and principle activities are detailed in the Trustees Annual Report.

The charity constitutes a public benefit entity as defined by FRS 102. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102), the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The financial statements are prepared on a going concern basis under the historical cost convention. The financial statements are prepared in sterling which is the functional currency of the charity and rounded to the nearest £000.

The significant accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all years presented unless otherwise stated.

### 1.2 Statement of Compliance

These financial statements have been prepared in compliance with the provisions of FRS102 section 1a, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland'.

### 1.3 Funds

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of the general objectives of the charity and which have not been designated for other purposes.

Designated funds comprise unrestricted funds which are either set aside to be used for specific purposes or which reflect non-binding wishes of donors.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds are charged against the specific fund.

The major funds held in each of these categories are disclosed in note 13.

## Notes to the Financial Statements

### 1.4 Income recognition

All incoming resources are included in the Statement of Financial Activities (SoFA) when the charity is legally entitled to the income after any performance conditions have been met, the amount can be measured reliably and it is probable that the income will be received.

For donations to be recognised the Charity will have been notified of the amounts and the settlement date in writing. If there are conditions attached to the donation and this requires a level of performance before entitlement can be obtained then income is deferred until those conditions are fully met or the fulfilment of those conditions is within the control of the charity and it is probable that they will be fulfilled.

No amount is included in the financial statements for volunteer time in line with the SORP (FRS 102).

For legacies, entitlement is the earlier of the charity being notified of an impending distribution or the legacy being received. At this point income is recognised. On occasion legacies will be notified to the charity, however it is not possible to measure the amount expected to be distributed. On these occasions, the legacy is treated as a contingent asset and disclosed. If the details of the amount are confirmed after the reporting period but prior to the accounts being authorised for issue then the legacy will be treated as an adjusting post reporting date event and accrued as income, if material.

In terms of the Charity accounts legacies left to hospitals or services are treated as designated (unrestricted) funds unless there is a specific restriction imposed on the Charity by the terms of the Will in which case they are treated as restricted funds.

Investment income is earned by depositing cash for investment purposes to earn interest.

### 1.5 Expenditure recognition

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Expenditure is recognised where there is a legal or constructive obligation to make payments to third parties, it is probable that the settlement will be required and the amount of the obligation can be measured reliably. It is categorised under the following headings:

Expenditure on charitable activities includes costs incurred in relation to the charitable operations including support and governance costs apportioned to the charitable activities.

## Notes to the Financial Statements

### 1.6 Support costs allocation

Support costs are those that assist the work of the charity but do not directly represent charitable activities and include office costs and governance costs. They are incurred directly in support of expenditure on the objects of the charity. Where support costs cannot be directly attributed to particular headings they have been allocated to the cost of raising funds and expenditure on charitable activities on a basis consistent with use of the resources. All overheads have been allocated on an expenditure proportion basis.

Details of these costs is included in note 5.

### 1.7 Debtors and creditors receivable/payable within one year

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in expenditure.

### 1.8 Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due. Cash equivalents are short term, highly liquid investments, held in an interest bearing account.

### 1.9 Tax

The charity is an exempt charity within the meaning of schedule 3 of the Charities Act 2011 and is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes.

### 1.10 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist. The Trustees have considered the level of funds held and the expected level of income and expenditure for 12 months from authorising these financial statements. The budgeted income and expenditure is sufficient with the level of reserves for the charity to be able to continue as a going concern.

## Notes to the Financial Statements

### 2 Prior year comparatives by type of fund

The primary statements provide prior year comparatives in total; this note provides prior period comparatives for the Statement of Financial Activities and the Statement of Financial Position for the two types of funds the charity manages.

### 2.1 Statement of Financial Activities for the year ended 31 January 2024

| | Unrestricted funds | Restricted funds | **2023/24 Total funds** |
|---|---|---|---|
| | £000 | £000 | **£000** |
| **Income from:** | | | |
| Donations and legacies | 122 | 33 | **155** |
| Grants | 0 | 87 | **87** |
| Investments | 5 | 3 | **8** |
| **Total incoming resources** | **127** | **123** | **250** |
| **Expenditure on:** | | | |
| Charitable activities | (79) | (113) | **(192)** |
| **Total expenditure** | **(79)** | **(113)** | **(192)** |
| **Net movement in funds** | **48** | **10** | **58** |
| **Reconciliation of funds:** | | | |
| Total funds brought forward | 389 | 198 | **587** |
| **Total funds carried forward** | **437** | **208** | **645** |

### 2.2 Statement of Financial Position for the year ended 31 January 2024

| | Unrestricted funds | Restricted funds | **2023/24 Total funds** |
|---|---|---|---|
| | £000 | £000 | **£000** |
| **Current Assets:** | | | |
| Debtors | 1 | 1 | **2** |
| Cash at bank and in hand | 445 | 217 | **662** |
| **Total Current Assets** | **446** | **218** | **664** |
| **Liabilities:** | | | |
| Creditors: amounts falling due within one year | (6) | (13) | **(19)** |
| **Net current assets/(liabilities)** | **440** | **205** | **645** |

## Notes to the Financial Statements

### 3 Income from donations and legacies

| | Unrestricted funds £000 | Restricted funds £000 | **2024/25 £000** | 2023/24 £000 |
|---|---|---|---|---|
| Donations | 22 | 15 | **37** | 50 |
| Legacies | 139 | 0 | **139** | 105 |
| Fundraising | 0 | 9 | **9** | 0 |
| Grants | 0 | 18 | **18** | 87 |
| | **161** | **42** | **203** | 242 |

### 4 Income from investments

| | Unrestricted funds £000 | Restricted funds £000 | **2024/25 £000** | 2023/24 £000 |
|---|---|---|---|---|
| Interest - deposits | 12 | 5 | **17** | 8 |
| | **12** | **5** | **17** | 8 |

### 5 Analysis of charitable expenditure

| | Charitable activity £000 | Support costs £000 | **2024/25 £000** | 2023/24 £000 |
|---|---|---|---|---|
| Purchase of new equipment | 14 | 4 | **18** | 22 |
| Building and refurbishment | 5 | 2 | **7** | 72 |
| Staff education and welfare | 62 | 18 | **80** | 85 |
| Patient welfare | 27 | 8 | **35** | 13 |
| | **108** | **32** | **140** | 192 |

The basis for allocating support costs is by number of transactions processed to more accurately reflect the support time and resources used.

Patient welfare has attracted proportionally higher support costs due to the amount of transactions involved but at smaller expenditure cost. Building and Refurbishment expenditure is reduced in year as most major works are completed and only minor works were needed to finalise projects.

Of the above support costs £11k were attributable to restricted funds (2023/24 £12k). The decrease is due to buildings and refurbishments and purchase of new equipment transactions, many of which came from restricted funds, attracting a lower support cost as this included fewer transactions but higher amounts.

## Notes to the Financial Statements

### 6 Allocation of support costs and governance costs

Support costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of the charity.

The bases of allocation used are as follows:

| Support cost | Charitable activities £000 | **Total 2024/25 £000** | Total 2023/24 £000 |
|---|---|---|---|
| Internal Audit | 1 | **1** | 1 |
| External Independent Review | 4 | **4** | 4 |
| **Governance Total** | **5** | **5** | 5 |
| Financial administration | 24 | **24** | 24 |
| Telephone/postage/stationery | 1 | **1** | 2 |
| Computer expenses | 2 | **2** | 2 |
| **Total** | **32** | **32** | 33 |

| | Unrestricted funds | Restricted funds | **Total 2024/25** | Total 2023/24 |
|---|---|---|---|---|
| Charitable activities | 21 | 11 | **32** | 33 |
| | **21** | **11** | **32** | 33 |

### 7 Independent examiners remuneration

The estimated independent examiners remuneration of £4k (2023/24 - £4k) relates to the independent examination of the financial statements by our external reviewers.

### 8 Trustees' and key management personnel remuneration and expenses

The Trustees and key management personnel neither received nor waived any remuneration during the year 2024/25 (2023/24 - £nil).

No Trustees are accruing pension arrangements (2023/24 - £nil).

The Trustees did not have any expenses reimbursed during the year (2023/24 - £nil).

## Notes to the Financial Statements

### 9 Staff cost and employee benefits

For the 2024/25 year the charitable fund did not employ any staff (2023/24 - nil).

Staff are not directly employed by the Charitable Fund. Staff of Dorset HealthCare University NHS Foundation Trust have responsibility for the day to day running of the Charitable Fund and the time spent on this work is recharged to the Charity on a monthly basis.

### 10 Debtors

| | **2024/25** | 2023/24 |
|---|---|---|
| | **£000** | £000 |
| Other debtors | 1 | 1 |
| Prepayments and accrued income | 137 | 1 |
| | **138** | 2 |

The increase in Debtors is due to a large legacy of £135k being notified in 2024 for St Leonards Hospital.

### 11 Analysis of cash and cash equivalents

| | **2024/25** | 2023/24 |
|---|---|---|
| | **£000** | £000 |
| Cash in hand | 534 | 562 |
| Cash on deposit | 100 | 100 |
| | **634** | 662 |

### 12 Creditors: amounts falling due within one year

| | **2024/25** | 2023/24 |
|---|---|---|
| | **£000** | £000 |
| Accruals | 47 | 19 |
| | **47** | 19 |

## Notes to the Financial Statements

### 13 Fund reconciliation

| | Balance at start date | Transfer | Income | Expenditure | Balance at end date |
|---|---|---|---|---|---|
| | £000 | £000 | £000 | £000 | £000 |
| **Unrestricted and designated funds:** | | | | | |
| **Designated funds** | | | | | |
| Wimborne Hospital fund | 247 | 0 | 8 | (37) | **218** |
| Blandford Hospital | 56 | (1) | 0 | (7) | **48** |
| New Wave fund | 33 | 0 | 1 | (2) | **32** |
| Other immaterial designated funds (43 funds) | 93 | 1 | 24 | (23) | **95** |
| **Unrestricted funds** | | | | | |
| St Leonards Hospital accrued legacy | 0 | 0 | 135 | 0 | **135** |
| General funds | 11 | 0 | 5 | (4) | **12** |
| **Total unrestricted and designated funds** | **440** | **0** | **173** | **(73)** | **540** |
| | | | | | |
| **Restricted** | | | | | |
| Buckland Fund | 7 | 0 | 0 | 0 | **7** |
| Swanage Hospital | 10 | 0 | 0 | (1) | **9** |
| Swanage Hospital Training LoF | 3 | 0 | 2 | (3) | **2** |
| Oncology Service | 4 | 0 | 0 | 2 | **6** |
| Dorset Working Women's Project | 4 | 0 | 0 | 0 | **4** |
| Pebble Lodge | 2 | 0 | 0 | (2) | **0** |
| Wimborne Bullows A&E Eyes | 2 | 0 | 0 | 0 | **2** |
| Kings Park Hospital | 31 | 0 | 1 | (3) | **29** |
| NHS Charities Together - COVID | 2 | 0 | 0 | (2) | **0** |
| NHS Charities Together - Recovery | 46 | 0 | 20 | (14) | **52** |
| NHS Charities Together Volunteers Partnership | 26 | 0 | 1 | (13) | **14** |
| NHS Charities Together - Development Grant | 29 | 0 | 1 | (2) | **28** |
| District Nurses Bournemouth North | 4 | 0 | 0 | (1) | **3** |
| Bridport COVID crowd funding | 1 | 0 | 0 | (1) | **0** |
| Other immaterial restricted funds (44 funds) | 34 | 0 | 22 | (27) | **29** |
| **Total Restricted Funds** | **205** | **0** | **47** | **(67)** | **185** |
| | | | | | |
| **Total Funds** | **645** | 0 | **220** | (140) | **725** |

Zero balances are included as they represent balances under £500.

**Fund Descriptions**

Full details of funds are shown with the Trustee Report.

a) Unrestricted and designated funds

The purpose of the unrestricted funds are to support the charitable purpose as stated in the objects of the Dorset HealthCare Charitable Fund; particular designated funds have been created to reflect the non binding wishes of donors.

The Trustees set a closing balance of £50k or above as the threshold for reporting material designated funds. In the interests of accountability a breakdown of all such funds is available upon written request. There are two designated funds with a closing balance over £50k: Wimborne Hospital at £218k and St Leonard's Hospital at £135k (accrued legacy).

## Notes to the Financial Statements

### Fund Descriptions continued

Wimborne Hospital Material Designated Fund

Wimborne Hospital has been the recipient of several legacies in the last few years. They received £1k in 2024/25. This year funds were spent on a patient safety conference, thank yous and Team building events for staff, flooring and lighting refurbishments, specialised quilts for Hanham Ward and newspapers for hospital patients use.

St Leonards Hospital Material Designated Fund

A legacy of £135k was notified for St Leonard's Hospital in 2024/25 and has been received by the Charity in 2025/26.

b) Restricted funds

Restricted funds over £50k

There is one restricted fund with a balance over £50k.

NHS Charities Together - Recovery Funds

The Charity joined NHS Charities Together in 2020 and has since applied for funding for various purposes. Grants of £125k were received in previous years to support recovery and a further grant of £18k was awarded in 2024/25. This is being used for a Health and Wellbeing admin support post for the Health and Wellbeing initiative. It is also being used to continue the creation of wellness rooms and gardens at various sites - Bridport Hospital's new garden and room have been completed in 2025. Works are planned at Nightingale Hospital and Pebble Lodge Children's Unit in 2025/26.

Restricted funds under £50k

The Charity previously received £28k from NHS Charities Together to improve volunteer partnership working and has begun to work with Dorset Community Action Partnership. Further funds are to be received in 2025/26 in conjunction with Dorset County Hospital and some of this will be used to fund a participation post. The Charity also received £30k in 2023 to be used to develop the Charity.

Kings Park Hospital received a £40k legacy in July 2018. Some spending has commenced and further ideas are being sought regarding how to spend this money.

## Notes to the Financial Statements

### Fund Descriptions continued

The New Wave fund is for areas of deprivation in the Boscombe/Springbourne area of Dorset and several projects have been identified for this purpose. A project is due to commence with the Youth Sports Trust in 2025/26.

The other immaterial restricted funds relate to funds under £10k.

### 14 Related party transactions

Information about related party transactions and outstanding balances is outlined below:

| | Income £000 | Expenditure £000 | Debtors £000 | Creditors £000 |
|---|---|---|---|---|
| **Dorset HealthCare University NHS Foundation Trust - Corporate Trustee** | | | | |
| **To 31 January 2025** | **0** | **28** | **0** | **0** |
| To 31 January 2024 | 0 | 28 | 0 | 0 |

The Trust Board of Dorset HealthCare University NHS Foundation Trust is the sole corporate trustee of the charitable fund.

Board Members of Dorset HealthCare University NHS Foundation Trust (and other senior staff) take decisions on charity matters but endeavour to keep the interests of each discrete and do not seek to benefit personally from such decisions.

Dorset HealthCare University NHS Foundation Trust on occasion will receive income or make payments on behalf of Dorset HealthCare Charitable Fund, the charity will then refund the balance. In addition Dorset HealthCare University NHS Foundation Trust provides management and accounting support to the Charitable Fund.

During the period none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Dorset HealthCare Charitable Fund or received any benefit from the charity through payment or in kind.

### 15 Contingent Assets

In January 2025 the Charity was notified of a potential legacy of £20k for St Anns Hospital - this is still in probate.

**DORSET HEALTHCARE CHARITABLE FUND**

England & Wales - Charity number 1056550

# Accounts

# DORSET HEALTHCARE CHARITABLE FUND

A registered charity
(Registered No. 1056550)

# ANNUAL REPORT AND FINANCIAL STATEMENTS

## FOR THE YEAR ENDED 31 JANUARY 2024

Dorset HealthCare Charity

# ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 JANUARY 2024

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## REFERENCE AND ADMINISTRATIVE DETAILS

**Charity registration number:** 1056550

**Principal & Registered Office of Charity:**
Sentinel House
Nuffield Industrial Estate
Poole
Dorset
BH17 0RB

**Bankers:**
Lloyds Bank PLC
45-47 Christchurch Road
Bournemouth
BH1 1ED

**Bankers:**
Barclays Bank PLC
Ground Floor County Gates
300 Poole Road
Bournemouth
BH12 1AZ

**Independent Examiners:**
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

**Internal Auditors:**
BDO LLP
Arcadia House, Maritime Walk
Ocean Village, Southampton
Hampshire
SO14 3TL

Dorset HealthCare University NHS Foundation Trust (the Foundation Trust) is the Corporate Trustee of Dorset HealthCare Charitable Fund governed by the laws applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011. The Foundation Trust Board has responsibility for overseeing the administration of the charity acting as the Corporate Trustee. The members of the Foundation Trust Board who served during the last two financial years were as follows:

**Corporate Trustee members:**

| | |
|---|---|
| David Clayton-Smith | Joint Trust Chair (from 1 May 2023) |
| Chris Hearn | Joint Chief Financial Officer (from 1 February 2024) |
| Nicola Plumb | Joint Chief People Officer and Director of Strategy (from 1 March 2014, Joint Officer from 01 Feb 2024) |

**Trust Board members current:**

| | |
|---|---|
| David Clayton-Smith | Joint Trust Chair (from 1 May 2023) |
| Matthew Bryant | Joint Chief Executive Officer (from 1 April 2023) |
| Dawn Dawson | Joint Chief Nursing Officer (from 1 April 2018, Joint Officer from April 2024) |
| Tristan Phillips | Non Executive Director (from 1 July 2019) |
| Steven Peacock | Non Executive Director (from 1 March 2020) |
| Sir David Haslam CBE | Non Executive Director (from 1 October 2020) |
| Andreas Haimboeck-Tichy | Non Executive Director (from 1 October 2020) |
| Suresh Ariaratnam | Non Executive Director (from 1 September 2022) |
| Frances West | Non Executive Director (from 1 September 2022) |
| Prof Faisil Sethi | Chief Medical Officer (from October 2020) |
| Nicola Plumb | Joint Chief People Officer and Director of Strategy (from 1 March 2014, Joint Officer from 01 Feb 2024) |
| Kristin Dominy | Chief Operating Officer/Deputy Chief Executive Officer (from 1 April 2020) |
| Chris Hearn | Joint Chief Financial Officer (from 1 February 2024) |
| Nick Johnson | Joint Chief Strategy, Transformation and Partnerships Officer (from 1 February 2024) |
| Jenny Horrabin | Joint Executive Director of Corporate Affairs (from 11 March 2024) |

**Trust Board members past:**

| | |
|---|---|
| Andy Willis | Trust Chair (from 24 March 2017- 30 April 2023) |
| Matthew Metcalfe | Chief Finance Officer (from 5 Sept 2016 - 31 March 2023) |
| Cara Southgate | Acting Chief Nursing Officer (from 1 May 2022- 30 April 2023) |
| Dawn Dawson | Acting Chief Executive (from 1 May 2022 - 30 April 2023) |

Many of our Executive Directors are in joint posts with Dorset County Hospital

# Trustees' Annual Report

The Corporate Trustee presents the Charitable Funds Annual Report together with the Independently Examined Financial Statements for the year ended 31 January 2024. The prior year was the year to 31 January 2023. The trustees have adopted the provisions of the Statement of Recommended Practice (SORP) "Accounting and Reporting by Charities" FRS102 (1a) in preparing the annual report and financial statements of the charity.

The Charity's Annual Report and Financial Statements for the year ended 31 January 2024 have been prepared by the Corporate Trustee in accordance with the accounting policies set out in the notes to the financial statements on page 15 and comply with the charity's governing document, the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard FRS102 (1a).

**Trustees of the Charity**

The Board of Directors of the Foundation Trust are the Charity's trustees for the purpose of charity law. The Trustees who have served during the year and since the year end are noted on page 1.

**Objectives**

Dorset HealthCare Charitable Fund is an independent registered charity. It exists to raise funds and receive donations for the benefit of the patients and staff of Dorset HealthCare University NHS Foundation Trust. We are a trustee body independent from the Foundation Trust but work for the benefit of the patients, their families and the staff.

**The Charity's main objective is to hold the fund upon trust to apply the income for any charitable purpose or purposes relating to the provision of health and social care. This includes social care services; particularly in relation to services provided on or behalf of (but in no way limited to) Dorset HealthCare University NHS Foundation Trust.**

The Charity secures funding from fundraising, donations, grants and legacies received from patients, their relatives, the general public and other organisations to be able to enhance the service provided and environment in which care is provided.

The fund benefits a wide range of patients in hospitals, day centres, clinics and within their own home including older people, children, those with mental health problems, those recovering from operations, as well as people with physical and sensory difficulties and those who have learning disabilities.

Staff also benefit from the fund which is used for staff appreciation, team building and the improvement of staff facilities and wellbeing as well as the education of staff by providing training over and above normal NHS standards. This enhanced training benefits both staff and patients. In September 2021 a Staff Support Group was created in recognition of staff hardship. Since inception it has paid out £22k to 63 staff in need.

### Trustees' Annual Report continued

### Public Benefit

The Charity Trustees have complied with the duty in section 4 of the Charities Act 2011 to have due regard to public benefit guidance published by the Charities Commission. The Trustees:

- must act reasonably and prudently in all matters relating to the Charity;
- must protect the Charity and act in the best interests of the Charity;
- should fully discuss and agree the annual accounts and annual report;
- ensure the income and property of the Charity is applied for the purposes set out in the Governing Document;
- ensure that good policies are in place and are followed to ensure that the systems of control are rigorous and that the systems of control are well monitored;
- annually review policies and investments and method of investment;
- take proper professional advice when necessary;
- must ensure that the way in which the Charity is administered is not open to abuse by unscrupulous persons;

## Structure

### Governance

Dorset HealthCare Charitable Fund was established by a Declaration of Trust dated April 1996. The objects being for any charitable purpose(s) relating to the provision of health and social care in relation to (although no way limited to) services provided by or on behalf of Dorset HealthCare University NHS Foundation Trust.

The Board of Directors, acting as the Corporate Trustee, review risk via the results of any audits undertaken by both the Internal Auditors and Independent Reviewers. The Internal Audit reports are presented to the Trust's Audit Committee and any significant risks are entered onto the Corporate and/or Operational Risk Registers. No significant risks were identified during the year under review. See also the Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund on page 12. The Trustees are satisfied that there are systems in place to reduce and mitigate the exposure of the Charity to any significant risks.

The Chair and Non-Executive Directors are appointed by the Board of Governors of the Foundation Trust.

The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. The authority to spend funds has been delegated to service managers within limits agreed by the Trust Board.

### Management

The Corporate Trustee is responsible for overseeing the administration of the charity. The finance directorate of Dorset HealthCare University NHS Foundation Trust has been given the responsibility for the administration of the fund accounts and reports to the Board twice a year. The Joint Chief Finance Officer has responsibility for ensuring that full accounting records are maintained and that devolved decision making or delegated arrangements are in accordance with the policies and procedures governing the Charity.

### Structure continued

### Management

The charitable funds available for spending are allocated to service managers, with each allocation managed by use of a designated fund within the general unrestricted fund. This is intended to ensure funds are spent in a way which respects the wishes of our generous donors and benefactors. Where funds are received with specific restriction set by the donor, a new restricted fund is established.

The delegated limits for expenditure below £10k requires the approval of the Fund Manager. Expenditure above £10k and up to £50k requires the approval of the Service Director (or nominated Deputy). Expenditure of £50k or more requires the approval of the Joint Chief Finance Officer and the Service Director (or nominated Deputy).

The Trustees consider themselves to be the only key management personnel.

### Risk Management

Key risk areas include fraud and over commitments of funds. Procedures and policies have been established to mitigate these risks.

Dorset HealthCare Charitable Fund also engages with the internal auditor to periodically carry out reviews and report any areas of weakness. Improvements to processes are implemented as required and deemed reasonable.

## Reserves Policy

The reserves policy consists of:

- an expectation that income in each individual fund will be spent within 18 months of receipt unless it is being accumulated for a specific purpose.
- no individual fund should hold a permanent balance of more than £25k during a three month consecutive period unless, as above, the funds are being accumulated for a specific project.
- funds with more than £50k require expenditure plans to be submitted to the Corporate Trustee.

The reserves total £645k. There are four funds in year over £25k. However, in some cases appropriate expenditure has not yet been identified. This has led to an accumulation of reserves. These reserves will be spent on future appropriate expenditure as and when it is identified.

There is a restricted fund relating to a legacy left by the Reverend Buckland to be used for any charitable purpose relating to the benefit of Occupational Therapy and the Rehabilitation Assessment units.

Amounts for Kings Park Hospital (£31k), NHS Charities Together Development grants for the establishment of Volunteers Partnerships (£26k) and the development of the Charity (£29k) all contribute to the reserves figure. Further details on these are included in note 13.

The NHS Charities Together Grant with £46k left for recovery is also detailed in note 13.

**Reserves Policy continued**

There are two funds with a balance over £50k. These are:

Wimborne Hospital designated fund - £247k

This is a designated pot to be used for the benefit of Wimborne Hospital. This hospital has a high level of support from the local community and is regularly in receipt of legacy donations. The staff at Wimborne use this fund as and when they identify either a staff, patient or building requirement.

Blandford Hospital designated fund - £56k

Blandford Hospital was notified of a large legacy of £27k in 2022/23 which was received in the 2023/24 year.

## Treasury Management Policy

The Fund invests £100k with HM Treasury, which previously gave a return of between 0.38% to 0.39%, resulting in a small balance in previous years. This has realised a return of £8K on an increased rate of between 4.54% and 5.34%.

The Charitable Fund spending principle is to spend funds within 18 months therefore only short term investments are made to maximise balances held but at low risk.

The Treasury Management policy states:

- surplus cash can be invested for a period of up to 6 months.
- potential risks to the reputation of the Trust that may come from an involvement with deposits in the commercial sector must be minimised.
- cash is only deposited in "safe harbour" deposits. These are low risk and high liquidity investments. They are deposited with permitted institutions which meet recognised rating agency criteria.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2023/24

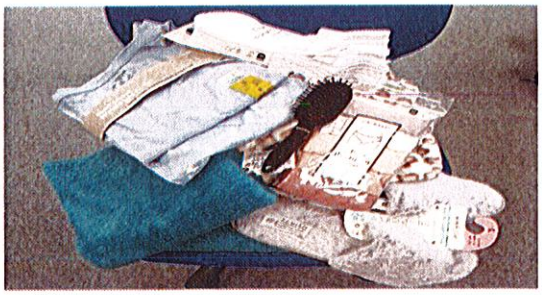
### Activities and Achievements

The Charitable Fund spent £159k on activities and purchases (excluding support costs) to meet the Charity's objectives in the year (£464k in 2022/23 which included the finalisation of the Xray Department at Wimborne Hospital). A highlight of these include:

## Sexual Health Outreach Projects

Funded by a Dorset Community Grant, the Dorset Working Women's Project provided intensive support to 10 women involved in street work. This outreach was in conjunction with other organisations such as St Mungo's. Once accommodation was available the service supported the women with essential supplies and help moving in followed by continual support to stay in their accommodation. The supplies included a dressing gown, pyjamas, slippers, socks and underwear, towels, washing powder and toiletries. The women were encouraged to attend the service drop ins to add some structure to their routines.



The Asda Foundation provided funding that is used for food and hot drinks during night time shifts. The women the service meet are all profoundly under-nourished and are given nutritious snacks, a piece of fruit and a hot drink which may be the only food they have all day. It also encourages the women, who may be new and therefore more vulnerable, to engage with the service. Part of the funding is used to offer mobile phones which are essential so a robust risk management response can be co-ordinated to ensure women are supported to access housing and drug services. Welcome packs of essential supplies are given to women involved in street sex work when they are housed which includes toiletries and essential clothing such as underwear and night wear.

## Review of the Activities, Achievements and Performance of the Charitable Funds in 2023/24 continued

**Patient Welfare**

Direct patient welfare expenditure for the period totalled £89k (£406k in 2022/23 which included the refurbishment of the Xray Department at Wimborne) excluding support costs. The £89k includes the purchase of equipment and/or refurbishment to Wards and Units to provide a better patient experience (note 5). Funds are mainly used to provide additional facilities, or extras, to make the patients' stay more comfortable. These funds make it possible to purchase certain special items which otherwise could not be provided from Dorset HealthCare University NHS Foundation Trust's existing revenue or capital budgets.

**Staff Education and Welfare**

Staff education and welfare expenditure for the year excluding support costs totalled £70k (£58k in 2022/23). These costs were incurred to improve staff facilities and for staff development and also represent NHS Charities Together monies being spent to support and thank staff. This includes funding ongoing Health and Wellbeing posts to enhance staff welfare within the Trust.

Staff were able to attend external training courses which provided higher level of teaching for the benefits of our patients. This included courses in palliative care and cancer care. Other funds were donated towards staff events and other morale and team building events.

**Other charitable spend included:**

- cookery groups for patients;
- the King's Coronation and other celebrations for patients and staff;
- Christmas gifts for patients hospitalised over Christmas;
- climbing activities for young people;
- hot food and drinks for people working in the night time economy in areas of deprivation;
- International Nurses Day and NHS 75th birthday celebrations;
- team days for staff and training courses for staff;
- health and wellbeing posts to benefit and enhance Dorset HealthCare staff wellbeing;
- aprons for Bright Bean Cafe;
- medical equipment;
- specialised cutlery for patients;
- a karaoke machine for Melstock Ward;
- benches and furniture for other Trust sites with garden areas;
- furniture, carpeting and redecoration at Wimborne Hospital;

## Living Well with Brain Injury Day



The Acquired Brain Injury Service held a Living Well with Brain Injury day in March 2023. The day was for current and past patients to learn more about keeping the brain healthy and to try out some wellbeing activities. The day included art and music therapy, talks and information stalls and a yoga instructor and was well received by staff and patients.



# Review of the Activities, Achievements and Performance of the Charitable Funds in 2023/24

## Forston Wellness Garden



Funded by a grant from NHS Charities Together, a wellness garden was created at Forston Clinic, near Dorchester, which is designed to aid patient and staff mental wellbeing.

The area features seating, wind chimes, bird tables and a host of different plants and shrubs to help people get the most out of the calm, natural setting.

It's used for therapeutic activities and educational classes, but primarily as a place where patients, visitors and staff alike can relax and re-energise.











## Our Future Plans

The Charitable Fund makes plans in line with the direction and strategy of the Foundation Trust to ensure funds are being spent effectively.

The following provides a summary of what we have spend and also the future spending plans for the NHS Charities Together funds:

# NHS Charities Together funds

NHS
Dorset HealthCare University
NHS Foundation Trust

**What we received and how we're using the funds**

Total year spend **£77k**

### Staff health and wellbeing (HWB) champions £35k

- **Hundreds of HWB champions attended engagement events**
- **1 admin role funded for a further 12 months to support the HWB champions**
- **360 HWB champions in total across the Trust (July 24)**
- **Winter Wellbeing Tour took wellbeing info (and free hot drinks!) to 32 of our main sites**

### Health and wellbeing spaces £16k

**New spaces completed or being created at:**

- Hahnemann House, Bournemouth
- Our learning and development site at West Moors
- Weymouth Hospital

### Wellness garden £26k

A wellness garden was created for patients and staff at Melstock Ward, Forston Clinic.



### Future projects

**Funded by NHS Charities Together**

**£28k** for a project to support more older people to volunteer with us and strengthen links with our local communities.

Further work is planned for our wellness rooms at Nightingale Hospital, Pebble Lodge, Grenville House (Steps2Wellbeing service) and Bridport Hospital.

## Our Future Plans continued

Future plans are financed by either fund reserves or new donations, legacies or grant income.

Future spend will be reflective of the future needs of the services provided or carried out on behalf of the Foundation Trust and the supporting community.

Spending plans are requested on a regular basis from all service managers who hold funds.

Current and ongoing spending plans include:

Wellbeing spaces at Nightingale House, Grenville House, Pebble Lodge and Bridport Hospital; the completion of the wellness garden at Forston Clinic and a wellbeing post to administer the delivery of a wellbeing programme for staff.

Funds of £28k have been received for a Volunteers Partnership project which will improve links between Dorset HealthCare Charitable Fund and the very valued contributions of the various League of Friends which support our local hospitals and services. This project is currently at planning stage.

## Review of the Finances of the Charitable Funds in 2023/24

Total income increased by £71k to £250k in year (2022/23 increase of £70k). As in previous years there has been high legacy income and the Charity has benefitted from NHS Charities Together funds. Grants from NHS Charities Together in 2023/24 realised £87k. Legacies in year totalled £105k (2022/23 £84K) and additionally over 130 donations were made totalling £50k (2022/23 £30k). Investment income increased to £8k as investment resumed (2022/23 £1k) and interest earned on the Fund's bank account increased.

Total expenditure decreased by £303k to £192k in year (2022/23 increase of £298k due to the refurbishment of Wimborne Xray Department). A total of £159k (2022/23 £464k) was spent directly on charitable activities, a breakdown of which can been seen in note 5. In order to manage the charity £33k (2022/23 £31k) was spent on support costs.

Total net gain of £58k (2022/23 deficit of £316k) was added to the brought forward net assets of £587k resulting in total net assets at the end of 2023/24 of £645k. Spend was steady in 2023/24 but significantly lower than in 2022/23 due to that year being high in part because of the Wimborne Xray refurbishment.

The charity relies on donations, grants and legacies as its main source of income. While the charity has not previously fundraised, in 2022/23 some fundraising began. In 2023/24 a running event was held and funds were raised for the Staff Support Group. Further activity and a fundraising strategy is planned for future years.

In past years funds have always more than exceeded total annual running costs.

## Statement of Trustees' Responsibilities

The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources of the Charity for that period. In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

By Order of the Trustee
Signed:

... David Clayton-Smith ... Date 02 October 2024

David Clayton-Smith, Joint Chair of the Corporate Trustee

## Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund

I report to the charity trustees on my examination of the accounts of the charity for the year ended 31 January 2024 which are set out on pages 1 to 26.

### Responsibilities and basis of report

As the charity's trustees you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act').

I report in respect of my examination of the charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

### Independent examiner's statement

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

1 accounting records were not kept in respect of the charity as required by section 130 of the Act; or
2 the accounts do not accord with those records; or
3 the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed: 

Dean Pullen FCCA
For and on behalf of
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

Date 13/11/24

# *A BIG THANK YOU...*

On behalf of the patients and staff who have benefited from the donations and legacies received, the Dorset HealthCare Charitable Fund would like to thank everyone who has made charitable donations.

In addition a special thanks to those individuals, both staff of Dorset HealthCare University Foundation Trust and external supporters who have invested their time to drive forward a charitable scheme.

## Statement of Financial Activities for the year ended 31 January 2024

| | Note | Unrestricted funds | Restricted funds | **2023/24 Total funds** | 2022/23 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Income from:** | | | | | |
| Donations and legacies | 3 | 122 | 33 | **155** | 114 |
| Grants | 3 | 0 | 87 | **87** | 64 |
| Investments | 4 | 5 | 3 | **8** | 1 |
| **Total income** | | **127** | **123** | **250** | 179 |
| **Expenditure from:** | | | | | |
| Charitable activities | 5 | (79) | (113) | **(192)** | (495) |
| **Total expenditure** | | **(79)** | **(113)** | **(192)** | (495) |
| **Net income/(expenditure)** | | **48** | **10** | **58** | (316) |
| **Transfers between funds** | | 0 | 0 | **0** | 0 |
| **Net movement in funds** | | **48** | **10** | **58** | (316) |
| **Reconciliation of funds:** | | | | | |
| Total funds brought forward | 13 | 389 | 198 | **587** | 903 |
| **Total funds carried forward** | 13 | **437** | **208** | **645** | 587 |

## Statement of Financial Position for the year ended 31 January 2024

| | Note | Unrestricted funds | Restricted funds | **2023/24 Total funds** | 2022/23 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Current assets:** | | | | | |
| Debtors | 10 | 1 | 1 | **2** | 27 |
| Cash and cash equivalents | 11 | 445 | 217 | **662** | 572 |
| **Total current assets** | | **446** | **218** | **664** | 599 |
| **Liabilities:** | | | | | |
| Creditors: amounts falling due within one year | 12 | (6) | (13) | **(19)** | (12) |
| **Net current assets/(liabilities)** | | **440** | **205** | **645** | 587 |
| **Total net assets/(liabilities)** | | **440** | **205** | **645** | 587 |
| **The funds of the charity:** | | | | | |
| Restricted Funds | 13 | 0 | 205 | **205** | 198 |
| Unrestricted Funds | 13 | 440 | 0 | **440** | 389 |
| **Total charity funds** | | **440** | **205** | **645** | 587 |

The notes at pages 16 to 26 form part of these accounts.

The financial statements were approved and authorised for issue by the Board on

Signed on behalf of the Board of Trustees

Signed: David Clayton-Smith

**David Clayton-Smith, Joint Chair of the Corporate Trustee**

Date: 02 October 2024

Charity registration number: 1056550

## Notes to the Financial Statements

### 1 Summary of accounting policies

### 1.1 General information and basis of preparation

Dorset HealthCare Charitable Fund is a charity in the United Kingdom. The address of the registered office is given in the charity information on page 1 of these financial statements. The nature of the charity's operations and principle activities are detailed in the Trustees Annual Report.

The charity constitutes a public benefit entity as defined by FRS 102. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102), the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The financial statements are prepared on a going concern basis under the historical cost convention. The financial statements are prepared in sterling which is the functional currency of the charity and rounded to the nearest £000.

The significant accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all years presented unless otherwise stated.

### 1.2 Statement of Compliance

These financial statements have been prepared in compliance with the provisions of FRS102 section 1a, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland'.

### 1.3 Funds

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of the general objectives of the charity and which have not been designated for other purposes.

Designated funds comprise unrestricted funds which are either set aside to be used for specific purposes or which reflect non-binding wishes of donors.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds are charged against the specific fund.

The major funds held in each of these categories are disclosed in note 13.

## Notes to the Financial Statements

### 1.4 Income recognition

All incoming resources are included in the Statement of Financial Activities (SoFA) when the charity is legally entitled to the income after any performance conditions have been met, the amount can be measured reliably and it is probable that the income will be received.

For donations to be recognised the Charity will have been notified of the amounts and the settlement date in writing. If there are conditions attached to the donation and this requires a level of performance before entitlement can be obtained then income is deferred until those conditions are fully met or the fulfilment of those conditions is within the control of the charity and it is probable that they will be fulfilled.

No amount is included in the financial statements for volunteer time in line with the SORP (FRS 102).

For legacies, entitlement is the earlier of the charity being notified of an impending distribution or the legacy being received. At this point income is recognised. On occasion legacies will be notified to the charity, however it is not possible to measure the amount expected to be distributed. On these occasions, the legacy is treated as a contingent asset and disclosed. If the details of the amount are confirmed after the reporting period but prior to the accounts being authorised for issue then the legacy will be treated as an adjusting post reporting date event and accrued as income, if material.

In terms of the Charity accounts legacies left to Hospitals or services are treated as designated (unrestricted) funds unless there is a specific restriction imposed on the Charity by the terms of the Will in which case they are treated as restricted funds.

Investment income is earned by depositing cash for investment purposes to earn interest. Where it is not practicable to identify investment management costs incurred within a scheme with reasonable accuracy the investment income is reported net of these costs. It is included when the amount can be measured reliably. Interest income is recognised using the effective interest method.

### 1.5 Expenditure recognition

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Expenditure is recognised where there is a legal or constructive obligation to make payments to third parties, it is probable that the settlement will be required and the amount of the obligation can be measured reliably. It is categorised under the following headings:

Expenditure on charitable activities includes costs incurred in relation to the charitable operations including support and governance costs apportioned to the charitable activities.

## Notes to the Financial Statements

### 1.6 Support costs allocation

Support costs are those that assist the work of the charity but do not directly represent charitable activities and include office costs and governance costs. They are incurred directly in support of expenditure on the objects of the charity. Where support costs cannot be directly attributed to particular headings they have been allocated to the cost of raising funds and expenditure on charitable activities on a basis consistent with use of the resources. All overheads have been allocated on an expenditure proportion basis.

Details of these costs is included in note 5.

### 1.7 Debtors and creditors receivable/payable within one year

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in expenditure.

### 1.8 Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due. Cash equivalents are short term, highly liquid investments, held in an interest bearing account.

### 1.9 Tax

The charity is an exempt charity within the meaning of schedule 3 of the Charities Act 2011 and is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes.

### 1.10 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist. The Trustees have considered the level of funds held and the expected level of income and expenditure for 12 months from authorising these financial statements. The budgeted income and expenditure is sufficient with the level of reserves for the charity to be able to continue as a going concern.

## Notes to the Financial Statements

### 2 Prior year comparatives by type of fund

The primary statements provide prior year comparatives in total; this note provides prior period comparatives for the Statement of Financial Activities and the Statement of Financial Position for the two types of funds the charity manages.

### 2.1 Statement of Financial Activities for the year ended 31 January 2023

| | Unrestricted funds | Restricted funds | 2022/23 Total funds |
|---|---|---|---|
| | £000 | £000 | £000 |
| **Income from:** | | | |
| Donations and legacies | 98 | 16 | 114 |
| Grants | 0 | 64 | 64 |
| Investments | 1 | 0 | 1 |
| **Total incoming resources** | 99 | 80 | 179 |
| **Expenditure on:** | | | |
| Charitable activities | (98) | (397) | (495) |
| **Total expenditure** | (98) | (397) | (495) |
| **Net movement in funds** | 1 | (317) | (316) |
| **Reconciliation of funds:** | | | |
| Total funds brought forward | 388 | 515 | 903 |
| **Total funds carried forward** | 389 | 198 | 587 |

### 2.2 Statement of Financial Position for the year ended 31 January 2023

| | Unrestricted funds | Restricted funds | 2022/23 Total funds |
|---|---|---|---|
| | £000 | £000 | £000 |
| **Current Assets:** | | | |
| Debtors | 27 | 0 | 27 |
| Cash at bank and in hand | 370 | 202 | 572 |
| **Total Current Assets** | 397 | 202 | 599 |
| **Liabilities:** | | | |
| Creditors: amounts falling due within one year | (8) | (4) | (12) |
| **Net current assets/(liabilities)** | 389 | 198 | 587 |

## Notes to the Financial Statements

### 3 Income from donations and legacies

| | Unrestricted funds | Restricted funds | 2023/24 | 2022/23 |
|---|---|---|---|---|
| | £000 | £000 | **£000** | £000 |
| Donations | 17 | 33 | **50** | 30 |
| Legacies | 105 | 0 | **105** | 84 |
| Grants | 0 | 87 | **87** | 64 |
| | **122** | **120** | **242** | 178 |

### 4 Income from investments

| | Unrestricted funds | Restricted funds | 2023/24 | 2022/23 |
|---|---|---|---|---|
| | £000 | £000 | **£000** | £000 |
| Interest - deposits | 5 | 3 | **8** | 1 |
| | **5** | **3** | **8** | 1 |

### 5 Analysis of charitable expenditure

| | Charitable activity | Support costs | 2023/24 | 2022/23 |
|---|---|---|---|---|
| | £000 | £000 | **£000** | £000 |
| Purchase of new equipment | 18 | 4 | **22** | **9** |
| Building and refurbishment | 60 | 12 | **72** | **383** |
| Staff education and welfare | 70 | 15 | **85** | **78** |
| Patient welfare | 11 | 2 | **13** | **25** |
| | **159** | **33** | **192** | **495** |

The basis for allocating support costs is by number of transactions processed to more accurately reflect the support time and resources used.

Patient welfare has attracted proportionally higher support costs due to the amount of transactions involved but at smaller expenditure cost. Building and Refurbishment expenditure is much reduced in year as in 2022/23 spending included a large £350k legacy that was given for the refurbishment of the X-Ray department at Wimborne Hospital. Now that this is completed the spending trend has reverted to in line with spending in previous years.

Of the above support costs £12k were attributable to restricted funds (2022/23 £13k). The decrease is due to buildings and refurbishments and purchase of new equipment transactions, many of which came from restricted funds, attracting a lower support cost as this included fewer transactions but higher amounts.

## Notes to the Financial Statements

### 6 Allocation of support costs and governance costs

Support costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of the charity.

The bases of allocation used are as follows:

| Support cost | Charitable activities £000 | **Total 2023/24 £000** | Total 2022/23 £000 |
|---|---|---|---|
| Internal Audit | 1 | **1** | 1 |
| External Independent Review | 4 | **4** | 3 |
| **Governance Total** | **5** | **5** | 4 |
| Financial administration | 24 | **24** | 24 |
| Telephone/postage/stationery | 2 | **2** | 1 |
| Computer expenses | 2 | **2** | 2 |
| Total | **33** | **33** | 31 |

| | Unrestricted funds | Restricted funds | **Total 2023/24** | Total 2022/23 |
|---|---|---|---|---|
| Charitable activities | 21 | 12 | **33** | 31 |
| | **21** | **12** | **33** | 31 |

### 7 Independent examiners remuneration

The estimated independent examiners remuneration of £4k (2022/23 - £3k) relates to the independent examination of the financial statements by our external reviewers.

### 8 Trustees' and key management personnel remuneration and expenses

The Trustees and key management personnel neither received nor waived any remuneration during the year 2023/24 (2022/23 - £nil).

No Trustees are accruing pension arrangements (2022/23 - £nil).

The Trustees did not have any expenses reimbursed during the year (2022/23 - £nil).

## Notes to the Financial Statements

### 9 Staff cost and employee benefits

For the 2023/24 year the charitable fund did not employ any staff (2022/23 - nil).

Staff are not directly employed by the Charitable Fund. Staff of Dorset HealthCare University NHS Foundation Trust have responsibility for the day to day running of the Charitable Fund and the time spent on this work is recharged to the Charity on a monthly basis.

### 10 Debtors

| | **2023/24** | 2022/23 |
|---|---|---|
| | **£000** | £000 |
| Other debtors | 1 | 27 |
| Prepayments and accrued income | 1 | 0 |
| | **2** | 27 |

### 11 Analysis of cash and cash equivalents

| | **2023/24** | 2022/23 |
|---|---|---|
| | **£000** | £000 |
| Cash in hand | 562 | 472 |
| Cash on deposit | 100 | 100 |
| | **662** | 572 |

### 12 Creditors: amounts falling due within one year

| | **2023/24** | 2022/23 |
|---|---|---|
| | **£000** | £000 |
| Accruals | 19 | 12 |
| | **19** | 12 |

## Notes to the Financial Statements

### 13 Fund reconciliation

| | Balance at start date | Transfer | Income | Expenditure | Balance at end date |
|---|---|---|---|---|---|
| | £000 | £000 | £000 | £000 | £000 |
| **Unrestricted and designated funds:** | | | | | |
| **Designated funds** | | | | | |
| Wimborne Hospital fund | 197 | 0 | 93 | (43) | **247** |
| Blandford Hospital | 59 | 0 | 1 | (4) | **56** |
| New Wave fund | 34 | 0 | 0 | (1) | **33** |
| Other immaterial designated funds (42 funds) | 86 | 3 | 25 | (21) | **93** |
| **Unrestricted funds** | | | | | |
| NHS Charities Together Initial Funding | 10 | 0 | 0 | (10) | **0** |
| General funds | 3 | 0 | 8 | (0) | **11** |
| **Total unrestricted and designated funds** | **389** | **3** | **127** | **(79)** | **440** |
| | | | | | |
| **Restricted** | | | | | |
| Buckland Fund | 7 | 0 | 0 | (0) | **7** |
| Swanage Hospital | 11 | 0 | 0 | (1) | **10** |
| Swanage Hospital Training LoF | 5 | 0 | 0 | (2) | **3** |
| Oncology Service | 7 | 0 | 0 | (3) | **4** |
| Dorset Working Women's Project | 5 | 0 | 1 | (2) | **4** |
| Pebble Lodge | 6 | (3) | 1 | (2) | **2** |
| Wimborne Bullows A&E Eyes | 2 | 0 | 0 | (0) | **2** |
| Kings Park Hospital | 32 | 0 | 0 | (1) | **31** |
| NHS Charities Together - COVID | 11 | 0 | 0 | (9) | **2** |
| NHS Charities Together - Recovery | 51 | 0 | 56 | (61) | **46** |
| NHS Charities Together Volunteers Partnership | 27 | 0 | 0 | (1) | **26** |
| NHS Charities Together - Development Grant | 0 | 0 | 30 | (1) | **29** |
| District Nurses Bournemouth North | 4 | 0 | 0 | 0 | **4** |
| Bridport COVID crowd funding | 3 | 0 | 0 | (2) | **1** |
| Other immaterial restricted funds (42 funds) | 27 | 0 | 35 | (28) | **34** |
| **Total Restricted Funds** | **198** | **(3)** | **123** | **(113)** | **205** |
| | | | | | |
| **Total Funds** | **587** | 0 | **250** | (192) | **645** |

Zero balances are included as they represent balances under £500.

**Fund Descriptions**

Full details of funds are shown with the Trustee Report.

a) Unrestricted and designated funds

The purpose of the unrestricted funds are to support the charitable purpose as stated in the objects of the Dorset HealthCare Charitable Fund; particular designated funds have been created to reflect the non binding wishes of donors.

The Trustees set a closing balance of £50k or above as the threshold for reporting material designated funds. In the interests of accountability a breakdown of all such funds is available upon written request. There are two designated funds with a closing balance over £50k: Wimborne Hospital at £247k and Blandford Hospital at £56k.

## Notes to the Financial Statements

### Fund Descriptions continued

Wimborne Hospital Material Designated Fund

Wimborne Hospital has been the recipient of several legacies in the last few years and received £88k in 2023/24. This year funds were spent on Christmas cheer and gifts for patients hospitalised over the festivities, thank yous and Team building events for staff, flooring and lighting refurbishments for the Xray Department and newspapers for hospital patients use.

Blandford Hospital Material Designated Fund

A legacy notified for Blandford Hospital in 2022/23 was received in 2023/24 realising £27k for the Hospital's funds.

b) Restricted funds

Restricted funds over £50k

There are no restricted funds with a balance over £50k.

Restricted funds under £50k

NHS Charities Together - Recovery Funds

The Charity joined NHS Charities Together in 2020 and has since applied for funding for various purposes. A grant of £106k to support recovery was awarded in 2022/23 to be paid in instalments - the final figure awarded was £125k. This is being used for a Health and Wellbeing post and an admin support post for the Health and Wellbeing initiative. Part of it was used for a wellness garden for Melstock Ward at Forston Clinic and further work on wellbeing rooms at various sites has been undertaken in 2023/24 and set to continue in 2024/25 utilising the £46k left to spend.

The Charity received £50k from NHS Charities Together to mentor ethnic minority, disabled and other staff (spent in 2021-2022). A second £50k was for staff reward, recognition and recovery with £9k being spent on wellness initiatives in 2023/24 leaving £2k available to spend in future.

Kings Park Hospital received a £40k legacy in July 2018 and ideas were put forward regarding how to spend this money.

## Notes to the Financial Statements

### Fund Descriptions continued

Dorset Working Women's Project continues to run an Outreach project to take health education and hot food and drinks to workers in the night time economy in deprived areas of Dorset. This is an ongoing project. In 2023/24 grants were received from the Asda Foundation and Dorset Community Foundation to provide food, hot drinks and mobile phones to people working the streets with a goal to supporting them into housing.

NHS Charities Together have supplied a grant for a Volunteers Partnership and spending is underway in 2024/25.

NHS Charities Together have supplied a grant of £30k to develop the Charity.

The other immaterial restricted funds relate to funds under £5k.

### 14 Related party transactions

Information about related party transactions and outstanding balances is outlined below:

| | Income £000 | Expenditure £000 | Debtors £000 | Creditors £000 |
|---|---|---|---|---|
| **Dorset HealthCare University NHS Foundation Trust - Corporate Trustee** | | | | |
| To 31 January 2024 | **0** | **28** | **0** | **0** |
| To 31 January 2023 | 0 | 28 | 0 | 0 |

The Trust Board of Dorset HealthCare University NHS Foundation Trust is the sole corporate trustee of the charitable fund.

Board Members of Dorset HealthCare University NHS Foundation Trust (and other senior staff) take decisions on charity matters but endeavour to keep the interests of each discrete and do not seek to benefit personally from such decisions.

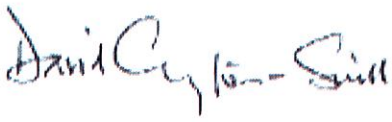
Dorset HealthCare University NHS Foundation Trust on occasion will receive income or make payments on behalf of Dorset HealthCare Charitable Fund, the charity will then refund the balance. In addition Dorset HealthCare University NHS Foundation Trust provides management and accounting support to the Charitable Fund. During the year ended 31 January 2024 £28k (2022/23: £28k) was paid to Dorset HealthCare University NHS Foundation Trust in respect of this. The figures above include both of these elements.

During the period none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Dorset HealthCare Charitable Fund or received any benefit from the charity through payment or in kind.

## Notes to the Financial Statements

### 15 Contingent Assets

There are no contingent assets in 2023/24.



02 October 2024

**DORSET HEALTHCARE CHARITABLE FUND**

England & Wales - Charity number 1056550

# Accounts

# DORSET HEALTHCARE CHARITABLE FUND

A registered charity
(Registered No. 1056550)

# ANNUAL REPORT AND FINANCIAL STATEMENTS

## FOR THE YEAR ENDED 31 JANUARY 2023

# ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 JANUARY 2023

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## REFERENCE AND ADMINISTRATIVE DETAILS

**Charity registration number:** 1056550

**Principal & Registered Office of Charity:**
Sentinel House
Nuffield Industrial Estate
Poole
Dorset
BH17 0RB

**Bankers:**
Lloyds Bank PLC
45-47 Christchurch Road
Bournemouth
BH1 1ED

**Bankers:**
Barclays Bank PLC
Ground Floor County Gates
300 Poole Road
Bournemouth
BH12 1AZ

**Independent Examiners:**
TC Group
10 Bridge Street
Christchurch
Dorset

**Internal Auditors:**
BDO LLP
Arcadia House, Maritime Walk
Ocean Village, Southampton
Hampshire

BH23 1EF SO14 3TL

Dorset HealthCare University NHS Foundation Trust (the Foundation Trust) is the Corporate Trustee of Dorset HealthCare Charitable Fund governed by the laws applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011. The Foundation Trust Board has responsibility for overseeing the administration of the charity acting as the Corporate Trustee. The members of the Foundation Trust Board who served during the last two financial years were as follows:

**Corporate Trustee members:**

| | |
|---|---|
| David Clayton-Smith | Trust Chair (from 1 May 2023) |
| Jim Hayburn | Interim Chief Finance Officer (from 1 April 2023) |
| Nicola Plumb | Chief People Officer and Director of Strategy (from 1 March 2014) |

**Trust Board members current:**

| | |
|---|---|
| David Clayton-Smith | Trust Chair (from 1 May 2023) |
| Matthew Bryant | Chief Executive Officer (from 1 April 2023) |
| Dawn Dawson | Acting Chief Executive (from 1 May 2022 - 30 April 2023)<br>Chief Nursing Officer (from 1 April 2018) |
| Tristan Phillips | Non Executive Director (from 1 July 2019) |
| Steven Peacock | Non Executive Director (from 1 March 2020) |
| Sir David Haslam CBE | Non Executive Director (from 1 October 2020) |
| Andreas Haimboeck-Tichy | Non Executive Director (from 1 October 2020) |
| Suresh Ariaratnam | Non Executive Director (from 1 September 2022) |
| Frances West | Non Executive Director (from 1 October 2022) |
| Prof Faisil Sethi | Chief Medical Officer (from October 2020) |
| Nicola Plumb | Chief People Officer and Director of Strategy |
| Kristin Dominy | Chief Operating Officer/Deputy Chief Executive Officer (from 1 April 2020) |

**Trust Board members past:**

| | |
|---|---|
| Andy Willis | Trust Chair (from 24 March 2017- 30 April 2023) |
| Matthew Metcalfe | Chief Finance Officer (from 5 Sept 2016 - 31 March 2023) |
| Cara Southgate | Acting Chief Nursing Officer (from 1 May 2022- 30 April 2023) |
| Heather Bailey | Non Executive Director (from 01 October 2017 - 30 September 2022) |

# Trustees' Annual Report

The Corporate Trustee presents the Charitable Funds Annual Report together with the Independently Examined Financial Statements for the year ended 31 January 2023. The prior year was the year to 31 January 2022. The trustees have adopted the provisions of the Statement of Recommended Practice (SORP) "Accounting and Reporting by Charities" FRS102 (1a) in preparing the annual report and financial statements of the charity.

The Charity's Annual Report and Financial Statements for the year ended 31 January 2023 have been prepared by the Corporate Trustee in accordance with the accounting policies set out in the notes to the financial statements on page 16 and comply with the charity's governing document, the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard FRS102 (1a).

**Trustees of the Charity**

The directors of the Foundation Trust are the Charity's trustees for the purpose of charity law. The Trustees who have served during the year and since the year end are noted on page 1.

**Objectives**

Dorset HealthCare Charitable Fund is an independent registered charity. It exists to raise funds and receive donations for the benefit of the patients and staff of Dorset HealthCare University NHS Foundation Trust. We are a trustee body independent from the Foundation Trust but work for the benefit of the patients, their families and the staff.

**The Charity's main objective is to hold the fund upon trust to apply the income for any charitable purpose or purposes relating to the provision of health and social care. This includes social care services; particularly in relation to services provided on or behalf of (but in no way limited to) Dorset HealthCare University NHS Foundation Trust.**

The Charity secures funding from fundraising, donations, grants and legacies received from patients, their relatives, the general public and other organisations to be able to enhance and augment the service provided and environment in which care is provided.

The fund benefits a wide range of patients in hospitals, day centres, clinics and within their own home including older people, children, those with mental health problems, those recovering from operations, as well as people with physical and sensory difficulties and those who have learning disabilities.

Staff also benefit from the fund which is used for staff appreciation, team building and the improvement of staff facilities and wellbeing as well as the education of staff by providing training over and above normal NHS standards. This enhanced training benefits both staff and patients. In September 2021 a Staff Support Group was created in recognition of staff hardship. Since inception it has paid out £9.6k to 37 staff in need.

### Trustees' Annual Report continued

### Public Benefit

The Charity Trustees have complied with the duty in section 4 of the Charities Act 2011 to have due regard to public benefit guidance published by the Charities Commission. The Trustees:

- must act reasonably and prudently in all matters relating to the Charity;
- must protect the Charity and act in the best interests of the Charity;
- should fully discuss and agree the annual accounts and annual report;
- ensure the income and property of the Charity is applied for the purposes set out in the Governing Document;
- ensure that good policies are in place and are followed to ensure that the systems of control are rigorous and that the systems of control are well monitored;
- annually review policies and investments and method of investment;
- take proper professional advice when necessary;
- must ensure that the way in which the Charity is administered is not open to abuse by unscrupulous persons.

## Structure

### Governance

Dorset HealthCare Charitable Fund was established by a Declaration of Trust dated April 1996. The objects being for any charitable purpose(s) relating to the provision of health and social care in relation to (although no way limited to) services provided by or on behalf of Dorset HealthCare University NHS Foundation Trust.

The Board of Directors, acting as the Corporate Trustee, review risk via the results of any audits undertaken by both the Internal Auditors and Independent Reviewers. The Internal Audit reports are presented to the Trust's Audit Committee and any significant risks are entered onto the Corporate and/or Operational Risk Registers. No significant risks were identified during the year under review. See also the Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund on page 13. The Trustees are satisfied that there are systems in place to reduce and mitigate the exposure of the Charity to any significant risks.

The Chair and Non-Executive Directors are appointed by the Board of Governors of the Foundation Trust.

Dorset HealthCare University NHS Foundation Trust fulfils the role of Corporate Trustee. The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. The authority to spend funds has been delegated to service managers within limits agreed by the Trust Board.

**Structure continued**

**Management**

The Corporate Trustee is responsible for overseeing the administration of the charity. The finance directorate of Dorset HealthCare University NHS Foundation Trust has been given the responsibility for the administration of the fund accounts and reports to the Board twice a year. The Chief Finance Officer has responsibility for ensuring that full accounting records are maintained and that devolved decision making or delegated arrangements are in accordance with the policies and procedures governing the Charity.

The charitable funds available for spending are allocated to service managers, with each allocation managed by use of a designated fund within the general unrestricted fund. This is intended to ensure funds are spent in a way which respects the wishes of our generous donors and benefactors. Where funds are received with specific restriction set by the donor, a new restricted fund is established.

The delegated limits set by the Corporate Trustee for expenditure below £10k requires the approval of the Fund Manager. Expenditure above £10k and up to £50k requires the approval of the Service Director (or nominated Deputy). Expenditure of £50k or more requires the approval of the Chief Finance Officer and the Service Director (or nominated Deputy).

The Trustees consider themselves to be the only key management personnel.

**Risk Management**

Key risk areas include fraudulent attempts and over commitments of funds. Procedures and policies have been established to mitigate these risks.

Dorset HealthCare Charitable Fund also engages with the internal auditor to periodically carry out reviews and report any areas of weakness. Improvements to processes are implemented when any weakness is highlighted.

## Reserves Policy

The reserves policy consists of:

- an expectation that income in each individual fund will be spent within 18 months of receipt unless it is being accumulated for a specific purpose.
- no individual fund should hold a permanent balance of more than £25k during a three month consecutive period unless, as above, the funds are being accumulated for a specific project.
- funds with more than £50k require expenditure plans to be submitted to the Corporate Trustee.

The reserves total £587k. Fund managers are encouraged to spend funds within 18 months. However, in some cases appropriate expenditure has not yet been identified. This has led to an accumulation of reserves. These reserves will be spent on future appropriate expenditure as and when it is identified.

**Reserves Policy continued**

There are three funds with a balance over £50k. These are:

Blandford Hospital designated fund - £59k

Blandford Hospital has been notified of a large legacy of £27k. This is currently accrued for, probate is almost complete and the legacy likely to be received in summer 2023.

Wimborne Hospital designated fund - £197k

This is a designated pot to be used for the benefit of Wimborne Hospital. This hospital has a brilliant level of support from the local community and is regularly in receipt of legacy donations. The staff at Wimborne use this fund as and when they identify either a staff, patient or building requirement.

NHS Charities Together - Recovery Grant - £51k (restricted fund)

The Charity joined NHS Charities Together in 2020. A grant was awarded of £105,746 which is being paid in instalments. This is being spent on Health and Wellbeing posts to support staff as the Trust comes out of the COVID-19 crisis and faces a backlog of work. This grant will also be spent on further development of wellness rooms and work has commenced on a wellness garden at Forston Clinic for the use of staff and patients. There is an anticipation to spend the residue of £51k with items on order. The final instalment has been received in 2023/24 and will be spent on these ongoing activities.

## Treasury Management Policy

The Fund usually invests £100k with HM Treasury, which previously gave a return of between 0.38% to 0.39%, resulting in a small balance in previous years. This activity was suspended during the COVID crisis but has now resumed part way through the year and realised a return of £1K on an increased rate of 3.5%.

The Charitable Fund spending principle is to spend funds within 18 months therefore only short term investments are made to maximise balances held but at low risk.

The Treasury Management policy states:

- surplus cash can be invested for a period of up to 6 months.
- potential risks to the reputation of the Trust that may come from an involvement with deposits in the commercial sector must be minimised.
- cash is only deposited in "safe harbour" deposits. These are low risk and high liquidity investments. They are deposited with permitted institutions which meet recognised rating agency criteria.

# The impact of COVID-19 - recovery begins and ongoing vaccination programme in 2022

The COVID-19 pandemic continued to have impacts on the Charity.

Dorset HealthCare NHS Foundation Trust, the NHS as a whole and the Charity had to quickly adapt to deal with the crisis and the significant numbers of patients who were ill. Wards and services were re-purposed and for long periods closed to all but essential staff. This significantly reduced day to day donations as visitors could no longer attend sites. Expenditure was also reduced as staff focused their efforts on treatment and further prevention of the spread of the virus. Day to day donations have not yet recovered to pre-pandemic levels. Expenditure increased signficantly in 2022/23 due to a large X-ray Department refurbishment spend; additionally, day to day expenditure has recovered to pre-pandemic levels.

However the fundraising efforts of the public in support of the wider NHS, typified in the amazing response to Captain Sir Tom Moore and others, led to a huge influx of donations for the Health Service via the NHS Charities Together Charity. The Trust became a member of NHS Charities Together and as a consequence has received £275k since July 2020.

The NHS Charities Together money continues to be spent with an emphasis on recovery and the need to restore staff physically and mentally. Wellness rooms have been created at Alderney Hospital, Hahnemann House and Blandford Hospital and a wider distribution of staff "thank yous" were undertaken such as coffee van tours so that staff could take a break with a drink and catch up with colleagues. During 2022 and throughout 2023 other plans have been put into action including the creation of a wellness garden at Forston Clinic and the funding of Health and Wellbeing staff to support the workforce.

The mass vaccination programme is now complete and staff benefitted from funds donated by local businesses towards the furtherance of this.

The Charity is incredibly grateful for all the support during this time shown to it and to the wider NHS.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2022/23

## Activities and Achievements

The Charitable Fund spent £464k on activities and purchases (excluding support costs) to meet the Charity's objectives in the year (£166k in 2021/22). This years expenditure included the finalisation of the new Xray department at Wimborne Hospital. A highlight of these include:

### Other fundraising includes...

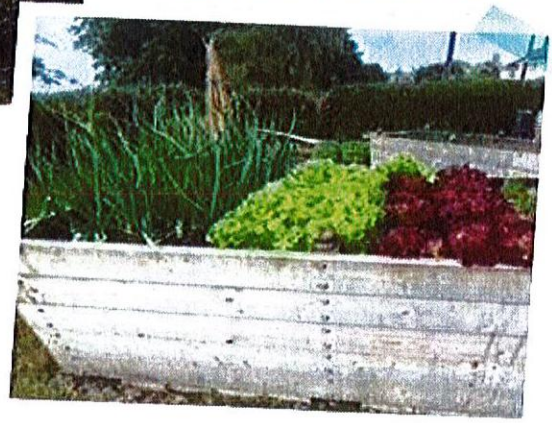
**Volunteers at Westminster Memorial Hospital in Shaftesbury raise funds for our charity to buy gardening equipment so they can maintain the garden throughout the year. Designed to be therapeutic as well as practical, the garden produces a range of beautiful flowers and shrubs as well as fruit and vegetables which are then enjoyed by patients and staff alike.**













# Review of the Activities, Achievements and Performance of the Charitable Funds in 2022/23 continued

### Patient Welfare

Direct patient welfare expenditure for the period totalled £406k (£85k in 2021/22) excluding support costs. The £406k includes the purchase of equipment or refurbishment to Wards and Units to provide a better patient experience (note 5). Funds are mainly used to provide additional facilities, or extras, to make the patients' stay more comfortable. These funds make it possible to purchase certain special items which otherwise could not be provided from Dorset HealthCare University NHS Foundation Trust's existing revenue or capital budgets. The largest items of spend include refurbishments and additional equipment purchases, which enhance the quality of care. In 2022/23 a large donation of £350k, given by a local family, was used towards the refurbishment of the Xray Department at Wimborne Hospital.

### Staff Education and Welfare

Staff education and welfare expenditure for the year excluding support costs totalled £58k (£81k in 2021/22). These costs were incurred to improve staff facilities and for staff development and also represent NHS Charities Together monies being spent to support and thank staff. This includes a reverse mentorship post to enable black and minority ethnic staff and disabled staff to progress within the Trust. This also includes an ongoing Health and Wellbeing post to enhance staff welfare within the Trust.

Staff were able to attend external training courses which provided higher level of teaching for the benefits of our patients. This included courses in palliative care and cancer care. Other funds were donated towards staff events and other morale and team building events.

### Other Charitable spend included:

- walking poles and a lifebuoy for the Positive Outdoor Pursuits group;
- the Queen's Jubilee and other celebrations for patients and staff;
- International Nursing Day celebrations;
- sporting and camping activities for young people;
- hot food and drinks for people working in the night time economy in areas of deprivation;
- gardening equipment for patient use and garden furniture for patients and staff;
- sun hats and hot weather items for patients;
- cake decorating supplies for patient activities;
- an aphasia choir;
- a contribution to the Coffee Connection project in conjunction with East Dorset Addicition Service held in local venue for patients to attend:
- an Infection Control hood at Wimborne Hospital;
- medical equipment;
- hearing aid amplifiers for patients;
- starter packs for homeless people entering accommodation;
- team days for staff and training courses for staff;
- Netflix for patients on Twynham Ward;
- Alderney Hospital and Hahnemann House wellbeing rooms from NHS Charities Together monies and a contribution to Alderney from the Talbot Village Trust;
- a contribution to the X-ray Department at Wimborne Hospital funded by a donation from a local family;
- and over 250 other spends.

## Our Future Plans

The Charitable Fund makes plans in line with the direction and strategy of the Foundation Trust to ensure funds are being spent effectively.

The following provides a summary of what we have spend and also the future spending plans for the NHS Charities Together funds:

# NHS Charities Together funds

NHS
Dorset HealthCare University
NHS Foundation Trust

**What we received and how we're using the funds**

### Staff health and wellbeing champions £17k

**50+** health and wellbeing champions attended engagement events

**1** admin support recruited to support the health and wellbeing champions

**Doubled** the number of health and wellbeing champions to **200**

**Winter Wellbeing Tour** took wellbeing info (and free hot drinks!) to **35** of our main sites

### Reciprocal mentoring programme £13k

**Dismantle barriers which marginalise underrepresented groups face and shift the underlying culture so that the benefits of difference are respected and valued.**

Multicultural cohort – **8 mentors & 8 mentees**

Disabilities/hidden disabilities cohort – **5 mentors & 5 mentees**

"I will keep remembering that all staff are individuals and they are experts in their own lives. I need to hear their needs in the workplace and act on this."

### Health and wellbeing spaces £41k

**New spaces completed or being created at:**

- Alderney Hospital, Poole (part-funded by the Talbot Village Trust)
- Hahnemann House, Bournemouth



### Future projects £53k

**Funded by NHS Charities Together**

**£25k** to create a garden at Forston Clinic near Dorchester for use by patients and staff.

**£28k** for a project to support more older people to volunteer with us and strengthen links with our local communities.

## Our Future Plans continued

Future plans are financed by either fund reserves or new donations, legacies or grant income.

Future spend will be reflective of the future needs of the services provided or carried out on behalf of the Foundation Trust and the supporting community.

Spending plans are requested on a regular basis from all service managers who hold funds.

Current and ongoing spending plans include:

Wellbeing spaces, a wellness garden at Forston Clinic and a wellbeing ambassador to develop a wellbeing programme to provide long term support to staff recovering from the impact of COVID-19 on NHS staff.

Funds of £28k have been received for a Volunteers Partnership project which will improve links between Dorset HealthCare Charitable Fund and the very valued contributions of the various League of Friends which support our local hospitals and services. This project is at planning stage.

## Review of the Finances of the Charitable Funds in 2022/23

Total income increased by £70k to £179k in year (2021/22 decrease of £126k). As in previous years there has been high legacy income and the Charity has benefitted from NHS Charities Together funds. Grants from NHS Charities Together in 2022/23 realised £64k. Legacies in year totalled £84k (2021/22 £15K) and additionally over 130 donations were made totalling £30k (2021/22 £49k). This resulted in total 2022/23 donation, grant and legacy income of £178k (2021/22 £109k). Investment income increased to £1k as investment resumed (2021/22 £0k) and interest earned on the Fund's bank account increased.

Total expenditure increased by £298k to £495k in year (2021/22 increase of £116k). A total of £464k (2021/22 £166k) was spent directly on charitable activities, a breakdown of which can been seen in note 5. A significant portion of this expenditure was incurred when the funds held for the refurbishment of Wimborne X-ray Department were utilised. In order to manage the charity £31k (2021/22 £31k) was spent on support costs.

Total net deficit of £316k (2021/22 deficit of £88k) was deducted from the brought forward net assets of £903k resulting in total net assets at the end of 2022/23 of £587k.

The charity relies on donations, grants and legacies as its main source of income. While the charity has not previously fundraised, in 2022/23 some fundraising began. A running event was held and funds were raised for the Staff Support Group. Further activity and a fundraising strategy is planned for 2023/24 and future years.

In past years funds have always more than exceeded total annual running costs.

## Statement of Trustees' Responsibilities

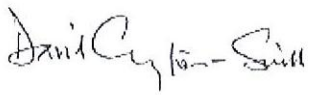
The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources of the Charity for that period. In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

By Order of the Trustee
Signed:



.....10th October 2023..... Date

David Clayton-Smith, Chair of the Corporate Trustee

## Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund

I report to the charity trustees on my examination of the accounts of the charity for the year ended 31 January 2023 which are set out on pages 1 to 26.

### Responsibilities and basis of report

As the charity's trustees you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act').

I report in respect of my examination of the charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

### Independent examiner's statement

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

1 accounting records were not kept in respect of the charity as required by section 130 of the Act; or
2 the accounts do not accord with those records; or
3 the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed:

Dean Pullen FCCA
For and on behalf of
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

Date 18/10/23

# *A BIG THANK YOU...*

On behalf of the patients and staff who have benefited from the donations and legacies received, the Dorset HealthCare Charitable Fund would like to thank everyone who has made charitable donations.

In addition a special thanks to those individuals, both staff of Dorset HealthCare University Foundation Trust and external supporters who have invested their time to drive forward a charitable scheme.

## Statement of Financial Activities for the year ended 31 January 2023

| | Note | Unrestricted funds | Restricted funds | 2022/23 Total funds | 2021/22 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | £000 | £000 |
| **Income from:** | | | | | |
| Donations and legacies | 3 | 98 | 16 | **114** | 64 |
| Grants | 3 | 0 | 64 | **64** | 45 |
| Investments | 4 | 1 | 0 | **1** | 0 |
| **Total income** | | **99** | **80** | 179 | 109 |
| **Expenditure from:** | | | | | |
| Charitable activities | 5 | (98) | (397) | **(495)** | (197) |
| **Total expenditure** | | **(98)** | **(397)** | **(495)** | (197) |
| **Net income/(expenditure)** | | **1** | **(317)** | **(316)** | (88) |
| **Transfers between funds** | 13 | 0 | 0 | **0** | 0 |
| **Other recognised gains/(losses)** | | 0 | 0 | **0** | 0 |
| **Net movement in funds** | 13 | **1** | **(317)** | **(316)** | (88) |
| **Reconciliation of funds:** | | | | | |
| Total funds brought forward | 13 | 388 | 515 | **903** | 991 |
| **Total funds carried forward** | 13 | **389** | **198** | 587 | 903 |

## Statement of Financial Position for the year ended 31 January 2023

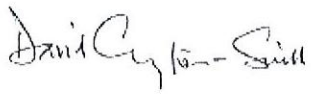
| | Note | Unrestricted funds | Restricted funds | **2022/23 Total funds** | 2021/22 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Current assets:** | | | | | |
| Debtors | 10 | 27 | 0 | **27** | 14 |
| Cash and cash equivalents | 11 | 370 | 202 | **572** | 897 |
| **Total current assets** | | **397** | **202** | **599** | 911 |
| **Liabilities:** | | | | | |
| Creditors: amounts falling due within one year | 12 | (8) | (4) | **(12)** | (8) |
| **Net current assets/(liabilities)** | | **389** | **198** | **587** | 903 |
| **Total net assets/(liabilities)** | | **389** | **198** | **587** | 903 |
| **The funds of the charity:** | | | | | |
| Restricted Funds | 13 | 0 | 198 | **198** | 515 |
| Unrestricted Funds | 13 | 389 | 0 | **389** | 388 |
| **Total charity funds** | 13 | **389** | **198** | **587** | 903 |

The notes at pages 16 to 26 form part of these accounts.

The financial statements were approved and authorised for issue by the Board on

Signed on behalf of the Board of Trustees

Signed:



**David Clayton-Smith, Chair of the Corporate Trustee**

Date: 10th October 2023

Charity registration number: 1056550

## Notes to the Financial Statements

### 1 Summary of accounting policies

### 1.1 General information and basis of preparation

Dorset HealthCare Charitable Fund is a charity in the United Kingdom. The address of the registered office is given in the charity information on page 1 of these financial statements. The nature of the charity's operations and principle activities are detailed in the Trustees Annual Report.

The charity constitutes a public benefit entity as defined by FRS 102. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102), the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The financial statements are prepared on a going concern basis under the historical cost convention. The financial statements are prepared in sterling which is the functional currency of the charity and rounded to the nearest £000.

The significant accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all years presented unless otherwise stated.

### 1.2 Statement of Compliance

These financial statements have been prepared in compliance with the provisions of FRS102 section 1a, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland'.

### 1.3 Funds

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of the general objectives of the charity and which have not been designated for other purposes.

Designated funds comprise unrestricted funds which are either set aside to be used for specific purposes or which reflect non-binding wishes of donors.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds are charged against the specific fund.

The major funds held in each of these categories are disclosed in note 13.

## Notes to the Financial Statements

### 1.4 Income recognition

All incoming resources are included in the Statement of Financial Activities (SoFA) when the charity is legally entitled to the income after any performance conditions have been met, the amount can be measured reliably and it is probable that the income will be received.

For donations to be recognised the Charity will have been notified of the amounts and the settlement date in writing. If there are conditions attached to the donation and this requires a level of performance before entitlement can be obtained then income is deferred until those conditions are fully met or the fulfilment of those conditions is within the control of the charity and it is probable that they will be fulfilled.

No amount is included in the financial statements for volunteer time in line with the SORP (FRS 102).

For legacies, entitlement is the earlier of the charity being notified of an impending distribution or the legacy being received. At this point income is recognised. On occasion legacies will be notified to the charity, however it is not possible to measure the amount expected to be distributed. On these occasions, the legacy is treated as a contingent asset and disclosed. If the details of the amount are confirmed after the reporting period but prior to the accounts being authorised for issue then the legacy will be treated as an adjusting post reporting date event and accrued as income.

Investment income is earned through holding assets for investment purposes such as shares and cash. It includes dividends and interest. Where it is not practicable to identify investment management costs incurred within a scheme with reasonable accuracy the investment income is reported net of these costs. It is included when the amount can be measured reliably. Interest income is recognised using the effective interest method and dividend income is recognised as the charity's right to receive payment is established.

### 1.5 Expenditure recognition

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Expenditure is recognised where there is a legal or constructive obligation to make payments to third parties, it is probable that the settlement will be required and the amount of the obligation can be measured reliably. It is categorised under the following headings:

Expenditure on charitable activities includes costs incurred in relation to the charitable operations including support and governance costs apportioned to the charitable activities.

## Notes to the Financial Statements

### 1.6 Support costs allocation

Support costs are those that assist the work of the charity but do not directly represent charitable activities and include office costs and governance costs. They are incurred directly in support of expenditure on the objects of the charity. Where support costs cannot be directly attributed to particular headings they have been allocated to the cost of raising funds and expenditure on charitable activities on a basis consistent with use of the resources. All overheads have been allocated on an expenditure proportion basis.

Details of these costs is included in note 5.

### 1.7 Debtors and creditors receivable/payable within one year

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in expenditure.

### 1.8 Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due. Cash equivalents are short term, highly liquid investments, held in an interest bearing premium account.

### 1.9 Tax

The charity is an exempt charity within the meaning of schedule 3 of the Charities Act 2011 and is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes.

### 1.10 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist. The Trustees have considered the level of funds held and the expected level of income and expenditure for 12 months from authorising these financial statements. The budgeted income and expenditure is sufficient with the level of reserves for the charity to be able to continue as a going concern.

## Notes to the Financial Statements

### 2 Prior year comparatives by type of fund

The primary statements provide prior year comparatives in total; this note provides prior period comparatives for the Statement of Financial Activities and the Statement of Financial Position for the two types of funds the charity manages.

### 2.1 Statement of Financial Activities for the year ended 31 January 2022

| | Unrestricted funds | Restricted funds | 2021/22 Total funds |
|---|---|---|---|
| | £000 | £000 | £000 |
| **Income from:** | | | |
| Donations and legacies | 43 | 21 | 64 |
| Grants | 0 | 45 | 45 |
| Investments | 0 | 0 | 0 |
| **Total incoming resources** | 43 | 66 | 109 |
| **Expenditure on:** | | | |
| Charitable activities | (105) | (92) | (197) |
| **Total expenditure** | (105) | (92) | (197) |
| **Net movement in funds** | (62) | (26) | (88) |
| **Reconciliation of funds:** | | | |
| Total funds brought forward | 450 | 541 | 991 |
| **Total funds carried forward** | 388 | 515 | 903 |

### 2.2 Statement of Financial Position for the year ended 31 January 2022

| | Unrestricted funds | Restricted funds | 2021/22 Total funds |
|---|---|---|---|
| | £000 | £000 | £000 |
| **Current Assets:** | | | |
| Debtors | 10 | 4 | 14 |
| Cash at bank and in hand | 380 | 517 | 897 |
| **Total Current Assets** | 390 | 521 | 911 |
| **Liabilities:** | | | |
| Creditors: amounts falling due within one year | (2) | (6) | (8) |
| **Net current assets/(liabilities)** | 388 | 515 | 903 |

## Notes to the Financial Statements

### 3 Income from donations and legacies

| | Unrestricted funds £000 | Restricted funds £000 | **2022/23 £000** | 2021/22 £000 |
|---|---|---|---|---|
| Donations | 14 | 16 | **30** | 49 |
| Legacies | 84 | 0 | **84** | 15 |
| Grants | 0 | 64 | **64** | 45 |
| | **98** | **80** | **178** | 109 |

### 4 Income from investments

| | Unrestricted funds £000 | Restricted funds £000 | **2022/23 £000** | 2021/22 £000 |
|---|---|---|---|---|
| Interest - deposits | 1 | 0 | **1** | 0 |
| | **1** | **0** | **1** | 0 |

### 5 Analysis of charitable expenditure

| | Charitable activity £000 | Support costs £000 | **2022/23 £000** | 2021/22 £000 |
|---|---|---|---|---|
| Purchase of new equipment | 7 | 2 | **9** | 49 |
| Building and refurbishment | 382 | 1 | **383** | 37 |
| Staff education and welfare | 58 | 20 | **78** | 100 |
| Patient welfare | 17 | 8 | **25** | 11 |
| | **464** | **31** | **495** | 197 |

The basis for allocating support costs is by number of transactions processed to more accurately reflect the support time and resources used.

Patient welfare has attracted proportionally higher support costs due to the amount of transactions involved but at smaller expenditure cost. Included within Building and refurbishment expenditure was a grant of £328k paid to Dorset HealthCare University NHS Foundation Trust for the refurbishment of the X-Ray department at Wimborne Hospital.

Of the above support costs £13k were attributable to restricted funds (2021/22 £17k). The decrease is due to buildings and refurbishments transactions, many of which came from restricted funds, attracting a lower support cost as this included fewer transactions but higher amounts.

## Notes to the Financial Statements

### 6 Allocation of support costs and governance costs

Support costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of the charity.

The bases of allocation used are as follows:

| Support cost | Charitable activities £000 | **Total 2022/23 £000** | Total 2021/22 £000 |
|---|---|---|---|
| Internal Audit | 1 | **1** | 1 |
| External Independent Review | 3 | **3** | 3 |
| **Governance Total** | **4** | **4** | 4 |
| Financial administration | 24 | **24** | 24 |
| Telephone/postage/stationery | 1 | **1** | 1 |
| Computer expenses | 2 | **2** | 2 |
| Total | **31** | **31** | 31 |

| | Unrestricted funds | Restricted funds | **Total 2022/23** | Total 2021/22 |
|---|---|---|---|---|
| Charitable activities | 18 | 13 | **31** | 31 |
| | **18** | **13** | **31** | 31 |

### 7 Independent examiners remuneration

The estimated independent examiners remuneration of £3k (2021/22 - £3k) relates to the independent examination of the financial statements by our external reviewers.

### 8 Trustees' and key management personnel remuneration and expenses

The Trustees and key management personnel neither received nor waived any remuneration during the year 2022/23 (2021/22 - £nil).

No Trustees are accruing pension arrangements (2021/22 - £nil).

The Trustees did not have any expenses reimbursed during the year (2021/22 - £nil).

## Notes to the Financial Statements

### 9 Staff cost and employee benefits

For the 2022/23 year the charitable fund did not employ any staff (2021/22 - nil).

Staff are not directly employed by the Charitable Fund. Staff of Dorset HealthCare University NHS Foundation Trust have responsibility for the day to day running of the Charitable Fund and the time spent on this work is recharged to the Charity on a monthly basis.

### 10 Debtors

| | **2022/23** | 2021/22 |
|---|---|---|
| | **£000** | £000 |
| Other debtors - legacies | 27 | 10 |
| Prepayments and accrued income | 0 | 4 |
| | **27** | 14 |

### 11 Analysis of cash and cash equivalents

| | **2022/23** | 2021/22 |
|---|---|---|
| | **£000** | £000 |
| Cash in hand | 472 | 797 |
| Cash on deposit | 100 | 100 |
| | **572** | 897 |

### 12 Creditors: amounts falling due within one year

| | **2022/23** | 2021/22 |
|---|---|---|
| | **£000** | £000 |
| Accruals | 12 | 8 |
| | **12** | 8 |

## Notes to the Financial Statements

### 13 Fund reconciliation

| | Balance at start date | Transfer | Income | Expenditure | Balance at end date |
|---|---|---|---|---|---|
| | £000 | £000 | £000 | £000 | £000 |
| **Unrestricted and designated funds:** | | | | | |
| **Designated funds** | | | | | |
| Wimborne Hospital fund | 166 | 0 | 59 | (28) | 197 |
| Blandford Hospital | 35 | 0 | 28 | (4) | 59 |
| New Wave fund | 41 | 0 | 0 | (7) | 34 |
| Other immaterial designated funds (43 funds) | 87 | 0 | 12 | (13) | 86 |
| **Unrestricted funds** | | | | | |
| NHS Charities Together Initial Funding | 53 | 0 | 0 | (43) | 10 |
| General funds | 6 | 0 | 0 | (3) | 3 |
| **Total unrestricted and designated funds** | **388** | **0** | **99** | **(98)** | **389** |
| | | | | | |
| **Restricted** | | | | | |
| Buckland Fund | 7 | 0 | 0 | (0) | 7 |
| Swanage Hospital | 11 | 0 | 0 | (0) | 11 |
| Swanage Hospital Training LoF | 6 | 0 | 0 | (1) | 5 |
| Oncology Service | 8 | 0 | 1 | (2) | 7 |
| Dorset Working Women's Project | 5 | 0 | 1 | (1) | 5 |
| Pebble Lodge | 4 | 0 | 4 | (2) | 6 |
| Wimborne Bullows A&E Eyes | 2 | 0 | 0 | (0) | 2 |
| Kings Park Hospital | 34 | 0 | 0 | (2) | 32 |
| Wimborne X-Ray | 328 | 0 | 0 | (328) | 0 |
| NHS Charities Together - COVID | 24 | 0 | 0 | (13) | 11 |
| NHS Charities Together - Recovery | 35 | 0 | 35 | (19) | 51 |
| Volunteers Partnership | 0 | 0 | 28 | (1) | 27 |
| Alderney Talbot Village | 10 | 0 | 0 | (10) | 0 |
| District Nurses Bournemouth North | 5 | 0 | 0 | (1) | 4 |
| Bridport COVID crowd funding | 6 | 0 | 0 | (3) | 3 |
| Other immaterial restricted funds (43 funds) | 30 | 0 | 11 | (14) | 27 |
| **Total Restricted Funds** | 515 | 0 | 80 | (397) | 198 |
| | | | | | |
| **Total Funds** | **903** | 0 | **179** | (495) | **587** |

Zero balances are included as they represent balances under £500.

**Fund Descriptions**

Full details of funds are shown with the Trustee Report.

a) Unrestricted and designated funds

The purpose of the unrestricted funds are to support the charitable purpose as stated in the objects of the Dorset HealthCare Charitable Fund; particular designated funds have been created to reflect the non binding wishes of donors.

The Trustees set a closing balance of £50k or above as the threshold for reporting material designated funds. In the interests of accountability a breakdown of all such funds is available upon written request. There are two designated funds with a closing balance over £50k: Wimborne Hospital at £197k and Blandford Hospital at £57k.

## Notes to the Financial Statements

### Fund Descriptions continued

Wimborne Hospital Material Designated Fund

This is a non binding legacy which was left to Wimborne Hospital in November 2013. Wimborne has also been the recipient of several further legacies in 2017/18, 2018/19, 2019/20, 2020/21, 2021/22 and 2022/23. This year funds were spent on hearing aid amplifiers for patients, an infection control hood, flooring and lighting refurbishments and newspapers for hospital patients use.

Blandford Hospital Material Designated Fund

A legacy has been notified for Blandford Hospital and is going through probate and likely to be paid in summer 2023.

b) Restricted funds

Restricted funds over £50k

NHS Charities Together - Recovery Funds

The Charity joined NHS Charities Together in 2020 and has since applied for funding for various purposes. A grant of £106k to support recovery was awarded in 2022/23 to be paid in instalments three which have been received. This is being used for a Health and Wellbeing post and an admin support post for the Health and Wellbeing initiative, a wellness garden for Melstock Ward at Forston Clinic and wellbeing rooms at various sites. This work commenced in 2022/23 and is scheduled to continue in 2023/24.

Restricted funds under £50k

The Charity received £50k from NHS Charities together to mentor ethnic minority, disabled and other staff (spent in 2021-2022). A second £50k has been spent on staff reward, recognition and recovery. The final £11k will be spent on similar initiatives.

There is a restricted fund relating to a legacy left by the Reverend Buckland to be used for any charitable purpose relating to the benefit of Occupational Therapy and the Rehabilitation Assessment units.

Swanage Hospital has a restricted fund to be used for the care of cancer patients and a separate Training Fund. This holds donations from the League of Friends in Swanage and is used for additional training courses for the staff.

The Oncology Service have received various donations which are used for additional staff training.

Kings Park Hospital received a £40k legacy in July 2018 and ideas were put forward regarding how to spend this money.

## Notes to the Financial Statements

### Fund Descriptions continued

Dorset Working Women's Project received a £2k donation in September 2018 which is being used for an Outreach project to take health education and hot food and drinks to workers in the night time economy in deprived areas of Dorset. This is an ongoing project. Additionally, thanks to a kind donation from Dorset Community Foundation, the service was able to purchase starter packs containing essentials for homeless people entering accommodation.

Pebble Lodge, the Trust's Child and Adolescent Mental Health Hospital in Bournemouth, received various donations, including £2k from Head Outdoors, to be spent on young people's activities. Surfing, camping and other activities have been undertaken.

NHS Charities Together have supplied a grant for a Volunteers Partnership and plans are being made to use these funds.

The other immaterial restricted funds relate to funds under £5k.

### 14 Related party transactions

Information about related party transactions and outstanding balances is outlined below:

| | Income £000 | Expenditure £000 | Debtors £000 | Creditors £000 |
|---|---|---|---|---|
| **Dorset HealthCare University NHS Foundation Trust - Corporate Trustee** | | | | |
| To 31 January 2023 | **0** | **28** | **0** | **0** |
| To 31 January 2022 | 0 | 28 | 0 | 0 |

The Trust Board of Dorset HealthCare University NHS Foundation Trust is the sole corporate trustee of the charitable fund.

Board Members of Dorset HealthCare University NHS Foundation Trust (and other senior staff) take decisions on charity matters but endeavour to keep the interests of each discrete and do not seek to benefit personally from such decisions.

Dorset HealthCare University NHS Foundation Trust on occasion will receive income or make payments on behalf of Dorset HealthCare Charitable Fund, the charity will then refund the balance. In addition Dorset HealthCare University NHS Foundation Trust provides management and accounting support to the Charitable Fund. During the year ended 31 January 2023 £28k (2021/22: £28k) was paid to Dorset HealthCare University NHS Foundation Trust in respect of this. The figures above include both of these elements.

During the period none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Dorset HealthCare Charitable Fund or received any benefit from the charity through payment or in kind.

## Notes to the Financial Statements

### 15 Contingent Assets

There is one contingent asset in 2022/23. A legacy has been notified for Wimborne Hospital but is dependant on the sale of multiple artworks and it is not currently possible to estimate this with accuracy.

**DORSET HEALTHCARE CHARITABLE FUND**

England & Wales - Charity number 1056550

# Accounts

# DORSET HEALTHCARE CHARITABLE FUND

A registered charity
(Registered No. 1056550)

# ANNUAL REPORT AND FINANCIAL STATEMENTS

## FOR THE YEAR ENDED 31 JANUARY 2022

# ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 JANUARY 2022

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## REFERENCE AND ADMINISTRATIVE DETAILS

**Charity registration number:** **1056550**

**Principal & Registered Office of Charity:**
Sentinel House
Nuffield Industrial Estate
Poole
Dorset
BH17 0RB

**Bankers:**
Lloyds Bank PLC
45-47 Christchurch Road
Bournemouth
BH1 1ED

**Bankers:**
Barclays Bank PLC
Ground Floor County Gates
300 Poole Road
Bournemouth
BH12 1AZ

**Independent Examiners:**
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

**Internal Auditors:**
BDO LLP
Arcadia House, Maritime Walk
Ocean Village, Southampton
Hampshire
SO14 3TL

Dorset HealthCare University NHS Foundation Trust (the Foundation Trust) is the Corporate Trustee of Dorset HealthCare Charitable Fund governed by the laws applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011. The Foundation Trust Board has responsibility for overseeing the administration of the Charity acting as the Corporate Trustee. The members of the Foundation Trust Board who served during the last two financial years were as follows:

**Corporate Trustee members:**

| | |
|---|---|
| Andy Willis | Chair |
| Matthew Metcalfe | Chief Finance Officer (from 5 September 2016) |
| Heather Baily | Non Executive Director (from 1 October 2017) |
| Nicola Plumb | Chief People Officer and Director of Strategy |

**Trust Board members current:**

| | |
|---|---|
| Andy Willis | Trust Chair (from 24 March 2017) |
| Dawn Dawson | Acting Chief Executive (from 1 May 2022) |
| Dawn Dawson | Chief Nursing Officer (from 1 April 2018 to 30 April 2022) |
| Cara Southgate | Acting Chief Nursing Officer (from 1 May 2022) |
| Sir David Haslam CBE | Non Executive Director (from 1 October 2020) |
| Andreas Haimboeck-Tichy | Non Executive Director (from 1 October 2020) |
| Heather Baily | Non Executive Director |
| Steven Peacock | Non Executive Director (from 1 March 2020) |
| Tristan Phillips | Non Executive Director (from 1 July 2019) |
| Prof Faisil Sethi | Chief Medical Officer (from October 2020) |
| Nicola Plumb | Chief People Officer and Director of Strategy |
| Kristin Dominy | Chief Operating Officer/Deputy Chief Executive Officer (from 1 April 2020) |
| Matthew Metcalfe | Chief Finance Officer (from 5 September 2016) |

**Trust Board members past:**

| | |
|---|---|
| Eugine Yafele | Chief Executive (from 1 February 2019 to 1 May 2022) |
| David Brook | Non Executive Director (from 01 October 2020 to 31 July 2021) |
| Des Pullen | Non Executive Director (from 1 November 2020 to 18 October 2021) |

# Trustees' Annual Report

The Corporate Trustee presents the Charitable Funds Annual Report together with the Independently Examined Financial Statements for the year ended 31 January 2022. The prior year was the year to 31 January 2021. The trustees have adopted the provisions of the Statement of Recommended Practice (SORP) "Accounting and Reporting by Charities" FRS102 (1a) in preparing the annual report and financial statements of the charity.

The Charity's Annual Report and Financial Statements for the year ended 31 January 2022 have been prepared by the Corporate Trustee in accordance with the accounting policies set out in the notes to the financial statements on page 17 and comply with the Charity's governing document, the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard FRS102 (1a).

**Trustees of the Charity**

The directors of the Foundation Trust are the Charity's trustees for the purpose of charity law. The trustees who have served during the year and since the year end are noted on page 1.

**Objectives**

Dorset HealthCare Charitable Fund is an independent registered charity. It exists to raise funds and receive donations for the benefit of the patients and staff of Dorset HealthCare University NHS Foundation Trust. We are a trustee body independent from the Foundation Trust but work for the benefit of the patients, their families and the staff.

**The Charity's main objective is to hold the fund upon trust to apply the income for any charitable purpose or purposes relating to the provision of health and social care. This includes social care services; particularly in relation to services provided on or behalf of (but in no way limited to) Dorset HealthCare University NHS Foundation Trust.**

The Charity secures funding from fundraising, donations, grants and legacies received from patients, their relatives, the general public and other organisations to be able to enhance and augment the service provided and environment in which care is provided.

The fund benefits a wide range of patients in hospitals, day centres, clinics and within their own home including older people, children, those with mental health problems, those recovering from operations, as well as people with physical and sensory difficulties and those who have learning disabilities.

Staff also benefit from the fund which is used for staff appreciation, team building and the improvement of staff facilities and wellbeing as well as the education of staff by providing training over and above normal NHS standards. This enhanced training benefits both staff and patients. In September 2021 a Staff Benevolent Fund was created in recognition of staff hardship.

**Trustees' Annual Report continued**

**Public Benefit**

The Charity Trustees have complied with the duty in section 4 of the Charities Act 2011 to have due regard to public benefit guidance published by the Charities Commission. The Trustees:

- must act reasonably and prudently in all matters relating to the Charity;
- must protect the Charity and act in the best interests of the Charity;
- should fully discuss and agree the annual accounts and annual report;
- ensure the income and property of the Charity is applied for the purposes set out in the Governing Document;
- ensure that good policies are in place and are followed to ensure that the systems of control are rigorous and that the systems of control are well monitored;
- annually review policies and investments and method of investment;
- take proper professional advice when necessary;
- must ensure that the way in which the Charity is administered is not open to abuse by unscrupulous persons.

## Structure

**Governance**

Dorset HealthCare Charitable Fund was established by a Declaration of Trust dated April 1996. The objects being for any charitable purpose(s) relating to the provision of health and social care in relation to (although no way limited to) services provided by or on behalf of Dorset HealthCare University NHS Foundation Trust.

The Board of Directors, on behalf of the Corporate Trustee, review risk via the results of any audits undertaken by both the Internal Auditors and Independent Reviewers. The Internal Audit reports are presented to the Trust's Audit Committee and any significant risks are entered onto the Corporate and/or Operational Risk Registers. No significant risks were identified during the year under review. See also the Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund on page 13. The Trustees are satisfied that there are systems in place to reduce and mitigate the exposure of the Charity to any significant risks.

The Chair and Non-Executive Directors are appointed by the Board of Governors of the Foundation Trust.

Dorset HealthCare University NHS Foundation Trust fulfils the role of Corporate Trustee. The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. The authority to spend funds has been delegated to service managers within limits agreed by the Board.

**Structure continued**

**Management**

The Corporate Trustee is responsible for overseeing the administration of the Charity. The finance directorate of Dorset HealthCare University NHS Foundation Trust has been given the responsibility for the administration of the fund accounts and reports to the Board twice a year. The Chief Finance Officer has responsibility for ensuring that full accounting records are maintained and that devolved decision making or delegated arrangements are in accordance with the policies and procedures governing the Charity.

The charitable funds available for spending are allocated to service managers, with each allocation managed by use of a designated fund within the general unrestricted fund. This is intended to ensure funds are spent in a way which respects the wishes of our generous donors and benefactors. Where funds are received with specific restriction set by the donor, a new restricted fund is established.

The delegated limits set by the Corporate Trustee for expenditure below £10k requires the approval of the Fund Manager. Expenditure above £10k and up to £50k requires the approval of the Service Director (or nominated Deputy). Expenditure of £50k or more requires the approval of the Chief Finance Officer and the Service Director (or nominated Deputy).

The trustees consider themselves to be the only key management personnel.

**Risk Management**

Key risk areas include fraudulent attempts and over commitments of funds. Procedures and policies have been established to mitigate these risks.

Dorset HealthCare Charitable Fund also engages with the internal auditor to periodically carry out reviews and report any areas of weakness. Improvements to processes are implemented when any weakness is highlighted.

## Reserves Policy

The reserves policy consists of:

• an expectation that income in each individual fund will be spent within 18 months of receipt unless it is being accumulated for a specific purpose.

• no individual fund should hold a permanent balance of more than £25k during a three month consecutive period unless, as above, the funds are being accumulated for a specific project.

• funds with more than £50k require expenditure plans to be submitted to the Corporate Trustee.

The reserves total £903k. Fund managers are encouraged to spend funds within 18 months. However, in some cases appropriate expenditure has not yet been identified. This has led to an accumulation of reserves. These reserves will be spent on future appropriate expenditure as and when it is identified.

**Reserves Policy continued**

There are three funds with a balance over £50k. These are:

Wimborne Hospital X-Ray Department fund - £328k

Wimborne X-Ray Department received a large legacy of £350k in 2019/20 which is to be used for the refurbishment of the X-Ray department. Work is being undertaken on the enabling works to commence this project. This is forecast to complete in 2023/24.

Wimborne Hospital designated fund - £166k

This is a designated pot to be used for the benefit of Wimborne Hospital. This hospital has a brilliant level of support from the local community and is regularly in receipt of legacy donations. The staff at Wimborne use this fund as and when they identify either a staff, patient or building requirement.

NHS Charities Together - £53k

The Charity joined NHS Charities Together in 2020 and received £176k to support staff and patients during the COVID-19 crisis. This has been partially spent on wellness rooms and staff rest spaces at Blandford and Alderney Hospitals. Further works are undergoing at Hahnemann House, a mental health Retreat space, in Bournemouth. Staff have been thanked in various ways and plans are in hand to spend the residue of £53k in 2022/23.

## Treasury Management Policy

The Charity usually invests £100k with HM Treasury, which previously gave a return of between 0.38% to 0.39%, resulting in a small balance in previous years. However, due to the COVID crisis HM Treasury suspended deposits. The funds were left on deposit with the main Trust waiting for the investment process to resume during 2021/22. Investment has now resumed in 2022.

The Charity's spending principle is to spend funds within 18 months therefore investment are only entered into short term options.

The Treasury Management policy states:

- surplus cash can be invested for a period of up to 95 days.
- potential risks to the reputation of the Trust that may come from an involvement with deposits in the commercial sector must be minimised.
- cash is only deposited in "safe harbour" deposits. These are low risk and high liquidity investments. They are deposited with permitted institutions which meet recognised rating agency criteria.

# The impact of COVID-19 - partial recovery begins and the Vaccination programme commences

The COVID-19 pandemic had impacts on the Charity both positive and negative.

Dorset HealthCare NHS Foundation Trust, the NHS as a whole and the Charity had to quickly adapt to deal with the crisis and the significant numbers of patients who were ill. Wards and services were re-purposed and for long periods closed to any but essential staff. This significantly reduced day to day donations as visitors could no longer attend sites. Expenditure was also reduced as staff focused their efforts on treatment and further prevention of the spread of the virus.

However the fundraising efforts of the public in support of the wider NHS, typified in the amazing response to Captain Sir Tom Moore and others, led to a huge influx of donations for the Health Service via the NHS Charities Together Charity. The Trust became a member of NHS Charities Together and as a consequence in 2020/21 received a share of these donations (£176k). A further £35k was received in 2021/22.

In 2021/22 the NHS Charities Together money has been primarily spent on staff welfare (£80k). Wellness rooms were created at Alderney Hospital and Blandford Hospital and a wider distribution of staff thank yous were undertaken such as coffee van tours so that staff could take a break with a drink and catch up with colleagues.

Additionally, some members of the public and local companies also supported their local hospitals and services. A donation of £1k was received to pay for buggies to enable people with mobility issues to access one of the vaccination sites.

Normal expenditure began to slowly recover in 2021/22 but busy teams found they were not always able to take time to fully thank their staff due to further lockdowns impacting away and training days. In December 2020 the vaccination programme commenced. Staff re-focused their efforts on vaccinating the local population rising to many new challenges whilst balancing existing work and day to day business.

Many businesses supported the vaccination process with donations to facilitate this - Starbucks donated goodie bags for staff working long hours in the vaccination sites.

The Charity is incredibly grateful for all the support during this time shown to it and to the wider NHS.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2021/22

**Activities and Achievements**

The Charitable Fund spent £166k on activities and purchases (excluding support costs) to meet the Charity's objectives in the year (£50k in 2020/21). A highlight of these include:



The Westminster Memorial Hospital Gardening Group care for the Hospital grounds and additionally are now fully electric in their power use. Their produce provides healthy food for patients and staff.



**Patient Welfare**

Direct patient welfare expenditure for the period totalled £85k (£23k in 2020/21) excluding support costs. The £85k includes the purchase of equipment or refurbishment to Wards and Units to provide a better patient experience (note 5). Funds are mainly used to provide additional facilities, or extras, to make the patients' stay more comfortable such as radios, particularly when patients were unable to have visitors or access patient lounges due to COVID restrictions. These funds make it possible to purchase certain special items which otherwise could not be provided from Dorset HealthCare NHS Foundation Trust's existing revenue or capital budgets. The largest items of spend include refurbishments and additional equipment purchases, which enhance the quality of care. This includes an upgrade to a palliative care suite which is above and beyond what is considered standard NHS interior.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2021/22

**Activities and Achievements continued**

**The Positive Outdoor Pursuits Project**







The Positive Outdoor Pursuits Project brings an outdoor approach to enable access to healthcare professionals for individuals with mental health issues and learning disabilities who do not readily engage with other healthcare services. A variety of activities from woodworking, fishing and urban exploration are offered to patients. The staff who run the Project constantly look for innovative ways to meet the needs of the patients and plan to create a wellbeing group for female adults.











# Review of the Activities, Achievements and Performance of the Charitable Funds in 2021/22 continued

**Staff Education and Welfare**

Staff education and welfare expenditure for the year excluding support costs totalled £81k (£27k in 2020/21). These costs were incurred to improve staff facilities and for staff development and also represent NHS Charities Together monies being spent to support and thank staff.

Staff were able to attend external training courses which provided higher level of teaching for the benefits of our patients. This included courses in palliative care and cancer care. Other funds were donated towards staff events and other morale and team building events.

**Other Charitable events included:**

- games machine for patients on Twynham Ward;
- a bike for the Brain Injury Service;
- pet therapy guinea pigs and rabbits for patients at Alderney Hospital;
- farm sessions for young people;
- hot food and drinks for people working in the night time economy in areas of deprivation;
- Christmas gifts and wrapping paper for patients at Kimmeridge Court Eating Disorders unit;
- cotton tote bags for the End of Life services so that relatives can take their loved ones possessions home in a dignified and environmentally friendly way;
- golf buggy rental for the vaccine centre to enable less mobile patients to attend their jabs in comfort;
- an aphasia choir;
- radios for patients to use who were unable to have visitors or to visit the TV room due to COVID
- surfing lessons for patients at Pebble Lodge;
- wellness/healthy snack goodie bags for staff working extra hours delivering COVID-19 vaccinations;
- Christmas lights for hospital gardens at Christmas;
- awnings for patient garden at Alderney Hospital;
- items for speech and language therapy Café service;
- benches for staff and patients at Wimborne Hospital;
- hearing aid amplifier system for Wimborne Hospital;
- a low rise, anti-fall bed for Blandford Hospital;
- furnishings for staff wellness rooms;
- a specialist bath for Yeatman Hospital;
- Mindray monitors and other medical equipment;



The new pagoda built by the Westminster Memorial Hospital Gardening Group volunteers

## Our Future Plans

The Charitable Fund makes plans in line with the direction and strategy of the Foundation Trust to ensure funds are being spent effectively.

The following provides a summary of the future spending plans for the NHS Charities Together funds:



## Our Future Plans continued

Future plans are financed by either fund reserves or new donations, legacies or grant income.

Future spend will be reflective of the future needs of the services provided or carried out on behalf of the Foundation Trust and the supporting community.

Spending plans are requested on a regular basis from all service managers who hold funds.

Other spending plans include:

Wellbeing spaces, a wellness garden at Forston Clinic and a wellbeing ambassador to develop a wellbeing programme to provide long term support to staff recovering from the impact of COVID-19 on NHS staff.

A Dragons' Den is held each year by Dorset HealthCare University NHS Foundation Trust to encourage staff to present innovative ideas. There were many worthy projects put forward and the Charity has earmarked funds to support a mental health project, Coffee Connection, in 2022-2023 and to continue to support the Speech and Language Therapy Aphasia Choir.

## Review of the Finances of the Charitable Funds in 2021/22

Total income decreased by £126k to £109k in year (2020/21 decrease of £178k). Previous years had shown high legacy income and in 2020/21 the NHS Charities Together funds of £176k kept income high. However in 2021-22, due to grants from NHS Charities Together and Talbot Village Trust the Charity realised £45k. A legacy in year totalled £15k and additionally over 130 donations were made totalling £49k (2020/21 £113k). This resulted in total 2021/22 donation, grant and legacy income of £109k (2020/21 £234k). Investment income was negligible due to suspended investments (2020/21 £1k) and minimal interest earned on the Fund's bank account.

Total expenditure increased by £116k to £197k in year (2020/21 decrease of £83k). A total of £166k (2020/21 £80k) was spent directly on charitable activities, a breakdown of which can been seen in note 5. In order to manage the Charity £31k (2020/21 £31k) was spent on support costs.

Total net deficit of £88k (2020/21 surplus of £154k) was deducted from the brought forward net assets of £991k resulting in total net assets at the end of 2021/22 of £903k.

The Charity relies on donations, grants and legacies as its main source of income. While the Charity does not actively seek donations, past years donations have always more than exceeded total annual running costs.

# Statement of Trustees' Responsibilities

The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources of the Charity for that period. In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

By Order of the Trustee
Signed:



13/10/2022 Date

Andy Willis, Chair of the Corporate Trustee

## Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund

I report to the charity trustees on my examination of the accounts of the charity for the year ended 31 January 2022 which are set out on pages 1 to 26.

### Responsibilities and basis of report

As the charity's trustees you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act').

I report in respect of my examination of the charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

### Independent examiner's statement

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

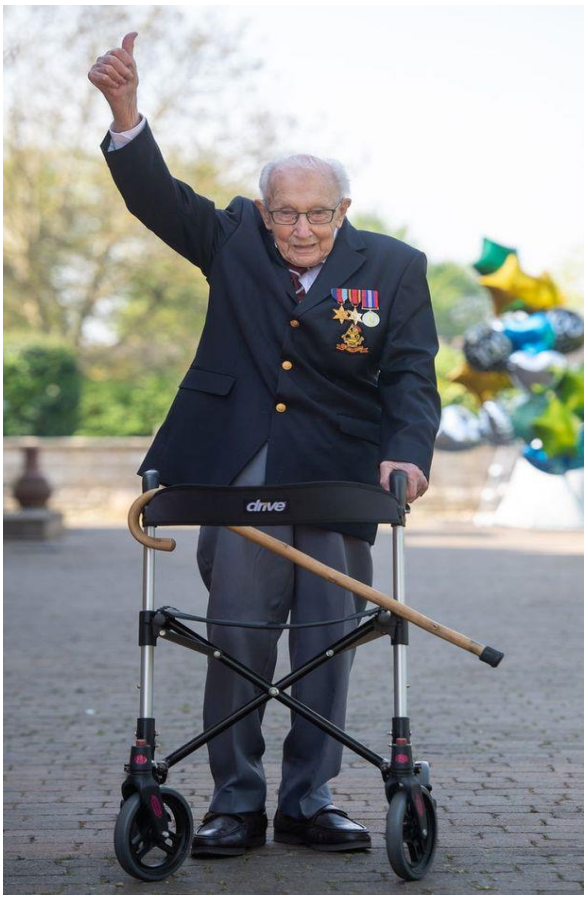
1 accounting records were not kept in respect of the charity as required by section 130 of the Act; or
2 the accounts do not accord with those records; or
3 the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed:

Lucy Filer FCA
For and on behalf of
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

Date 26/10/2022




Captain Sir Tom Moore who raised £33 million for NHS Charities. Dorset HealthCare Charitable Fund has received £211k to date and plans to bid for more in the future.

# *A BIG THANK YOU...*

On behalf of the patients and staff who have benefited from the donations and legacies received, the Dorset HealthCare Charitable Fund would like to thank everyone who has made charitable donations.

In addition a special thanks to those individuals, both staff of Dorset HealthCare University Foundation Trust and external supporters who have invested their time to drive forward a charitable scheme.

# Statement of Financial Activities for the year ended 31 January 2022

| | Note | Unrestricted funds | Restricted funds | **2021/22 Total funds** | 2020/21 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Income from:** | | | | | |
| Donations and legacies | 3 | 43 | 21 | **64** | 132 |
| Grants | 3 | 0 | 45 | **45** | 102 |
| Investments | 4 | 0 | 0 | **0** | 1 |
| **Total income** | | **43** | **66** | **109** | 235 |
| **Expenditure from:** | | | | | |
| Charitable activities | 5 | (105) | (92) | **(197)** | (81) |
| **Total expenditure** | | **(105)** | **(92)** | **(197)** | (81) |
| **Net income/(expenditure)** | | **(62)** | **(26)** | **(88)** | 154 |
| **Transfers between funds** | 13 | 0 | 0 | **0** | 0 |
| **Other recognised gains/(losses)** | | 0 | 0 | **0** | 0 |
| **Net movement in funds** | 13 | **(62)** | **(26)** | **(88)** | 154 |
| **Reconciliation of funds:** | | | | | |
| Total funds brought forward | 13 | 450 | 541 | **991** | 837 |
| **Total funds carried forward** | 13 | **388** | **515** | **903** | 991 |

## Statement of Financial Position for the year ended 31 January 2022

| | Note | Unrestricted funds | Restricted funds | **2021/22 Total funds** | 2020/21 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Current assets:** | | | | | |
| Debtors | 10 | 10 | 4 | **14** | 24 |
| Cash and cash equivalents | 11 | 380 | 517 | **897** | 981 |
| **Total current assets** | | **390** | **521** | **911** | 1,005 |
| **Liabilities:** | | | | | |
| Creditors: amounts falling due within one year | 12 | (2) | (6) | **(8)** | (14) |
| **Net current assets/(liabilities)** | | **388** | **515** | **903** | 991 |
| **Total net assets/(liabilities)** | | **388** | **515** | **903** | 991 |
| **The funds of the charity:** | | | | | |
| Restricted Funds | 13 | 0 | 515 | **515** | 540 |
| Unrestricted Funds | 13 | 388 | 0 | **388** | 451 |
| **Total charity funds** | 13 | **388** | **515** | **903** | 991 |

The notes at pages 17 to 26 form part of these accounts.

The financial statements were approved and authorised for issue by the Board on

Signed on behalf of the Board of Trustees

Signed: 

**Andy Willis, Chair of the Corporate Trustee**

Date: 13/10/2022

Charity registration number: 1056550

## Notes to the Financial Statements

### 1 Summary of accounting policies

### 1.1 General information and basis of preparation

Dorset HealthCare Charitable Fund is a charity in the United Kingdom. The address of the registered office is given in the Charity information on page 1 of these financial statements. The nature of the Charity's operations and principal activities are detailed in the Trustees Annual Report.

The charity constitutes a public benefit entity as defined by FRS 102. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102), the Charities Act 2011 and UK Generally Accepted Accounting Practice as it applies from 1 January 2015.

The financial statements are prepared on a going concern basis under the historical cost convention. The financial statements are prepared in sterling which is the functional currency of the Charity and rounded to the nearest £000.

The significant accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all years presented unless otherwise stated.

### 1.2 Statement of Compliance

These financial statements have been prepared in compliance with the provisions of FRS102 section 1a, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland'.

### 1.3 Funds

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of the general objectives of the Charity and which have not been designated for other purposes.

Designated funds comprise unrestricted funds which are either set aside to be used for specific purposes or which reflect non-binding wishes of donors.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the Charity for particular purposes. The cost of raising and administering such funds are charged against the specific fund.

The major funds held in each of these categories are disclosed in note 13.

## Notes to the Financial Statements

### 1.4 Income recognition

All incoming resources are included in the Statement of Financial Activities (SoFA) when the Charity is legally entitled to the income after any performance conditions have been met, the amount can be measured reliably and it is probable that the income will be received.

For donations to be recognised the Charity will have been notified of the amounts and the settlement date in writing. If there are conditions attached to the donation and this requires a level of performance before entitlement can be obtained then income is deferred until those conditions are fully met or the fulfilment of those conditions is within the control of the Charity and it is probable that they will be fulfilled.

No amount is included in the financial statements for volunteer time in line with the SORP (FRS 102).

For legacies, entitlement is the earlier of the Charity being notified of an impending distribution or the legacy being received. At this point income is recognised. On occasion legacies will be notified to the Charity, however it is not possible to measure the amount expected to be distributed. On these occasions, the legacy is treated as a contingent asset and disclosed. If the details of the amount are confirmed after the reporting period but prior to the accounts being authorised for issue then the legacy will be treated as an adjusting post reporting date event and accrued as income.

Investment income is earned through holding assets for investment purposes such as shares and cash. It includes dividends and interest. Where it is not practicable to identify investment management costs incurred within a scheme with reasonable accuracy the investment income is reported net of these costs. It is included when the amount can be measured reliably. Interest income is recognised using the effective interest method and dividend income is recognised as the Charity's right to receive payment is established.

### 1.5 Expenditure recognition

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Expenditure is recognised where there is a legal or constructive obligation to make payments to third parties, it is probable that the settlement will be required and the amount of the obligation can be measured reliably. It is categorised under the following headings:

Expenditure on charitable activities includes costs incurred in relation to the charitable operations including support and governance costs apportioned to the charitable activities.

## Notes to the Financial Statements

### 1.6 Support costs allocation

Support costs are those that assist the work of the Charity but do not directly represent charitable activities and include office costs and governance costs. They are incurred directly in support of expenditure on the objects of the Charity. Where support costs cannot be directly attributed to particular headings they have been allocated to the cost of raising funds and expenditure on charitable activities on a basis consistent with use of the resources. All overheads have been allocated on an expenditure proportion basis.

Details of these costs is included in note 5.

### 1.7 Debtors and creditors receivable/payable within one year

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in expenditure.

### 1.8 Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the Charity as they fall due. Cash equivalents are short term, highly liquid investments, held in either an interest bearing premium account of cash.

### 1.9 Tax

The Charity is an exempt charity within the meaning of schedule 3 of the Charities Act 2011 and is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes.

### 1.10 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist. The Trustees have considered the level of funds held and the expected level of income and expenditure for 12 months from authorising these financial statements. The budgeted income and expenditure is sufficient with the level of reserves for the Charity to be able to continue as a going concern.

## Notes to the Financial Statements

### 2 Prior year comparatives by type of fund

The primary statements provide prior year comparatives in total; this note provides prior period comparatives for the Statement of Financial Activities and the Statement of Financial Position for the two types of funds the Charity manages.

### 2.1 Statement of Financial Activities for the year ended 31 January 2021

| | Unrestricted funds | Restricted funds | **2020/21 Total funds** |
|---|---|---|---|
| | £000 | £000 | **£000** |
| **Income from:** | | | |
| Donations and legacies | 111 | 21 | **132** |
| Grants | 0 | 102 | **102** |
| Investments | 1 | 0 | **1** |
| **Total incoming resources** | **112** | **123** | **235** |
| **Expenditure on:** | | | |
| Charitable activities | (34) | (47) | **(81)** |
| **Total expenditure** | **(34)** | **(47)** | **(81)** |
| **Net movement in funds** | **78** | **76** | **154** |
| **Reconciliation of funds:** | | | |
| Total funds brought forward | 373 | 464 | **837** |
| **Total funds carried forward** | **451** | **540** | **991** |

### 2.2 Statement of Financial Position for the year ended 31 January 2021

| | Unrestricted funds | Restricted funds | **2020/21 Total funds** |
|---|---|---|---|
| | £000 | £000 | **£000** |
| **Current Assets:** | | | |
| Debtors | 23 | 1 | **24** |
| Cash at bank and in hand | 436 | 545 | **981** |
| **Total Current Assets** | **459** | **546** | **1,005** |
| **Liabilities:** | | | |
| Creditors: amounts falling due within one year | (8) | (6) | **(14)** |
| **Net current assets/(liabilities)** | **451** | **540** | **991** |

## Notes to the Financial Statements

### 3 Income from donations and legacies

| | Unrestricted funds | Restricted funds | **2021/22** | 2020/21 |
|---|---|---|---|---|
| | £000 | £000 | **£000** | £000 |
| Donations | 28 | 21 | **49** | 113 |
| Legacies | 15 | 0 | **15** | 19 |
| Grants | 0 | 45 | **45** | 102 |
| | **43** | **66** | **109** | 234 |

### 4 Income from investments

| | Unrestricted funds | Restricted funds | **2021/22** | 2020/21 |
|---|---|---|---|---|
| | £000 | £000 | **£000** | £000 |
| Interest - deposits | 0 | 0 | **0** | 1 |
| | **0** | **0** | **0** | 1 |

### 5 Analysis of charitable expenditure

| | Charitable activity | Support costs | **2021/22** | 2020/21 |
|---|---|---|---|---|
| | £000 | £000 | **£000** | £000 |
| Purchase of new equipment | 44 | 5 | **49** | 13 |
| Building and refurbishment | 35 | 2 | **37** | 11 |
| Staff education and welfare | 81 | 19 | **100** | 45 |
| Patient welfare | 6 | 5 | **11** | 12 |
| | **166** | **31** | **197** | 81 |

The basis for allocating support costs is by number of transactions processed to more accurately reflect the support time and resources used.

Patient welfare has attracted proportionally higher support costs due to the amount of transactions involved but at smaller expenditure cost.

Of the above support costs £17k were attributable to restricted funds (2020/21 £19k). The decrease is due to the additional administration support needed for the large amounts (£176k) of restricted monies received from NHS Charities Together.

## Notes to the Financial Statements

### 6 Allocation of support costs and governance costs

Support costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of the Charity.

The bases of allocation used are as follows:

| Support cost | Charitable activities £000 | **Total 2021/22 £000** | Total 2020/21 £000 |
|---|---|---|---|
| Internal Audit | 1 | **1** | 1 |
| External Independent Review | 3 | **3** | 3 |
| **Governance Total** | **4** | **4** | 4 |
| Financial administration | 24 | **24** | 24 |
| Telephone/postage/stationery | 1 | **1** | 1 |
| Computer expenses | 2 | **2** | 2 |
| Total | **31** | **31** | 31 |

| | Unrestricted funds | Restricted funds | **Total 2021/22** | Total 2020/21 |
|---|---|---|---|---|
| Charitable activities | 14 | 17 | **31** | 31 |
| | **14** | **17** | **31** | 31 |

### 7 Independent examiners' remuneration

The independent examiners' remuneration of £3k (2020/21 - £3k) relates to the independent examination of the financial statements by our external reviewers.

### 8 Trustees' and key management personnel remuneration and expenses

The Trustees and key management personnel neither received nor waived any remuneration during the year 2021/22 (2020/21 - £nil).

No Trustees are accruing pension arrangements (2020/21 - £nil).

The Trustees did not have any expenses reimbursed during the year (2020/21 - £nil).

## Notes to the Financial Statements

### 9 Staff cost and employee benefits

For the 2021/22 year the Charitable Fund did not employ any staff (2020/21 - nil).

Staff are not directly employed by the Charitable Fund. Staff of Dorset HealthCare University NHS Foundation Trust have responsibility for the day to day running of the Charitable Fund and the time spent on this work is recharged to the Charity on a monthly basis.

### 10 Debtors

| | **2021/22** | 2020/21 |
|---|---|---|
| | **£000** | £000 |
| Other debtors - legacies | 10 | 23 |
| Prepayments and accrued income | 4 | 1 |
| | **14** | 24 |

### 11 Analysis of cash and cash equivalents

| | **2021/22** | 2020/21 |
|---|---|---|
| | **£000** | £000 |
| Cash in hand | 797 | 881 |
| Cash on deposit | 100 | 100 |
| | **897** | 981 |

### 12 Creditors: amounts falling due within one year

| | **2021/22** | 2020/21 |
|---|---|---|
| | **£000** | £000 |
| Accruals | 8 | 14 |
| | **8** | 14 |

## Notes to the Financial Statements

### 13 Fund reconciliation

| | Balance at 01/02/2021 | Transfer | Income | Expenditure | Balance at 31/01/2022 |
|---|---|---|---|---|---|
| | £000 | £000 | £000 | £000 | £000 |
| **Unrestricted and designated funds:** | | | | | |
| **Designated funds** | | | | | |
| Wimborne Hospital fund | 183 | 0 | 16 | (33) | **166** |
| New Wave fund | 43 | 0 | 0 | (2) | **41** |
| Other immaterial designated funds (42 funds) | 147 | (1) | 25 | (49) | **122** |
| **Unrestricted funds** | | | | | |
| NHS Charities Together Initial Funding | 73 | 0 | 0 | (20) | **53** |
| General funds | 5 | 0 | 2 | (1) | **6** |
| **Total unrestricted and designated funds** | **451** | **(1)** | **43** | **(105)** | **388** |
| | | | | | |
| **Restricted funds:** | | | | | |
| Buckland Fund | 7 | 0 | 0 | (0) | **7** |
| Swanage Hospital | 12 | 0 | 0 | (1) | **11** |
| Swanage Hospital Training LoF | 10 | 0 | 0 | (4) | **6** |
| Oncology Service | 2 | 0 | 6 | (0) | **8** |
| Dorset Working Women's Project | 5 | 0 | 0 | (0) | **5** |
| Pebble Lodge | 5 | 0 | 0 | (1) | **4** |
| Wimborne Bullows A&E Eyes | 2 | 0 | 0 | (0) | **2** |
| Kings Park Hospital | 35 | 0 | 0 | (1) | **34** |
| Wimborne X-Ray | 339 | 0 | 0 | (11) | **328** |
| NHS Charities Together - COVID | 87 | 0 | 1 | (64) | **24** |
| NHS Charities Together - Recovery Funds | 0 | 0 | 35 | 0 | **35** |
| Alderney Talbot Village | 0 | 0 | 10 | (0) | **10** |
| District Nurses Bournemouth North | 5 | 0 | 0 | (0) | **5** |
| Bridport COVID crowd funding | 8 | 0 | 0 | (2) | **6** |
| Other immaterial restricted funds (42 funds) | 23 | 1 | 14 | (8) | **30** |
| **Total Restricted Funds** | **540** | **1** | **66** | **(92)** | **515** |
| | | | | | |
| **Total Funds** | **991** | 0 | **109** | (197) | **903** |

**Fund Descriptions**

Full details of funds are shown within the Trustees' Report.

a) Unrestricted and designated funds

The purpose of the unrestricted funds are to support the charitable purpose as stated in the objects of the Dorset HealthCare Charitable Fund; particular designated funds have been created to reflect the non binding wishes of donors.

The Trustees set a closing balance of £50k or above as the threshold for reporting material designated funds. In the interests of accountability a breakdown of all such funds is available upon written request. There are two designated funds with a closing balance over £50k: Wimborne Hospital at £166k and the NHS Charities Together Initial funding at £53k.

Wimborne Hospital Material Designated Fund

This is a non binding legacy which was left to Wimborne Hospital in November 2013. Wimborne has also been the recipient of several further legacies in 2017/18, 2018/19, 2019/20, 2020/21 and in 2021/22.

## Notes to the Financial Statements

### Fund Descriptions continued

Funds were received from New Wave Integrated Care Ltd in April 2014 for the benefit of people in Boscombe and the immediate surrounding areas. This fund was now being used for projects which were identified in 2019/20 such as lunch clubs for lonely isolated older people but postponed due to COVID. A new project, Coffee Connection, identified via the Dragons' Den process is now going to be supported from these funds and we are actively seeking other ways to spend these monies.

### NHS Charities Together - Unrestricted Funds

The Charity joined NHS Charities Together in 2020 and received initial unrestricted funding of £74k to support staff and patients during the COVID crisis. Spending of £18k commenced in 2021/22 and the works are scheduled to be completed in 2022/23 though there have been delays due to the impact of COVID and supply chain issues on completing the staff well being rooms.

b) Restricted funds

Restricted funds over £50k

Wimborne X-Ray Department

A large legacy was received in 2019/20 of £350k which is solely for the benefit of the Radiology Department at Wimborne Hospital. The pandemic delayed the plans to enable this work but now the equipment requirements for the two X-ray rooms are in hand, an Architect has been contracted to work on the building detail and a ventilation survey is to be carried out.

Restricted funds under £50k

The Charity received £50k from NHS Charities Together to mentor ethnic minority, disabled and other staff (spent in 2021/2022). A second £50k has been spent on staff reward, recognition and recovery. The final £2k was spent on goodie bags containing healthy snacks and essentials to welcome, sustain and thank vaccination staff.

A further £35k has been received to aid pandemic recovery and some of this will be spent on a staff wellbeing post.

There is a restricted fund relating to a legacy left by the Reverend Buckland to be used for any charitable purpose relating to the benefit of Occupational Therapy and the Rehabilitation Assessment units.

Swanage Hospital has a restricted fund to be used for the care of cancer patients.

Wimborne Hospital received a legacy in January 2017 of £8k for use in the Minor Injury Unit with specific reference to eye care. Items for the ophthalmology department have been purchased in 2019/20.

## Notes to the Financial Statements

### Fund Descriptions continued

Kings Park Hospital received a £40k legacy in July 2018 and ideas were put forward regarding how to spend this money.

Dorset Working Women's Project received £4k in August 2016 to create a safe space for working women within the Boscombe/Springbourne areas. They have also received a £2k donation in September 2018 which is being used for an Outreach project to take health education and hot food and drinks to workers in the night time economy in deprived areas of Dorset.

Pebble Lodge, the Trust's Child and Adolescent Mental Health Hospital in Bournemouth, received a £5k donation in 2019/20 which is to be spent on young people's activities. Surfing and other activities have been undertaken.

The other immaterial restricted funds relate to funds under £2k.

### 14 Related party transactions

Information about related party transactions and outstanding balances is outlined below:

| | Income £000 | Expenditure £000 | Debtors £000 | Creditors £000 |
|---|---|---|---|---|
| **Dorset HealthCare University NHS Foundation Trust - Corporate Trustee** | | | | |
| To 31 January 2022 | **0** | **28** | **0** | **0** |
| To 31 January 2021 | 0 | 28 | 0 | 0 |

The Board of Directors of Dorset HealthCare University NHS Foundation Trust is the sole corporate trustee of the charitable fund.

Board Members of Dorset HealthCare University NHS Foundation Trust (and other senior staff) take decisions on Charity matters but endeavour to keep the interests of each discrete and do not seek to benefit personally from such decisions.

Dorset HealthCare University NHS Foundation Trust on occasion will receive income or make payments on behalf of Dorset HealthCare Charitable Fund, the Charity will then refund the balance. In addition Dorset HealthCare University NHS Foundation Trust provides management and accounting support to the Charitable Fund. During the year ended 31 January 2022 £28k (2020/21: £28k) was paid to Dorset HealthCare University NHS Foundation Trust in respect of this. The figures above include both of these elements.

During the period none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Dorset HealthCare Charitable Fund or received any benefit from the charity through payment or in kind.

### 15 Contingent Liabilities or Assets

There are no contingent assets or liabilities in 2021/22.

**DORSET HEALTHCARE CHARITABLE FUND**

England & Wales - Charity number 1056550

# Accounts

# DORSET HEALTHCARE CHARITABLE FUND

A registered charity
(Registered No. 1056550)

# ANNUAL REPORT AND FINANCIAL STATEMENTS

## FOR THE YEAR ENDED 31 JANUARY 2021

Dorset HealthCare Charity

# ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 JANUARY 2021

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## REFERENCE AND ADMINISTRATIVE DETAILS

**Charity registration number:** **1056550**

**Principal & Registered Office of Charity:**
Sentinel House
Nuffield Industrial Estate
Poole
Dorset
BH17 0RB

**Bankers:**
Barclays Bank PLC
Ground Floor County Gates
300 Poole Road
Bournemouth
BH12 1AZ

**Independent Examiners:**
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

**Internal Auditors:**
BDO LLP
Arcadia House, Maritime Walk
Ocean Village, Southampton
Hampshire
SO14 3TL

Dorset HealthCare University NHS Foundation Trust (the Foundation Trust) is the Corporate Trustee of the Dorset HealthCare Charitable Fund governed by the laws applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011. The Foundation Trust Board has delegated responsibility for overseeing the administration of the charity to the Board acting as the Corporate Trustee. The members of the Foundation Trust Board who served during the last two financial years were as follows:

**Corporate Trustee members:**

| | |
|---|---|
| Andy Willis | Chair |
| Matthew Metcalfe | Executive Director of Finance and Strategic Development (from 5 Sept 2016) |
| Heather Baily | Non Executive Director (from 1 Oct 2017) |
| Nicola Plumb | Executive Director for People and Culture |

**Trust Board members current:**

| | |
|---|---|
| Andy Willis | Trust Chair (from 24 Mar 2017) |
| Eugine Yafele | Chief Executive (from 1 Feb 2019) |
| David Brook | Non Executive Director |
| Sir David Haslam CBE | Non Executive Director (from 1 Oct 2020) |
| Andreas Haimboeck-Tichy | Non Executive Director (from 1 Oct 2020) |
| Des Pullen | Non Executive Director (from 1 Nov 2020) |
| Heather Baily | Non Executive Director |
| Steven Peacock | Non Executive Director (from 1 Mar 2020) |
| Tristan Phillips | Non Executive Director (from 1 Jul 2019) |
| Dawn Dawson | Executive Director of Nursing and Quality (from 1 Apr 2018) |
| Dr Faisil Sethi | Medical Director (from October 2020) |
| Nicola Plumb | Executive Director for People and Culture |
| Kristin Dominy | Chief Operating Officer/Deputy Chief Executive Officer (from 1 Apr 2020) |
| Matthew Metcalfe | Executive Director of Finance and Strategic Development (from 5 Sept 2016) |

**Trust Board members past:**

| | |
|---|---|
| Belinda Phipps | Non Executive Director and Chair of Charitable Fund Committee (from 1 Oct 2019 to 30 Sept 2020) |
| John Carvel | Non Executive Director (from October 2017 to October 2020) |
| Sarah Murray | Non Executive Director (from August 2014 to October 2020) |
| Steven Tomkins | Medical Director (from 6 July 2018 - July 2020) |

# Trustees' Annual Report

The Corporate Trustee presents the Charitable Funds Annual Report together with the Independently Examined Financial Statements for the year ended 31 January 2021. The prior year was the year to 31 January 2020. The trustees have adopted the provisions of the Statement of Recommended Practice (SORP) "Accounting and Reporting by Charities" FRS102 (1a) in preparing the annual report and financial statements of the charity.

The Charity's Annual Report and Financial Statements for the year ended 31 January 2021 have been prepared by the Corporate Trustee in accordance with the accounting policies set out in the notes to the financial statements and comply with the charity's governing document, the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their financial statements in accordance with the Financial Reporting Standard FRS102 (1a).

## Trustees of the Charity

The directors of the Foundation Trust are the Charity's trustees for the purpose of charity law. The trustees who have served during the year and since the year end are noted on page 1.

## Objectives

Dorset HealthCare Charitable Fund is an independent registered charity. It exists to raise funds and receive donations for the benefit of the patients and staff of Dorset HealthCare University NHS Foundation Trust. We are a trustee body independent from the Foundation Trust but work for the benefit of the patients, their families and the staff.

**The Charity's main objective is to hold the fund upon trust to apply the income for any charitable purpose or purposes relating to the provision of health and social care. This includes social care services; particularly in relation to services provided on or behalf of (but in no way limited to) Dorset HealthCare NHS Foundation Trust.**

The charity secures funding from fundraising, donations and legacies received from patients, their relatives, the general public and other organisations to be able to enhance and augment the service provided and environment in which care is provided.

The fund benefits a wide range of patients in hospitals, day centres, clinics and within their own home including older people, children, those with mental health problems, those recovering from operations, as well as people with physical and sensory difficulties and those who have learning disabilities.

Staff also benefit from the fund which is used for staff appreciation, team building and the improvement of staff facilities and well being as well as the education of staff by providing training over and above normal NHS standards. This enhanced training benefits both staff and patients.

## Trustees' Annual Report continued

### Public Benefit

The Charity Trustees have complied with the duty in section 4 of the Charities Act 2011 to have due regard to public benefit guidance published by the Charities Commission. The Trustees:

- must act reasonably and prudently in all matters relating to the Charity;
- must protect the Charity and act in the best interests of the Charity;
- should fully discuss and agree the annual accounts and annual report;
- ensure the income and property of the Charity is applied for the purposes set out in the Governing Document;
- ensure that good policies are in place and are followed to ensure that the systems of control are rigorous and that the systems of control are well monitored;
- annually review policies and investments and method of investment;
- take proper professional advice when necessary;
- must ensure that the way in which the Charity is administered is not open to abuse by unscrupulous persons.

## Structure

### Governance

Dorset HealthCare Charitable Fund was established by a Declaration of Trust dated April 1996. The objects being for any charitable purpose(s) relating to the provision of health and social care in relation to (although no way limited to) services provided by or on behalf of Dorset HealthCare University NHS Foundation Trust.

The Board of Directors, on behalf of the Charitable Fund Committee and the Corporate Trustee, review risk via the results of any audits undertaken by both the Internal Auditors and Independent Reviewers. The Internal Audit reports are presented to the Trust's Audit Committee and any significant risks are entered onto the Corporate and/or Operational Risk Registers. No significant risks were identified during the year under review. See also the Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund on page 13. The Trustees are satisfied that there are systems in place to reduce and mitigate the exposure of the Charity to any significant risks.

The Chair and Non-Executive Directors are appointed by the Board of Governors of the Foundation Trust. The Board of Governors also approve the appointment of the Chief Executive by the Non-Executive Directors.

Dorset HealthCare University NHS Foundation Trust fulfils the role of Corporate Trustee. The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. The authority to spend funds has been delegated to service managers within limits agreed by the Trust Board.

**Structure continued**

**Management**

The Corporate Trustee is responsible for overseeing the administration of the charity. The finance directorate of Dorset HealthCare University NHS Foundation Trust has been given the responsibility for the administration of the fund accounts and reports to the Board twice a year. The Director of Finance and Strategy has responsibility for ensuring that full accounting records are maintained and that devolved decision making or delegated arrangements are in accordance with the policies and procedures governing the Charity.

The charitable funds available for spending are allocated to service managers, with each allocation managed by use of a designated fund within the general unrestricted fund. This is intended to ensure funds are spent in a way which respects the wishes of our generous donors and benefactors. Where funds are received with specific restriction set by the donor, a new restricted fund is established.

The delegated limits set by the Corporate Trustee for expenditure below £10k requires the approval of the Fund Manager. Expenditure above £10k and up to £50k requires the approval of the Service Director (or nominated Deputy). Expenditure of £50k or more requires the approval of the Director of Finance and Strategic Development and the Service Director (or nominated Deputy). These limits were increased in October 2020 in order to cut down on bureaucracy, facilitate spending of the funds whilst maintaining a reasonable control of authorised expenditure.

The trustees consider themselves to be the only key management personnel.

**Risk Management**

Key risk areas include fraudulent attempts and over commitments of funds. Procedures and policies have been established to mitigate these risks.

Dorset HealthCare Charitable Fund also engages with an internal auditor to periodically carry out reviews and report any areas of weakness. Improvements to processes are implemented when any weakness is highlighted.

## Reserves Policy

The reserves policy consists of:

- an expectation that income in each individual fund will be spent within 18 months of receipt unless it is being accumulated for a specific purpose.
- no individual fund should hold a permanent balance of more than £25k during a three month consecutive period unless, as above, the funds are being accumulated for a specific project.
- funds with more than £50k require expenditure plans to be submitted to the Corporate Trustee.

**Reserves Policy continued**

The reserves total £991k. Fund managers are encouraged to spend funds within 18 months. However, in some cases appropriate expenditure has not yet been identified. This has led to an accumulation of reserves. These reserves will be spent on future appropriate expenditure as and when it is identified.

There are three funds with a balance over £50k. These are:

Wimborne Hospital X-Ray Department fund: £339k
Wimborne X-Ray Department received a large legacy of £350k in 2019/20 which is to be used for the refurbishment of the X-Ray department. Work is being undertaken on the enabling works to commence this project. This is forecast to complete in 2023/24.

Wimborne Hospital designated fund: £183k
This is a designated pot to be used for the benefit of Wimborne Hospital. This hospital has a brilliant level of support from the local community and is regularly in receipt of legacy donations. Consequently a lot of work has already been carried out at Wimborne funded from the Charitable Fund. The staff at Wimborne use this fund as and when they identify either a staff, patient or building requirement.

NHS Charities Together £160k

The Charity joined NHS Charities Together in 2020 and received £176k to support staff and patients during the COVID-19 crisis. Some spending has commenced in 2020/21 and future plans made to spend on staff and well being projects in 2021/22.

## Treasury Management Policy

The Fund usually invests £100k with H M Treasury Deposit, which previously gave a return of between 0.38% to 0.39%, resulting in a small balance in previous years. However, due to the COVID crisis H M Treasury has suspended deposits. The funds are still currently on deposit with the main Trust waiting for the investment process to resume.

The Charitable Fund spending principle is to spend funds within 18 months therefore investment are only entered into short term options.

The Treasury Management policy states:

- surplus cash can be invested for a period of up to 95 days.
- potential risks to the reputation of the Trust that may come from an involvement with deposits in the commercial sector must be minimised.
- cash is only deposited in "safe harbour" deposits. These are low risk and high liquidity investments. They are deposited with permitted institutions which meet recognised rating agency criteria.

## The impact of COVID-19

The 2020 COVID-19 pandemic has had impacts on the Charity both positive and negative.

Dorset HealthCare NHS Foundation Trust, the NHS as a whole and the Charity had to quickly adapt to deal with the crisis and the significant numbers of patients who were ill. Wards and services were re-purposed and for long periods closed to any but essential staff. This impacted on day to day donations as visitors could no longer attend sites - reducing donations significantly. Expenditure was also reduced as staff focused their efforts into treatment and further prevention of the spread of the virus.

However the fundraising efforts of the public in support of the wider NHS, typified in the amazing response to Captain Sir Tom Moore and others, led to a huge influx of donations for the Health Service via the NHS Charities Together Charity. The Trust became a member of NHS Charities Together and as a consequence received a share of these donations (£176k).

Additionally, some members of the public and local companies also supported their local hospitals and services via crowd funding raising £9k for their chosen sites.

The NHS Charities Together money has been primarily spent on staff welfare and further details of expenditure are shown under future plans.

Normal expenditure is slowly recovering in 2021/22 as Teams now have time to plan to reward their staff or create new improvements or facilities for patients and services.

The Charity is incredibly grateful for all the support during this time shown to it and to the wider NHS.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2020/21

## Activities and Achievements

The Charitable Fund spent £50k on activities and purchases (excluding support costs) to meet the Charity's objectives in the year. A highlight of these include:



Ongoing development of more beautiful and patient/visitor friendly gardens thanks to the dedication of a group of volunteers known as The Westminster Memorial Hospital Gardening Group



## Patient Welfare

Direct patient welfare expenditure for the period totalled £23k but also includes the purchase of equipment or refurbishment to Wards and Units to provide a better patient experience (note 5). Funds are mainly used to provide additional facilities, or extras, to make the patients' stay more comfortable such as radios, particularly when patients were unable to have visitors or access patient lounges due to COVID restrictions. These funds make it possible to purchase certain special items which otherwise could not be provided from Dorset HealthCare NHS Foundation Trust's existing revenue or capital budgets. The largest items of spend by monetary value include refurbishments and additional equipment purchases, which enhance the quality of care. This includes an upgrade to a palliative care suite which is above and beyond what is considered standard NHS interior.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2020/21

**Activities and Achievements continued**

## Swanage Hospital End of Life Suite and Hospital gardens



The living wall at Swanage Hospital leads into the patient lounge area which is used by the Lewis Manning Hospice, bereavement volunteers and inpatients at the Hospital.

A view of the relatives suite which is provided for relatives of patients who are at the end of their lives.

It enables relatives to take a break or stay overnight to be close to their loved ones. It is also a disabled friendly space so inclusive to all.





Original stained glass windows have been re-framed so that the glass can feature in the therapeutic garden used by patients, those with life limiting illness or at the end of their lives.

It is also used by staff and visitors.

# Review of the Activities, Achievements and Performance of the Charitable Funds in 2020/21 continued

## Staff Education and Welfare

Staff education and welfare expenditure for the year totalled £27k. These costs were incurred to improve staff facilities and for staff development and also represent NHS Charities Together monies being spent to support and thank staff.

Staff were able to attend external training courses which provided an even higher level of teaching for the benefits of our patients. This included training courses in palliative care and cancer care. Many courses this year have been virtual or postponed until next year. Other funds were donated towards staff events and other morale and team building events.

**Other Charitable events included:**

- Netflix for patients on Twynham Ward to alleviate the impact of staying inside during lock downs;
- gardening therapy items at Alderney Hospital;
- pet therapy guinea pigs and rabbits for patients at Alderney Hospital;
- pizza and ice cream for staff on arduous shifts at Alderney Hospital;
- memorial trees at various hospitals and sites;
- welcome cakes for the 111 service now hosted by Dorset HealthCare University Foundation Trust;
- cotton tote bags for the End of Life services in two hospitals so that relatives can take their loved ones possessions home in a dignified and environmentally friendly way;
- memory aids such as specialised clocks, key finders and phones for patients attending the Brain Injury Service;
- allotment rental as part of gardening therapy for people with mental health/anxiety;
- radios for patients to use who were unable to have visitors or to visit the TV room due to COVID
- inpatient Christmas entertainment;
- start up monies for patient run cafes in two mental health sites;
- thank you gifts and hampers for facilities and clinical staff from monies donated by grateful patients and public;
- fairy lights and ornaments for hospital gardens at Christmas;
- Pilates equipment for staff at Wimborne Hospital;
- art works and planters for a new Sexual Health hub;
- mini toiletry sets for patients in hospital to make their stay more pleasant;
- a music system for chaplaincy services;
- hot food and drinks for people working in the night time economy in areas of deprivation;
- poppies and a cut out metal soldier for Bridport Memorial garden.



A detail from Swanage Hospital's living wall.

## Our Future Plans

The Charitable Fund makes plans in line with the direction and strategy of the Foundation Trust to ensure funds are being spent effectively.

The following provides a summary of the future spending plans for the NHS Charities Together funds:



## Our Future Plans continued

Future plans are financed by either fund reserves or new donations, legacies or grant income.

Future spend will be reflective of the future needs of the services provided or carried out on behalf of the Foundation Trust and the supporting community.

Spending plans are requested on a regular basis from all service managers who hold funds.

Other spending plans include:

- Mindray monitors for theatre, recovery and day surgery ward at Wimborne Hospital. These provide ECG monitoring, patient rhythm data and the ability to monitor respiratory rate;
- Digital radios for patient use at West Haven and Bridport Hospitals. During the pandemic patients were unable to have visitors or access patient lounges so the radios supplied entertainment and company.

## Review of the Finances of the Charitable Funds in 2020/21

Total income decreased by £178k to £235k in year (2019/20 increase of £125k). 2019-20 included a one off legacy of £350k so legacies were particularly high last year but the effects of the COVID pandemic were also felt in 2020/21. However, due to grants from NHS Charities Together and fundraising activities by members of the public which together realised £185k the Charity's funds remained buoyant. The 4 legacies made throughout the year totalled £19k and additionally over 180 donations were made totalling £30k, resulting in total 2020/21 donation and legacy income of £234k (2019/20 £411k). Investment income of £1k (2019/20 £2k) was from interest earned on the Fund's bank account.

Total expenditure decreased by £83k to £81k in year (2019/20 increase of £40k) due again to the impact of COVID on charitable activities. A total of £80k (2019/20 £133k) was spent directly on charitable activities, a breakdown of which can been seen in note 5. In order to manage the charity £31k (2019/20 £31k) was spent on support costs.

Total net profit totalled £154k (2019/20 £249k) and was added to the brought forward net assets of £837k resulting in total net assets at the end of 2020/21 of £991k.

The charity relies on donations, grants and legacies as its main source of income. While the charity does not actively seek donations, past years donations have always more than exceed total annual running costs.

## Statement of Trustees' Responsibilities

The Trustees are responsible for preparing the Trustees' Annual Report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

The law applicable to charities in England and Wales requires the Trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charity and of the incoming resources of the Charity for that period. In preparing these financial statements, the Trustees are required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgements and estimates that are reasonable and prudent;
- state whether applicable accounting standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The Trustees are responsible for keeping proper accounting records that disclose with reasonable accuracy at any time the financial position of the charity and ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provisions of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

By Order of the Trustee
Signed:

..... Date 12th October 2021

Andy Willis, Chair of the Corporate Trustee

## Independent Examiners' Report to the Trustees of Dorset HealthCare Charitable Fund

I report to the charity trustees on my examination of the accounts of the charity for the year ended 31 January 2021 which are set out on pages 1 to 26.

### Responsibilities and basis of report

As the charity's trustees you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ("the Act").

I report in respect of my examination of the charity's accounts carried out under section 145 of the Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Act.

### Independent examiner's statement

Since the charity's gross income exceeded £250,000 your examiner must be a member of a body listed in section 145 of the Act. I confirm that I am qualified to undertake the examination because I am a member of the Institute of Chartered Accountants in England and Wales, which is one of the listed bodies.

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

1 accounting records were not kept in respect of the Charity as requested by section 130 of the Act; or
2 the accounts do not accord with those records
3 the accounts do not comply with the applicable requirements concerning the form and content of accounts set out in the Charities (Accounts and Reports) Regulations 2008 other than any requirement that the accounts give a "true and fair view" which is not a matter considered as part of an independent examination.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed:

Lucy Filer FCA
For and on behalf of
TC Group
10 Bridge Street
Christchurch
Dorset
BH23 1EF

Date 5/11/2021



Captain Sir Tom Moore completing his 100th lap of his garden raising £33 million for NHS Charities as a result.

# *A BIG THANK YOU...*

On behalf of the patients and staff who have benefited from the donations and legacies received, the Dorset HealthCare Charitable Fund would like to thank everyone who has made charitable donations.

In addition a special thanks to those individuals, both staff of Dorset HealthCare University Foundation Trust and external supporters who have invested their time to drive forward a charitable scheme.

## Statement of Financial Activities for the year ending 31 January 2021

| | Note | Unrestricted funds | Restricted funds | **2020/21 Total funds** | 2019/20 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Income from:** | | | | | |
| Donations and legacies | 3 | 111 | 21 | **132** | 411 |
| Grants | 3 | 0 | 102 | **102** | 0 |
| Investments | 4 | 1 | 0 | **1** | 2 |
| **Total income** | | **112** | **123** | **235** | 413 |
| **Expenditure from:** | | | | | |
| Charitable activities | 5 | (34) | (47) | **(81)** | (164) |
| **Total expenditure** | | **(34)** | **(47)** | **(81)** | (164) |
| **Net income/(expenditure)** | | **78** | **76** | **154** | 249 |
| **Transfers between funds** | 13 | 0 | 0 | **0** | 0 |
| **Other recognised gains/(losses):** | | 0 | 0 | **0** | 0 |
| **Net movement in funds** | 13 | **78** | **76** | **154** | 249 |
| **Reconciliation of funds:** | | | | | |
| Total funds brought forward | 13 | 373 | 464 | **837** | 588 |
| **Total funds carried forward** | 13 | **451** | **540** | **991** | 837 |

## Statement of Financial Position for the year ending 31 January 2021

| | Note | Unrestricted funds | Restricted funds | **2020/21 Total funds** | 2019/20 Total funds |
|---|---|---|---|---|---|
| | | £000 | £000 | **£000** | £000 |
| **Current assets:** | | | | | |
| Debtors | 10 | 23 | 1 | **24** | 23 |
| Cash and cash equivalents | 11 | 436 | 545 | **981** | 831 |
| **Total current assets** | | **459** | **546** | **1,005** | 854 |
| **Liabilities:** | | | | | |
| Creditors: amounts falling due within one year | 12 | (8) | (6) | **(14)** | (17) |
| **Net current assets/(liabilities)** | | **451** | **540** | **991** | 837 |
| **Total net assets/(liabilities)** | | **451** | **540** | **991** | 837 |
| **The funds of the charity:** | | | | | |
| Restricted Funds | 13 | 0 | 540 | **540** | 464 |
| Unrestricted Funds | 13 | 451 | 0 | **451** | 373 |
| **Total charity funds** | 13 | **451** | **540** | **991** | 837 |

The notes at pages 17 to 26 form part of these accounts.

The financial statements were approved and authorised for issue by the Board on

Signed on behalf of the Board of Trustees

Signed: Date: 12th October 2021

**Andy Willis, Chair of the Corporate Trustee**

Date:

Charity registration number: 1056550

## Notes to the Financial Statements

### 1 Summary of accounting policies

### 1.1 General information and basis of preparation

Dorset HealthCare Charitable Fund is a charity in the United Kingdom. The address of the registered office is given in the charity information on page 1 of these financial statements. The nature of the charity's operations and principle activities are detailed in the Trustees Annual Report.

The charity constitutes a public benefit entity as defined by FRS 102. The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014, the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102), the Charities Act 2011 and UK Generally Accepted Practice as it applies from 1 January 2015.

The financial statements are prepared on a going concern basis under the historical cost convention. The financial statements are prepared in sterling which is the functional currency of the charity and rounded to the nearest £000.

The significant accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all years presented unless otherwise stated.

### 1.2 Statement of Compliance

These financial statements have been prepared in compliance with the provisions of FRS102 section 1a, 'The Financial Reporting Standard applicable in the UK and the Republic of Ireland'.

### 1.3 Funds

Unrestricted funds are available for use at the discretion of the Trustees in furtherance of the general objectives of the charity and which have not been designated for other purposes.

## Notes to the Financial Statements

### 1.3 Funds (continued)

Designated funds comprise unrestricted funds which are either set aside to be used for specific purposes or which reflect non-binding wishes of donors.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the charity for particular purposes. The cost of raising and administering such funds are charged against the specific fund.

The major funds held in each of these categories are disclosed in note 13.

### 1.4 Income recognition

All incoming resources are included in the Statement of Financial Activities (SoFA) when the charity is legally entitled to the income after any performance conditions have been met, the amount can be measured reliably and it is probable that the income will be received.

For donations to be recognised the charity will have been notified of the amounts and the settlement date in writing. If there are conditions attached to the donation and this requires a level of performance before entitlement can be obtained then income is deferred until those conditions are fully met or the fulfilment of those conditions is within the control of the charity and it is probable that they will be fulfilled.

No amount is included in the financial statements for volunteer time in line with the SORP (FRS 102).

For legacies, entitlement is the earlier of the charity being notified of an impending distribution or the legacy being received. At this point income is recognised. On occasion legacies will be notified to the charity, however it is not possible to measure the amount expected to be distributed. On these occasions, the legacy is treated as a contingent asset and disclosed. If the details of the amount are confirmed after the reporting period but prior to the accounts being authorised for issue then the legacy will be treated as an adjusting post reporting date event and accrued as income.

Investment income is earned through holding assets for investment purposes such as shares and cash. It includes dividends and interest. Where it is not practicable to identify investment management costs incurred within a scheme with reasonable accuracy the investment income is reported net of these costs. It is included when the amount can be measured reliably. Interest income is recognised using the effective interest method and dividend income is recognised as the charity's right to receive payment is established.

## Notes to the Financial Statements

### 1.5 Expenditure recognition

All expenditure is accounted for on an accruals basis and has been classified under headings that aggregate all costs related to the category. Expenditure is recognised where there is a legal or constructive obligation to make payments to third parties, it is probable that the settlement will be required and the amount of the obligation can be measured reliably. It is categorised under the following headings:

Expenditure on charitable activities includes costs incurred in relation to the charitable operations including support and governance costs apportioned to the charitable activities.

### 1.6 Support costs allocation

Support costs are those that assist the work of the charity but do not directly represent charitable activities and include office costs and governance costs. They are incurred directly in support of expenditure on the objects of the charity. Where support costs cannot be directly attributed to particular headings they have been allocated to the cost of raising funds and expenditure on charitable activities on a basis consistent with use of the resources. All overheads have been allocated on an expenditure proportion basis.

Details of these costs is included in note 6.

### 1.7 Debtors and creditors receivable/payable within one year

Debtors and creditors with no stated interest rate and receivable or payable within one year are recorded at transaction price. Any losses arising from impairment are recognised in expenditure.

### 1.8 Cash and cash equivalents

Cash at bank and in hand is held to meet the day to day running costs of the charity as they fall due. Cash equivalents are short term, highly liquid investments, held in either an interest bearing premium account of cash.

### 1.9 Tax

The charity is an exempt charity within the meaning of schedule 3 of the Charities Act 2011 and is considered to pass the tests set out in Paragraph 1 Schedule 6 Finance Act 2010 and therefore it meets the definition of a charitable company for UK corporation tax purposes.

### 1.10 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist. The Trustees have considered the level of funds held and the expected level of income and expenditure for 12 months from authorising these financial statements. The budgeted income and expenditure is sufficient with the level of reserves for the charity to be able to continue as a going concern.

## Notes to the Financial Statements

### 2 Prior year comparatives by type of fund

The primary statements provide prior year comparatives in total; this note provides prior period comparatives for the Statement of Financial Activities and the Statement of Financial Position for the two types of funds the charity manages.

### 2.1 Statement of Financial Activities for the year ending 31 January 2020

| | Unrestricted funds | Restricted funds | **2019/20 Total funds** |
|---|---|---|---|
| | £000 | £000 | **£000** |
| **Income from:** | | | |
| Donations and legacies | 35 | 376 | **411** |
| Investments | 1 | 1 | **2** |
| **Total incoming resources** | **36** | **377** | **413** |
| **Expenditure on:** | | | |
| Charitable activities | (132) | (32) | **(164)** |
| **Total expenditure** | **(132)** | **(32)** | **(164)** |
| **Net movement in funds** | **(96)** | **345** | **249** |
| **Reconciliation of funds:** | | | |
| Total funds brought forward | 472 | 116 | **588** |
| **Total funds carried forward** | **376** | **461** | **837** |

### 2.2 Statement of Financial Position for the year ending 31 January 2020

| | Unrestricted funds | Restricted funds | **2019/20 Total funds** |
|---|---|---|---|
| | £000 | £000 | **£000** |
| **Current Assets:** | | | |
| Debtors | 22 | 1 | **23** |
| Cash at bank and in hand | 362 | 469 | **831** |
| **Total Current Assets** | **384** | **470** | **854** |
| **Liabilities:** | | | |
| Creditors: amounts falling due within one year | (11) | (6) | **(17)** |
| **Net current assets/(liabilities)** | **373** | **464** | **837** |

## Notes to the Financial Statements

### 3 Income from donations and legacies

| | Unrestricted funds £000 | Restricted funds £000 | **2020/21 £000** | 2019/20 £000 |
|---|---|---|---|---|
| Donations | 93 | 20 | **113** | 40 |
| Legacies | 18 | 1 | **19** | 371 |
| Grants | 0 | 102 | **102** | 0 |
| | **111** | **123** | **234** | 411 |

### 4 Income from investments

| | Unrestricted funds £000 | Restricted funds £000 | **2020/21 £000** | 2019/20 £000 |
|---|---|---|---|---|
| Interest - deposits | 1 | 0 | **1** | 2 |
| | **1** | **0** | **1** | 2 |

### 5 Analysis of charitable expenditure

| | Charitable activity £000 | Support costs £000 | **2020/21 £000** | 2019/20 £000 |
|---|---|---|---|---|
| Purchase of new equipment | 9 | 4 | **13** | 56 |
| Building and refurbishment | 10 | 1 | **11** | 26 |
| Staff education and welfare | 27 | 18 | **45** | 52 |
| Patient welfare | 4 | 8 | **12** | 30 |
| | **50** | **31** | **81** | 164 |

The basis for allocating support costs is by number of transactions processed to more accurately reflect the support time and resources used.

Of the above support costs £19k were attributable to restricted funds (2019/20 £7k). The increase is due to the additional administration support needed for the large amounts (£176k) of restricted monies received from NHS Charities Together.

## Notes to the Financial Statements

### 6 Allocation of support costs and governance costs

Support costs are allocated between fundraising activities and charitable activities. Governance costs are those support costs which relate to the strategic and day to day management of a charity.

The bases of allocation used are as follows:

| Support cost | Charitable activities £000 | **Total 2020/21 £000** | Total 2019/20 £000 |
|---|---|---|---|
| Internal Audit | 1 | **1** | 1 |
| External Independent Review | 3 | **3** | 3 |
| **Governance Total** | **4** | **4** | 4 |
| Financial administration | 24 | **24** | 24 |
| Telephone/postage/stationery | 1 | **1** | 1 |
| Computer expenses | 2 | **2** | 2 |
| Total | **31** | **31** | 31 |

| | Unrestricted funds | Restricted funds | **Total 2020/21** | Total 2019/20 |
|---|---|---|---|---|
| Charitable activities | 14 | 17 | **31** | 31 |
| | **14** | **17** | **31** | 31 |

### 7 Independent examiners remuneration

The independent examiners remuneration of £3k (2019/20 - £3k) relates to the independent examination of the financial statements by our external reviewers.

### 8 Trustees' and key management personnel remuneration and expenses

The Trustees and key management personnel neither received nor waived any remuneration during the year 2020/21 (2019/20 - £nil).

No Trustees are accruing pension arrangements (2019/20 - £nil).

The Trustees did not have any expenses reimbursed during the year (2019/20 - £nil).

## Notes to the Financial Statements

### 9 Staff cost and employee benefits

For the 2020/21 year the charitable fund did not employ any staff (2019/20 - nil).

Staff are not directly employed by the Charitable Fund. Staff of Dorset HealthCare University NHS Foundation Trust have responsibility for the day to day running of the Charitable Fund and the time spent on this work is recharged to the Charity on a monthly basis.

### 10 Debtors

| | **2020/21** | 2019/20 |
|---|---|---|
| | **£000** | £000 |
| Other debtors - legacies | 23 | 22 |
| Prepayments and accrued income | 1 | 1 |
| | **24** | 23 |

### 11 Analysis of cash and cash equivalents

| | **2020/21** | 2019/20 |
|---|---|---|
| | **£000** | £000 |
| Cash in hand | 881 | 731 |
| Cash on deposit | 100 | 100 |
| | **981** | 831 |

### 12 Creditors: amounts falling due within one year

| | **2020/21** | 2019/20 |
|---|---|---|
| | **£000** | £000 |
| Accruals | 14 | 17 |
| | **14** | 17 |

## Notes to the Financial Statements

### 13 Fund reconciliation

| | Balance at 01/02/2020 | Transfer | Income | Expenditure | Balance at 31/01/2021 |
|---|---|---|---|---|---|
| | £000 | £000 | £000 | £000 | £000 |
| **Unrestricted and designated funds:** | | | | | |
| **Designated funds** | | | | | |
| Wimborne Hospital fund | 194 | 0 | 2 | (13) | **183** |
| New Wave fund | 44 | 0 | 0 | (1) | **43** |
| Other immaterial designated funds (42 funds) | 131 | 0 | 33 | (17) | **147** |
| **Unrestricted funds** | | | | | |
| NHS Charities Together Initial Funding | 0 | 0 | 74 | (1) | **73** |
| General funds | 4 | 0 | 3 | (2) | **5** |
| **Total unrestricted and designated funds** | **373** | **0** | **112** | **(34)** | **451** |
| | | | | | |
| **Restricted funds:** | | | | | |
| Buckland Fund | 7 | 0 | 0 | 0 | **7** |
| Swanage Hospital | 17 | 0 | 0 | (5) | **12** |
| Swanage Hospital Training LoF | 10 | 0 | 0 | (0) | **10** |
| Wareham Hospital | 7 | 0 | 0 | (5) | **2** |
| Dorset Working Women's Project | 5 | 0 | 0 | (0) | **5** |
| Pebble Lodge | 5 | 0 | 0 | (0) | **5** |
| Wimborne Bullows A&E Eyes | 2 | 0 | 0 | (0) | **2** |
| Kings Park Hospital | 36 | 0 | 0 | (1) | **35** |
| Wimborne X-Ray | 350 | 0 | 0 | (11) | **339** |
| NHS Charities Together | 0 | 0 | 102 | (15) | **87** |
| District Nurses Bournemouth North | 6 | 0 | 0 | (1) | **5** |
| Bridport COVID crowd funding | 0 | 0 | 9 | (1) | **8** |
| Other immaterial restricted funds (37 funds) | 19 | 0 | 12 | (8) | **23** |
| **Total Restricted Funds** | **464** | **0** | **123** | **(47)** | **540** |
| | | | | | |
| **Total Funds** | **837** | 0 | **235** | (81) | **991** |

### Fund Descriptions

Full details of funds are shown with the Trustee Report.

a) Unrestricted and designated funds

The purpose of the unrestricted funds are to support the charitable purpose as stated in the objects of the Dorset HealthCare Charitable Fund; particular designated funds have been created to reflect the non binding wishes of donors.

The Trustees set a closing balance of £50k or above as the threshold for reporting material designated funds. In the interests of accountability a breakdown of all such funds is available upon written request. There is one designated fund with a closing balance over £50k which is Wimborne Hospital at £183k.

Wimborne Hospital Material Designated Fund

This is a non binding legacy which was left to Wimborne Hospital in November 2013. Wimborne has also been the recipient of several further legacies in 2017/18, 2018/19, 2019/20 and in 2020/21.

## Notes to the Financial Statements

### Fund Descriptions continued

Funds were received from New Wave Integrated Care Ltd in April 2014 for the benefit of people in Boscombe and the immediate surrounding areas. This fund is now being used for projects which were identified in 2019/20 such as lunch clubs for lonely isolated older people. Again due to COVID restrictions these have been temporarily postponed.

### NHS Charities Together - Unrestricted Funds

The Charity joined NHS Charities Together in 2020 and received initial unrestricted funding of £74k to support staff and patients during the COVID crisis. Some spending has commenced in 2020/21 and future plans made to spend on staff and well being projects in 2021/22.

b) Restricted funds

Restricted funds over £50k

Wimborne X-Ray Department

A large legacy was received in 2019/20 of £350k which is solely for the benefit of the Radiology Department at Wimborne Hospital. Plans are being made to use this money on refurbishing/improving the X-ray department.

NHS Charities Together - Grants

NHS Charities Together invited bids in 2020 and the Charity consequently received £50k to mentor ethnic minority, disabled and other staff (spent in 2021-2022). A second £50k has and is currently being spent on staff reward, recognition and recovery. The final £2k was spent on goodie bags containing healthy snacks and essentials to welcome, sustain and thank vaccination staff.

Restricted funds under £50k

There is a restricted fund relating to a legacy left by the Reverend Buckland to be used for any charitable purpose relating to the benefit of Occupational Therapy and the Rehabilitation Assessment units.

Swanage Hospital has a restricted fund to be used for the care of cancer patients.

Wareham Hospital has a restricted fund for the benefit of the palliative care unit - and received a legacy of £15k in 2020/21. The Hospital has submitted a plan to refurbish Kimmeridge Ward which as well hosting general clinical space will also be used for audiology, coagulation, heart failure, continence and AAA screening clinics in a location easy and accessible for as many patients as possible.

Wimborne Hospital received a legacy in January 2017 of £8k for use in the Minor Injury Unit with specific reference to eye care. Items for the ophthalmology department have been purchased in 2019/20.

## Notes to the Financial Statements

### Fund Descriptions continued

Kings Park Hospital received a £40k legacy in July 2018 and ideas were put forward regarding how to spend this money.

Dorset Working Women's Project received £4k in August 2016 to create a safe space for working women within the Boscombe/Springbourne areas. They have also received a £2k donation in September 2018 which is being used for an Outreach project to take health education and hot food and drinks to workers in the night time economy in deprived areas of Dorset.

Pebble Lodge, the Trust's Child and Adolescent Mental Health Hospital in Bournemouth, received a £5k donation in 2019/20 which is to be spent on young people's activities. Unfortunately due to COVID these had to be postponed but are still planned for when restrictions ease.

### 14 Related party transactions

Information about related party transactions and outstanding balances is outlined below:

| | Income £000 | Expenditure £000 | Debtors £000 | Creditors £000 |
|---|---|---|---|---|
| **Dorset HealthCare University NHS Foundation Trust - Corporate Trustee** | | | | |
| To 31 January 2021 | **0** | **28** | **0** | **0** |
| To 31 January 2020 | 0 | 28 | 0 | 0 |

The Trust Board of Dorset HealthCare University NHS Foundation Trust is the sole corporate trustee of the charitable fund.

Board Members of Dorset HealthCare University NHS Foundation Trust (and other senior staff) take decisions on Charity matters but endeavour to keep the interests of each discrete and do not seek to benefit personally from such decisions.

Dorset HealthCare University NHS Foundation Trust on occasion will receive income or make payments on behalf of Dorset HealthCare Charitable Fund, the charity will then refund the balance. In addition Dorset HealthCare University NHS Foundation Trust provides management and accounting support to the Charitable Fund. During the year ended 31 January 2021 £28k (2019/20: £28k) was paid to Dorset HealthCare University NHS Foundation Trust in respect of this. The figures above include both of these elements.

During the period none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Dorset HealthCare Charitable Fund or received any benefit from the charity through payment or in kind.

### 15 Contingent Liabilities or Assets

There are no contingent assets or liabilities in 2020/21.