UNIVERSITY HOSPITALS OF NORTH MIDLANDS CHARITY

England & Wales · Charity number 1054889

Details

Other names	NORTH STAFFORDSHIRE HOSPITAL CHARITABLE FUND, UNIVERSITY HOSPITAL OF NORTH STAFFORDSHIRE CHARITABLE FUND, UNIVERSITY HOSPITAL OF NORTH STAFFORDSHIRE CHARITY
Status	Registered
Legal form	Other
Registered	1996-04-24
Register	View on the Charity Commission register

Contact

Address	UHNM Charity Charity Office Royal Stoke University Hospital Newcastle Road Stoke-on-Trent ST4 6QG
Phone	01782676444
Email	charity@uhnm.nhs.uk
Website	www.uhnmcharity.co.uk

Activities

Objects: THE TRUSTEES SHALL HOLD THE TRUST FUND UPON TRUST TO APPLY THE INCOME, AND AT THEIR DISCRETION, SO FAR AS MAYBE PERMISSIBLE, THE CAPITAL FOR ANY CHARITABLE PURPOSE OR PURPOSES RELATING TO THE NATIONAL HEALTH SERVICEWHOLLY OR MAINLY FOR THE SERVICE PROVIDED BY UNIVERSITY HOSPITALS OF NORTH MIDLANDS NHS TRUST

Activities: UHNM Charity is the official charity for University Hospitals of North Midlands NHS Trust: which manages Royal Stoke University Hospital and County Hospital, Stafford. Its priority is to enhance patient experience and outcomes over and above what the NHS can provide through funding pioneering research, refurbishment and enhancements, and advanced medical equipment, technology and systems.

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Classification

- **How:** Makes Grants To Organisations
- **What:** General Charitable Purposes, The Advancement Of Health Or Saving Of Lives, Disability
- **Who:** Children/young People, Elderly/old People, People With Disabilities, The General Public/mankind

Geography

- Cheshire West & Chester
- Derbyshire
- Shropshire
- Staffordshire

Finances

Period end	Income	Expenditure	Assets	Employees
2025-03-31	£8,568,745	£3,077,266	£14,609,943	0
2024-03-31	£1,999,000	£2,116,000	£9,496,000	0
2023-03-31	£6,600,311	£6,209,408	£8,941,695	0
2022-03-31	£5,357,210	£3,980,539	£8,842,694	0
2021-03-31	£3,228,307	£3,540,737	£6,853,181	0

Trustees

Name	Role	Appointed
Stephen Rushton		2020-08-01

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Linked charities

- MEDICINE DIVISION CHARITY (1054889-1)
- THE NEUROSCIENCES DIRECTORATE FUND (1054889-10)
- THE RENAL DIRECTORATE FUND (1054889-11)
- ANAETHETICS AND SURGERY DIVISION CHARITY (1054889-12)
- THE UNIVERSITY HOSPITAL OF NORTH STAFFORDSHIRE NHS TRUST (EXPENDABLE FUNDS) COMMON INVESTMENT FUND (1054889-13)
- SPECIALISED SERVICES DIVISION CHARITY (1054889-2)
- LOCOMOTOR DIVISION CHARITY (1054889-3)
- CLINICAL SUPPORT SERVICES DIVISION CHARITY (1054889-4)
- THE PATHOLOGY DIRECTORATE FUND (1054889-5)
- THE OBSTETRIC AND GYNAECOLOGY DIRECTORATE FUND (1054889-6)
- CORPORATE SERVICES DIVISION CHARITY (1054889-7)
- THE SURGICAL DIRECTORATE FUND (1054889-8)
- WOMEN AND CHILDREN'S DIVISION CHARITY (1054889-9)

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UNIVERSITY HOSPITALS OF NORTH MIDLANDS CHARITY

England & Wales - Charity number 1054889

Accounts

U·H·N·M
charity

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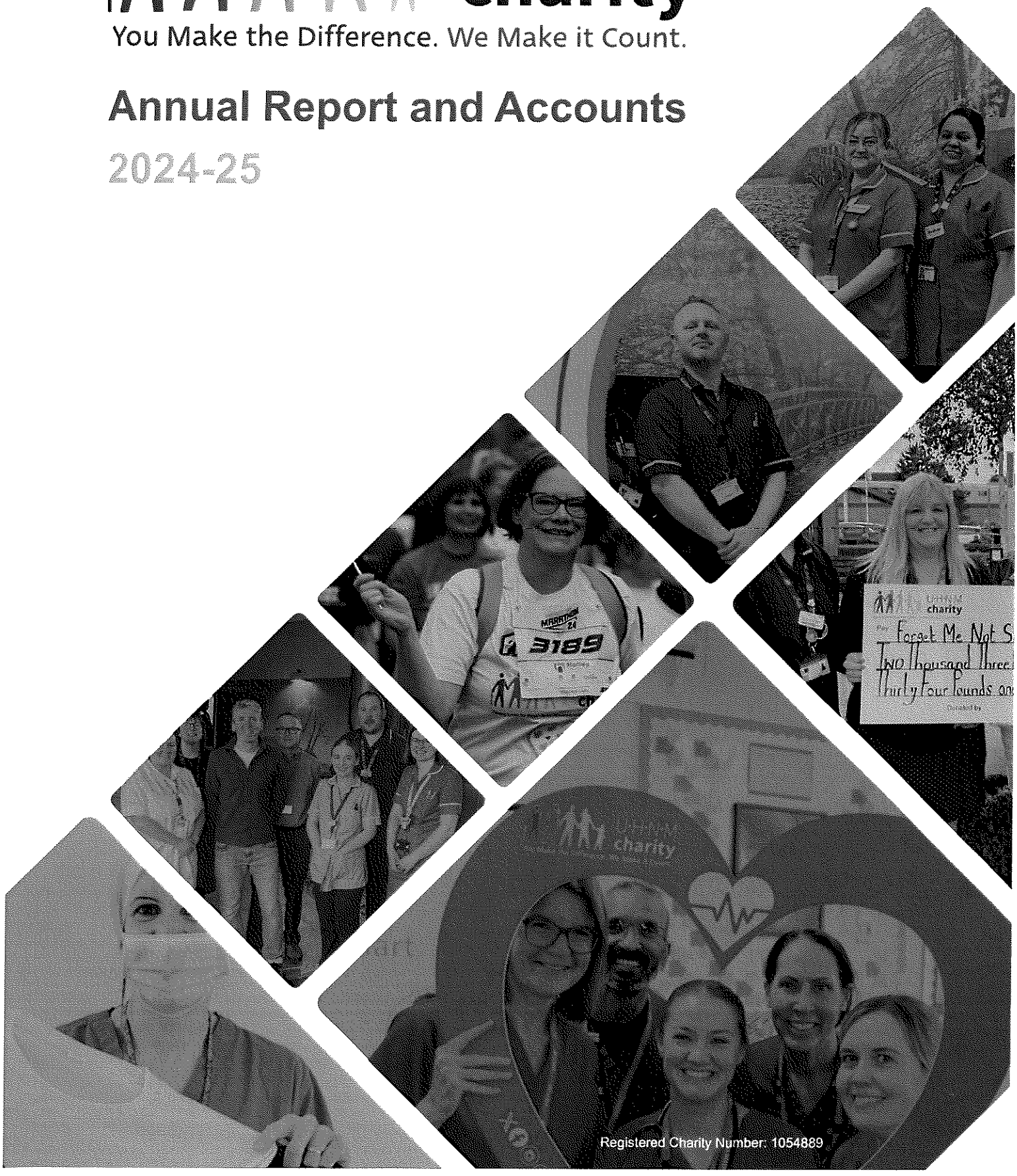
Annual Report and Accounts

2024-25



Registered Charity Number: 1054889

U·H·N·M charity

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Voting Members

David Wakefield
Trust Board
Chairman

Tracy Bullock
Chief Executive
(left 30 June 2024)

Simon Constable
Chief Executive
(from 1 September 2024)

Leigh Griffin
Non-Executive Director
and Chair of Charity Committee
(left 31 August 2024)

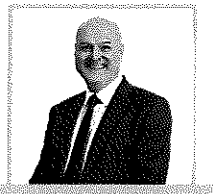
Tanya Bowen
Non-Executive Director
and Chair of Charity Committee
(from September 2024)

Lawton Bainbridge
Non-Executive Director
(from 1 November 2024)

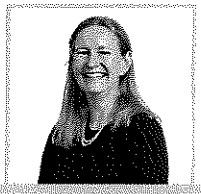
Gary Crown
Non-Executive
Director

Simon Evans
Chief Operating Officer
(left August 2024)

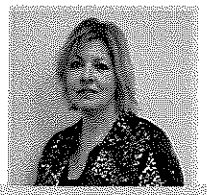
Arvinda Gohil
Non-Executive
Director
(left 31 October 2024)



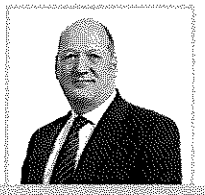
Matthew Lewis
Officer



Kate Maddock
Non-Executive
Director



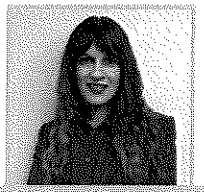
Margaret Mondon
Non-Executive Director
(from 1 October 2024)



Mark Oldham
Chief Finance Officer

Ann-Marie Riley
Chief Nurse

Alison Rodwell
Non-Executive Director
(left 31 October 2024)

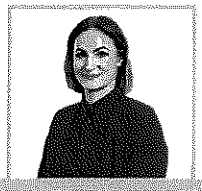


Sunita Tock
Non-Executive
Director

Nicky Thorpe
Interim Chief
Operating Officer
(from 2 September 2024)

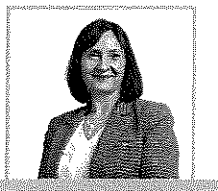
Non-Voting Members

Helen Ashley
Deputy Chief Executive/
Director of Strategy



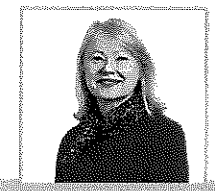
Claire Catton
Director of Governance

Amy Freeman
Chief Digital
Information Officer

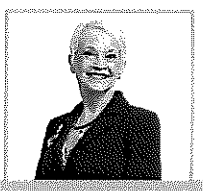


Jane Hall
Chief People Officer

Andrew Bassell
Associate
Non-Executive Director



Wendy Nicholson MBE
Associate
Non-Executive Director
(from 7 October 2024)



Lisa Thomson
Director of
Communications
and Charity

Lorraine Whitehead
Director of Estates,
Facilities and PFI

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Message from our Chair

Dear Friends and Supporters,

It is with great pleasure that I share with you our UHNM Charity Annual Report and Accounts, showcasing the outstanding work and accomplishments over the past year. Thanks to the commitment of our Charity Team and the generous support from our community, local businesses, and UHNM colleagues, we have been able to make a meaningful impact on the lives of many.

I would like to express my sincere thanks to every individual, volunteer, organisation, and partner who has given their time, energy, and resources to support our mission. Your generosity has helped us improve the care and support we provide to patients, service users, their families, and our dedicated UHNM staff.

As we look to the future, we are delighted that Lisa Thomson will be focusing her role entirely on the Charity, taking on the position of Charity Director to help drive our continued growth and expand the impact of our work. We remain committed to building on our success and furthering our goal of delivering exceptional care to those who need it most. With your continued support, we are confident that we can achieve even more in the year ahead.

Together, we will keep making a lasting difference in the lives of individuals and families across our community.

With warmest regards,

Tanya

Tanya Bowen
UHNM Charity Chair

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Our Charity

UHNM Charity is the official charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – Royal Stoke University Hospital and County Hospital, Stafford. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for patients, service users and their loved ones to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, training and transforming areas into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients and service users accessing NHS services by supporting high priority areas which are beyond the scope of government funding.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising professionals who organise events and supports the hundreds of fundraisers organising their own or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:

@UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email at charity@uhnm.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the Charity team.

In setting our objectives and planning our activities the Corporate Trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and UHNM Colleagues;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients and service users.
- Funding state-of-the-art equipment that improves outcomes for patients and service users.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM colleagues, from across both hospitals, are encouraged to raise money for their area and can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM colleagues to submit funding applications and to identify new facilities, training, equipment that the Charity can provide.
- Raising income to provide new facilities, training and equipment by supporting patients and service users, members of the public, and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the Charity objectives in the future.

The team working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

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UHNM Charity Team

Lisa Thomson
UHNM Charity Director

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.

Steve Rushton
Head of UHNM Charity

Steve leads on developing major appeals and works with the Charity Committee and senior teams to ensure UHNM Charity continues to grow.

Deborah Matthews
Personal Assistant

PA to Lisa Thomson, UHNM Charity Director and Steve Rushton, Head of Charity.

Joanna Walford
Grant Making Administrator

Joanna works with individuals and teams from across UHNM to advise on and process spending applications.

Becky Bate
Administrator

Becky supports the team with various admin roles and the day-to-day running of the Charity.

Gemma Thomas
UHNM Charity Arts Project Manager

Gemma supports the team with arts projects within UHNM.

Sarah O'Reilly
Fundraising Officer

Sarah makes sure everyone who is fundraising for us is processed and supported.

Donna Kent
Fundraising Officer

Donna makes sure everyone who is fundraising for us is processed and supported.

Tasha Brown
Fundraising Officer

Tasha makes sure everyone who is fundraising for us is processed and supported.

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton BL6, 4YU

Auditors
Dains Audit Limited Suite
2, Albion House 2 Etruria
Office Village Forge
Lane
Stoke-on-Trent ST1 5RQ

Investment Advisers
CCLA Investment
Management Ltd 80
Cheapside
London EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB

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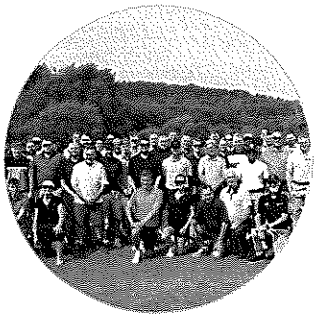
Highlights of the Year 2024/2025

Ten members of staff from UHNM tackled the London Landmarks Half Marathon on Sunday 7 April 2024 raising vital funds for UHNM Charity.

EDS: The Raw and Honest Truth is a poignant and inspiring documentary that provides an intimate look into the lives of individuals living with Ehlers-Danlos Syndrome (EDS), a group of rare connective tissue disorders that often go unseen and misunderstood. Through powerful personal stories, the film explores the daily challenges faced by those with EDS, from chronic pain and joint dislocations to the emotional toll of an invisible illness that is frequently dismissed or misdiagnosed.

UHNM Charity is thrilled to announce that the Keep Stoke Smiling campaign was awarded the prestigious Best Outreach Charity Initiative at The Probe Dental Awards 2024. This accolade is a testament to the dedication and hard work of everyone involved in the campaign, which continues to make a significant impact on the oral health of young people in the Staffordshire community.



Local celebrities, businesses and members of the public have all come together to raise funds for UHNM Charity. Now in its third consecutive year, the Barlaston Golf Club charity event has has helped to raise almost £14,000 to help improve patient care and experience at both Royal Stoke University Hospital and County Hospital in Stafford.

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Our fundraisers and donors

UHNM fundraisers

Colleagues from UHNM's Maternity Centre and Bereavement Team saddled up for UHNM Charity. Money raised from the epic cycle was for the ongoing appeal for an outdoor contemplation area at UHNM's Forget-me-Not Suite.

Give a Little Bit

Christmas 2024, UHNM Charity released a special charity single featuring Lauren May, Ian Rowlands, Charlotte Hulme (Mrs Sax UK), and the UHNM Charity Choir. The single, a heartfelt cover of Supertramp's classic "Give a Little Bit," aims to spread festive cheer while encouraging generosity.

UHNM Euro's

On Saturday 29 June, UHNM Charity held its inaugural UHNM EURO's, at Newcastle Town Football Club. Teams went head to head to raise much needed funds for UHNM Charity with Czechia (PCT Coaching) crowned UHNM EURO 2024 Champions.

Potters 'Arf

On Saturday 29 September colleagues from across UHNM came together to run the annual Potters 'Arf race for UHNM Charity.

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James and Holly Keeling

Ten-year-old twins James and Holly Keeling have raised more than £1,000 for UHNM Charity in memory of their sister Evie.

James, Holly and Evie were born prematurely at 23 weeks and cared for on the Neonatal Intensive Care Unit (NICU). Sadly, Evie passed away shortly after birth. The duo wanted to raise money for NICU on their milestone 10th birthday and decided to challenge each other to give up chocolate for one week and to complete 10,000 extra steps each day.

Jenny Dalkins

Jenny Dalkins raised over £3,500 for UHNM Charity.

Jenny completed the Chester Marathon to raise money for the Radiotherapy Department at Royal Stoke University Hospital after her friend Kim, was diagnosed with stage four throat cancer and received treatment from the unit. Jenny said: "I am over the moon I completed the Chester Marathon and I would like to take this opportunity to thank everyone for the amazing donations which will help make such a difference to both the unit and the patients."

Amy Griffiths

A Stoke-on-Trent family raised more than £4,000 for UHNM Charity by climbing Snowdon.

Amy Griffiths was joined by friends and family to take on the challenge to raise funds for Ears, Nose and Throat and Maxillofacial after Amy's Mum, Diane, was diagnosed with squamous cell carcinoma cancer in January 2024.

Toni Chilton

A proud Auntie who watched her niece complete chemotherapy treatment has raised almost £1,000 for UHNM Charity by shaving her head.

Toni Chilton from Audley braved the shave for the Oncology Unit at Staffordshire Children's Hospital at Royal Stoke University Hospital who provided care to Holly Evans after she was diagnosed with leukaemia.

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Martin and Brian

To reunite Brian and Martin's old football team of 20 years, along with a few running friends, Brian and Martin decided to do a one-off football match, raising money for elderly care dementia patients and the Cancer Centre at Royal Stoke University Hospital.

Hosted at Newcastle Town Football Club, the charity football match took place in late April 2024, and raised over £3,000 for UHNM Charity.

Ange Fleming

Inspirational fundraiser Ange Fleming has made one final stop on her months-long fundraising challenge for UHNM Charity presenting a cheque of over £2,000 to UHNM's Forget-me-Not Suite.

Throughout the year Ange has not only climbed Scafell Pike, England's highest mountain, but also walked to all 105 trig points in Staffordshire, in memory of grandson Charlie who was tragically born sleeping.

Paul Bentham

Paul Bentham ran once again, to raise money for the Children's Oncology Unit at Staffordshire Children's Hospital at Royal Stoke University Hospital.

Running a total of 26.5 miles in the Manchester Marathon, Paul raised a total of £1,264.

With a family friend previously receiving successful treatment there, Paul is again kindly raising funds for the unit.

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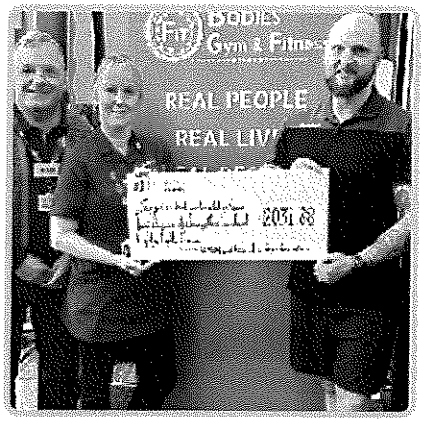
Support from schools, businesses and organisations



Fit Bodies Health Club and its customers took on an impressive cycle challenge.

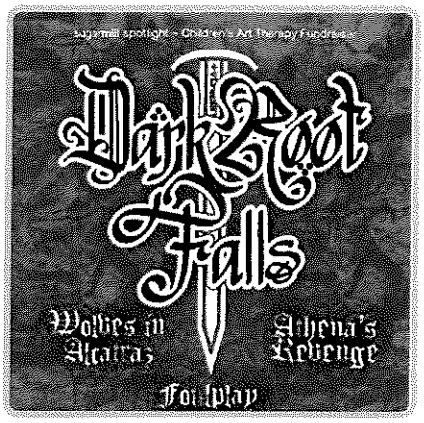
Pupils from Orme Academy held a fundraising week to raise money for UHNM Charity.

Stone Master Marathoners have raised over £2,000 in memory of friend and club member Dr Alison Brind.

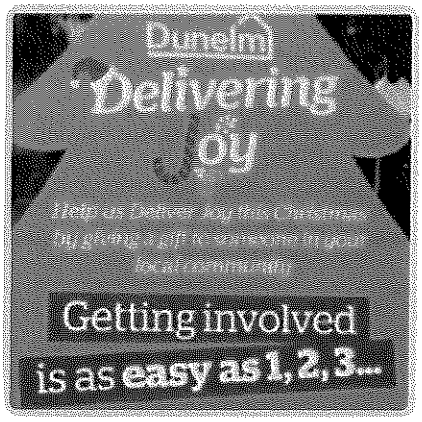
St Thomas Catholic Primary School and The Faber Catholic Primary School created and delivered care packs to Ward 202.

Members of the hospital Filipino community came together to raise almost £1,200 for UHNM Charity by holding a fundraising fiesta.

Veritas Primary Academy, Tillington Manor Primary and Parkside Primary schools supported UHNM Charity with 'County Care Packs'.



The Sugarmill hosted a live event music event in aid of Children's Art Therapy.



Local Dunelm store in Newcastle-under-Lyme, chose UHNM Charity as one of the benefactors of their 'Delivering Joy' festive campaign.

Laura took part in a sponsored head shave to raise funds for UHNM Charity and the Cancer Centre.

'Silverbacks' played in a charity football match raising money for the Oncology Department.

During their annual 'Give Something Back Christmas Countdown' campaign, Steelite raised £250 for UHNM Charity.

Mow Cop Christmas lights display raises almost £2,500 for UHNM Charity.

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£4,257,718.00
approved for charitable purposes.

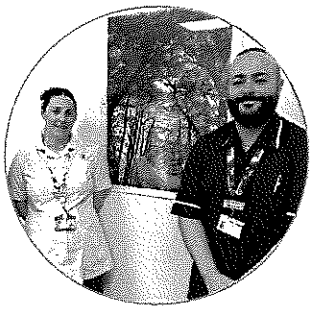
Our impact

Support for bereaved parents

Bekki Bache, Clinical Nurse Specialist for Sudden Unexpected Death in Infants, and Amy Smith, Clinical Nurse Specialist for Paediatric Palliative Care, are now able to offer any family who wants one, a bespoke charm featuring the foot, hand, or fingerprint of their child.

Thanks to UHNM Charity's supporters, families with children up until the age of 18 years will also be offered special bereavement books to help siblings better understand loss, as well as journals and hand moulds.

Improving patient experience



A Deputy Ward Manager is helping to improve the experience of the patients in his care through his love of photography.

Four of Antonio Ferraro's photos, showcasing the landscapes of the Peak District, have been proudly displayed in Cardiothoracic Critical Care at the Royal Stoke.

After his talents were spotted by colleagues, Antonio received funding from UHNM Charity so his work could be hung in four patient side rooms.

Neonatal Intensive Care Unit kitchen refurbishment

The experience and comfort of parents whose newborn babies are receiving care at Royal Stoke University Hospital has been improved thanks to UHNM Charity funding.

The Neonatal Intensive Care Unit has been able to refurbish the visitor kitchen after receiving a grant from UHNM Charity.

260 grants
approved for new equipment.

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Reusable water bottles

UHNM Charity is helping to reduce both patient waiting times and the use of single-use plastic cups at the Royal Stoke University Hospital's Cancer Centre.

Patients attending therapeutic radiography appointments are now provided with one of 500 reusable water bottles thanks to UHNM Charity's supporters.

The bottles help to encourage patients to arrive to their appointments with a full bladder, helping to ensure they are seen by a Radiographer on time.

New ramp for therapy dog

Patients in Royal Stoke will continue to receive visits from therapy dog Keith thanks to a new ramp funded by UHNM Charity.

Keith, who visits with his volunteer owner Emma, has been bringing joy to patients, visitors and staff in the Critical Care Unit for almost three years but at 12 years old was starting to struggle to jump up and down from chairs.

Wall murals

Children and young people attending appointments at the Royal Stoke University Hospital's Child Development Centre are benefitting from a brighter hospital experience thanks to UHNM Charity funding.

The walls of the reception and waiting area at the Centre, have been given a new lease of life using giant wall murals.

UHNM Charity has also helped refurnish the reception area with comfortable and colourful sofas and chairs.

450
approved grants.

60 grants approved
for patient literature / information.

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U·H·N·M
charity

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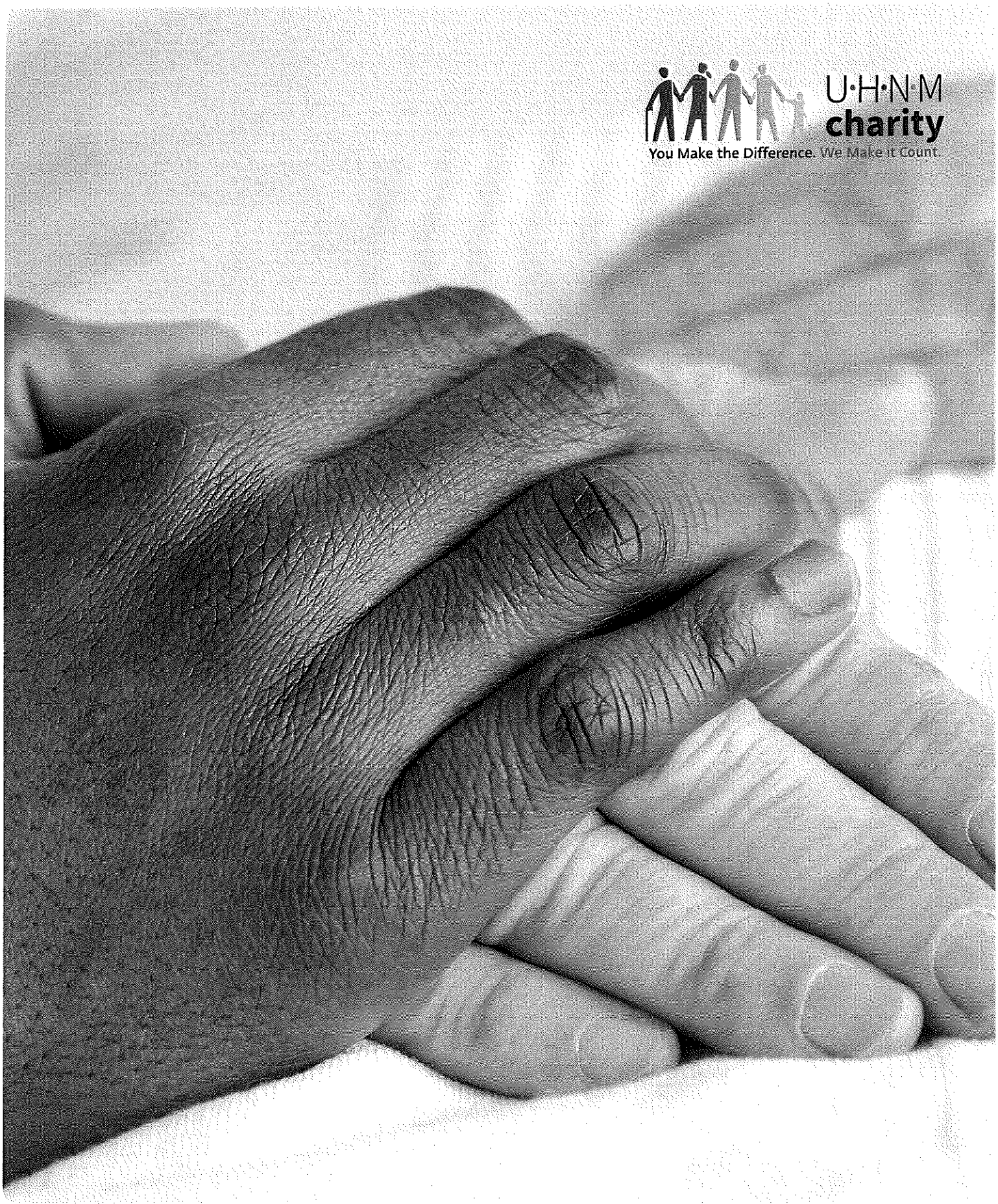

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Leave a legacy to UHNM Charity

By leaving a Gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on **01782 676444** or email: **charity@uhnm.nhs.uk**

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients, service users and visitors at both Royal Stoke University Hospital and County Hospital, Stafford.. There are many ways in which you can donate:

• **Online -** You can make a donation securely online at www.uhnmcharity.org.uk

• **By post -** Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity, Royal Stoke University Hospital, Newcastle Road Stoke-on-Trent, ST4 6QG

• **By telephone -** Call us on (01782) 676444 (And don't forget to have your credit or debit card ready!)

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Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2025. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the Corporate Trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as UHNM Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charity Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and the Charity's objectives.

The Charity Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by UHNM's Charity Director.

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Objectives
The main objectives of the Trustees is to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the NHS, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust.

The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients and service users through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research.

Investment Policy
Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy
The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the Charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary.

It also allows sufficient time for the Charity to process on-going commitments and to meet the cost of closure or transfer of the Charity's affairs should the need ever arise. As at 31 March 2025 UHNM had unrestricted reserves of £8.1million which exceeds the amount needed to cover the agreed three years operational and governance costs.The Charity Team is continually working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives.

Risk Policy
The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and 2. Fraud.

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures, including the regular monitoring of investments, minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy
As a grant making Charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility
Under charity law, the Trustee is responsible for preparing the Trustee's Report and Financial Statements for each financial year which show a true and fair view of the state of affairs of the Charity and of the Charity's financial position for the period.

In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

• Selects suitable accounting policies and then applies them consistently;

• Makes judgements and estimates that are reasonable and prudent;

• States whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;

• Prepares the financial statements on the going concern basis unless it is inappropriate to presume that the Charity will continue its activities.

The Trustee is required to act in accordance with the Trust Deed of the Charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the Charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the Charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the Charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the Financial Statements. The Financial Statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

Related Party Transactions
University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the Charity. Note 1.11 of the Accounts discloses information about any related party transactions.

Fundraising
In relation to Charities Act 2011, which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity's website. No complaints have been received from fundraisers or donors and the Charity Team works closely to support all of those individuals choosing to raised funds.

Jackie Small
Chair of the Corporate Trustee

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Independent Auditor's Report to the Trustees of University Hospitals of North Midlands Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity ('the Charity') for the year ended 31 March 2025 which comprise the Statement of Financial Activities, Statement of Financial Position, the statement of cash flows and the notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 The Financial Reporting Standard applicable in the UK and Republic of Ireland (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- Give a true and fair view of the state of the Charity's affairs as at 31 March 2025, and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;

- Have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and

- Have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern
In auditing the financial statements, we have concluded that the Trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the Charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the Trustees with respect to going concern are described in the relevant sections of this report.

Other information
The Trustees are responsible for the other information. The other information comprises the information included in the Trustee's report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception
We have nothing to report in respect of the following matters in relation to which the Charities (Accounts & Reports) Regulations 2008 requires us to

report to you if, in our opinion:

• The information given in the financial statements is inconsistent in any material respect with the Trustee's report; or

• Sufficient accounting records have not been kept; or

• The financial statements are not in agreement with the accounting records; or

• We have not received all the information and explanations we require for our audit.

Responsibilities of Trustee

As explained more fully in the Trustee's responsibilities statement, the Trustee's are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the Trustee's determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the Charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the Trustee's either intend to liquidate the Charity or to cease operations, or have no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an Auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could

reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

Our approach to identifying and assessing the risks of material misstatement in respect of irregularities, including fraud and non- compliance with laws and regulations, was as follows:

- *the senior statutory auditor ensured that the engagement team collectively had the appropriate competence, capabilities and skills to identify or recognise non-compliance with applicable laws and regulations;*

- *we identified the laws and regulations applicable to the charity through discussions with directors and other management, and from our commercial knowledge and experience of the sector;*

- *we focused on specific laws and regulations which we considered may have a direct material effect on the financial statements or the operations of the charity, including the financial reporting legislation, Charities Act 2011, taxation legislation, anti-bribery, employment, and environmental and health and safety legislation;*

- *we assessed the extent of compliance with the laws and regulations identified above through making enquiries of management and inspecting legal correspondence; and*

- *identified laws and regulations were communicated within the audit team regularly and the team remained alert to instances of non-compliance throughout the audit.*

We assessed the susceptibility of the charity's financial statements to material misstatement, including obtaining an understanding of how fraud might occur, by:

• Making enquiries of management as to where they considered there was susceptibility to fraud, their knowledge of actual, suspected and alleged fraud; and

• Considering the internal controls in place to mitigate risks of fraud and non-compliance with laws and regulations.

To address the risk of fraud through management bias and override of controls, we:

• Performed analytical procedures to identify any unusual or unexpected relationships;

• Tested journal entries to identify unusual transactions;

• Assessed whether judgements and assumptions made in determining the accounting estimates set out in Note 1 were indicative of potential bias; and

• Investigated the rationale behind significant or unusual transactions.

In response to the risk of irregularities and non-compliance with laws and regulations, we designed procedures which included, but were not limited to:

• Agreeing financial statement disclosures to underlying supporting documentation;

• Reading the minutes of meetings of those charged with governance;

• Enquiring of management as to actual and potential litigation and claims; and

• Reviewing correspondence with HMRC, relevant regulators and the charity's legal advisors.

Because of the inherent limitations of an audit, there is a risk that we will not detect all irregularities, including those leading to a material misstatement in the financial statements or non-compliance with regulation. This risk increases the more that compliance with a law or regulation is removed from the events and transactions reflected in the financial statements, as we will be less likely to become aware of instances of non-compliance. The risk is also greater regarding irregularities occurring due to fraud rather than error, as fraud involves intentional concealment, forgery, collusion, omission or misrepresentation.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our Auditors' report.

Use of our report

This report is made solely to the charity's trustees, as a body, in accordance with part 4 of the Charities (Accounts & Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for our audit work, for this report, or for the opinions we have formed.

Dains Audit Limited

Dains Audit Limited Statutory Auditor *Dains Audit Limited is eligible to act as auditor in terms of Section 1212 of the Companies Act 2006*

Suite 2, Albion House, 2 Etruria Office Village,
Forge Lane, Etruria, Stoke-on-Trent, ST1 5RQ

Date: 29 January 2026

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Statement of Financial Activities (including Income & Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2025

	Note	Unrestricted Funds 2024/25	Unrestricted Funds 2023/24	Restricted Funds 2024/25	Restricted Funds 2023/24	Total Funds 2024/25	Total Funds 2023/24
Income from:	2	£000	£000	£000	£000	£000	£000
Donations	2.1	965	223	5,666	2	6,631	225
Legacies	2.2	879	376	89	284	968	660
Other trading activities	2.3	359	302	2	0	361	303
Investments	2.4	489	490	91	86	580	576
Other income	2.5	29	0	0	235	29	235
Total Income		**2,720**	**1,392**	**5,849**	**607**	**8,569**	**1,999**
Expenditure on:	3						
Charitable activities	3.1	1,341	1,444	1,410	112	2,751	1,556
Raising funds	3.2	326	277	0	16	326	293
Other expenditure	3.3	0	0	0	268	0	268
Total expenditure	3.4	**1,667**	**1,721**	**1,410**	**395**	**3,077**	**2,116**
Net gains / (losses) on investments	4	(318)	572	(59)	100	(377)	672
Net income		**735**	**243**	**4,379**	**312**	**5,114**	**554**
Transfers between funds	9	0	163	0	(163)	0	0
Net movement in Funds		**735**	**405**	**4,379**	**149**	**5,114**	**554**
Reconciliation of funds:							
Total funds brought forward		7,392	6,988	2,103	1,954	9,496	8,942
Total funds carried forward		8,127	7,392	6,483	2,103	14,610	9,496

The statement of financial activities includes all gains and losses recognised in the year.

All income and expenditure is derived from continuing activities.

The notes on pages 31 to 37 form part of these financial statements.

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Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2025

	Note	Unrestricted Funds 31-Mar-25 £000	Restricted Funds 31-Mar-25 £000	Total Funds 31-Mar-25 £000	Total Funds 31-Mar-24 £000
Non-current assets					
Investments	6	**7,717**	**0**	**7,717**	**8,094**
Total non-current assets		**7,717**	**0**	**7,717**	**8,094**
Current assets	7				
Debtors	7.1	509	4,112	4,622	289
Cash at bank and in hand	7.2	1,475	4,552	6,027	7,343
Total current assets		**1,985**	**8,665**	**10,649**	**7,632**
Liabilities:	8				
Creditors falling due within one year	8.1	(1,097)	(2,586)	(3,683)	(6,114)
Net current assets		**887**	**6,079**	**6,966**	**1,518**
Total assets less current liabilities		**8,604**	**6,079**	**14,683**	**9,612**
Creditors:					
Amounts falling due after more than one year	8.2	(73)	0	(73)	(116)
Net assets		**8,531**	**6,079**	**14,610**	**9,496**
Funds of the Charity	9				
Restricted income funds		0	6,483	6,483	2,103
Unrestricted income funds		8,127	0	8,127	7,393
Total Funds		**8,127**	**6,483**	**14,610**	**9,496**

The notes on pages 31 to 37 form part of these financial statements.

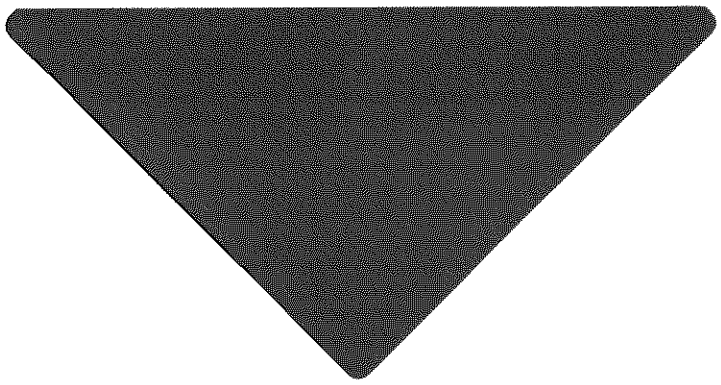
The date of approval of the accounts by the Corporate Trustee was 29th January 2026.

Date: 29th January 2026

Cash Flow Statement for University Hospitals of North Midlands Charity for the year ended 31 March 2025

	Note	Total Funds 2024/25	Total Funds 2023/24
Reconcilliation of net income to net cash flow from operating activities		£'000	£'000
Net income for the reporting period (as per the Statement of Financial Activities)		**5,114**	**554**
Adjustments for:			
(Gains)/losses on investments	4	377	(672)
Interest from Investments		(580)	(576)
Decrease / (Increase) in debtors		(4,333)	54
(Decrease) / increase in creditors		(2,474)	99
Net cash used in operating activities		**(1,895)**	**(540)**
Cash flows from operating activities:			
Net cash provided by operating activities		(1,895)	(540)
Cash flows from investing activities:			
Interest from Investments	2.5	580	576
Net cash used in investing activities		**580**	**576**
(Decrease) / Increase in cash and cash equivalent in the reporting period		(1,316)	36
Cash and cash equivalents at 1 April		7,343	7,308
Cash and cash equivalents at 31 March		**6,027**	**7,344**
Analysis of cash and cash equivalents:			
Cash in hand		6,027	7,344
Total cash and cash equivalents		**6,027**	**7,344**

The notes on pages 31 to 37 form part of these financial statements.



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University Hospitals of North Midlands NHS Trust Charity fund for the year ended 31 March 2025

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements for the year ended 31 March 2025.

The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Charities SORP (FRS 102) which is the Statement of Recommended Practice applicable to Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

i) entitlement - arises when a particular resource is receivable or when the Charity's right becomes legally enforceable;

ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and

iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred, and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of generating funds

The cost of generating funds are the costs associated with generating income for the Charity.

b) Grants payable

Grants payable are payments made to third parties (including NHS bodies) in the futherance of the Charity's objectives, which are to relieve those who are sick. Grants are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the Charity have been made to University Hospitals of North Midlands NHS Trust (the Trust).

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from the Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds and the Charity does not currently hold any such funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees* have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

* the Charity has a Corporate Trustee, which is the UHNM Trust Board. For the purposes of these financial statements references to 'Trustees' refer to members of the Corporate Trustee.

1.6 Investment Assets

The Trust does not hold any investment assets.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

The Trust is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees have received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £4.926m (2023/24: £2.774m). A balance of £0.979m was outstanding at the year end (2023/24: £1.617m) and this is included within creditors falling due within one year.

1.12 Denise Coates Foundation

The Charity received grant income from the Denise Coates Foundation in 2024/25 of £6.416m. This is on top of the £10.263m received in the three years up to the end of March 2023. There has therefore been an increase in income from Donations between 2023/24 and 2024/25 as shown in the SOFA. The financial statements include the income, expenditure and a restricted fund balance relating to schemes fully approved and to be funded by this income.

1.13 Commitments

Applications for expenditure are treated as commitments in the financial statements once approval has been granted and this outcome has been confirmed with the recipient by the year-end, which creates a constructive obligation. If there is uncertainty as to whether the recipient will be able to proceed with the proposal and the payment becomes possible but not probable, then a liability for the commitment is not recognised. Instead, the funding commitment should be disclosed as a contingent liability.

1.14 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist.

1.15 Post Balance Sheet Events

There are no post balance sheet events.

1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

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2 Income

2.1 Donations

	2024/25			2023/24		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
'In memory of' donations	105	2	108	117	1	119
Recognition of Care donations	27	0	27	19	0	19
Collections	5	0	5	6	0	6
Daisy Chain Fund	0	0	0	0	0	0
Denise Coates Foundation	753	5,663	6,416	0	0	0
NHS Charities Together	0	0	0	0	0	0
Other donations	74	0	74	81	0	81
Total donations	**965**	**5,666**	**6,631**	**223**	**2**	**225**

2.2 Legacies

	2024/25			2023/24		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Legacies	879	89	968	376	284	660
Total for the year	**879**	**89**	**968**	**376**	**284**	**660**

2.3 Income from other trading activities

	2024/25			2023/24		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Charity events and fundraising	359	2	361	302	0	303
Total for year	**359**	**2**	**361**	**302**	**0**	**303**

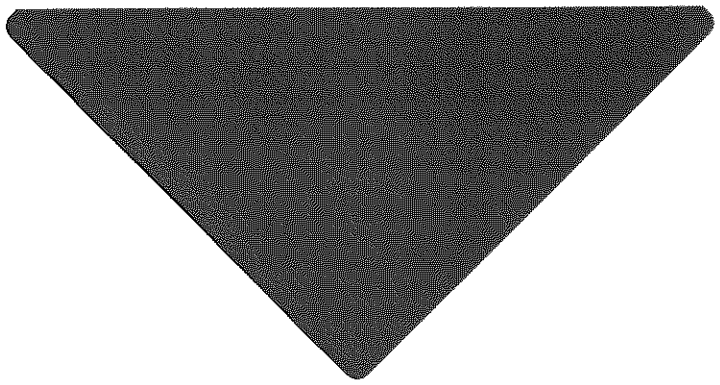
Income from other trading activities comprises of funds raised through various sporting and other fundraising events as well as income from the Staff Lottery and Fresh Hair retail sales.

2.4 Investment income

	2024/25			2023/24		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Income from investments was received as below:						
Income from other investments	197	36	234	194	34	228
Bank interest	292	54	346	296	52	348
Total for year	**489**	**91**	**580**	**490**	**86**	**576**

2.5 Other income

	2024/25			2023/24		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Other income	29	0	29	0	0	0
NHS Charities Together (Community Partnerships)	0	0	0	0	235	235
Total for year	**29**	**0**	**29**	**0**	**235**	**235**



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3 Expenditure

		2024/25			2023/24		
		Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
		£'000	£'000	£'000	£'000	£'000	£'000
3.1	**Charitable activities**						
	Patient welfare and amenities	312	39	351	318	102	420
	Staff welfare and amenities	31	0	31	55	1	56
	Research	183		183	64	0	64
	Enhancement of NHS care	579	1,3180	1,897	840	(32)	808
	Support costs	234	53	287	155	41	196
	Other	1	0	1	12	0	12
	Total	**1,341**	**1,410**	**2,751**	**1,444**	**112**	**1,556**
3.2	**Raising Funds**						
	Fundraising costs	277	0	277	199	16	215
	Support costs	49	0	49	78	0	78
	Total	**326**	**0**	**326**	**277**	**16**	**293**
3.3	**Other expenditure**						
	NHS Charities Together (Community Partnerships)	0	0	0	0	268	268
	Total	**0**	**0**	**0**	**0**	**268**	**268**
3.4	**Total expenditure**	**1,667**	**1,410**	**3,077**	**1,721**	**395**	**2,117**

Included in patient welfare and amenities is £0.099m spend on 12 months for hospital Wi-Fi, £0.023m on lighting and outdoor furniture for CED enhancement and £15k upgrade and refurbishment for NICU parent coffee room.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems including £0.119m Holmium Laser system and £0.081m on a Simulation Mannequin. Also included funded by the Denise Coates Foundation is £0.182m spend on specialist beds for Critical Care and £0.130m Imaging Arm for Theatres. Committed expenditure includes £0.758m for specialist beds and mattresses and £0.268m on specialist patient chairs.

The Charity has no direct employees and staff responsible for the administration of the Charity are employees of the Trust. A recharge is made by the Trust to the Charity to reflect the cost of administering the Charity. Staff costs for the period amounted to £0.274m (£0.212m in 2023/24) and are apportioned between expenditure on raising funds and charitable activities.

4 Net gains on investments

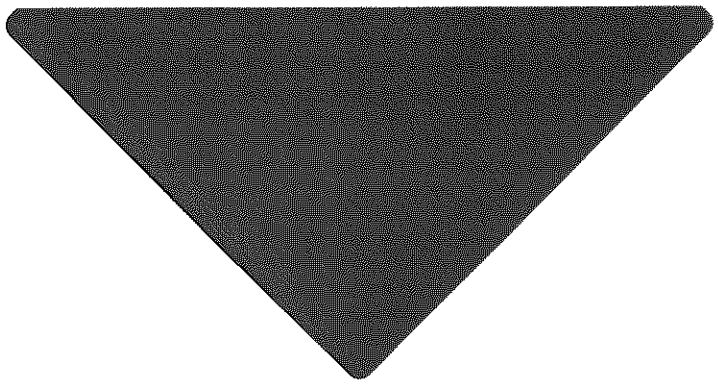
	2024/25	2023/24
	£'000	£'000
Unrealised gains/ (losses) on investments	(377)	672
Net unrealised gains for the year	**(377)**	**672**

5 Net income for the year

This is stated after charging:

	2024/25	2023/24
	£'000	£'000
Auditor's remuneration:		
Audit fees	12	10
Total	**12**	**10**

Audit fees are included within Support Costs in Note 3 - Expenditure.



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6 Analysis of Investments

	2024/25 £'000	2023/24 £'000
Fixed Asset Investments:		
Opening Market value 1 April	8,094	7,422
Net (loss)/gain on revaluation	(377)	672
Closing Market value at 31 March	**7,717**	**8,094**

The historical cost of the investments is £4.645m (£4.645m in 2022/23), and the market value as at 31 March 2025 was £7.717m (£8.094m in 2023/24).

At 31st March 2025 the mid market value of one Charities Investment Fund Income unit was 1,948.06 pence (2,043.26 pence as at 31st March 2024).

7 Current assets

7.1 Debtors (falling due within one year)

	2024/25 £'000	2023/24 £'000
Interest including bank interest	79	89
Tax credits	9	10
Debtors	4,534	190
Total	**4,622**	**289**

7.2 Cash at Bank and in hand

	2024/25 £'000	2023/24 £'000
Cash held at Royal Bank of Scotland	6,027	7,343
Total	**6,027**	**7,343**

8 Liabilities

8.1 Creditors (falling due within one year)

	2024/25 £'000	2023/24 £'000
Creditors	3,683	6,114
Total	**3,683**	**6,114**

Creditors include the current committed expenditure of £2.294m (£4.127m in 2023/24)

8.2 Creditors (falling due after more than one year)

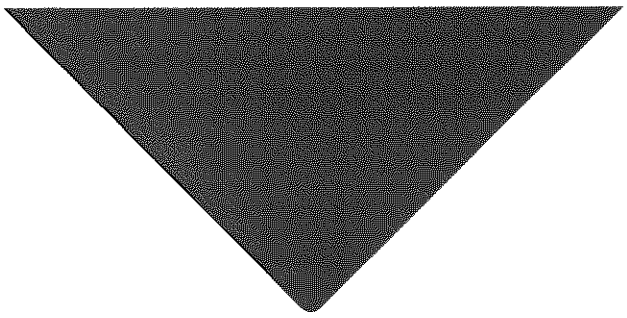
	2024/25 £'000	2023/24 £'000
Creditors	73	116
Total	**73**	**116**

Creditors include the non-current committed expenditure of £0.073m (£0.116m in 2023/24).

9 Funds

Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the Trust.



The table below shows all funds with a closing balance of greater than £25,000.

Fund	Opening Balance 1st April 2024	Incoming Resources	Resources Expended	Gains and losses	Transfers between funds	Closing Balance 31st Mar 2025
	£'000	£'000	£'000	£'000	£'000	£'000
UHNM TRUST General	557	1,045	(291)	(21)	0	1,290
RSUH Cancer & Haematology Fund	910	154	(79)	(50)	0	935
UHNM Heart Fund	685	322	(74)	(31)	0	902
Neonatal Intensive Care Unit	320	190	(68)	(17)	0	425
UHNM Renal Fund	320	77	(26)	(14)	0	357
UHNM Neurosciences IP Fund	178	199	(14)	(13)	0	350
General Cancer Research Fund	357	22	(19)	(14)	0	345
UHNM Critical Care Fund	346	52	(44)	(14)	0	340
UHNM Breast Care Fund	226	95	(57)	(10)	0	254
County Fundraising Appeal	226	14	(10)	(9)	0	221
UHNM Respiratory Fund	192	37	(16)	(8)	0	204
UHNM Fresh Hair Fund	200	28	(25)	(8)	0	195
UHNM Trauma and Orthopaedic Fund	228	28	(53)	(9)	0	194
UHNM Urology Fund	289	14	(129)	(9)	0	166
County Cancer & Haematology Fund	165	15	(7)	(7)	0	166
UHNM Children's Centre & Services	176	85	(92)	(8)	0	162
UHNM Stroke & AU Fund	103	18	(10)	(4)	0	107
UHNM Research and Development Fund	242	11	(143)	(7)	0	104
RSUH General Fund	100	17	(16)	(5)	0	96
UHNM EMERGENCY APPEAL	116	4	(28)	(3)	0	89
UHNM Diabetes Fund	90	6	(4)	(4)	0	88
Cystic Fibrosis	132	20	(65)	(5)	0	82
County General Fund	154	11	(87)	(6)	0	71
UHNM Imaging Fund	71	6	(3)	(3)	0	71
General Medical Fund	64	6	(4)	(3)	0	64
UHNM Gastro & Endoscopy Fund	47	25	(7)	(2)	0	63
UHNM Neurosciences OP Fund	55	11	(3)	(2)	0	61
UHNM Elderly Care Fund	58	15	(10)	(3)	0	60
UHNM Vascular Fund	57	5	(3)	(2)	0	57
Pot Luck Patient Benefit Fund	70	23	(41)	(2)	0	50
Oral Surgery	42	12	(5)	(2)	0	48
UHNM Obstetric Fund	66	25	(41)	(2)	0	47
UHNM Gynaecology & Gynae Cancer Fund	46	3	(2)	(2)	0	45
Art for Life	37	38	(33)	(1)	0	40
Gordon Banks-In Safe Hands	36	2	(2)	(1)	0	35
Sleep & Ventilation Fund	30	2	(1)	(1)	0	29
UHNM Colorectal Fund	25	3	(1)	(1)	0	26
Cancer Research	51	25	(48)	(2)	0	25
All other designated funds (27)	327	54	(104)	(13)	0	264
Total Designated Funds	**7,393**	**2,720**	**(1,667)**	**(318)**	**0**	**8,127**

The number of designated funds at 31st March 2025 was 65 (110 at 31 March 2024).

Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance 1st April 2024	Incoming Resources	Resources Expended	Gains and losses	Transfers between funds	Balance 31st Mar 2025
	£'000	£'000	£'000	£'000	£'000	£'000
Denise Coates Foundation Fund	667	5,663	(1,341)	0	0	4,989
North Staffs Heart Committee Fund	1,253	174	(61)	(52)	0	1,315
REST, CHEMOTHERAPY LEGACY FUND	127	8	(6)	(5)	0	124
REST, CARDIOLOGY LEGACY (G)	28	2	(1)	(1)	0	27
All Other Restricted Funds (3)	28	2	(1)	(1)	0	28
Total Restricted Funds	**2,103**	**5,849**	**(1,410)**	**(59)**	**0**	**6,483**

The number of restricted funds at 31st March 2025 was 7 (8 at 31 March 2024).

Total Funds						
Total Designated Funds	7,393	2,720	(1,667)	(318)	0	8,127
Total Restricted Funds	2,103	5,849	(1,410)	(59)	0	6,483
Total Funds	**9,496**	**8,569**	**(3,077)**	**(377)**	**0**	**14,610**

10 Commitments

The funds include the following commitments:

	2024/25	2023/24
	£'000	£'000
Cancer centre reconfiguration	320	2,675
Charitable projects - other	764	622
Charitable projects over one year	73	116
Capital	1,210	830
Total commitments	**2,367**	**4,243**

The Trustees recognise liabilities in the accounts once there is either a legal or constructive obligation to spend the funds. Commitments of £2.367m (£4.243m in 2023/24) have been included within Creditors, as shown in notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in 2024/2025 and 2023/24 relate to grants payable.

There is currently £0.116m remaining relating to maintenance commitments, of which £0.073m is included within creditors due after more than one year. £0.09m of the maintenance commitments relate to the Surgical Robot funded by the Denise Coates Foundation. There is a further commitment to training costs also funded from the Denise Coates Foundation of £0.026m of which £0.013m is included in creditors due after more than one year.

11 Contingent assets - Nil

As at 31st March 2025 the Charity has no contingent assets (none in 2023/24).

12 Five year summary of Income and Expenditure

Financial year ended	2020/21	2021/22	2022/23	2023/24	2024/25
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	3,229	5,358	6,600	1,999	8,569
Total Resources Expended	(3,541)	(3,981)	(6,209)	(2,117)	(3,077)
Gains/(losses) on Investment assets	1,216	613	(292)	672	(377)
Net movement in funds	**904**	**1,990**	**99**	**554**	**5,114**

Key income and expenditure movements:

i) On average, the Charity received £1.7m of income in each of the above five years excluding Denise Coates Foundation donations received in 2020/2021 (£1.9m), 2021/2022 (£4.0m), 2022/2023 (£4.4m) and 2024/25 (£6.4m); and NHS Charities Together Community Partnerships income of £0.2m 2023/24 and £0.3m 2022/23.

ii) On average, the Charity spent £1.5m in each of the above five years excluding Denise Coates Foundation funded projects in 2020/2021 (£1.7m), 2021/2022 (£3.1m), 2022/2023 (£4.8m) and 2024/2025 (£1.3m); and NHS Charities Together Community Partnerships expenditure of £0.2m 2023/24 and £0.3m in 2022/23.

iii) Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions which impact on the investment portfolio managed by our investment managers.

The table below shows the underlying income and expenditure of the Charity net of the items described in points i) to iii) above.

Five year summary of Underlying Income and Expenditure

Financial year ended	2020/21	2021/22	2022/23	2023/24	2024/25
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,328	1,358	1,934	1,764	2,153
Total Resources Expended	(1,875)	(922)	(1,141)	(1,879)	(1,736)
Net movement in funds	**(547)**	**436**	**793**	**(115)**	**416**

This analysis demonstrates that the Charity has maintained its underlying fund balances across the five year period, with a net increase in funds of £0.4m relating to the sources of income and expenditure that will be continuing as part of the regular ongoing activity of the Charity. As the Charity has total fund balances of £14.6m, the Charity and Trustees will work with the Trust to encourage and develop spending plans within the forthcoming year.

U·H·N·M
charity

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Royal Stoke University Hospital
Newcastle Road, Stoke-on-Trent
Staffordshire ST4 6QG

01782 676444
charity@uhnm.nhs.uk
uhnmcharity.org.uk

@UHNMcharity

Registered Charity Number: 1054889

UNIVERSITY HOSPITALS OF NORTH MIDLANDS CHARITY

England & Wales - Charity number 1054889

Accounts

U·H·N·M charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford

Annual Report and Accounts

2023 - 2024

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During April 2023 to 31 March 2024, the Corporate Trustee included the following:

Voting Members

David Wakefield
Trust Board Chairman

Tracy Bullock
Chief Executive

Paul Bytheway
Chief Operating Officer
(up until May 2023)

Dr Matthew Lewis
Chief Medical Officer

Ann-Marie Riley
Chief Nurse

Mark Oldham
Chief Finance Officer

Leigh Griffin
Non-Executive Director and Chair of Charitable Funds

Gary Crowe
Non- Executive Director

Katie Maddock
Non- Executive Director

Tanya Bowen
Non- Executive Director

Peter Akid
Non- Executive Director
(until Sept 2023)

Arvinda Gohil
Non-Executive Director
(from November 2023)

Sunia Toor
Non-Executive Director
(from April (2023)

Simon Evans
Chief Operating Officer
(June 2023-August 2024)

Non-Voting Members

Alison Rodwell
Associate Non-Executive Director
(from June 2023)

Helen Ashley
Director of Strategy and Performance

Lorraine Whitehead
Director of Estates, Facilities and PFI

Amy Freeman
Chief Digital Transformation Officer

Andrew Hassell
Associate Non-Executive Director

Jane Haire
Chief People Officer

Message from our Chair

- Leigh Griffin

Dear Friends and Supporters,

I am delighted to present the annual report and accounts of our UHNM Charity, highlighting the incredible work and achievements of the past year. Our dedicated Charity Team, alongside the generosity and unwavering support of our community, local businesses and UHNM colleagues, has made a remarkable difference in the lives of those in need.

I extend my heartfelt gratitude to every individual, volunteer, business and partner who has contributed their time, resources, and energy to our cause. Your continued support has enabled us to enhance the quality of care and support provided to patients, service users, their families and loved ones, as well as our amazing UHNM colleagues.

Through innovative programmes, initiatives, and partnerships, we have made significant strides in addressing critical healthcare needs and enhancing the wellbeing of our community.

Looking ahead, we are determined to build upon these achievements and continue our mission of providing exceptional care and support to those who need it most. With your ongoing support, we are confident in our ability to make an even greater impact in the coming year and beyond.

Thank you for being an integral part of our journey. Together, we will continue to make a positive difference in the lives of individuals and families in our community.

With warm regards,

Leigh

Leigh Griffin
UHNM Charity Chair

Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our colleagues working at UHNM to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising professionals. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, and monthly e-newsletter, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:

www.facebook.com/UHNMCharity

www.twitter.com/UHNMCharity

www.instagram.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email at charity@uhnm.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the Charity team.

In setting our objectives and planning our activities the Corporate Trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and UHNM Colleagues;
- Supporting NHS employee development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM colleagues, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM colleagues to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity team members meeting with teams across the hospitals and fund advisers as well as through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNM staff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the Charity objectives in the future.

The team working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

UHNM Charity Team

Lisa Thomson
UHNM Director of Communications and Charity

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.

Steve Rushton
Head of UHNM Charity

Steve leads on developing major appeals and works with the Charity Committee and senior teams to ensure UHNM Charity continues to grow.

Lynn Oldfield
Project Manager

Lynn leads on various projects for the Charity helping to develop and deliver them ensuring maximum uptake and reach.

Joanna Walford
Grant Making Administrator

Joanna works with individuals and teams from across UHNM to advise on and process spending applications.

Becky Craft
Administrator

Becky supports the team with various admin roles and the day-to-day running of the Charity.

Sarah O'Reilly
Fundraising Officer

Sarah makes sure everyone who is fundraising for us is processed and supported.

Tasha Brown
Fundraising Officer

Tasha makes sure everyone who is fundraising for us is processed and supported

Donna Kent
Fundraising Assistant

Donna helps provide support to the Fundraising team

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors
Dains Audit Limited
Suite 2, Albion House
2 Etruria Office Village
Forge Lane
Stoke-on-Trent
ST1 5RQ

Investment Advisers
CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB

Highlights of the Year 2023/2024

The 'Keep Stoke Smiling' campaign, delivered by the Stoke City Community Trust on behalf of UHNM Charity in partnership with University Hospitals of North Midlands NHS Trust (UHNM) has been named EFL community project of the season.

In total 74 schools have engaged with the project, receiving advice in bespoke sessions delivered by UHNM Consultant Orthodontist Dr Karen Juggins.

Local celebrities, businesses and members of the public have all come together to raise more than £13,000 to help UHNM Charity improve patient care and experience at University Hospitals of North Midlands NHS Trust (UHNM).

Eighteen teams took part in a fundraising golf day at a sun-drenched Barlaston Golf Club, the second to be held at the club in aid of UHNM Charity.

More than 50 runners took to the streets of the Potteries in June to run the famous Potters 'Arf Marathon and raise thousands of pounds for UHNM Charity. Former patients along with their friends and families joined members of the UHNM team and other healthcare professionals to tackle the 13.1-mile course to help us improve the patient care and experience at UHNM. Runners took on the course individually or as part of relay teams and there was also a dedicated walker's and children's race.

UHNM Charity was proud to host a premiere of an extraordinary film, chronicling the remarkable journey of Alison Hough. The film was shown at The North Staffs Medical Institute and provided a unique opportunity to witness the incredible work conducted at the University Hospitals of North Midlands NHS Trust. Alison's story highlights the profound impact of generous donations on local individuals and access to cutting-edge technology and services, setting a new standard in healthcare excellence within the country.

The UHNM Charity's Gatsby Ball, held at DoubleTree by Hilton proved to be an unforgettable night of fundraising fun. Sponsored by L D Property and WDPS Stoke, the event not only entertained attendees but also raised a remarkable £11,005.28. The auction, featuring exclusive items and experiences, alongside a raffle, with prizes donated by numerous local businesses and an in-house casino provided an opportunity to try their hand at games of chance, all in the spirit of giving.

Work is set to begin on a £4 million development programme to improve facilities for cancer patients at University Hospitals of North Midlands. The Cancer Centre at Royal Stoke University Hospital is to benefit from changes to its entrance and environment to enhance the patient journey, supporting local patients to live well with cancer. Funded by UHNM Charity and the Denise Coates Foundation, the improvements include a new entrance, a pharmacy, a multipurpose group room, two private rooms, an information centre, a refreshment area and a new waiting area for patients.

Maternity care at UHNM is being enhanced thanks to the reopening of its transitional care unit which officially reopened on the UHNM Charity-supported World Prematurity Day.

The transitional care unit at Staffordshire Children's Hospital at Royal Stoke is a 10-bedded area located on Ward 205 that cares for babies who require additional nursing and monitoring but do not need the level of care required from a neonatal unit.

Colleagues from across UHNM came together to celebrate the amazing achievements of UHNM Charity's fundraisers at a special 'UHNM Charity Champions' event. Over 150 awards were handed out to fundraisers, local businesses and community groups at a ceremony held at the Double Tree by Hilton. As well as hearing some of the fundraiser's stories, there was also chance to see where their money has been spent for the improvement of patient care and experience across UHNM.

Our Fundraisers and Donors

Dr Alison Brind

Prior to her death, Dr Alison Brind, consultant gastroenterologist from University Hospitals of North Midlands NHS Trust (UHNM) put pen to paper on her life's memoirs in a bid to inspire those living with cancer and raise funds for UHNM Charity. Dr Alison Brind was diagnosed with pancreatic cancer in September 2022 and died in April 2024. Dr Brind raised almost £11,000 for liver disease at UHNM.

Freddie Horne

A Stafford school boy has completed a fundraising vow of silence to thank the hospital staff who cared for his sister. Freddie Horne, nine, made it through an entire day at school without making a sound, raising almost £5,000 in the process.

UHNM Charity's share of the proceeds will be used to improve the patient experience on the Oncology Unit at Staffordshire Children's Hospital at Royal Stoke where Freddie's sister Mabel, four, received treatment for leukaemia.

Lisa Bentham

A former patient who needed life-saving brain surgery has returned to UHNM to thank the staff who cared for her. Almost three years ago years ago Lisa Bentham from Crewe was diagnosed with a brain tumour after collapsing whilst at work.

After having life-saving surgery at Royal Stoke, Lisa, who's now fully recovered, completed in the North West Triathlon raising £1,250 for the 'fantastic' Neurosurgery Department who cared for her.

UHNM A&E Staff

A group of more than 20 doctors, nurses, healthcare assistants as well as colleagues from other parts of UHNM have raised over £7,000 to improve the patient experience of patients in A&E by completing the gruelling national Three Peaks Challenge for UHNM Charity!

Laura and Rich Swinscoe

A fundraising couple have completed seven challenges for the Forget Me Not Suite in memory of their son who was born sleeping at 29 weeks. Laura Swinscoe and husband Rich wanted to thank the staff who cared for them and their son Cub.

Their challenges, which included the Yorkshire Three Peaks, a Snowdon hike and Rich cycling from Lands End to John O'Groats raised £4,185 for the Suite, and were completed in time for what would have been Cub's first birthday.

Jack Merriman

A fundraiser who successfully completed the Yorkshire Three Peaks Challenge for UHNM Charity has described it as 'one of the toughest challenges of my life'.

Jack Merriman completed the 24-mile, 1,600m climb in under 12 hours to raise funds for UHNM's Critical Care Unit and Ward 228 Neurosurgery who saved his mum's life following a ruptured brain aneurysm. Jack raised £2,200 for his challenge.

Shannon Smith

Shannon Smith's great Aunt Roma suffered a stroke as a result of a brain aneurysm. She was found unresponsive at home by her husband and rushed to Royal Stoke. During this time she received care from a range of wards and departments across UHNM including the Critical Care Unit, Acute Rehabilitation Trauma Unit (ARTU) and Neurology. To thank our staff for their 'amazing' support, Shannon has decided to undertake a year's worth of fundraising challenges for UHNM Charity including long-distance walks, abseiling and even a bungee jump!

John Walkley

In December last year, John Walkley set himself a challenge to ride 4,025 virtual kilometres in a week to beat the current cycling record on the online training program Zwift. At 40kmph, that would mean riding for 14 and a half hours a day, every day, for seven days.
All this to raise an incredible £6,891.16 for Staffordshire Children's Hospital at Royal Stoke to thank them for the care given to son Edison.

Support from Schools, Businesses and Organisations

Morrisons in Leek and its customers led a pyjama and toy appeal.

The Lady Captain at Castle Golf & Leisure club in Stafford held a coffee morning to raise money for UHNM Charity.

Year 9 students from Blythe Bridge High School to drop off even more amazing 'Hug in a Bag' care packages to Royal Stoke's Older Adults wards.

Members of the Kerala Cultural Association raised over £1,300 to improve patient care and experience at UHNM through a sponsored walk.

The team from Strategi held a number of fundraising events during 2023 including a ball.

Ben Brampton arranged for a mini Tesla car through Tesla Owners Club UK. The car helps to distract children from the daunting clinical setting of a hospital.

Newcastle-based IronMarket donated over £8,000 to the Royal Stoke Cancer Centre raised through their annual charity golf day.

Hard working University Hospitals of North Midlands NHS Trust (UHNM) staff are being encouraged to 'check out' exclusive discounted leisure club membership at the Doubletree by Hilton, Stoke-on-Trent.

Wanting to raise funds for Staffordshire Children's Hospital whilst helping provide dance students with footwear, Angela Beardmore School of Dance, Susan's Dance Studio and Rebecca Heath Dance Studio found old dance shoes new owners!

Stoke Chapter donated a number of arts and craft materials for both inpatients and day-case patients to enjoy during their stay.

Pupils and staff from St Giles' Catholic Primary school in Cheadle donated a number of 'relaxation bags' for our cancer patients.

Walton Priory Middle School in Stone took part in their own Olympic triathlon to fundraise for UHNM Charity.

Our Impact for Patients

£2,556.140.63 approved for charitable purposes

Local healthcare research grants

UHNM Charity teamed up with the North Staffordshire Medical Institute (NSMI) to offer local healthcare projects grants of up to £25,000 towards potentially life-saving research.

By supporting the Institute's Research Awards, UHNM Charity will enable more local people to benefit from ground-breaking healthcare research.

LED Projector for theatre patients

Having an operation can be a stressful and anxious experience for anybody, let alone for younger patients or those with learning disabilities.

That's why we were very happy to support our brilliant team in theatres at Royal Stoke in purchasing an LED projector which will be used to distract patients during their anaesthetic.The projector is used to project a variety of moving images onto the ceiling of the anaesthetics room, so patients can view from their beds.

12 grants approved for events and training

Neonatal Improvements

Fundraising and kind donations has helped improve the experience and comfort of families on our Neonatal Intensive Care Unit (NICU).

10 brand-new sleeper chairs have been purchased so parents can stay longer by their baby's bedside. The new sleeper chairs support the family integrated care model, working in partnership with families to encourage them to stay by the bedside and take care of their babies.

118 grants approved for new equipment

New day room at The Acute Medical Unit

The Acute Medical Unit (AMU) at County Hospital in Stafford has been able to furnish a new day room thanks to a grant made possible by our supporters.

Staff from the Unit were able to purchase a range of furniture including sofas and recliner chairs for use in the new room.

230
approved grants

Sound Ear equipment

Patients to the Paediatric Intensive Care Unit at Staffordshire Children's Hospital at Royal Stoke will have an improved and hopefully shortened stay thanks to a new piece of equipment which will help to reduce delirium in young children.

Children with delirium temporarily behave differently than usual and may react strangely to their environment and people around them and it is therefore, important to ensure the environment is as calm as possible to help orientate the child to their surroundings.

Fracture Clinic

A new and improved Clean Cast Saw is now being used in the clinic, taking the anxiety out of having plaster casts removed.

Dawn Barker, Fracture Clinic Senior Sister said: "We reached out to UHNM Charity to help the clinic to fund the purchase of the new saw for the plaster room, which we felt would be of value to our patients and help them to feel more at ease."

"This new piece of equipment is a lot quieter than previous, therefore it will benefit both adults and children, especially children who have a nervousness about having a cast taken off due to the noise and vibration of the saws."

Tracy Sproson, Fracture Clinic Plaster Technician

35
grants approved for patient literature / information

Key Operational Updates

To support the delivery of the UHNM strategy, key operational milestones have been developed.

The year's key areas of the Operational Plan to support UHNM Charity strategy for 2023/24 was developed and was completed. It encompassed:

A monthly E-newsletter shares with supporters how the funds they raise are being spent and the difference it is making to the people we serve.

A fundraising events planner has been published with exciting events including family fun ways of getting involved and what the comunity can get involved in.

Following on from the success of the Charity's involvement in the Potters 'Alf, the Charity Team is working with the organisers and local clubs to get even more people involved in supporting and running for UHNM Charity.

The third UHNM Golf Day surpassed all expectations raising money for UHNM Charity

UHNM Charity are continuing to have a prime location at County Hospital enabling the Charity Team to provide support to anyone wanting to raise funds and make a difference.

During 2024/25 the Charity team will build on this foundation to increase both the number of fundraises and the income generated.

Leave a legacy to UHNM Charity

By leaving a gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families at University Hospitals of North Midlands NHS Trust through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who work or are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on 01782 676444 or email charity@uhnm.nhs.uk

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:

Online

You can make a donation securely online at www.uhnmcharity.org.uk

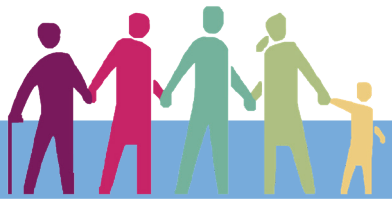
By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity
Royal Stoke University Hospital
Newcastle Road
Stoke on Trent
ST4 6QG

By telephone

Call us on (01782) 676444
(And do not forget to have your credit or debit card ready!)



Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2024. These accounts have been prepared in accordance with the Charities Act 2022 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the Corporate Trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust's Director of Communications and Charity.

Objectives

The main objectives of the Trustees is to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust. The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research and skilled employees (through welfare, learning and development).

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2022. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the Charity to process on-going commitments and to meet the cost of closure or transfer of the Charity's affairs should the need ever arise. As at 31 March 2024 UHNM had unrestricted reserves of £7million which exceeds the amount needed to cover the agreed three years operational and governance costs. The Corporate Trustee is committed to reducing the excess over the next three years in a way which gives optimum benefit to patients. The Charity Team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its clinical services strategy and a refresh of its overarching

strategy which once completed will help signpost the areas where charitable investment might give the best long-term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and children's.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and

2. Fraud.

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures, including the regular monitoring of investments, minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and Financial Statements for each financial year which show a true and fair view of the state of affairs of the Charity and of the Charity's financial position for the period.

In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

• Selects suitable accounting policies and then applies them consistently;
• Makes judgements and estimates that are reasonable and prudent;
• States whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
• Prepares the financial statements on the going concern basis unless it is inappropriate to presume that the Charity will continue its activities.

The Trustee is required to act in accordance with the Trust Deed of the Charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2022, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the Charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the Charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions. The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the Financial Statements. The Financial Statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

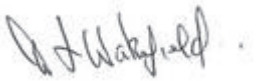
Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the Charity. Note 1.11 of the Accounts discloses information about any related party transactions.

Fundraising

In relation to Charities Act 2022, which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity website. No complaints have been received from fundraisers or donors and the Charity Team works closely to support all of those individuals choosing to raised funds.

The trustees report was approved by:



Chairman
Date: 15 January 2025

Financial Statements for the Period 1 April 2023 to 31 March 2024

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2024

	Note	Unrestricted Funds		Restricted Funds		Total Funds	
		2023/24	2022/23	2023/24	2022/23	2023/24	2022/23
Income from:	2	£000	£000	£000	£000	£000	£000
Donations	2.1	223	248	2	4,390	225	4,638
Legacies	2.2	376	801	284	241	660	1,042
Other trading activities	2.3	302	227	0	3	303	230
Investments	2.4	490	325	86	62	576	387
Other income	2.5	0	0	235	304	235	304
Total Income		**1,392**	**1,601**	**607**	**4,999**	**1,999**	**6,600**
Expenditure on:	3						
Charitable activities	3.1	1,444	615	112	5,054	**1,556**	**5,669**
Raising funds	3.2	277	269	16	0	**293**	**269**
Other expenditure	3.3	0	0	268	271	**268**	**271**
Total expenditure	3.4	**1,721**	**884**	**395**	**5,325**	**2,116**	**6,209**
Net gains / (losses) on investments	4	572	(245)	100	(47)	**672**	**(292)**
Net Income		**243**	**472**	**312**	**(373)**	**554**	**99**
Transfers between funds	9	163	111	(163)	(111)	0	0
Net movement in Funds		**405**	**583**	**149**	**(484)**	**554**	**99**
Reconciliation of funds:							
Total funds brought forward		6,988	6,405	1,954	2,438	8,942	8,843
Total funds carried forward		7,393	6,988	2,103	1,954	9,496	8,942

The statement of financial activities includes all gains and losses recognised in the year.

All income and expenditure is derived from continuing activities.

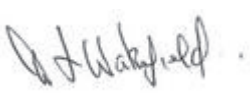
Included within Other Income is £0.2m which represents the second tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful. Associated expenditure of £0.2m is included in Other Expenditure and represents amounts paid across from the Charity to the community charities.

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2024

	Note	Unrestricted Funds 31-Mar-24 £000	Restricted Funds 31-Mar-24 £000	Total Funds 31-Mar-24 £000	Total Funds 31-Mar-23 £000
Non-current assets					
Investments	6	**6,312**	**1,782**	**8,094**	**7,422**
Total non-current assets		**6,312**	**1,782**	**8,094**	**7,422**
Current assets	7				
Debtors	7.1	198	90	289	343
Cash at bank and in hand	7.2	1,965	5,378	7,343	7,308
Total current assets		**2,163**	**5,468**	**7,632**	**7,651**
Liabilities:	8				
Creditors falling due within one year	8.1	(1,082)	(5,031)	(6,114)	(2,987)
Net current assets		**1,081**	**437**	**1,518**	**4,664**
Total assets less current liabilities		**7,393**	**2,219**	**9,612**	**12,086**
Creditors:					
Amounts falling due after more than one year	8.2	0	(116)	(116)	(3,144)
Net assets		**7,393**	**2,103**	**9,496**	**8,942**
Funds of the Charity	9				
Restricted income funds		0	2,103	2,103	1,954
Unrestricted income funds		7,393	0	7,393	6,988
Total Funds		**7,393**	**2,103**	**9,496**	**8,942**

The notes on pages 21 to 27 form part of this account

The date of approval of the accounts by the Trust Board was 15 January 2025.



Chairman
Date: 15 January 2025

Cash flow statement for the year ended 31 March 2024

Reconcilliation of net income to net cash flow from operating activities	Note	Total Funds 2023/24 £'000	Total Funds 2022/23 £'000
Net income for the reporting period (as per the Statement of Financial Activities)		**554**	**99**
Adjustments for:			
(Gains)/losses on investments	4	(672)	292
Interest from Investments		(576)	(387)
Decrease / (Increase) in debtors		54	(118)
Increase in creditors		99	2,712
Net cash provided by operating activities		**(540)**	**2,598**
Cash flows from operating activities:			
Net cash provided by operating activities		(540)	2,598
Cash flows from investing activities:			
Interest from Investments	2.5	576	387
Net cash used in investing activities		**576**	**387**
Increase in cash and cash equivalents in the reporting period		36	2,985
Cash and cash equivalents at 1 April		7,308	4,323
Cash and cash equivalents at 31 March		**7,344**	**7,308**
Analysis of cash and cash equivalents:			
Cash in hand		7,343	7,308
Total cash and cash equivalents		**7,343**	**7,308**

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements for the year ended 31 March 2024.

The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Charities SORP (FRS 102) which is the Statement of Recommended Practice applicable to Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

i) entitlement - arises when a particular resource is receivable or when the Charity's right becomes legally enforceable;

ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and

iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred, and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of generating funds

The cost of generating funds are the costs associated with generating income for the Charity.

b) Grants payable

Grants payable are payments made to third parties (including NHS bodies) in the futherance of the Charity's objectives, which are to relieve those who are sick. Grants are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the Charity have been made to University Hospitals of North Midlands NHS Trust (the Trust).

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from the Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds and the Charity does not currently hold any such funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees* have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

* the Charity has a Corporate Trustee, which is the UHNM Trust Board. For the purposes of these financial statements references to 'Trustees' refer to members of the Corporate Trustee.

1.6 Investment Assets

The Trust does not hold any investment assets.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

The Trust is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees have received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £2.774m (2022/23: £2.102m). A balance of £1.617m was outstanding at the year end (2022/23: £0.78m) and this is included within creditors falling due within one year.

1.12 Denise Coates Foundation

In April 2020 the Charity was given the opportunity to apply for grants of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. The Charity received total income of £10.263m in the three years up to the end of March 2023 and no income was received from the Foundation in 2023/24. There has therefore been a reduction in Income from Donations between 2022/23 and 2023/24 as shown in the SOFA. The financial statements include the income, expenditure and a restricted fund balance relating to schemes fully approved and to be funded by this income.

1.13 Commitments

Applications for expenditure are treated as commitments in the financial statements once approval has been granted and this outcome has been confirmed with the recipient by the year-end, which creates a constructive obligation. If there is uncertainty as to whether the recipient will be able to proceed with the proposal and the payment becomes possible but not probable, then a liability for the commitment is not recognised. Instead, the funding commitment should be disclosed as a contingent liability.

1.14 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist.

1.15 Post Balance Sheet Events

There are no post balance sheet events.

1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

2 Income

2.1 Donations

	2023/24			2022/23		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
'In memory of' donations	117	1	119	130	4	134
Recognition of Care donations	19	0	19	31	0	31
Collections	6	0	6	6	0	6
Daisy Chain Fund	0	0	0	32	0	32
Denise Coates Foundation	0	0	0	0	4,362	4,362
NHS Charities Together	0	0	0	0	22	22
Other donations	81	0	81	49	2	51
Total donations	**223**	**2**	**225**	**248**	**4,390**	**4,638**

2.2 Legacies

	2023/24			2022/23		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Legacies	376	284	660	801	241	1,042
Total for the year	**376**	**284**	**660**	**801**	**241**	**1,042**

2.3 Income from other trading activities

	2023/24			2022/23		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Charity events and fundraising	302	0	303	227	3	230
Total for year	**302**	**0**	**303**	**227**	**3**	**230**

Income from other trading activities comprises of funds raised through various sporting and other fundraising events as well as income from the Staff Lottery and Fresh Hair retail sales.

2.4 Investment income

	2023/24			2022/23		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Income from investments was received as below:						
Income from other investments	194	34	228	191	36	227
Bank interest	296	52	348	134	26	160
Total for year	**490**	**86**	**576**	**325**	**62**	**387**

2.5 Other income

	2023/24			2022/23		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
NHS Charities Together (Community Partnerships)	0	235	235	0	304	304
Total for year	**0**	**235**	**235**	**0**	**304**	**304**

The £0.2m (2022/23 £0.3m) represents the second tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful and the Charity was awarded with the full amount. Associated expenditure of £0.2m (2022/23 £0.3m) is included in Note 3.3 Other Expenditure and represents amounts paid across from the Charity to the community charities.

3 Expenditure

		2023/24			2022/23		
		Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
3.1	**Charitable activities**	£'000	£'000	£'000	£'000	£'000	£'000
	Patient welfare and amenities	318	102	420	307	137	444
	Staff welfare and amenities	55	1	56	47	11	58
	Research	64	0	64	10	0	10
	Enhancement of NHS care	840	(32)	808	89	4,869	4,958
	Support costs	155	41	196	162	37	199
	Other	12	0	12	0	0	0
	Total	**1,444**	**112**	**1,556**	**615**	**5,054**	**5,669**
3.2	**Raising Funds**						
	Fundraising costs	199	16	215	234	0	234
	Support costs	78	0	78	35	0	35
	Total	**277**	**16**	**293**	**269**	**0**	**269**
3.3	**Other expenditure**						
	NHS Charities Together (Community Partnerships)	0	268	268	0	271	271
	Total	**0**	**268**	**268**	**0**	**271**	**271**
3.4	**Total expenditure**	**1,721**	**395**	**2,117**	**884**	**5,325**	**6,209**

Included in patient welfare and amenities is £0.073m spend on 12 months for hospital Wi-Fi and £0.035m on patient TV's on ward 201 and £0.029m Lymphoedema patient information.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems. During the year £0.435m has been committed for the Cancer Centre reconfiguration, £0.092m for fibroscan and installation and £0.052m spent on ultrasound bladder scanner. A further commitment of £3.673m funded by the Denise Coates Foundation is held within creditors.

The Charity has no direct employees and staff responsible for the administration of the Charity are employees of the Trust. A recharge is made by the Trust to the Charity to reflect the cost of administering the Charity. Staff costs for the period amounted to £0.212m (£0.185m in 2022/23) and are apportioned between expenditure on raising funds and charitable activities.

Included within Other Expenditure is £0.2m which represents the second tranche of payments to community charities in relation to the NHS Charities Together scheme, as detailed in note 2.5.

4 Net gains on investments

	2023/24	2022/23
	£'000	£'000
Unrealised gains/ (losses) on investments	672	(292)
Net unrealised gains for the year	**672**	**(292)**

5 Net income for the year

This is stated after charging:

	2023/24	2022/23
	£'000	£'000
Auditor's remuneration:		
Audit fees	10	12
Total	**10**	**12**

Audit fees are included within Support Costs in Note 3 - Expenditure.

6 Analysis of Investments

	2023/24	2022/23
	£'000	£'000
Fixed Asset Investments:		
Opening Market value 1 April	7,422	7,714
Net (loss)/gain on revaluation	672	(292)
Closing Market value at 31 March	**8,094**	**7,422**

The historical cost of the investments is £4.645m (£4.645m in 2022/23), and the market value as at 31 March 2024 was £8.094m (£7.422m in 2022/23).

At 31st March 2024 the mid market value of one Charities Investment Fund Income unit was 2,043.26 pence (1,873.66 pence as at 31st March 2023).

7 Current assets

7.1 Debtors (falling due within one year)

	2023/24	2022/23
	£'000	£'000
Interest including bank interest	89	81
Tax credits	10	9
Debtors	190	253
Total	**289**	**343**

7.2 Cash at Bank and in hand

	2023/24	2022/23
	£'000	£'000
Cash held at Royal Bank of Scotland	7,343	7,308
Total	**7,343**	**7,308**

8 Liabilities

8.1 Creditors (falling due within one year)

	2023/24	2022/23
	£'000	£'000
Creditors	6,114	2,987
Total	**6,114**	**2,987**

Creditors include the current committed expenditure of £4.127m (£1.96m in 2022/23)

8.2 Creditors (falling due after more than one year)

	2023/24	2022/23
	£'000	£'000
Creditors	116	3,144
Total	**116**	**3,144**

Creditors include the non-current committed expenditure of £0.116m (£3.144m in 2022/23).

9 **Funds**

Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the Trust.

The table below shows all funds with a closing balance of greater than £25,000.

Fund	Opening Balance 1st April 2023	Incoming Resources	Resources Expended	Gains and losses	Transfers between funds	Closing Balance 31st Mar 2023
	£'000	£'000	£'000	£'000	£'000	£'000
RSUH Cancer & Haematology Fund	1,200	164	(552)	99	0	911
UHNM Heart Fund	553	113	(28)	47	0	685
UHNM TRUST General	584	155	(285)	41	63	557
General Cancer Research Fund	319	22	(11)	26	0	357
UHNM Critical Care Fund	286	57	(21)	25	0	346
UHNM Renal Fund	277	29	(10)	23	0	320
Neonatal Intensive Care Unit	289	69	(63)	25	0	320
UHNM Urology Fund	67	217	(3)	8	0	289
UHNM Research and Development Fund	270	19	(69)	22	0	242
UHNM Trauma and Orthopaedic Fund	256	24	(73)	20	0	228
County Fundraising Appeal	202	14	(7)	17	0	226
UHNM Breast Care Fund	181	46	(16)	16	0	226
UHNM Fresh Hair Fund	175	30	(19)	15	0	200
UHNM Respiratory Fund	165	24	(12)	15	0	192
UHNM Neurosciences IP Fund	112	61	(4)	9	0	178
UHNM Children's Centre & Services	163	59	(62)	16	0	176
County Cancer & Haematology Fund	144	13	(5)	12	0	165
County General Fund	82	7	(43)	7	100	154
Cystic Fibrosis	121	13	(11)	10	0	132
UHNM EMERGENCY APPEAL	230	11	(139)	13	0	116
UHNM Stroke & AU Fund	92	18	(15)	8	0	103
RSUH General Fund	94	21	(22)	7	0	100
UHNM Diabetes Fund	80	6	(3)	7	0	90
UHNM Imaging Fund	62	5	(1)	5	0	71
Pot Luck Patient Benefit Fund	61	22	(18)	4	0	70
UHNM Obstetric Fund	96	23	(60)	7	0	66
General Medical Fund	56	5	(3)	5	0	64
UHNM Elderly Care Fund	48	23	(17)	4	0	58
UHNM Vascular Fund	50	4	(2)	4	0	57
UHNM Neurosciences OP Fund	39	14	(2)	4	0	55
Cancer Research	46	3	(2)	4	0	51
Eye Fund	45	5	(6)	4	0	47
UHNM Gastro & Endoscopy Fund	77	22	(57)	5	0	47
UHNM Gynaecology & Gynae Cancer Fund	44	3	(5)	4	0	46
Oral Surgery	36	4	(1)	3	0	42
UHNM Emergency Care Centres	48	17	(30)	3	0	38
Art for Life	33	2	(1)	3	0	37
Gordon Banks In Safe Hands	32	2	(1)	3	0	36
UHNM Specialised Surgery	31	6	(8)	2	0	31
Sleep & Ventilation Fund	27	2	(1)	2	0	30
UHNM Colorectal Fund	19	4	(1)	4	0	25
All other designated funds (69)	196	32	(32)	16	0	211
Total Designated Funds	**6,988**	**1,392**	**(1,721)**	**572**	**163**	**7,393**

The number of designated funds at 31st March 2024 was 110 (110 at 31 March 2023).

There has been a transfer of £0.163m from the restricted Emergency Appeal Fund into the UHNM General Fund (£0.063m) and County General Fund (£0.100m) which relates to prior years costs for Patient Entertainment / Hospedia.

Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance 1st April 2023	Incoming Resources	Resources Expended	Gains and losses	Transfers between funds	Balance 31st Mar 2024
	£'000	£'000	£'000	£'000	£'000	£'000
North Staffs Heart Committee Fund	859	352	(34)	76	0	1,253
Denise Coates Foundation Fund	659	0	7	0	0	667
Rest, Chemotherapy Legacy Fund	113	8	(4)	9	0	127
Rest, Cardiology Legacy	25	2	(1)	2	0	28
All Other Restricted Funds (4)	298	246	(364)	12	(163)	30
Total Restricted Funds	**1,954**	**608**	**(395)**	**100**	**(163)**	**2,103**

The number of restricted funds at 31st March 2024 was 8 (8 at 31 March 2023).

There has been a transfer of £0.163m from the restricted Emergency Appeal Fund (included within All Other Restricted Funds) into the UHNM General Fund (£0.063m) and County General Fund (£0.100m) which relates to prior years costs for Patient Entertainment / Hospedia.

Total Funds

Total Designated Funds	6,988	1,392	(1,721)	572	163	7,393
Total Restricted Funds	1,954	608	(395)	100	(163)	2,103
Total Funds	**8,943**	**1,999**	**(2,117)**	**672**	**0**	**9,496**

10 Commitments

The funds include the following commitments:

	2023/24	2022/23
	£'000	£'000
Cancer centre reconfiguration	2,675	535
Cancer centre reconfiguration over 1 year	0	2,983
Charitable projects - other	622	796
Charitable projects over one year	116	161
Capital	830	629
Total commitments	**4,243**	**5,104**

The Trustees recognise liabilities in the accounts once there is either a legal or constructive obligation to spend the funds. Commitments of £4.243m (£5.104m in 2022/23) have been included within Creditors, as shown in notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in 2023/2024 and 2022/23 relate to grants payable.

There is currently £0.159m remaining relating to maintenance commitments, of which £0.116m is included within creditors due after more than one year. £0.12m of the maintenance commitments relate to the Surgical Robot funded by the Denise Coates Foundation. There is a further commitment to training costs also funded from the Denise Coates Foundation of £0.039m of which £0.026m is included in creditors due after more than one year.

11 Contingent assets - Nil

As at 31st March 2024 the Charity has no contingent assets (none in 2022/23).

12 Five year summary of Income and Expenditure

Financial year ended	2019/20	2020/21	2021/22	2022/23	2023/24
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,303	3,229	5,358	6,600	1,999
Total Resources Expended	(1,896)	(3,541)	(3,981)	(6,209)	(2,117)
Gains/(losses) on Investment assets	(192)	1,216	613	(292)	672
Net movement in funds	**(785)**	**904**	**1,990**	**99**	**554**

Key income and expenditure movements:

i) On average, the Charity received £1.5m of income in each of the above five years excluding Denise Coates Foundation donations received in 2020/2021 (£1.9m), 2021/2022 (£4.0m), and 2022/2023 (£4.4m); and NHS Charities Together Community Partnerships income of £0.2m 2023/24 and £0.3m 2022/23.

ii) On average, the Charity spent £1.5m in each of the above five years excluding Denise Coates Foundation funded projects in 2020/2021 (£1.7m), 2021/2022 (£3.1m), and 2022/2023 (£4.8m); and NHS Charities Together Community Partnerships expenditure of £0.2m 2023/24 and £0.3m in 2022/23.

iii) Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions which impact on the investment portfolio managed by our investment managers.

The table below shows the underlying income and expenditure of the Charity net of the items described in points i) to iii) above.

Five year summary of Underlying Income and Expenditure

Financial year ended	2019/20	2020/21	2021/22	2022/23	2023/24
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,303	1,328	1,358	1,934	1,764
Total Resources Expended	(1,896)	(1,875)	(922)	(1,141)	(1,879)
Net movement in funds	**(593)**	**(547)**	**436**	**793**	**(115)**

This analysis demonstrates that the Charity has maintained its underlying fund balances across the five year period, with a net decrease in funds of £0.1m relating to the sources of income and expenditure that will be continuing as part of the regular ongoing activity of the Charity. As the Charity has total fund balances of £9.5m, the Charity and Trustees will work with the Trust to encourage and develop spending plans within the forthcoming year.

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Independent Auditor's Report to the Trustees of University Hospitals North Midlands Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity ('the charity') for the year ended 31 March 2024 which comprise the Statement of Financial Activities, Balance Sheet, the statement of cash flows and the notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2024, and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

In auditing the financial statements, we have concluded that the Trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the Charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the Trustees with respect to going concern are described in the relevant sections of this report.

Other information

The trustees are responsible for the other information. The other information comprises the information included in the trustees' report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters in relation to which the Charities (Accounts & Reports) Regulations 2008 requires us to report to you if, in our opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustees' report; or
- sufficient accounting records have not been kept; or
- the financial statements are not in agreement with the accounting records; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of trustees

As explained more fully in the trustees' responsibilities statement, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an Auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

Our approach to identifying and assessing the risks of material misstatement in respect of irregularities, including fraud and non-compliance with laws and regulations, was as follows:

- *the senior statutory auditor ensured that the engagement team collectively had the appropriate competence, capabilities and skills to identify or recognise non-compliance with applicable laws and regulations;*
- *we identified the laws and regulations applicable to the charity through discussions with directors and other management, and from our commercial knowledge and experience of the sector;*
- *we focused on specific laws and regulations which we considered may have a direct material effect on the financial statements or the operations of the charity, including the financial reporting legislation, Charities Act 2011, taxation legislation, anti-bribery, employment, and environmental and health and safety legislation;*
- *we assessed the extent of compliance with the laws and regulations identified above through making enquiries of management and inspecting legal correspondence; and*
- *identified laws and regulations were communicated within the audit team regularly and the team remained alert to instances of non-compliance throughout the audit.*

We assessed the susceptibility of the charity's financial statements to material misstatement, including obtaining an understanding of how fraud might occur, by:

- *making enquiries of management as to where they considered there was susceptibility to fraud, their knowledge of actual, suspected and alleged fraud; and*
- *considering the internal controls in place to mitigate risks of fraud and non-compliance with laws and regulations.*

To address the risk of fraud through management bias and override of controls, we:

- *performed analytical procedures to identify any unusual or unexpected relationships;*
- *tested journal entries to identify unusual transactions;*
- *assessed whether judgements and assumptions made in determining the accounting estimates set out in Note 1 were indicative of potential bias; and*
- *investigated the rationale behind significant or unusual transactions.*

In response to the risk of irregularities and non-compliance with laws and regulations, we designed procedures which included, but were not limited to:

- *agreeing financial statement disclosures to underlying supporting documentation;*
- *reading the minutes of meetings of those charged with governance;*
- *enquiring of management as to actual and potential litigation and claims; and*
- *reviewing correspondence with HMRC, relevant regulators and the charity's legal advisors.*

Because of the inherent limitations of an audit, there is a risk that we will not detect all irregularities, including those leading to a material misstatement in the financial statements or non-compliance with regulation. This risk increases the more that compliance with a law or regulation is removed from the events and transactions reflected in the financial statements, as we will be less likely to become aware of instances of non-compliance. The risk is also greater regarding irregularities occurring due to fraud rather than error, as fraud involves intentional concealment, forgery, collusion, omission or misrepresentation.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our Auditors' report.

Use of our report

This report is made solely to the charity's trustees, as a body, in accordance with part 4 of the Charities (Accounts & Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for our audit work, for this report, or for the opinions we have formed.

Dains Audit Limited

Dains Audit Limited
Statutory Auditor

Suite 2, Albion House
2 Etruria Office Village
Forge Lane
Etruria
Stoke on Trent
ST1 5RQ
15 January 2025

Dains Audit Limited is eligible to act as auditor in terms of Section 1212 of the Companies Act 2006

U·H·N·M charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG
Telephone: 01782 676444
Website: www.uhnmcharity.org.uk
Facebook: UHNMCharity
Twitter: UHNMCharity
Instagram: UHNMCharity

UNIVERSITY HOSPITALS OF NORTH MIDLANDS CHARITY

England & Wales - Charity number 1054889

Accounts

U·H·N·M charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford

Annual Report and Accounts

2022 - 2023

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During April 2022 to 31 March 2023, the Corporate Trustee included the following:

Voting Members

David Wakefield
Trust Board Chairman

Tracy Bullock
Chief Executive

Paul Bytheway
Chief Operating Officer

Dr Matthew Lewis
Medical Director

Ann-Marie Riley
Chief Nurse

Mark Oldham
Chief Finance Officer

Leigh Griffin
Non- Executive Director and Charitable Funds Committee Chair

Gary Crowe
Non- Executive Director

Katie Maddock
Non- Executive Director

Tanya Bowen
Non- Executive Director

Sonia Belfield
Non- Executive Director
(Until 30 June 2022)

Shai Gohir
Non-Executive Director
(July 2022 - 31 December 2022)

Non-Voting Members

Lisa Thomson
Director of Communications and Charity

Helen Ashley
Director of Strategy and Performance

Lorraine Whitehead
Director of Estates, Facilities and PFI

Amy Freeman
Director of Digital Transformation

Andrew Hassell
Associate Non-Executive Director

Peter Akid
Non- Executive Director

Message from our Chair
- Leigh Griffin

Dear Friends and Supporters,

I am delighted to present the annual report and accounts of our UHNM Charity, highlighting the incredible work and achievements of the past year. Our dedicated Charity Team, alongside the generosity and unwavering support of our community, local businesses and UHNM colleagues, has made a remarkable difference in the lives of those in need.

I extend my heartfelt gratitude to every individual, volunteer, business and partner who has contributed their time, resources, and energy to our cause. Your continued support has enabled us to enhance the quality of care and support provided to patients, service users, their families and loved ones, as well as our amazing UHNM colleagues.

Despite the challenges presented by the ever-evolving healthcare landscape, our commitment to improving patient experiences remains resolute. Through innovative programmes, initiatives, and partnerships, we have made significant strides in addressing critical healthcare needs and enhancing the well-being of our community.

Looking ahead, we are determined to build upon these achievements and continue our mission of providing exceptional care and support to those who need it most. With your ongoing support, we are confident in our ability to make an even greater impact in the coming year and beyond.

Thank you for being an integral part of our journey. Together, we will continue to make a positive difference in the lives of individuals and families in our community.

With warm regards,

Leigh

Leigh Griffin
UHNM Charity Chair

Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our colleagues working at UHNM to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising professionals. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:

www.facebook.com/UHNMCharity

www.twitter.com/UHNMCharity

www.instagram.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email at charity@uhnm.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the Charity team.

In setting our objectives and planning our activities the Corporate Trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and UHNM Colleagues;
- Supporting NHS employee development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM colleagues, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM colleagues to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity team members meeting with teams across the hospitals and Fund Advisers as well as through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNMstaff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the Charity objectives in the future.

The team working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

UHNM Charity Team

Lisa Thomson
UHNM Director of Communications and Charity

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.

Steve Rushton
Head of UHNM Charity

Steve leads on developing major appeals and works with the Charity Committee and senior teams to ensure UHNM Charity continues to grow.

Lynn Oldfield
Project Manager

Lynn leads on various projects for the Charity helping to develop and deliver them ensuring maximum uptake and reach.

Joanna Walford
Grant Making Administrator

Joanna works with individuals and teams from across UHNM to advise on and process spending applications.

Becky Bate
Administrator

Becky supports the team with various admin roles and the day-to-day running of the Charity.

Sarah O'Reilly
Fundraising Officer

Sarah makes sure everyone who is fundraising for us is processed and supported.

James Wood
Communications Officer

James promotes the work of the Charity both publicly and within UHNM.

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors
Dains Audit Limited
Suite 2, Albion House
2 Etruria Office Village
Forge Lane
Stoke-on-Trent
ST1 5RQ

Investment Advisers
CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB

Highlights of the Year 2022/2023

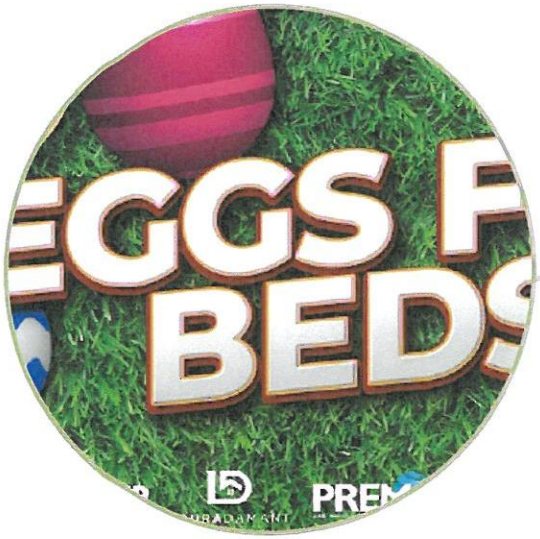
UHNM Charity was awarded £500,000 to work with fourteen community and voluntary groups from across Staffordshire to help to tackle loneliness and social isolation as a result of the Covid-19 pandemic. NHS Charities Together awarded the half a million pound grant to UHNM Charity as part of its Community Grants programme.

Kind-hearted people from Stoke-on-Trent and local businesses came together on the greens to raise much needed funds for UHNM Charity. 18 teams took part in the first annual UHNM Charity Golf Day at Barlaston Golf Club raising almost £6,000 to buy cutting edge equipment to benefit patients requiring brain tumour surgery.

More than 30 runners took to the streets of the Potteries in June to run the famous Potters 'Arf Marathon and raise thousands of pounds for UHNM Charity. Former patients along with their friends and families joined members of the UHNM team and other healthcare professionals to tackle the 13.1-mile course to help us improve the patient care and experience at UHNM. Runners took on the course individually or as part of relay teams and there was also a dedicated walker's and children's race.

Staff from across Royal Stoke and County hospitals put on their aprons and picked up their wooden spoons to show their support for the NHS as it celebrated its 74th Birthday. As part of the Big Tea 2022, colleagues from both hospitals participated in the UHNM Bake Off, celebrating the NHS and its 74 years of service. The annual Big Tea event celebrates the work of the NHS, raising funds to support staff and patients and showing our gratitude to the hard working individuals helping our communities.

During the festive period the Charity hosted weekly Festive Live Shows every Tuesday for our patients and staff to enjoy. These showcased live performances from choirs, brass bands and special guests. The Festive Shows took place outside of the main entrance to Royal Stoke and were streamed live throughout Royal Stoke and County hospitals as well as on the Trust's intranet and social media.

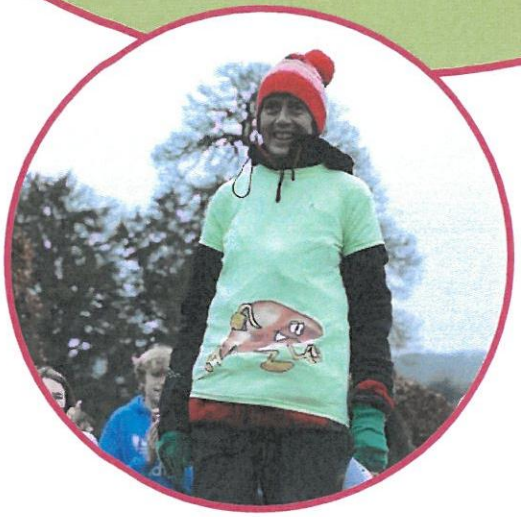


UHNM Charity was joined by local businesses and organisations in making it an egg-stra special Easter for our patients and staff. This Easter we launched our 'Eggs for Beds' campaign, with the aim of providing a crème egg for every inpatient and member of UHNM and Sodexo teams working Easter Sunday. Over 2,000 crème eggs were donated to the Royal Stoke and County hospitals by supporters including Stoke City Football Club, the Stoke City Community Trust, Foodhub, LD Property and PREM Management.

Roll up, roll up! LD Property and WDPS Stoke-on-Trent held their first 'Greatest Ball' at Keele Hall raising £5,500 for UHNM Charity. Attended by a range of local businesses, the black tie event featured circus acts, live music, a three course meal, fundraising raffle and whole host of other entertainment.

Organised by Staffordshire University in partnership with Stoke-on-Trent City Council and a number of local organisations and businesses, the 'Your Heroes Awards' highlights the unsung heroes and communities from across Stoke-on-Trent and Staffordshire. We were delighted to have two 'highly commended' award winners this year, Dr Karen Juggins in the 'NHS Hero' category for her role in the Keep Stoke Smiling Campaign and fundraiser Isaac Rotherham in the 'Child of Courage' category.

Our Fundraisers and Donors



Dr Alison Brind

Colleagues from across UHNM laced up their trainers and came together to join Dr Alison Brind on a fundraising Parkrun for UHNM Charity. The Consultant Gastroenterologist, who has been diagnosed with pancriatic cancer, has now risen over £20,000 for liver disease at UHNM. Teams from Liver, Cancer, Endoscopy and Bowel Cancer Screening were amongst the nearly 700 runners on the day.

Florence Porter-Hargreaves

Along with 18 of her classmates, cystic fibrosis patient Florence Porter-Hargreaves completed a seven and a half mile sponsored walk from Staffordshire Children's Hospital at Royal Stoke to her school, St Luke's Academy in Endon. Speaking about the walk which raised £1,100, mum Dawn said: "The route from Royal Stoke to school is one she'd taken so many times by car, and her wonderful care spurred her on to make it by foot."

UHNM Therapists

Josh, Sarah, Iwan, Joe, Aisling, Callum, Vicky and Rachel, members of Royal Stoke's Specialised Outpatients and Gym Physiotherapy team tackled the Yorkshire Three Peaks Challenge raising almost £1,200 for UHNM Charity. The team scaled the peaks of Pen-y-ghent, Whernside and Ingleborough - a distance of 25 miles in under 12 hours to raise funds towards new equipment in the Musculoskeletal Outpatients and Gym department. Physiotherapist Joshua Parkes said: "We wanted a bit of a physical challenge to improve patient experience."

Keele University Nursing Studens

A group of eight nursing students from Keele University hit the heights for UHNM Charity by abseiling from Millers Dale Viaduct in the Peak District. The students challenged themselves as part of a team building exercise and raised almost £600 for the Forget-Me-Not Suite at Royal Stoke. Student Rachel Rimmington said: "This is a cause really close to my heart as my little boy Albie was born in the Suite before passing away just 63 minutes later. The staff were just unbelievably amazing and we couldn't think of a more worthy way to celebrate his second birthday."

Ian Rushton

A Congleton cyclist has peddled the width of the country raising £1,500 for the Unit that provided care to his mum. Ian Rushton spent three days in the saddle cycling from Whitehaven in Cumbria to Tynemouth in North Tyneside in aid of UHNM Charity and the Renal Unit at the Royal Stoke University Hospital. Ian was accompanied on the challenge by friend Chris Ball which saw them take in stunning scenery, bad weather and steep climbs.

Chris Bailey

A fundraiser from Newcastle-under-Lyme ran the Chicago Marathon in a time of three hours and 16 minutes in support of his friend who was diagnosed with cancer. Chris Bailey's friend and running partner, Mark 'Cazzer' Ankers (right), was diagnosed with mouth cancer in May 2022. Chris ran the 26.2 miles around the Windy City raising £4,600 for the Maxillofacial team at UHNM who provided "fantastic care".

Staffordshire University Students

Organised by final year students from Staffordshire University, the 'UHNM Charity Gala' raised almost £4,000 for cardiac services at UHNM. Student Paige Burnam (left), who helped organise the Gala, said: "We chose UHNM Charity as we are all local to the Stoke-on-Trent and Staffordshire area and have witnessed the dedication and hard work that UHNM's delivered both before, during, and after the COVID-19 pandemic." The evening featured a meal, live music and raffle.

Rachel Kitson

A Stafford family returned to the Royal Stoke to thank the nurses, doctors and consultants for their care and present a cheque to UHNM Charity for almost £5,000. Rachel Kitson ran the Manchester Marathon in aid of the Children's Intensive Care Unit (CICU) to thank them for care given to son Ethan following a severe asthma attack in October 2020. It was an emotional return for Rachel and husband Gareth who visited the Unit for the first time since Ethan's stay. Both Ethan and sister Frankie met us with our Charity mascot.

Support from Schools, Businesses and Organisations

Dozens of local businesses and organisations donated hundreds of Easter eggs for the enjoyment of our inpatients.

Twelve local businesses each donated a prize worth at least £500 for our 'Twelve Days of Christmas' competition.

Thanks to WDPS, LD Property services, Tile Central UK and other local businesses, Dolores Blagborough, a nurse at County Hospital, was the winner of a £10,000 kitchen in our Christmas text-to-enter competition.

WDPS and LD Property held their first 'Greatest Ball' at Keele Hall raising £5,500 for UHNM Charity.

The Lord Mayor of Stoke-on-Trent Cllr Chandra Kanneganti's 'Lord Mayor's Ball' contributed towards over £7,000 raised during his year in office.

Members of Trentham Golf Club raised over £8,500 for UHNM Charity through various fundraising events held throughout the year.

The inaugural UHNM Charity Golf Day at Barlaston Golf Club raised almost £6,000 for our work.

Pupils from Blythe Bridge High School continued to support our patients with the donation of 'Hugs in a Bag' containing essential items such a toiletries.

The courtyard at Staffordshire Children's Hospital at Royal Stoke looked bloomin' marvellous thanks to a donation of seeds and compost from Morrisons Newcastle-under-Lyme.

Pupils from Newcastle-under-Lyme School raised £1,400 through a variety of fundraising events including cake sales and craft fairs.

Rather than exchange Christmas presents, staff from Boots in Stafford used the money to make donations to our Neonatal Intensive Care Unit (NICU).

Crayola UK donated a range of stationary to Staffordshire Children's Hospital at Royal Stoke and Older Adults in memory of a former UHNM patient.

Our Impact for Patients

£1,409,400.66 approved for charitable purposes

Critical Care Rehab Garden

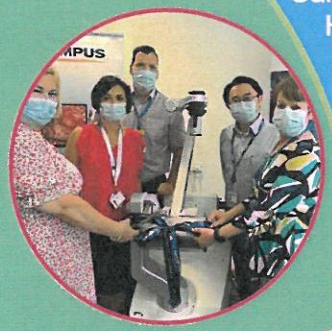
The UHNM Charity-funded Critical Care Rehabilitation Garden was officially opened at the Royal Stoke University Hospital.

The garden has been specially designed to provide patients with a safe haven away from the clinical environment of the Critical Care Unit to help with orientation, engagement and to shorten their recovery period.

The ribbon on the garden was cut by former Critical Care patient Grace Hopkins from Trentham.

Orbeye 4K Camera

Neurosurgical patients at UHNM are benefiting from a revolutionary orbital camera, which provides neurosurgeons with state-of-the-art 3D views of the brain and spine during surgery.



Thanks to a grant from the Denise Coates Foundation through UHNM Charity, UHNM has become the first NHS trust in the Midlands to have installed the ORBEYE system.

ORBEYE allows neurosurgeons to move on from traditional ocular microscopes and instead operate from a large 4K monitor in real-time.

8 grants approved for events and training

Disability Facilities

Patients and visitors with a disability or mobility problems are to benefit from newly opened facilities at County Hospital.

UHNM Charity has funded a newly refurbished 'changing place' toilet at the hospital in Stafford.

The large space is equipped with changing benches and hoists and will provide a dignified and secure area for people and their carers to use.

The new facilities also include features such as bins fitted with sensors that automatically open and more accessible shelves and mirrors.

77 grants approved for new equipment

Breastfeeding Improvements

The hospital experience of breastfeeding mothers has been improved thanks to UHNM Charity funding.

Staffordshire Children's Hospital at Royal Stoke has been able to purchase new equipment aimed at making breastfeeding a more relaxed and comfortable experience.

Equipment including breast pumps, sterilisers and recliner chairs is now being utilised in areas across the Children's Hospital including Children's A&E, medical wards and day cases.

163 approved grants

Stroke Interactive Screens

A new interactive touchscreen is helping to speed up the recovery time of stroke patients, thanks to UHNM Charity and its supporters.

The Acute Stroke Unit at Royal Stoke has been able to purchase a Clevertouch Impact Plus, a 55-inch screen featuring dedicated software that helps to increase the intensity of cognitive rehabilitation. This helps speed up recovery and discharge from hospital.

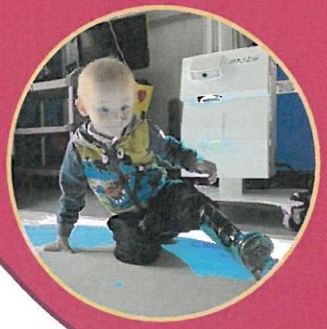
Patients can use the specially designed inbuilt apps and games to improve their hand and arm dexterity as well as vision.

4 grants approved for research

Neonatal Family Rooms and Bereavement Suite

Patients receiving cancer treatment at Staffordshire Children's Hospital at Royal Stoke are benefitting from a cutting-edge interactive sensory projector thanks to charity funding.

Following a grant from UHNM Charity, patients on the Children's Oncology Unit are seeing their mobility improved during treatment as a result of using the equipment.



The omiVista Mobii 3 projects interactive sensory content including games and moving imagery onto flat surfaces such as the floor and tables.

Patients interact with this content through touch- either with hands or feet.

19 grants approved for patient literature / information

Key Operational Milestones

A monthly E-newsletter shares with supporters how the funds they raise are being spent and the difference it is making to the people we serve.

During the year a major donor programme launched including activities with the Chamber of Commerce. The Charity is now part of and attends key network meetings which includes promoting its work through the Chamber magazine.

A fundraising events planner has been developed and published with exciting events including a cycle ride, community cricket and a football and family fun tournament.

Following on from the success of the Charity's involvement in the Potters 'Alf, the Charity Team is working with the organisers and local clubs to get even more people involved in supporting and running for UHNM Charity.

To support the delivery of the UHNM strategy, key operational milestones have been developed.

The first year's key areas of the Operational Plan to support UHNM Charity strategy for 2021/22 was developed and was completed. It encompassed:

We are thrilled that for the first time UHNM Charity now has a prime location at County Hospital enabling the Charity Team to provide even more support to anyone wanting to raise funds and make a difference.

Local businesses are being invited to join the UHNM Charity 500 Club

The second UHNM Golf Day surpassed all expectations raising more than double on the previous year

Building on the network of loyal supporters, the Charity is launching its 'Do Something Epic' campaign and join our Charity Heros.

During 2023/24 the Charity team will build on this foundation to increase both the number of fundraises and the income generated.

Leave a legacy to UHNM Charity

By leaving a Gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families at University Hospitals of North Midlands NHS Trust through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who work or are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on 01782 676444 or email charity@uhnm.nhs.uk

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:

Online

You can make a donation securely online at www.uhnmcharity.org.uk

By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity
Royal Stoke University Hospital
Newcastle Road
Stoke on Trent
ST4 6QG

By telephone

Call us on (01782) 676444
(And do not forget to have your credit or debit card ready!)

Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2023. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the Corporate Trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust's Director of Communications and Charity.

Objectives

The main objectives of the Trustees is to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust. The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research and skilled employees (through welfare, learning and development).

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the Charity to process on-going commitments and to meet the cost of closure or transfer of the Charity's affairs should the need ever arise. As at 31 March 2023 UHNM had unrestricted reserves of £7million which exceeds the amount needed to cover the agreed three years operational and governance costs.The Corporate Trustee is committed to reducing the excess over the next three years in a way which gives optimum benefit to patients. The Charity Team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its

clinical services strategy and a refresh of its overarching strategy which once completed will help signpost the areas where charitable investment might give the best long term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and childrens.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud.

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures, including the regular monitoring of investments, minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and Financial Statements for each financial year which show a true and fair view of the state of affairs of the Charity and of the Charity's financial position for the period.

In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- Selects suitable accounting policies and then applies them consistently;
- Makes judgements and estimates that are reasonable and prudent;
- States whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- Prepares the financial statements on the going concern basis unless it is inappropriate to presume that the Charity will continue its activities.

The Trustee is required to act in accordance with the Trust Deed of the Charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the Charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the Charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the Financial Statements. The Financial Statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

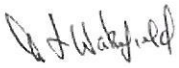
Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the Charity. Note 1.11 of the Accounts discloses information about any related party transactions.

Fundraising

In relation to Charities Act 2011, which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity website. No complaints have been received from fundraisers or donors and the Charity Team works closely to support all of those individuals choosing to raised funds.

The trustees report was approved by:



David Wakefield
Date: 22 January 2024

Financial Statements for the Period 1 April 2022 to 31 March 2023

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2023

		Unrestricted Funds		Restricted Funds		Total Funds	
	Note	2022/23	2021/22	2022/23	2021/22	2022/23	2021/22
Income from:	2	£000	£000	£000	£000	£000	£000
Donations	2.1	248	273	4,390	4,025	4,638	4,298
Legacies	2.2	801	542	241	96	1,042	638
Other trading activities	2.3	227	193	3	0	230	193
Investments	2.4	325	179	62	50	387	229
Other income	2.5	0	0	304	0	304	0
Total Income		1,601	1,187	4,999	4,171	6,600	5,358
Expenditure on:	3						
Charitable activities	3.1	615	698	5,054	3,098	5,669	3,796
Raising funds	3.2	269	183	0	2	269	185
Other expenditure	3.3	0	0	271	0	271	0
Total expenditure	3.4	884	881	5,325	3,100	6,209	3,981
Net gains/ (losses) on investments	4	(245)	480	(47)	133	(292)	613
Net Income		472	786	(373)	1,204	99	1,990
Transfers between funds	9	111	0	(111)	0	0	0
Net movement in Funds		583	786	(484)	1,204	99	1,990
Reconciliation of funds:							
Total funds Brought forward		6,405	5,619	2,438	1,234	8,843	6,853
Total funds carried forward		6,988	6,405	1,954	2,438	8,942	8,843

The statement of financial activities includes all gains and losses recognised in the year.

All income and expenditure is derived from continuing activities.

Included within Other Income is £0.3m which represents the first tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful. Associated expenditure of £0.3m is included in Other Expenditure and represents amounts paid across from the Charity to the community charities.

Chairman
Date: 22 January 2024

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2023

	Note	Unrestricted Funds 31-Mar-23 £000	Restricted Funds 31-Mar-23 £000	Total Funds 31-Mar-23 £000	Total Funds 31-Mar-22 £000
Non-current assets					
Investments	6	5,627	1,795	7,422	7,714
Total non-current assets		5,627	1,795	7,422	7,714
Current assets	7				
Debtors	7.1	342	1	343	225
Cash at bank and in hand	7.2	1,696	5,612	7,308	4,323
Total current assets		2,038	5,613	7,651	4,548
Liabilities	8				
Creditors falling due within one year	8.1	(675)	(2,312)	(2,987)	(3,247)
Net current assets		1,363	3,301	4,664	1,301
Total assets less current liabilities		6,990	5,096	12,086	9,015
Creditors:					
Amounts falling due after more than one year	8.2	(2)	(3,142)	(3,144)	(172)
Net assets		6,988	1,954	8,942	8,843
Funds of the Charity	9				
Restricted income funds		0	1,954	1,954	2,438
Unrestricted income funds		6,988	0	6,988	6,405
Total Funds		6,988	1,954	8,942	8,843

The notes on pages 21 to 27 form part of this account

The date of approval of the accounts by the Trust Board was 22 January 2024.

Chairman
Date: 22 January 2024

Cash flow statement for the year ended 31 March 2023

	Note	Total Funds 2022/23	Total Funds 2021/22
Reconcilliation of net income to net cash flow from operating activities		£'000	£'000
Net income for the reporting period (as per the Statement of Financial Activities)		**99**	**1,990**
Adjustments for:			
(Gains)/losses on investments	4	292	(613)
Interest from Investments		(387)	(229)
(Increase) in debtors		(118)	(146)
Increase in creditors		2,712	1,403
Net cash provided by operating activities		**2,598**	**2,405**
Cash flows from operating activities:			
Net cash provided by operating activities		2,598	2,405
Cash flows from investing activities:			
Interest from Investments	2.5	387	229
Net cash used in investing activities		**387**	**229**
Increase in cash and cash equivalents in the reporting period		2,985	2,634
Cash and cash equivalents at 1 April		4,323	1,689
Cash and cash equivalents at 31 March		**7,308**	**4,323**
Analysis of cash and cash equivalents:			
Cash in hand		7,308	4,323
Total cash and cash equivalents		**7,308**	**4,323**

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements for the year ended 31 March 2023.
The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Charities SORP (FRS 102) which is the Statement of Recommended Practice applicable to Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

i) entitlement - arises when a particular resource is receivable or when the Charity's right becomes legally enforceable;

ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and

iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred, and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of generating funds

The cost of generating funds are the costs associated with generating income for the Charity.

b) Grants payable

Grants payable are payments made to third parties (including NHS bodies) in the futherance of the Charity's objectives, which are to relieve those who are sick. Grants are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the charity have been made to University Hospitals of North Midlands NHS Trust (the Trust).

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from the Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds and the Charity does not currently hold any such funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees* have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

* the Charity has a Corporate Trustee, which is the UHNM Trust Board. For the purposes of these financial statements references to 'Trustees' refer to members of the Corporate Trustee.

1.6 Investment Assets

The Trust does not hold any investment assets.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

The Trust is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees have received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £2.102m (2021/22: £3.289m). A balance of £0.78m was outstanding at the year end (2021/22: £2.193m) and this is included within creditors falling due within one year.

1.12 Denise Coates Foundation

In April 2020 the Charity was given the opportunity to apply for grants of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. The Charity submitted successful applications to the Foundation and has received total income of £10.263m across the last three years (£4.362m in 2022/23; £4.0m in 2021/22 and £1.9m in 2020/21). The financial statements include the income, expenditure and a restricted fund balance relating to schemes fully approved.

1.13 Commitments

Applications for expenditure are treated as commitments in the financial statements once approval has been granted and this outcome has been confirmed with the recipient by the year-end, which creates a constructive obligation. If there is uncertainty as to whether the recipient will be able to proceed with the proposal and the payment becomes possible but not probable, then a liability for the commitment is not recognised. Instead, the funding commitment should be disclosed as a contingent liability.

1.14 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist.

1.15 Post Balance Sheet Events

There are no post balance sheet events.

1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

2 Income

2.1 Donations

	2022/23			2021/22		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
'In memory of' donations	130	4	134	119	2	121
Recognition of Care donations	31	0	31	65	0	65
Collections	6	0	6	5	0	5
Breast Care for Magseed	0	0	0	15	0	15
Daisy Chain Fund	32	0	32	0	0	0
Denise Coates Foundation	0	4,362	4,362	0	4,000	4,000
NHS Charities Together	0	22	22	0	22	22
Other donations	49	2	51	69	1	70
Total donations	**248**	**4,390**	**4,638**	**273**	**4,025**	**4,298**

2.2 Legacies

	2022/23			2021/22		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Legacies	801	241	1,042	542	96	638
Total for the year	**801**	**241**	**1,042**	**542**	**96**	**638**

2.3 Income from other trading activities

	2022/23			2021/22		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Charity events and fundraising	227	3	230	193	0	193
Total for year	**227**	**3**	**230**	**193**	**0**	**193**

Income from other trading activities comprises of funds raised through various sporting and other fundraising events as well as income from the Staff Lottery and Fresh Hair retail sales.

2.4 Investment Income

	2022/23			2021/22		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Income from investments was received as below:						
Income from other investments	191	36	227	176	49	225
Bank interest	134	26	160	3	1	4
Total for year	**325**	**62**	**387**	**179**	**50**	**229**

2.5 Other income

	2022/23			2021/22		
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
NHS Charities Together (Community Partnerships	0	304	304	0	0	0
Total for year	**0**	**304**	**304**	**0**	**0**	**0**

The £0.3m represents the first tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful and the Charity was awarded with the full amount. Associated expenditure of £0.3m is included in Note 3.3 Other Expenditure and represents amounts paid across from the Charity to the community charities.

3 Expenditure

		2022/23			2021/22		
		Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
3.1	**Charitable activities**	£'000	£'000	£'000	£'000	£'000	£'000
	Patient welfare and amenities	307	137	444	185	2	188
	Staff welfare and amenities	47	11	58	17	20	36
	Research	10	0	10	(36)	0	(36)
	Enhancement of NHS care	89	4,869	4,958	364	3,030	3,394
	Support costs	162	37	199	167	47	214
	Total	**615**	**5,054**	**5,669**	**697**	**3,099**	**3,796**
3.2	**Raising Funds**						
	Fundraising costs	234	0	234	176	0	176
	Support costs	35	0	35	7	2	9
	Total	**269**	**0**	**269**	**183**	**2**	**185**
3.3	**Other expenditure**						
	NHS Charities Together (Community Partnerships	0	271	271	0	0	0
	Total	**0**	**271**	**271**	**0**	**0**	**0**
3.4	**Total expenditure**	**884**	**5,325**	**6,209**	**880**	**3,101**	**3,981**

Included in patient welfare and amenities is £0.058m spend on 12 months for hospital Wi-Fi and £0.054m on the development of patient information services from the Denise Coates Foundation.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems. During the year £0.15m has been spent on a CARTO mapping systems. The Denise Coates Foundation has funded an Ultrasound and probes costing £0.259m with further commitments of £0.045m in respect of this. There is spend of £0.155m relating to the Cancer Centre reconfiguration and a further commitment of £3.518m funded by the Denise Coates Foundation and held in restricted funds.

The Charity has no direct employees and staff responsible for the administration of the Charity are employees of the Trust. A recharge is made by the Trust to the Charity to reflect the cost of administering the Charity. Staff costs for the period amounted to £0.185m (£0.177m in 2021/22) and are apportioned between expenditure on raising funds and charitable activities.

Included within Other Expenditure is £0.3m which represents the first tranche of payments to community charities in relation to the NHS Charities Together scheme, as detailed in note 2.5.

4 Net gains on investments

	2022/23	2021/22
	£'000	£'000
Unrealised gains/ (losses) on investments	(292)	613
Net unrealised gains for the year	**(292)**	**613**

5 Net income for the year

This is stated after charging:

	2022/23	2021/22
	£'000	£'000
Auditor's remuneration:		
Audit fees	12	12
Total	**12**	**12**

Audit fees are included within Support Costs in Note 3 - Expenditure.

6 Analysis of Investments

	2022/23	2021/22
	£'000	£'000
Fixed Asset Investments:		
Opening Market value 1 April	7,714	7,101
Net (loss)/gain on revaluation	(292)	613
Closing Market value at 31 March	**7,422**	**7,714**

The historical cost of the investments is £4.645m (£4.645m in 2021/22), and the market value as at 31 March 2023 was £7.422m (£7.714m in 2021/22).

At 31st March 2023 the mid market value of one Charities Investment Fund Income unit was 1,873.66 pence (1,947.35 pence as at 31st March 2022).

7 Current assets

7.1 Debtors (falling due within one year)

	2022/23	2021/22
	£'000	£'000
Interest including bank interest	81	58
Tax credits	9	15
Debtors	253	152
Total	**343**	**225**

7.2 Cash at Bank and in hand

	2022/23	2021/22
	£'000	£'000
Cash held at Royal Bank of Scotland	7,308	4,323
Total	**7,308**	**4,323**

8 Liabilities

8.1 Creditors (falling due within one year)

	2022/23	2021/22
	£'000	£'000
Creditors	2,987	3,247
Total	**2,987**	**3,247**

Creditors include the current committed expenditure of £1.96m (£0.839m in 2021/22)

8.2 Creditors (falling due after more than one year)

	2022/23	2021/22
	£'000	£'000
Creditors	3,144	172
Total	**3,144**	**172**

Creditors include the non-current committed expenditure of £3.144m (£0.172m in 2021/22).

9 Funds

Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the Trust.

The table below shows all funds with a closing balance of greater than £25,000.

Fund	Opening Balance 1st April 2022	Incoming Resources	Resources Expended	Gains and losses	Transfers between funds	Closing Balance 31st Mar 2023
	£'000	£'000	£'000	£'000	£'000	£'000
Cancer Centre Fund	994	275	(38)	(40)	9	1,200
UHNM General Fund	594	317	(362)	(23)	57	584
UHNM Heart Fund	522	78	(31)	(20)	4	553
General Cancer Research Fund	322	15	(9)	(12)	3	319
Renal Fund	266	28	(9)	(10)	2	277
UHNM Research and Development Fund	244	45	(11)	(9)	2	270
UHNM Critical Care Fund	232	80	(20)	(9)	2	286
UHNM EMERGENCY APPEAL	230	11	(9)	(8)	5	230
Neonatal Intensive Care Unit	225	96	(26)	(9)	3	289
County Fundraising Appeal	204	10	(6)	(7)	2	202
UHNM Children's Centre & Services	188	94	(114)	(8)	2	163
UHNM Trauma and Orthopaedic Fund	181	88	(6)	(8)	2	256
UHNM Fresh Hair Fund	179	18	(18)	(6)	2	175
Respiratory Fund	176	16	(24)	(6)	2	165
County Cancer & Haematology Fund	139	13	(4)	(5)	1	144
County General Fund	125	6	(46)	(4)	1	82
Cystic Fibrosis	109	19	(4)	(4)	1	121
UHNM Obstetric Fund	104	11	(16)	(4)	1	96
RSUH General Fund	101	30	(34)	(4)	1	94
UHNM Breast Care Fund	100	103	(17)	(5)	1	181
UHNM Stroke & AU Fund	87	17	(10)	(3)	1	92
UHNM Diabetes Fund	82	4	(4)	(3)	1	80
Urology	67	4	(2)	(2)	1	67
UHNM Neurosciences IP Fund	61	55	(2)	(3)	1	112
Imaging Fund	59	8	(3)	(2)	1	62
General Medical Fund	55	4	(2)	(2)	0	56
Pot luck patient benefit fund	55	19	(11)	(2)	0	61
Gastro & Endoscopy Fund	53	29	(3)	(2)	0	77
Vascular	52	3	(3)	(2)	0	50
Emergency Care Centre	47	8	(6)	(2)	0	48
UHNM Gynaecology Fund	45	2	(1)	(2)	0	44
Eye Fund	42	5	(1)	(2)	0	45
Elderly Care Fund	40	12	(3)	(2)	0	48
Cancer Research	39	9	(1)	(2)	0	46
UHNM Neurosciences OP Fund	37	4	(1)	(1)	0	39
Art for Life	33	2	(1)	(1)	0	33
Gordon Banks-In Safe Hands	32	2	(1)	(1)	0	32
GI Unit	32	3	(1)	(1)	0	33
UHNM Specialised Surgery	29	7	(4)	(1)	0	31
Sleep and Ventilation Fund	27	1	(1)	(1)	0	27
Oral Surgery	19	19	(1)	(1)	0	36
All other designated funds (69)	176	29	(19)	(7)	2	182
Total Designated Funds	**6,405**	**1,601**	**(884)**	**(245)**	**111**	**6,988**

The number of designated funds at 31st March 2023 was 110 (110 at 31 March 2022).

There has been a transfer of £0.048m between UHNM General Fund and the Denise Coates Foundation in respect of prior year costs. There has been a further transfer of £0.71m between the Denise Coates fund and the other funds included in the apportionment in respect of the prior year apportionment.

Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance 1st April 2022	Incoming Resources	Resources Expended	Gains and losses	Transfers between funds	Balance 31st Mar 2023
	£'000	£'000	£'000	£'000	£'000	£'000
North Staffs Heart Committee	769	291	(175)	(32)	6	859
Denise Coates Foundation	1,272	4,362	(4,856)	0	(119)	659
UHNM Emergency Appeal	247	35	(19)	(10)	1	254
Chemotherapy Legacy	114	5	(3)	(4)	1	113
NHS Charities Together	0	304	(271)	0	0	33
All other restricted funds (3)	36	2	(1)	(1)	0	36
Total Restricted Funds	**2,438**	**4,999**	**(5,325)**	**(47)**	**(111)**	**1,954**

The number of restricted funds at 31st March 2023 was 8 (7 at 31 March 2022).

There has been a transfer of £0.048m between UHNM General Fund and the Denise Coates Foundation in respect of prior year costs. There has been a further transfer of £0.71m between the Denise Coates fund and the other funds included in the apportionment in respect of the prior year apportionment.

Total Funds

Total Designated Funds	6,405	1,601	(884)	(245)	111	6,988
Total Restricted Funds	2,438	4,999	(5,325)	(47)	(111)	1,954
Total Funds	**8,843**	**6,600**	**(6,209)**	**(292)**	**0**	**8,942**

10 Commitments

The funds include the following commitments:

	2022/23	2021/22
	£'000	£'000
Cancer centre reconfiguration	535	0
Cancer centre reconfiguration over 1 year	2,983	0
Charitable projects - other	796	642
Charitable projects over one year	161	172
Capital	629	197
Total commitments	**5,104**	**1,011**

The Trustees recognise liabilities in the accounts once there is either a legal or constructive obligation to spend the funds. Commitments of £5.104m (£1.011m in 2021/22) have been included within Creditors, as shown in notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in 2022/2023 and 2021/22 relate to grants payable.

There is currently £0.154m remaining relating to maintenance commitments, of which £0.122m is included within creditors due after more than one year. £0.15m of the maintenance commitments relate to the Surgical Robot funded by the Denise Coates Foundation. There is a further commitment to training costs also funded from the Denise Coates Foundation of £0.052m of which £0.039m is included in creditors due after more than one year.

11 Contingent assets

As at 31st March 2023 the Charity has no contingent assets. In 2021/22 £70k was recognised as a contingent asset at the reporting date in respect of a legacy where payment was reliant on approval from the estate's executor and two contingent assets which were reliant on the completion of property sales and the amounts were uncertain.

12 Five year summary of Income and Expenditure

Financial year ended	2018/19	2019/20	2020/21	2021/22	2022/23
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,854	1,303	3,229	5,358	6,600
Total Resources Expended	(1,493)	(1,896)	(3,541)	(3,981)	(6,209)
Gains/(losses) on Investment assets	444	(192)	1,216	613	(292)
Net movement in funds	**805**	**(785)**	**904**	**1,990**	**99**

Key income and expenditure movements:

i) On average, the Charity received £1.6m of income in each of the above five years excluding Denise Coates Foundation donations received in 2020/2021 (£1.9m), 2021/2022 (£4.0m), and 2022/2023 (£4.4m); and NHS Charities Together Community Partnerships income of £0.3m received in 2023.

ii) On average, the Charity spent £1.5m in each of the above five years excluding Denise Coates Foundation funded projects in 2020/2021 (£1.7m), 2021/2022 (£3.1m), and 2022/2023 (£4.8m); and NHS Charities Together Community Partnerships expenditure of £0.3m in 2023.

iii) Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions which impact on the investment portfolio managed by our investment managers.

The table below shows the underlying income and expenditure of the Charity net of the items described in points i) to iii) above.

Five year summary of Underlying Income and Expenditure

Financial year ended	2018/19	2019/20	2020/21	2021/22	2022/23
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,854	1,303	1,328	1,358	1,934
Total Resources Expended	(1,493)	(1,896)	(1,875)	(922)	(1,141)
Net movement in funds	**361**	**(593)**	**(547)**	**436**	**793**

This analysis demonstrates that the Charity has maintained its underlying fund balances across the five year period, with a net increase in funds of £0.2m relating to the sources of income and expenditure that will be continuing as part of the regular ongoing activity of the Charity. As the Charity has total fund balances of £8.8m, the Charity and Trustees will work with the Trust to encourage and develop spending plans within the forthcoming year.

The date of approval of the accounts by the Trust Board was the 22 January 2024.

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Independent Auditor's Report to the Trustee of University Hospitals North Midlands Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity ('the charity') for the year ended 31 March 2023 which comprise the Statement of Financial Activities, Balance Sheet, the statement of cash flows and the notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2023, and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

In auditing the financial statements, we have concluded that the Trustee's use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the Charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the Trustee with respect to going concern are described in the relevant sections of this report.

Other information

The Trustee is responsible for the other information. The other information comprises the information included in the Trustee's report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters in relation to which the Charities (Accounts & Reports) Regulations 2008 requires us to report to you if, in our opinion:

- the information given in the financial statements is inconsistent in any material respect with the Trustee's report; or
- sufficient accounting records have not been kept; or
- the financial statements are not in agreement with the accounting records; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of Trustee

As explained more fully in the Trustee's responsibilities statement, the Trustee is responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the Trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the Trustee is responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the Trustee either intends to liquidate the charity or to cease operations, or have no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an Auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

Our approach to identifying and assessing the risks of material misstatement in respect of irregularities, including fraud and non-compliance with laws and regulations, was as follows:

- *the senior statutory auditor ensured that the engagement team collectively had the appropriate competence, capabilities and skills to identify or recognise non-compliance with applicable laws and regulations;*
- *we identified the laws and regulations applicable to the charity through discussions with directors and other management, and from our commercial knowledge and experience of the sector;*
- *we focused on specific laws and regulations which we considered may have a direct material effect on the financial statements or the operations of the charity, including the financial reporting legislation, Charities Act 2011, taxation legislation, anti-bribery, employment, and environmental and health and safety legislation;*
- *we assessed the extent of compliance with the laws and regulations identified above through making enquiries of management and inspecting legal correspondence; and*
- *identified laws and regulations were communicated within the audit team regularly and the team remained alert to instances of non-compliance throughout the audit.*

We assessed the susceptibility of the charity's financial statements to material misstatement, including obtaining an understanding of how fraud might occur, by:

- *making enquiries of management as to where they considered there was susceptibility to fraud, their knowledge of actual, suspected and alleged fraud; and*
- *considering the internal controls in place to mitigate risks of fraud and non-compliance with laws and regulations.*

To address the risk of fraud through management bias and override of controls, we:

- *performed analytical procedures to identify any unusual or unexpected relationships;*
- *tested journal entries to identify unusual transactions;*
- *assessed whether judgements and assumptions made in determining the accounting estimates set out in Note 1 were indicative of potential bias; and*
- *investigated the rationale behind significant or unusual transactions.*

In response to the risk of irregularities and non-compliance with laws and regulations, we designed procedures which included, but were not limited to:

- *agreeing financial statement disclosures to underlying supporting documentation;*
- *reading the minutes of meetings of those charged with governance;*
- *enquiring of management as to actual and potential litigation and claims; and*
- *reviewing correspondence with HMRC, relevant regulators and the charity's legal advisors.*

Because of the inherent limitations of an audit, there is a risk that we will not detect all irregularities, including those leading to a material misstatement in the financial statements or non-compliance with regulation. This risk increases the more that compliance with a law or regulation is removed from the events and transactions reflected in the financial statements, as we will be less likely to become aware of instances of non-compliance. The risk is also greater regarding irregularities occurring due to fraud rather than error, as fraud involves intentional concealment, forgery, collusion, omission or misrepresentation.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our Auditors' report.

Use of our report

This report is made solely to the charity's Trustee, as a body, in accordance with part 4 of the Charities (Accounts & Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's Trustee those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's Trustee as a body, for our audit work, for this report, or for the opinions we have formed.

Dains Audit Limited

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Stoke on Trent
ST1 5RQ
30 January 2024

Dains Audit Limited is eligible to act as auditor in terms of Section 1212 of the Companies Act 2006

U·H·N·M charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG
Telephone: 01782 676444
Website: www.uhnmcharity.org.uk
Facebook: UHNMCharity
Twitter: UHNMCharity
Instagram: UHNMCharity

UNIVERSITY HOSPITALS OF NORTH MIDLANDS CHARITY

England & Wales - Charity number 1054889

Accounts

U·H·N·M charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford

Annual Report and Accounts

2021 - 2022

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During April 2021 to 31 March 2022, the Corporate Trustee included the following:

Voting Members

David Wakefield
Trust Board Chairman

Tracy Bullock
Chief Executive

Paul Bytheway
Chief Operating Officer

Matthew Lewis
Medical Director
(from 01/10/21)

Ann-Marie Riley
Chief Nurse
(from 01/07/21)

Mark Oldham
Chief Finance Officer

Ro Vaughan
Chief People Officer

Leigh Griffin
Non- Executive Director and Charitable Funds Committee Chair

Peter Akid
Non- Executive Director

Katie Maddock
Non- Executive Director

Sonia Belfield
Non- Executive Director

Tanya Bowen
Non- Executive Director
(from 01/06/21)

Gary Crowe
Non- Executive Director

Non-Voting Members

Lisa Thomson
Director of Communications and Charity

Helen Ashley
Director of Strategy and Performance

Lorraine Whitehead
Director of Estates, Facilities and PFI

Amy Freeman
Director of Digital Transformation

Andrew Hassell
Associate Non-Executive Director

Shai Gohir
Non-Executive Director
(from 01/06/21)

Previous Voting Members

John Oxtoby
Medical Director
(until 30/09/21)

Michelle Rhodes
Chief Nurse
(until 31/05/21)

Message from our Chair

- Leigh Griffin

Welcome to our 2021/22 annual report and accounts for UHNM Charity. This document records just a small snapshot of the many fundraising activities and donations which have taken place during what has been another unprecedented year for everyone.

We remain immensely grateful for the thousands of gifts, donations and offers of help we receive each year. They have made a significant difference supporting our patients, visitors and staff throughout the ongoing pandemic. Donations range in size from a few pennies to thousands pounds and all are underpinned by an overwhelming desire to help us to continue to improve the care we provide for our patients. On behalf of the Board, the Charity Team, our patients, service users, carers and our staff we send our appreciation to everyone who has helped in any way to make this another successful year.

The funds and gifts we have received have supported a wide range of charitable and health related activities over the past year enhancing the experiences of our patients, service users, their carers and our staff. I hope that you enjoy reading about some of the work we have been doing, the incredible stories and the differences it has made. Like organisations across the world, as a charity we have had to do things differently and this has led to new friendships and different new offers of support. For the second year running many of our traditional Christmas in hospital celebrations became virtual. However, we did manage to gather together towards the end of the year to thank some of our charity champions and fundraisers in person. Local businesses and organisations, both small and large, went out of their way to provide thank you donations for our staff and raise money and awareness of how donations can make a real difference for patients.

Donations which are not used immediately are invested in accordance with the Charity Commission guidelines ensuring an appropriate financial return is achieved. The performance of the investments is reviewed regularly to ensure we protect the value of the portfolio until such time as they are needed to be spent. As our financial year drew to a close, we continued to be optimistic. Throughout the year the response from our local communities and our staff has been inspirational and over the coming year we want to build on the new friendships we have made to build an even stronger future for the important work of our charity.

As Chair of the Charitable Funds Committee, I offer my sincere thanks to everyone who has contributed so generously in time, energy and money to support us in making a difference for the people we serve. We look forward to working with you over the coming year as we look to advance the work we are doing to support our patients.

The financial statements and accounts on pages 18 to 31 were approved by the Board of Directors and Trustee of the Charity on **x** and signed on its behalf by David Wakefield, UHNM Chairman.

Leigh

Leigh Griffin

UHNM Charity Chair

Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our staff to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising staff. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:

www.facebook.com/UHNMCharity

www.twitter.com/UHNMCharity

www.instagram.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email at charity@uhnm.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the charity team.

In setting our objectives and planning our activities the corporate trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and staff;
- Supporting NHS staff development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM NHS Trust staff, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM staff to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity staff meeting with key staff and Fund Advisers and through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNMstaff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the charity objectives in the future.

The staff working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

UHNM Charity Team

Lisa Thomson
UHNM Director of Communications and Charity

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.

Steve Rushton
Head of UHNM Charity

Steve leads on developing major appeals and works with the Charity Committee and senior staff to ensure UHNM Charity continues to grow.

Lynn Oldfield
Project Manager

Lynn leads on various projects for the Charity helping to develop and deliver them ensuring maximum uptake and reach.

Joanna Walford
Grant Making Administrator

Joanna works with staff from across UHNM to advise on and process spending applications.

Becky Bate
Administrator

Becky supports the team with various admin roles and the day-to-day running of the Charity.

Sarah O'Reilly
Fundraising Officer

Sarah makes sure everyone who is fundraising for us is processed and supported.

James Wood
Communications Officer

James promotes the work of the Charity both publicly and within UHNM.

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors
Grant Thornton UK LLP
17th Floor
103 Colmore Row
Birmingham
B3 3AG

Investment Advisers
CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB

Highlights of the Year 2021/2022

Local businesses, fundraisers and community groups were on hand to celebrate with UHNM Charity at its first ever Charity Champions evening of celebration. The event organised by UHNM Charity was held to say thank you to those who supported it throughout the Covid-19 pandemic. Those who made a difference to the work of UHNM Charity were invited to the Doubletree by Hilton in Stoke-on-Trent to share their inspirational stories.

The Keep Stoke Smiling Campaign went from strength to strength expanding its oral health workshops to all primary schools in North Staffordshire. The workshops delivered by Dr Karen Juggins, UHNM's Consultant Orthodontist in partnerships with the Stoke City Community Trust promote good oral health incuding tips on tooth brushing, what's in our food and the dangers of fizzy drinks.

UHNM Staff have been given better access to wellbeing initiatives and support thanks to UHNM Charity funding. Thanks to a grant from NHS Charities Together, UHNM's Organisational Development team was able to purchase a 'wellbeing wagon', that can be transported across both hospital sites. The wagon gives staff the opportunity to more easily access wellbeing support on offer.

Hip-hop dancers, circus acts and South Asian musicians were just some of the performers entertaining patients, staff and visitors at Royal Stoke thanks to UHNM Charity funding. Acts from the Appetite arts organisation performed to crowds outside the main entrance. Plans are in place to welcome back the group so visitors and staff from both hospitals can enjoy the entertainment on their break or lunch.

Patients and staff at UHNM are able to access a range of free media services thanks to UHNM Charity funding. Anybody with access to a smartphone or tablet is able to watch 35 television channels, browse digital magazines and newspapers, listen to the radio and play a range of games. Users also have access to UHNM TV, showcasing a range of in-house content promoting UHNM Charity's work.

U·H·N·M TV

FUNDED BY U·H·N·M charity

The 'Christmas is for Everyone' roadshow received and delivered thousands of donations from local businesses and people in a bid to help those in need across Stoke-on-Trent and Stafford. Local charities the Alice Charity, Peter Pan Centre, House of Bread, and the Stoke-on-Trent Dogs Home benefited from the campaign.

UHNM Charity funded the renovation of a previously unused courtyard area at Royal Stoke University Hospital providing staff with a tranquil area to relax and escape the demands of clinical life.The courtyard, located in the hospital's Lyme Building features covered seating and an array of plants and outdoor furniture providing staff with an area for both queit reflection and lunchtime breaks.

To mark World Mental Health Day, UHNM Charity produced a series of videos and social media content asking UHNM staff what they do to look after their mental health and provide support. The video featured input from a range of staff from across our Older Adults department. The videos are part of UHNM Charity's commitment to celebrate awarness days throughout the year.

Our Fundraisers and Donors

Lee Merchant

A Smallthorne man who died after a short battle with cancer has donated his legacy to UHNM Charity. Lee Merchant was 48 when he sadly passed away following a diagnosis of cancer in his spine which had spread to his lungs. The father and grandfather chose to leave his residuary estate to cancer services at UHNM. Lee had said he wanted the £25,782 left in his estate to benefit the experience of fellow local cancer patients. Lee's dad Frank made the donation to UHNM cancer staff along with Lee's mum Dot, brother Karl and aunt Lynn.

Leek United Building Society

Staff from Leek United Building Society raised almost £7,000 for UHNM Charity by completing a 50-mile trek between three branches. The trek was led by Branch Manager Dan Nutt who presented their cheque along with Chief Executive Andrew Healy to Lisa Thomson, Director of Communications and Charity at UHNM. Dan said: "It was a really good experience to raise a great sum of money for a great cause. Being part of something that gives back to the local community and local area is a massive part of the job."

Sarah Washington

A fundraiser who spent 168 days in hospital following a serious riding accident returned to the Royal Stoke to say thank you to some of the staff who looked after her. Sarah Washington needed the care of staff from UHNM's Critical Care Unit and Acute Rehabilitation Trauma Unit (ARTU) following a riding accient. After she was well enough and to say thank you to everybody who cared for her, Sarah set herself a challenge of walking 168 miles in six months, a mile for every day she was in hospital, raising over £3,500.

Laurens Rampling

A nine-year-old who lost his godmother to cancer swam more than 1,500m to raise funds for UHNM Charity the day before what would have been her 50th birthday. Laurens Rampling, from Caverswall, decided to raise money for NHS Cancer Research at UHNM after godmother Tracey received treatment at the Royal Stoke Cancer Centre. Laurens set himself the challenge to swim more than 1.5k with a target of £50. After completing his final length at South Moorlands Leisure Centre in Cheadle he had raised over £1,100.

Rachel Adams

A Keele University director ran the London Marathon to raise funds to thank the UHNM staff who cared for her daughter. Rachel Adams, Director of Human Resources ran the 26.2 miles around the captial to raise money for Staffordshire Children's Hospital at Royal Stoke. Rachel saw the staff's work first-hand when her daughter Emma spent five weeks as an inpatient. During her stay she took part in art sessions funded by UHNM Charity helping to improve her wellbeing and recovery.

George and Kellye-Marie Pritchard

George and Kellye-Marie Pritchard's daughter Frankie-May was just two days old when she tragically passed away. Wanting to raise as much money as possible for the Neonatal Intensive Care Unit (NICU) to help other families who find themselves in similar heart-breaking situations, the couple organised a fundraising bingo night raising well over £6,500. Kellye-Marie said: "We have our wonderful memories with Frankie-May and her big sister Rosie that we will cherish forever thanks to the wonderful team at UHNM."

Older Adults Department

Staff from the Royal Stoke's Frail Elderly Assessment Unit (FEAU), Wards 76a, 76b and 79 successfully climbed the 3,560ft (1,085m) to the top of Snowdon to improve patient care and raise the profile of the work of UHNM Older Adults. Gill Turner, Senior Sister on FEAU said: "The idea for our climb came after lockdown. Colleagues wanted to do something together again as a team and seeing as we'd done the climb in the past, we decided to give it another go and this time raise some funds for our patients."

Tracy Latham

A vet from Leek completed an epic 268-mile Pennine Way hike for UHNM Charity. Tracy Latham spent eight days hiking the length of the Pennies to thank UHNM's Spinal team for providing care to her mum Helena who suffers from major back problems. Tracy would start her walks at 4am and cover up to a massive 40 miles in a day. Tracy said: "Everyone at UHNM has been absolutely amazing and I did this challenge to raise funds as our way of saying thank you to our amazing NHS and giving back something for all that they have done for Mum."

Support from Schools, Businesses and Organisations

Tile Central UK supported our 'Christmas is for Everyone' campaign by donating a £5,000 bathroom as a competition prize

World-famous toffee producers **Walkers Nonsuch** continued to donate sweet treats to our staff as a thank you for their hard work

To mark the NHS Big Tea, the **Parogon Group** held their own tea parties raising over £550

Year 7 pupils from **Blythe Bridge High School** donated 'hugs in a bag' to departments across UHNM throughout the year

Barlaston Golf Club said thank you UHNM staff by offering a £5 for a round of golf. The Club is also the venue for 2022's Corporate Golf Day

The **National Citizen Service** through the **North Staffordshire YMCA** donated 200 care packages for cancer patients across UHNM

Jase Edwards, owner of **Funky Fillerz** completed his own gym challenge to mark his 50th birthday raising almost £2,000

As a thank you to UHNM staff, **Winter Wonderland** in Hanley donated 1,000 'golden ticket' free passes over the Christmas period

Silverdale Running Club made us their charity of the year raising £200 through raffles and merchandise

The Community Champions from **Morrisons** Goose St in Newcastle-uner-Lyme donated a number of items during the year

Dr/Cllr Chandra Kanneganti, the Lord Mayor of Stoke-on-Trent made us his charity of the year supporting our work at various events

Staff and pupils from **Weston Road High School** in Stafford raised almost £1,000 taking part in a 4k colour fun run

Our Impact for Patients

Children's Heart Scanners

Two heart scanners were made possible thanks to supporters like Chris Gibbs who raised over £13,000 on a sponsored walk from Eastborne to Royal Stoke in memory of his son Thiago.

The new scanners are being used to pick up heart defects in patients aged from premature babies to 16 years old.

They provide high resolution imagery and more accurate details.

The scanners will be used on both wards and outpatient clinics so more patients will be able to benefit from them, and will reduce waiting times for patients and their families.

Rehab Chairs

Staff from the Acute Rehabilitation Trauma Unit (ARTU) were on hand to show fundraiser Belinda Diamond five rehabilitation chairs they were able to purchase thanks to a sponsored swim which raised £55,000 for UHNM Charity.

Prior to these new chairs arriving there was less seating equipment available and staff had to limit the time patients could use them for so they could be cleaned and readied for someone else. This impacted on staff time and patient experience and rehabilitation.

These new highly adjustable chairs provide postural support, pressure care and improved patient comfort.

Cone Beam CT

Staffordshire and Stoke-on-Trent cancer and trauma patients are now able to access better diagnosis and treatment closer to home thanks to charity funding.

A cone beam CT scanner has been funded by UHNM Charity for patients attending the Maxillofacial Department at Royal Stoke University Hospital.

The cone beam scanner allows clinicians to provide patients with a faster, higher quality and more accurate assessment of their trauma or cancer locally.

Prior to its purchase patients needing certain scans of their face, mouth or jaw structures would have had to travel to Manchester or Birmingham.

Anaesthetists Robotic Training

Staff at the Royal Stoke University Hospital's Anaesthetic Academic Unit can now simulate procedures and practice on the robot funded thanks to a grant from the Denise Coates Foundation to UHNM Charity.

The SimMan 3G, dubbed "Idris" by staff, is a life-sized model that talks, breathes and reacts to medicines in the same way as a real human.

The new equipment enables staff to develop clinical knowledge, competence and confidence on how to better manage the most severe cases of patients such

3D digital Intra-oral Scanners

Orthodontic patients at UHNM can now benefit from having faster, more accurate and less invasive impressions of their teeth taken thanks to UHNM Charity funding.

UHNM Charity has helped the Trust's Orthodontic departments at Royal Stoke and County hospitals purchase two of the latest innovative 3D digital intra-oral scanners to take digital scans of the teeth and surrounding structures which will replace analogue paste impressions.

The new scanners enable clinicians to view the images of the patient's teeth and occlusion instantly speeding up treamtent and waiting times

Neonatal Family Rooms and Bereavement Suite

The Neonatal Intensive Care Unit (NICU) at the Royal Stoke University Hospital has been able to refurbish its family rooms and bereavement suite after receiving a grant from UHNM Charity.

The Unit's six family rooms, a mixture of twin and double rooms, enable parents to stay close to their baby during treatment, support feeding and strengthen parent-baby relationship before they are discharged home.

The newly-furnished bereavement rooms will ensure staff can care for parents in a quiet and comfortable space, supporting them while they spend time with their baby during the most difficult of times.

Key Operational Milestones

To support the delivery of the UHNM strategy, key operational milestones have been developed.

The first year's key areas of the Operational Plan to support University Hospitals of North Midlands (UHNM) Charity strategy for 2021/22 was developed and was completed. It encompassed:

Website developed with capability for online donations and shop. The shop is being expanded with items available to support fundraisers with their fundraising during 2022/23.

The development of an interactive database to enable direct and targeted messaging to supporters and fundraisers. An e-newsletter is now sent to all those requesting it every other month.

The development and launch of a legacy strategy. Created with donors and staff, legacy giving is promoted through the Charity's dedicated legacies page on its website.

Launch of UHNM TV supported the activities over the festive period showcasing the work of the Charity, celebrating fundraisers, thanking supporters and promoting the many school and local choirs who came on to the hospital sites to sing for patients, visitors and staff.

The development and launch of bedside, patient, visitor and staff entertainment system with the ability to donate via personal devises.

This is now operational and being supported by communications activities to promote the availability of this service. It means than any patient, visitor or member of staff has access to free TV, radio, online newspapers and magazines and games.

The Charity's focus on attracting local business and organisations has led to new partnerships and the Charity being selected as one of the three charities of choice to benefit from the 2022 Potters Alf.

During 2022/23 the Charity team will build on this foundation to increase both the number of fundraises and the income generated.

Leave a legacy to UHNM Charity

By leaving a Gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families at University Hospitals of North Midlands NHS Trust through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who work or are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on 01782 676444 or email charity@uhnm.nhs.uk

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:

Online

You can make a donation securely online at www.uhnmcharity.org.uk

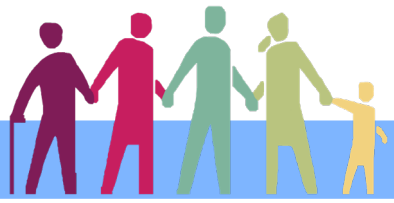
By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity
Royal Stoke University Hospital
Newcastle Road
Stoke on Trent
ST4 6QG

By telephone

Call us on (01782) 676444
(And do not forget to have your credit or debit card ready!)



Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2022. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the corporate trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust Director of Communications and Charity.

Objectives

The main objectives of the Trustees are to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust. The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research and skilled staff (through welfare, learning and development).

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the charity to process on-going commitments and to meet the cost of closure or transfer of the charity's affairs should the need ever arise. As at 31 March 2020 UHNM had unrestricted reserves of £5.3million which exceeds the amount needed to cover the agreed three years operational and governance costs.The Corporate Trustee is committed to reducing the excess

over the next three years in a way which gives optimum benefit to patients. The charity team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its clinical services strategy which once completed will help signpost the areas where charitable investment might give the best long term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and childrens.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures including the regular monitoring of investments minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and financial statements for each financial year which show a true and fair view of the state of affairs of the charity and of the charity's financial position for the period.
In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- selects suitable accounting policies and then applies them consistently;
- makes judgements and estimates that are reasonable and prudent;
- states whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- prepares the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

The Trustee is required to act in accordance with the trust deed of the charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the financial statements. The financial statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the charity. Note 1.11 of the Accounts discloses information about any related party transactions.

Fundraising

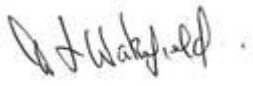
In relation to Charities Act 2011 which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity website. No complaints have been received from fundraisers or donors and the Charity team works closely to support all of those individuals choosing to raised funds.

Financial Statements for the Period 1 April 2021 to 31 March 2022

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2022

	Note	Unrestricted Funds 2022	Unrestricted Funds 2021	Restricted Funds 2022	Restricted Funds 2021	Total Funds 2022	Total Funds 2021
Income from:	2	**£000**	**£000**	**£000**	**£000**	**£000**	**£000**
Donations	2.1	273	281	4,025	2,362	**4,298**	**2,643**
Legacies	2.2	542	33	96	2	**638**	**35**
Other trading activities	2.3	193	333	0	0	**193**	**333**
Investments	2.4	179	188	50	30	**229**	**218**
Total Income		**1,187**	**835**	**4,171**	**2,394**	**5,358**	**3,229**
Expenditure on:	3						
Charitable activities	3.1	698	1,525	3,098	1,967	**3,796**	**3,492**
Raising funds	3.2	183	45	2	4	**185**	**49**
Other		0	0	0	0	**0**	**0**
Total expenditure	3.3	**881**	**1,570**	**3,100**	**1,971**	**3,981**	**3,541**
Net gains on investments	4	480	1,048	133	168	**613**	**1,216**
Net Income		**786**	**313**	**1,204**	**591**	**1,990**	**904**
Transfers between funds	9	0	0	0	0	0	0
Net movement in Funds		**786**	**313**	**1,204**	**591**	**1,990**	**904**
Reconciliation of funds:							
Total funds Brought forward		5,619	5,306	1,234	643	6,853	5,949
Total funds carried forward		6,405	5,619	2,438	1,234	8,843	6,853

The statement of financial activities includes all gains and losses recognised in the year.
All income and expenditure derives from continuing activities.



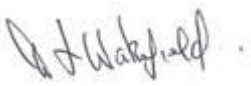
Chairman
Date: 24 January 2023

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2022

	Note	Unrestricted Funds 31-Mar-22 £000	Restricted Funds 31-Mar-22 £000	Total Funds 31-Mar-22 £000	Total Funds 31-Mar-21 £000
Non-current assets					
Investments	6	**5,587**	**2,127**	**7,714**	**7,101**
Total non-current assets		**5,587**	**2,127**	**7,714**	**7,101**
Current assets	7				
Debtors	7.1	225	0	225	79
Cash at bank and in hand	7.2	978	3,345	4,323	1,689
Total current assets		**1,203**	**3,345**	**4,548**	**1,768**
Liabilities	8				
Creditors falling due within one year	8.1	(381)	(2,866)	(3,247)	(1,999)
Net current assets		**822**	**479**	**1,301**	**(231)**
Total assets less current liabilities		**6,409**	**2,606**	**9,015**	**6,870**
Creditors:					
Amounts falling due after more than one year	8.2	(4)	(168)	(172)	(17)
Net assets		**6,405**	**2,438**	**8,843**	**6,853**
Funds of the Charity	9				
Restricted income funds		0	2,438	2,438	1,234
Unrestricted income funds		**6,405**	**0**	**6,405**	**5,619**
Total Funds		**6,405**	**2,438**	**8,843**	**6,853**

The notes on pages 20 to 27 form part of this account.

The date of approval of the accounts by the Trust Board was 24 January 2023.



Chairman
Date: 24 January 2023

Cash flow statement for the year ended 31 March 2022

	Note	Total Funds 31-Mar-22	Total Funds 31-Mar-21
Reconcilliation of net income to net cash flow from operating activities			
Net income for the reporting period (as per the Statement of Financial Activities)		**1,990**	**904**
Adjustments for:			
Gains on investments	4	(613)	(1,216)
Interest from Investments		229	218
(Increase) decrease in debtors		(146)	101
Increase in creditors		1,403	716
Net cash provided by operating activities		**2,863**	**723**
Cash flows from operating activities:			
Net cash provided by operating activities		2,863	723
Cash flows from investing activities:			
Interest from Investments	2.5	(229)	(218)
Net cash used in investing activities		**(229)**	**(218)**
Increase in cash and cash equivalents in the reporting period		2,634	505
Cash and cash equivalents at 1 April		1,689	1,184
Cash and cash equivalents at 31 March		**4,323**	**1,689**
Analysis of cash and cash equivalents:			
Cash in hand		4,323	1,689
Total cash and cash equivalents		**4,323**	**1,689**

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements that comply with SORP 2019 (FRS102) for the year ended 31 March 2022.

The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

i) entitlement - arises when a particular resource is receivable or the charity's right becomes legally enforceable;

ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and

iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of raising funds

The cost of generating funds are the costs associated with generating income for the funds held on trust.

b) Grants payable

Grants payable are payments, made to third parties (including NHS bodies) in the futherance of the funds held on trust's charitable objectives to relieve those who are sick. They are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the charity have been made to University Hospitals of North Midlands NHS Trust.

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from University Hospitals of North Midlands NHS Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

1.6 Investment Assets

Investment assets are shown at market value.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

The University Hospitals of North Midlands NHS Trust (the Trust) is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees has received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £3.682m (2020/21: £3.675m). Outstanding at the year end was a balance of £2.193m (2020/21: £1.466m) which is included within creditors falling due within one year.

1.12 Denise Coates Foundation

In April 2020 the Charity was given the opportunity to bid for a grant of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. In order to access funding the Charity submits applications to be funded by the Denise Coates Foundation and therefore the financial statements reflect only the income, expenditure and a restricted fund balance relating to schemes fully approved. During 2021/22, income of £4m was received (2020/21: £1.9m) and the Trust has submitted further applications for consideration of £4.362m bringing the overall total to £10.263m.

1.13 Commitments

Applications for expenditure are treated as commitments as soon as the necessary approval is granted and recipients have received confirmation by the year-end in order to create a constructive obligation. The Trustees of these funds will endeavour to encourage spending plans within the forthcoming year.

1.14 Going concern

The financial statements have been prepared on a going concern basis as the trustees believe that no material uncertainties exist.

1.15 Post Balance Sheet Events

There are no post balance sheet events.

1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

2 Income

2.1 Donations

	2022			2021		
		Restricted	Total		Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
In memory of' donations	119	2	120	119	2	121
Recognition of Care donations	65	0	65	37	0	37
Collections	5	0	5	3	0	3
Breast Care for Magseed	15	0	15	15	0	15
Denise Coates Foundation	0	4,000	4,000	0	1,901	1,901
NHS Charities Together	0	22	22	0	458	458
Other donations	69	1	70	106	1	107
Total donations	**273**	**4,025**	**4,298**	**280**	**2,362**	**2,643**

2.2 Legacies

	2022			2021		
		Restricted	Total		Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Legacies	542	96	638	33	2	35
Total for the year	**542**	**96**	**638**	**33**	**2**	**35**

2.3 Income from other trading activities

	2022			2021		
		Restricted	Total		Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Charity events and fundraising	193	0	193	333	0	333
Total for year	**193**	**0**	**193**	**333**	**0**	**333**

Income from other trading activities comprises of funds raised through various sporting and other fundraising events.

2.4 Investment income

	2022			2021		
		Restricted	Total		Restricted	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Income from investments was received as below:						
Income from other investments	176	49	224	187	30	217
Bank interest	3	1	4	0	0	0
Total for year	**179**	**50**	**228**	**187**	**30**	**217**

3 Expenditure

		2022			2021		
			Restricted	Total		Restricted	Total
3.1	**Charitable activities**	£'000	£'000	£'000	£'000	£'000	£'000
	Patient welfare and amenities	185	2	188	170	347	518
	Staff welfare and amenities	17	20	36	(45)	12	(33)
	Research	(36)	0	(36)	(6)	0	(6)
	Enhancement of NHS care	364	3,030	3,394	1,247	1,583	2,830
	Support costs	167	47	214	159	26	184
	Total	**697**	**3,099**	**3,796**	**1,525**	**1,967**	**3,492**
3.2	**Raising Funds**						
	Fundraising costs	176	0	176	25	1	25
	Support costs	7	2	8	20	3	23
	Total	**183**	**2**	**184**	**45**	**4**	**48**
3.3	**Total expenditure**	**880**	**3,101**	**3,981**	**1,570**	**1,971**	**3,541**

Included in patient welfare and amenities is £58k spend on 12 months of a three year commitment for hospital Wi-Fi.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems. During the year £1.639m has been spent on a Surgical Robot, with further commitments included of £0.308m, and £0.495m has been spent on ORBEYE, both funded by the Denise Coates Foundation.

The Charity has no direct employees. Staff involved in the administration of the charity are employees of the University Hospitals of North Midlands NHS Trust. A recharge is made by the Trust to the charity to reflect the cost of administering the charity. Staff costs for the period amounted to £177k (£172k in 2020/21) and are apportioned between expenditure on raising funds and charitable activities.

4 Net gains on investments

	2022	2021
	£'000	£'000
Unrealised gains on Investment assets	613	1,216
Net unrealised gains for the year	**613**	**1,216**

5 Net income for the year

This is stated after charging:

	2022	2021
	£'000	£'000
Auditor's remuneration:		
Audit fees	12	12
Total	**12**	**12**

Audit fees are included within Support Costs.

6 Analysis of Investments

	2022 £'000	2021 £'000
Fixed Asset Investments:		
Opening Market value 1 April 2021	7,101	5,885
Net gain on revaluation	613	1,216
Closing Market value at 31 March 2022	**7,714**	**7,101**

The historical cost of the investments held was £4.645m (£4.645m in 2020/21) and the market value as at 31 March 2022 was £7.714m (£7.101m in 2020/21).

At 31st March 2022 the mid market value of one Charities Investment Fund Income unit was 1,947.35 pence (1,792.64 pence as at 31st March 2021).

7 Current assets

7.1 Debtors (falling due within one year)

	2022 £'000	2021 £'000
Interest including bank interest	58	55
Tax credits	15	6
Debtors	152	18
Total	**225**	**79**

7.2 Cash at Bank and in hand

	2022 £'000	2021 £'000
Cash held at Royal Bank of Scotland	4,323	1,689
Total	**4,323**	**1,689**

8 Liabilities

8.1 Creditors (falling due within one year)

	2022 £'000	2021 £'000
Creditors	3,247	1,999
Total	**3,247**	**1,999**

Creditors include the current committed expenditure of the funds amounting to £0.839m (£0.506m in 2020-21)

8.2 Creditors (falling due after more than one year)

	2022 £'000	2021 £'000
Creditors (maintenance charges)	172	17
Total	**172**	**17**

Notes to the Financial Statements

9 Funds

Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the University Hospitals of North Midlands NHS Trust. The table below shows all funds with a closing balance of greater than £25k.

Fund	Opening Balance 1st April 2021	Incoming Resources	Resources Expended	Gains and losses	Closing Balance 31st Mar 2022
	£000	£000	£000	£000	£000
UHNM General Fund	563	260	(291)	62	594
Cancer Centre Fund	854	139	(65)	66	994
UHNM Critical Care Fund	201	50	(36)	17	232
County Fundraising Appeal	189	5	(5)	14	203
UHNM Breast Care Fund	81	22	(9)	6	100
Cancer Research	35	2	(1)	3	39
UHNM Heart Fund	348	153	(8)	29	522
Cystic Fibrosis	89	20	(7)	7	109
UHNM Children's Centre & Services	195	88	(111)	16	188
Respiratory Fund	138	20	5	13	176
UHNM Gynaecology Fund	41	2	(1)	3	45
UHNM Obstetric Fund	95	8	(6)	7	104
Neonatal Intensive Care Unit	292	40	(127)	20	225
UHNM Neurosciences IP Fund	56	7	(6)	4	61
UHNM Trauma and Orthopaedic Fund	132	87	(51)	13	181
Renal Fund	220	35	(6)	17	266
Imaging Fund	54	2	(1)	4	59
UHNM Research and Development Fund	198	6	24	15	243
UHNM Fresh Hair Fund	164	17	(14)	12	179
General Cancer Research Fund	300	8	(8)	22	322
County General Fund	85	41	(8)	7	125
County Cancer & Haematology Fund	124	9	(3)	9	139
Emergency Care Centre	37	8	(1)	3	47
UHNM Stroke & AU Fund	84	14	(17)	6	87
Art for Life	37	1	(7)	2	33
Eye Fund	32	4	3	3	42
Elderly Care Fund	33	7	(3)	3	40
Pot luck patient benefit fund	59	15	(23)	4	55
General Medical Fund	49	5	(2)	4	56
RSUH General Fund	63	35	(2)	5	101
Vascular	47	2	(1)	4	52
Urology	63	2	(2)	5	68
Gastro & Endoscopy Fund	49	2	(1)	4	54
UHNM Diabetes Fund	75	3	(2)	6	82
Gordon Banks-In Safe Hands	30	1	(1)	2	32
GI Unit	29	1	(1)	2	31
UHNM Specialised Surgery	35	5	(13)	2	29
UHNM Neurosciences OP Fund	31	4	(1)	2	36
UHNM EMERGENCY APPEAL	191	16	(15)	39	231
Sleep and Ventilation Fund	25	1	(1)	2	27
All other designated funds (69)	196	40	(56)	16	196
Total Funds	**5,619**	**1,187**	**(881)**	**480**	**6,405**

The number of funds remaining at 31st March 2022 was 110 (110 at 31 March 2021). There have been no transfers between funds during the year.

Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25k.

	Balance 01-Apr 2021	Incoming Resources	Resources Expended	Gains and losses	Balance 31-Mar 2022
	£000	£000	£000	£000	£000
North Staffs Heart Committee	620	117	(17)	49	769
Denise Coates Foundation	235	4,026	(3,059)	70	1,272
UHNM Emergency Appeal	240	24	(21)	4	247
Chemotherapy Legacy	106	3	(3)	8	114
All other restricted funds (3)	33	1	0	2	36
	1,234	**4,171**	**(3,100)**	**133**	**2,438**

The number of funds remaining at 31st March 2022 was 7 (7 at 31 March 2021).There have been no transfers between funds during the year.

Notes to the Financial Statements

10 Commitments

The funds have the following commitments:

	2022 £'000	2021 £'000
Charitable projects	642	320
Charitable projects over one year	172	17
Capital	197	169
Total commitments	**1,011**	**506**

The trustees recognise liabilities in the accounts once they have incurred either a legal or constructive obligation to spend the funds. Commitments of £1.011m (£0.506m in 2020/21) have been included in this set of accounts as part of Creditors, refer to notes 8.1 and 8.2.
Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in both 2021/2022 and 2020/21 relate to grants payable.
Until 31 March 2017 the Charity made a commitment to fund the on-going maintenance for equipment that it has funded through grant payments. From 1 April 2017 the Charity no longer funds the on-going maintenance for new equipment purchased from grant payments.
There is currently £185k remaining relating to maintenance commitments, including £168k for the Surgical Robot funded by the Denise Coates Foundation. The majority of the other maintenance commitments will cease during the 2022/23 financial year.

11 Contingent assets

As at 31st March 2022 the Charity recognises one contingent asset for £70k relating to a legacy which is reliant on approval from the estate's executor. The Trust also recognises two contingent assets which are reliant on the completion of property sales and the amounts are uncertain. In the prior year £35,000 was recognised as a contingent asset at the reporting date in respect of a legacy where payment was reliant on completion of a property sale. At the reporting date the sale was probable but completion did not occur until after the reporting date.

12 Five year summary of Income and Expenditure

Financial year ended	2018 £000	2019 £000	2020 £000	2021 £000	2022 £000
Total Incoming Resources	1,300	1,854	1,303	3,229	5,358
Total Resources Expended	(1,578)	(1,493)	(1,896)	(3,541)	(3,981)
Gains/(losses) on Investment assets	116	444	(192)	1,216	613
Net movement in funds	**(162)**	**805**	**(785)**	**904**	**1,990**

Key income and expenditure movements:

On average, the Charity received £1,429k of income in each of the above five years excluding Denise Coates Foundation donations. In 2019 the Trust received a legacy of £538k, increasing that year's income to £1,854k.

In 2021 the Charity received £1,901k in donations from the Denise Coates Foundation, increasing the income for that year. Total income was £1,328k excluding these donations and this is close to the average. In 2021 the Charity received £4,000k in donations from the Denise Coates Foundation, increasing the income for that year. Total income was £1,358k excluding those donations, which is close to the average.

In 2021 the Charity spent £1,666k in relation to Denise Coates Foundation projects. The underlying level of expenditure was £1,875k which is similar to the prior year. In 2021 the Charity spent £3,059k in relation to Denise Coates Foundation projects. The underlying level of expenditure was £922k which was lower than previous years due partly to a larger proportion of spend against the Denise Coates Foundation projects which otherwise would have been incurred by other funds.

Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions and the investment portfolio managed by our investment managers.

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Independent auditor's report to the corporate trustee of University Hospitals of North Midlands NHS Trust Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands NHS Trust Charity (the 'charity') for the year ended 31 March 2022, which comprise the Statement of Financial Activities, the Balance Sheet, the Cash Flow Statement and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2022 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We have been appointed as auditor under section 149 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the 'Auditor's responsibilities for the audit of the financial statements' section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

We are responsible for concluding on the appropriateness of the trustee's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the charity's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify the auditor's opinion. Our conclusions are based on the audit evidence obtained up to the date of our report. However, future events or conditions may cause the charity to cease to continue as a going concern.

In our evaluation of the trustee's conclusions, we considered the inherent risks associated with the charity's business model including effects arising from macro-economic uncertainties such as Brexit and Covid-19, we assessed and challenged the reasonableness of estimates made by the corporate trustee and the related disclosures and analysed how those risks might affect the charity's financial resources or ability to continue operations over the going concern period.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

In auditing the financial statements, we have concluded that the trustee's use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

The responsibilities of the corporate trustee with respect to going concern are described in the 'Responsibilities of the corporate trustee for the financial statements' section of this report.

Other information

The corporate trustee is responsible for the other information. The other information comprises the information included in the Annual Report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Charities Act 2011 requires us to report to you if, in our opinion:

- the information given in the Annual Report is inconsistent in any material respect with the financial statements; or
- the charity has not kept sufficient accounting records; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of the corporate trustee for the financial statements

As explained more fully in the Trustee's Responsibilities Statement set out on page 17, the corporate trustee is responsible for the preparation of the financial statements which give a true and fair view, and for such internal control as the trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the corporate trustee is responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the corporate trustee either intends to liquidate the charity or to cease operations, or has no realistic alternative but to do so.

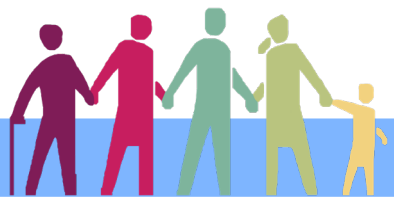
Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Explanation as to what extent the audit was considered capable of detecting irregularities, including fraud

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. Owing to the inherent limitations of an audit, there is an unavoidable risk



that material misstatements in the financial statements may not be detected, even though the audit is properly planned and performed in accordance with the ISAs (UK).

The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

- We obtained an understanding of the legal and regulatory frameworks that are applicable to the charity and determined that the most significant which are directly relevant to specific assertions in the financial statements are those related to the reporting frameworks (The Charities Act 2011, the Charities SORP and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice);

- We enquired of management and those charged with governance, concerning the charity's policies and procedures relating to:

- the identification, evaluation and compliance with laws and regulations;
- the detection and response to the risks of fraud; and
- the establishment of internal controls to mitigate risks related to fraud or non-compliance with laws and regulations;

- We enquired of management and those charged with governance, whether they were aware of any instances of non-compliance with laws and regulations or whether they had any knowledge of actual, suspected or alleged fraud;

We assessed the susceptibility of the charity's financial statements to material misstatement, including how fraud might occur, by evaluating incentives and opportunities for manipulation of the financial statements. This included the evaluation of the risk of management override of controls and improper recognition of revenue.

We determined that the principal risks were in relation to;

- journal entries that altered the Charity's financial performance for the year;
- the occurrence of voluntary income.

- Our audit procedures involved:

- evaluation of the design effectiveness of controls that are in place to prevent and detect fraud;
- journal entry testing, with a with a particular focus on significant journals at the end of the financial year, which impacted on the Charity's financial performance;
- documenting and gaining an understanding of controls around monitoring and receipt of cash donations and the recording in the general ledger
- substantive sampling of voluntary income and year-end balances to supporting records
- assessing the extent of compliance with the relevant laws and regulations as part of our procedures on the related financial statement item.

- These audit procedures were designed to provide reasonable assurance that the financial statements were free from fraud or error. The risk of not detecting a material misstatement due to fraud is higher than the risk of not detecting one resulting from error and detecting irregularities that result from fraud is inherently more difficult than detecting those that result from error, as fraud may involve collusion, deliberate concealment, forgery or intentional misrepresentations. Also, the further removed non-compliance with laws and regulations is from events and transactions reflected in the financial statements, the less likely we would become aware of it;

- Our assessment of the appropriateness of the collective competence and capabilities of the engagement team included consideration of the engagement team's.

- understanding of, and practical experience with audit engagements of a similar nature and complexity through appropriate training and participation
- knowledge of the sector in which the charity operates

- understanding of the legal and regulatory requirements specific to the charity.

- In assessing the potential risks of material misstatement, we obtained an understanding of:

- The charity's operations, including the nature of its income and expenditure and its services and of its objectives and strategies to understand the classes of transactions, account balances, expected financial statement disclosures and business risks that may result in risks of material misstatement

- The charity's control environment, including the policies and procedures implemented by the charity corporate trustee to ensure compliance with the requirements of the financial reporting framework.

Use of our report

This report is made solely to the charity's corporate trustee, as a body, in accordance with Section 154 of the Charities Act 2011. Our audit work has been undertaken so that we might state to the charity's corporate trustee those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and its

Grant Thornton UK LLP
Statutory Auditor, Chartered Accountants

Birmingham
27 January 2023

Grant Thornton UK LLP is eligible to act as an auditor in terms of section 1212 of the Companies Act 2006.

U·H·N·M charity

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG
Telephone: 01782 676444
Website: www.uhnmcharity.org.uk
Facebook: UHNMCharity
Twitter: UHNMCharity
Instagram: UHNMCharity

UNIVERSITY HOSPITALS OF NORTH MIDLANDS CHARITY

England & Wales - Charity number 1054889

Accounts

NHS
University Hospitals of North Midlands
NHS Trust

U·H·N·M
charity

ANNUAL REVIEW AND FINANCIAL STATEMENTS 2020/2021

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG

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The following individuals served on the Trustee Board (of Directors) during the year ended 31 March 2021.

David Wakefield
Trust Board Chairman

Leigh Griffin
Non-Executive Director/
Charitable Funds
Committee Chair

Gary Crowe
Non-Executive Director

Andrew Hassell
Non-Executive Director/
Associate Non-Executive
Director

Peter Akid
Non-Executive Director

Sonia Belfield
Non-Executive Director

Ian Smith
Non-Executive Director

Patricia Owen
Non-Executive Director

Tracy Bullock
Chief Executive

John Oxtoby
Medical Director

Michelle Rhodes
Chief Nurse

Ro Vaughan
Director of Human
Resources

Mark Oldham
Chief Finance Officer

Paul Bytheway
Chief Operating Officer

A Message from UHNM Charity Chair Leigh Griffin

Welcome to our 2020/21 Annual Report and Accounts for the UHNM Charity. This document records just a small snapshot of the many fundraising activities and donations which have taken place during what has been an unprecedented year for everyone.

We are immensely grateful for the thousands of gifts, donations and offers of help. All of these have supported our patients, visitors and our staff throughout the pandemic. Donations range in size from a few pennies to over a hundred thousand pounds but all are underpinned by an overwhelming desire to help us to continue to improve the care we provide for our patients. On behalf of the Board, our patients, service users, carers and our staff we send our appreciation to everyone who has helped in any way to make this another successful year. The funds and gifts we have received have supported a wide range of charitable and health related activities over the past year enhancing the experiences of our patients, service users, their carers and our staff.

I hope that you enjoy reading about some of the work we have been doing, the incredible stories and the differences it has made. Like organisations across the world, as a charity we have had to do things differently and this has led to new friendships and different new offers of support. Our traditional Christmas in hospital celebrations became virtual involving some very famous faces, including Robbie Williams, leaving messages for staff and patients. Local businesses small and large went out of their way to provide thank you donations for our staff and some of our supporters took to holding virtual fundraising events when they were in isolation.

Donations which are not used immediately are invested in accordance with the Charity Commission guidelines ensuring an appropriate financial return is achieved. The performance of the investments is reviewed regularly to ensure we protect the value of the portfolio until such time as they are needed to be spent. As our financial year drew to a close, we are filled with optimism. Throughout the year the response from our local communities and our staff has been inspirational and over the coming year we want to build on the new friendships we have made to build an even stronger future for the important work of our Charity.

As Chair of the Charitable Funds Committee, I offer my sincere thanks to everyone who has contributed so generously in time, energy and money to support the Fund.

The Financial Statements and Accounts on pages 16 to 29 were approved by the Board of Directors on 5th January 2022 and signed on its behalf by David Wakefield, UHNM Chairman.

Leigh

Leigh Griffin
UHNM Charity Chair

Highlights of the Year 2020/2021

The following is just a small selection of some of the many projects and initiatives UHNM Charity has been able to support during 2020/21 thanks to the generous donations and gifts from patients, their families and friends, local companies and organisations, and staff fundraisers.

Staff Contemplation Gardens

Thanks to UHNM Charity funding, Staff Contemplation Gardens were opened at both Royal Stoke and County hospitals. The gardens provide staff with a tranquil place of relaxation and reflection. The gardens each feature a unique rainbow sculpture, the symbol of the NHS during the Covid-19 pandemic. This provides additional meaning for staff who can use the area to remember colleagues and patients lost and reflect on personal experiences during the pandemic.

Wellbeing Donations

During 2020/2021, UHNM Charity hand-delivered thousands of goods donated to staff by local businesses and community groups at both Royal Stoke and County hospitals. This included approximately 30,000 Easter eggs, 15,000 Mr Kipling cakes, 2,000 hand creams and more than 1,000 hot meals. The donations greatly boosted team's morale and let them know that the local community appreciated and supported them during a difficult period.

Staff Rest Areas

Staff at Royal Stoke University Hospital are now able to access new rest areas thanks to the generosity of the local community, businesses and the Denise Coates Foundation. The two areas provide staff with a tranquil environment to relax and escape the busy demands of clinical life during the Covid-19 pandemic. Staff using the facilities can watch television and make use of the vending machine, as well take a well-earned break in comfortable surroundings.

Staff Rest Pods

UHNM staff looking for somewhere quiet to eat, relax and reflect can take advantage of a number of rest pods thanks to UHNM Charity funding. Installed near to the Pathology Department in the Royal Stoke University Hospital's main building and Nightingales Restaurant at County Hospital, the colourful and popular pods are part of UHNM's ongoing commitment to support staff wellbeing.

UHNM Charity Christmas Appeal

In response to the Covid-19 pandemic, UHNM Charity ran a Virtual Christmas Appeal, asking supporters to make a donation towards patient benefit online or via text rather than in person. The campaign greatly increased the Charity's profile and featured a number of local celebrities and community figures. The highlight for many was watching Senior Sister Sharon Brissett being interviewed by Robbie Williams and wife Ayda as part of their Christmas podcast.

Who's Your Hero Campaign

UHNM Charity celebrated our lockdown heroes through the 'Who's Your Hero Campaign'. Business owners, community groups and healthcare workers were just some of the local key workers UHNM Charity said thank you to during the campaign. It used a range of media to focus on highlighting the ways our local community has gone above and beyond during the past 12 months. During the campaign we reached almost a million people.

UHNM meets RITA

RITA, which stands for 'Reminiscence Interactive Therapy Activities', is a state-of-the-art digital therapy system. It allows patients to use apps and games, watch movies, listen to music and view messages from family members at home as part of their hospital recovery. Thanks to UHNM Charity funding, the system has been rolled out on wards across UHNM.

Defibrillators installed across UHNM

Six potentially life-saving defibrillators have been installed across UHNM thanks to UHNM Charity funding. Four defibrillators went live at Royal Stoke University Hospital with a further two at County Hospital. The initiative was spearheaded by colleagues of maintenance technician Louis Fallows who suffered a cardiac arrest whilst at work in August 2019. The devices are fully accessible 24 hours a day to both staff, patients and members of the public.

Our Charity

UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our staff to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising staff. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, the main route of communication with donors is via our dedicated website (www.uhnmcharity.org.uk), and social media:

www.facebook.com/UHNMCharity

www.twitter.com/UHNMCharity

www.instagram.com/UHNMCharity

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email at fundraising@uhnm.nhs.uk or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the charity team.

In setting our objectives and planning our activities the corporate trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and staff;
- Supporting NHS staff development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM NHS Trust staff, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM staff to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity staff meeting with key staff and Fund Advisers and through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNMstaff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the charity objectives in the future.

The staff working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

Bankers and Professional Advisers

Bankers
Royal Bank of Scotland
Government Banking Cst
Po Box 2027
Parklands
De Havilland Way
Horwich
Bolton
BL6, 4YU

Auditors
Grant Thornton UK LLP
The Colmore Building
20 Colmore Circus
Birmingham
B4 6AT

Investment Advisers
CCLA Investment Management Ltd
80 Cheapside
London
EC2V 6DZ

Solicitors
Mills and Reeve
78-84 Colmore Row
Birmingham
B3 2AB

Our Fundraisers

The many faces of Gary Fear

Gary Fear raised over £3,500 for our Covid-19 Staff Wellbeing Appeal by walking 100 miles around his neighbourhood in Gnosall in a week - all in fancy dress! The 55-year-old decided to wear a different costume every day to help cheer up his neighbours. Gary said: “I was furloughed from my job as an estate agent and felt I could use my time to raise some money. I wanted it to be locally focused, knowing that whatever I raised would be in our own community. The reception was fantastic and I had lots of generous support.”

Dr Farmer’s colourful scrubs

Consultant Gastroentrologist Dr Adam Farmer raised over £1,250 for staff wellbeing at UHNM during the Covid-19 pandemic by swapping his usual work wear for colourful scrubs for the day. With the help of Matron Dani Burn, Dr Farmer delighted patients and colleagues during challenging times on Ward 14 at County Hospital by doing his rounds in the most colourful scrubs available in the hospital. Laura Elliott, Assistant Practitioner on Ward 14 said: “Dr Farmer is a ray of sunshine. The whole team thinks he is amazing and what he did proves it.”

UHNM welcomes cross-country Chris

After a month of walking, Chris Gibbs completed an epic 282-mile fundraising trek from Eastbourne in Sussex to the Royal Stoke University Hospital. Chris’ walk took him through the Sussex Downs to London, then along canal towpaths to Birmingham and up local routes to Stoke-on-Trent. Chris completed the walk in memory of his late son Thiago, and to raise funds for the work of our Children’s Hospital who cared for him. His trek raised almost £14,000 for the Staffordshire Children’s Hospital at Royal Stoke.

Belinda makes a big splash

Intrepid Belinda Diamond raised an incredible £55,000 for UHNM Charity by completing a 50k open water swimming challenge. Belinda took to the water to thank the UHNM staff who cared for her son Fraser following a road accident. She said: “We felt so indebted to all the various teams who have looked after, and continue to look after Fraser, that we felt we needed to do something proactive to demonstrate our appreciation. We have had an incredible response from so many people, every donation is a show of support and encouragement.”

Gracie-Mae braves the chop

Gracie-Mae Kirkham raised over £1,000 for our Critical Care Unit after deciding to do a sponsored haircut. The nine-year-old from Chesterton wanted to give something back to the team who cared for her great-grandad before he passed away on the Unit. Gracie-Mae had her 15-inch plait cut off at her local hairdressers. Gracie-Mae said: “It was a bit scary but it feels amazing to have raised so much for UHNM Charity. My target was only £100 so I’m really proud of myself and know that the money will help lots of people like my great-grandad.”

Murali reaches summit to thank colleagues

Consultant Anaesthetist Dr Murali Vallabhaneni found himself needing the care of his Critical Care colleagues after becoming ill with Covid-19. To thank the staff who saved his life Dr Vallabhaneni successfully scaled Mount Snowdon raising almost £4,000 for the Unit in the process. He said: “Throughout my stay the staff on Critical Care were incredible. I decided to attempt my Snowdon climb as I wanted to challenge myself and raise as much money as possible to improve the working environment and patient experience on the Unit that did so much for me.”

Stephanie’s 126 miles for Ward 126

Stephanie Emery took to the streets to run 126 miles in a month to thank the UHNM staff who cared for both her parents with Covid-19. Stephanie said: “I wanted to do anything I could to help Ward 126. They were brilliant looking after both of my parents amazingly well - they cared, they watched, they listened. Her efforts raised over £7,500. Ward Manager Tracy Mellor added: “Our team on Ward 126 are so proud of Stephanie for her phenomenal efforts to raise money for our patients and we undertook our own steps challenge in aid of her fundraising.”

Siblings fundraising walk for Mum

A pair of siblings created their own fundraising challenge and raised over £8,500 to thank the UHNM staff who saved their mum’s life. Aaliyah and AJ Scott walked one mile a day after school for a month to raise funds for the Critical Care Unit who cared for mum Emma following a brain infection. Aaliyah said: “We wanted them to be able to save other mommys like ours and other people’s lives too. We wanted to say thank you to them for saving our mommy and looking after her. Our mommy is the bravest strongest mommy in the world.”

Support from Local Businesses

General Manager Kevin Hand from **Fox's Biscuits** donated 5,000 Rocky bars as a thank you to UHNM staff.

Walker's Nonsusch made serveral donations of toffees throughout the Covid-19 pandemic that were a morale boost across both. hospitals.

The **Trentham Estate** were quick to think of us at the beginning of the pandemic, donating snacks and drinks to our UHNM staff.

UHNM-provided staff lunches were supplimented by donations including those of **Muller UK**, who kindly provided varieties of yoghurts.

Nestle UK provided a large donation of various confectionary which was enjoyed across wards and departments at both hospitals.

Mcvitie's UK's kind donation of biscuits helped staff enjoy their breaks and UHNM-provided lunches.

Severn Trent Water kindly donated 800 Easter Eggs from their Tittesworth Water Visitor Centre as a morale boost to UHNM staff.

JCB's Rocester headquarters donated a large number of hot meals which were enjoyed by staff in some of our busiest areas.

Newcastle-under-Lyme neighbours **Premier Foods** made a number of donations throughout the Covid-19 pandemic.

UHNM staff were able to enjoy a donation of crisps thanks to the kindness of **Tyrrell's Crisp's** Uttoxeter factory.

Local **Co-op's** donated toiletries such as shower gel and hand cream during the pandemic which were appreciated by both patients and staff.

A significant donation from **Cadbury's** meant every member of staff at UHNM was given an Easter egg in appreciation of their efforts.

Who We Are

- **Lisa Thomson - UHNM Director of Communications and Charity**

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.

- **Steve Rushton - Head of UHNM Charity**

Steve leads on developing major appeals and works with the Charity Committee and senior staff to ensure the UHNM Charity continues to grow.

- **Michaela Quinn- Project Manager**

Michaela leads on UHNM Charity's bid writing process and any large administrative projects.

- **Lynn Oldfied - Project Manager**

Lynn looks after legacies and works to develop our lecagy strategy.

- **Joanna Walford - Procurement Administrator**

Joanna processes all of the Trust's spending applications and can answer any queries in relation to them.

- **Becky Bate - Junior Administrator**

Becky supports the team with various admin roles and the day-to-day running of the charity.

- **James Wood - Communications, Fundraising and Events Officer**

James promotes the work of the charity both publicly and within UHNM and encourages as many people as possible to get involved.

- **Sarah O'Reilly- Fundraising Officer**

Sarah makes sure everyone fundraising for us is processed and looked after properly.

Leave a legacy to UHNM Charity

After providing for your loved ones, leaving a gift in your will to UHNM Charity is a wonderful way to support a cause you care about and help transform the care and experience for hundreds or even thousands of local patients for years to come. Whatever the size of your gift, you can help to provide extra state-of-the-art equipment, new facilities, pioneering research and all the extras that make our care so special.

Whatever your reasons for supporting UHNM Charity, by including a gift in your will you're helping us go above and beyond what the NHS can provide. We work hand-in-hand with doctors, nurses and other medical experts from UHNM to ensure that every gift bequeathed to the Charity is put to good use - making the greatest possible difference to patient care.

Whichever ward or service is close to your heart, we want you to know that we will hold your donation in a charitable fund that supports the area of your choice, or if you'd prefer to leave a gift for general charitable purposes we will ensure that it is spent where it is needed the most. We are truely grateful to all those who consider leaving a gift to UHNM Charity in their will.

We appreciate this can be a difficult subject to broach, but if you would like to discuss how your legacy could have an impact on local patients or if you have any wishes on how the money is spent please do get in touch with our friendly team.

Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:

Online

You can make a donation securely online at www.uhnmcharity.org.uk

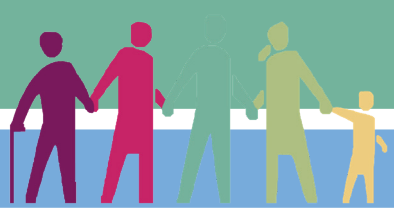
By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you'd like to support.

UHNM Charity
Royal Stoke University Hospital
Newcastle Road
Stoke on Trent
ST4 6QG

By telephone

Call us on (01782) 676444 don't forget to have your credit/debit card ready!



Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2020. These accounts have been prepared in accordance with the Charities Act 2011 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

Legal Structure

University Hospitals of North Midlands NHS Trust is the corporate trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust Director of Communications and Charity.

Objects

The objects of the charity are to hold the trust fund upon trust to apply the income, and at their discretion, so far as may be permissible, the capital for any charitable purpose or purposes relating to the National Health Service wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust.

The funds of the Charity arise from fundraising, donations and legacies together with the returns on investments. The funds are used to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research, and by investing in skilled staff through welfare, learning and development, in all cases, over and above core NHS provision.

Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2011. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the charity to process on-going commitments and to meet the cost of closure or transfer of the charity's affairs should the need ever arise. As at 31 March 2020 UHNM had unrestricted reserves of £5.3million which exceeds the amount needed to cover

the agreed three years operational and governance costs. The Corporate Trustee is committed to reducing the excess over the next three years in a way which gives optimum benefit to patients. The charity team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its clinical services strategy which once completed will help signpost the areas where charitable investment might give the best long term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and childrens.

Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures including the regular monitoring of investments minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and financial statements for each financial year which show a true and fair view of the state of affairs of the charity and of the charity's financial position for the period.
In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- selects suitable accounting policies and then applies them consistently;
- makes judgements and estimates that are reasonable and prudent;
- states whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- prepares the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue its activities.

The Trustee is required to act in accordance with the trust deed of the charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2011, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the charity and to prevent and detect fraud and other irregularities.

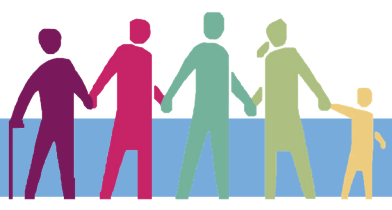
The Trustee is responsible for the maintenance and integrity of the financial and other information included on the charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the financial statements. The financial statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the charity. Note 1.11 of the Accounts discloses information about any related party transactions.



Financial Statements for the Period 1 April 2020 to 31 March 2021

Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2021

Statement of Financial Activities (including Income & Expenditure Account)
For University Hospitals of North Midlands Charity for the Year Ended 31 March 2021

		Unrestricted	Unrestricted		Restricted	Restricted		Total		Total
	Note	Funds 2021	Funds 2020		Funds 2021	Funds 2020		Funds 2021		Funds 2020
Income from:	2	**£000**	**£000**		**£000**	**£000**		**£000**		**£000**
Donations	2.1	281	524		2,362	15		**2,643**		**539**
Legacies	2.2	33	229		2	64		**35**		**293**
Other trading activities	2.3	333	243		0	6		**333**		**249**
Investments	2.4	188	192		30	30		**218**		**222**
Total Income		**835**	**1,188**		**2,394**	**115**		**3,229**		**1,303**
Expenditure on:	3									
Charitable activities	3.1	1,525	1,463		1,967	359		**3,492**		**1,822**
Raising funds	3.2	45	66		4	8		**49**		**74**
Other		0	0		0	0		**0**		**0**
Total expenditure	3.3	**1,570**	**1,529**		**1,971**	**367**		**3,541**		**1,896**
Net gains/(losses) on investments	4	1,048	(166)		168	(26)		**1,216**		**(192)**
Net Income/ (Expenditure)		**313**	**(507)**		**591**	**(278)**		**904**		**(785)**
Transfers between funds	9	-	-		-	-		-		-
Net movement in Funds		**313**	**(507)**		**591**	**(278)**		**904**		**(785)**
Reconciliation of funds:										
Total funds Brought forward		5,306	5,813		643	921		5,949		6,734
Total funds carried forward		5,619	5,306		1,234	643		6,853		5,949

The statement of financial activities includes all gains and losses recognised in the year.

Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2021

Balance Sheet
For University Hospitals of North Midlands Charity as at 31 March 2021

		Unrestricted Funds		Restricted Funds		Total Funds 31-Mar-21		Total Funds 31-Mar-20
		£000		£000		£000		£000
	Note							
Non-current assets								
Investments	6	6,029		1,072		7,101		5,885
Total non-current assets		6,029		1,072		7,101		5,885
Current assets	7							
Debtors	7.1	72		7		79		180
Cash at bank and in hand	7.2	134		1,555		1,689		1,184
Total current assets		206		1,562		1,768		1,364
Liabilities	8							
Creditors falling due within one year	8.1	(599)		(1,400)		(1,999)		(1,264)
Net current assets		(393)		162		(231)		100
Total assets less current liabilities		5,636		1,234		6,870		5,985
Creditors:								
Amounts falling due after more than one year	8.2	(17)		-		(17)		(36)
Net assets		5,619		1,234		6,853		5,949
Funds of the Charity	9							
Restricted income funds		-		1,234		1,234		643
Unrestricted income funds		5,619		-		5,619		5,306
Total Funds		5,619		1,234		6,853		5,949

Chairman
Date: 05/01/2022

Cash flow statement for the year ended 31 March 2021

Cash Flow Statement for the year ended 31 March 2021

	2020/21 Total funds £000	2019/20 Total funds £000
Reconcilliation of net income (expenditure) to net cash flow from operating activities		
Net income (expenditure) for the reporting period (as per the Statement of Financial Activities)	904	(785)
Adjustments for:		
(Gains)/losses on investments (Note 4)	(1,216)	192
Interest from Investments	218	222
(Increase) decrease in debtors	101	(6)
Increase (decrease) in creditors	716	317
Net cash provided by (used in) Operating activities	**723**	**(60)**
Cash flows from operating activities:		
Net cash provided by (used in) operating activities	723	(60)
Cash flows from investing activities:		
Purchase of investments (Note 6)	0	0
Interest from Investments (Note 2.5)	(218)	(222)
Proceeds from sale of investments (Note 6)	0	0
Net cash provided by (used in) investing activities	**(218)**	**(222)**
Increase/(decrease) in cash and cash equivalents in the reporting period	505	(282)
Cash and cash equivalents at 1 April	1,184	1,466
Cash and cash equivalents at 31 March	**1,689**	**1,184**
Analysis of cash and cash equivalents:		
Cash in hand	1,689	1,184
Cash on deposit	0	0
Total cash and cash equivalents	**1,689**	**1,184**

Notes to the Financial Statements

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2021

Notes to the Financial Statements

1 Accounting Policies

1.1 Basis of preparation

UHNM Charity has prepared its financial statements that comply with SORP 2019 (FRS102) for the year ended 31 March 2021. The charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102) and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

i) entitlement - arises when a particular resource is receivable or the charity's right becomes legally enforceable;

ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the charity

iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred and once all conditions attached to the legacy have been fulfilled.

1.4 Expenditure recognition

The funds held on trust accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

a) Cost of raising funds

The cost of generating funds are the costs associated with generating income for the funds held on trust.

b) Grants payable

Grants payable are payments, made to third parties (including NHS bodies) in the futherance of the funds held on trust's charitable objectives to relieve those who are sick. They are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the charity have been made to University Hospitals of North Midlands NHS Trust.

c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from University Hospitals of North Midlands NHS Trust.

1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

Notes to the Financial Statements

University Hospitals of North Midlands Charity for the year ended 31 March 2021
Notes to the Financial Statements

1.6 Investment Assets

Investment assets are shown at market value. Due to the Covid-19 pandemic there was a sharp fall in the value of investments in the first quarter of 2020, during the remainder of 2020 this fall was recovered and large gains have been made for the yearended 31st March 2021.

1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

1.11 Related party transactions

During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the University Hospitals of North Midlands NHS Trust outside their role as employees of the Trust.

During the year none of the Trustees has received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The charitable trust has made revenue and capital payments to the University Hospitals of North Midlands NHS Trust where the Trustees are also members of the Trust Board.
In year transactions amounted to £3,674,590 (2019/20: £1,626,543). Outstanding at the year end was a balance of £1,466,254 (2019/20: £562,070) which is included within creditors falling due within one year.

1.12 Denise Coates Foundation

In April 2020 UHNM Charity was given the opportunity to bid for a grant of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. In order to access funding UHNM Charity submits applications to be funded by the Denise Coates Foundation and therefore the financial statements reflect only the income, expenditure and a restricted fund balance relating to schemes fully approved. During 2020/21, applications of £1.9m were submitted to and approved by the Denise Coates Foundation. In 2021/22 further applications have been submitted for consideration related to supporting cancer services.

1.12 Commitments

Applications for expenditure are treated as commitments as soon as the necessary approval is granted and recipients have received confirmation by the year end in order to create a constructive obligation. The Trustees of these funds will endeavour to encourage spending plans within the forthcoming year.

1.13 Going concern

The financial statements have been prepared on a going concern basis as the trustees believe that no material uncertainties exist.

1.14 Post Balance Sheet Events

There are no post balance sheet events.

1.15 Contingencies

Contingency assets (that is, assets arsising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

Notes to the Financial Statements

University Hospitals of North Midlands Charity for the year ended 31 March 2021
Notes to the Financial Statements

2 Income

2.1 Donations

	2021			2020		
	Unrestricted £	Restricted £	Total £	Unrestricted £	Restricted £	Total £
In memory of donations	119,236	2,239	121,475	184,465	8,491	192,956
Recognition of Care donations	36,551	-	36,551	65,015	5,000	70,015
Collections	3,292	-	3,292	13,801	-	13,801
Breast Care for Magseed	15,000	-	15,000	94,700	-	94,700
Denise Coates Foundation	-	1,901,158	1,901,158	-	-	-
NHS Charities Together	-	458,100	458,100			
Other specific donation funds	-	-	-	70,000	-	70,000
Other donations	106,307	979	107,286	96,943	1,399	98,342
Total donations	**280,386**	**2,362,476**	**2,642,862**	**524,924**	**14,890**	**539,814**

2.2 Legacies

	2021			2020		
	Unrestricted £	Restricted £	Total £	Unrestricted £	Restricted £	Total £
Legacies	32,979	1,652	34,631	229,010	64,314	293,324
Total for the year	**32,979**	**1,652**	**34,631**	**229,010**	**64,314**	**293,324**

2.3 Income from other trading activities

	2021			2020		
	Unrestricted £	Restricted £	Total £	Unrestricted £	Restricted £	Total £
Charity events and fundraising	333,382	-	333,382	242,155	5,818	247,973
Total for year	**333,382**	**0**	**333,382**	**242,155**	**5,818**	**247,973**

Income from other trading activities comprises of funds raised through various sporting and other fundraising events.

2.4 Investment income

	2021			2020		
	Unrestricted £	Restricted £	Total £	Unrestricted £	Restricted £	Total £
Income from investments was received as below:						
Income from other investments	187,351	30,081	217,432	183,910	29,193	213,103
Bank interest	-	-	-	8,079	1,282	9,361
Total for year	**187,351**	**30,081**	**217,432**	**191,989**	**30,475**	**222,464**

Notes to the Financial Statements

University Hospitals of North Midlands Charity for the year ended 31 March 2021
Notes to the Financial Statements

3 Expenditure

3.1 Charitable activities

	2021			2020		
	Unrestricted £	Restricted £	Total £	Unrestricted £	Restricted £	Total £
Patient welfare and amenities	170,181	347,348	517,529	519,009	8,590	527,599
Staff welfare and amenities	(45,029)	11,700	(33,329)	95,693	-	95,693
Research	(6,208)		(6,208)	23,805	-	23,805
Enhancement of NHS care	1,247,350	1,582,672	2,830,023	669,276	326,516	995,792
Support costs	158,761	25,537	184,298	154,734	24,562	179,296
Other	-	-	-			
	1,525,056	1,967,257	3,492,313	1,462,517	359,668	1,822,185
3.2 Raising Funds						
Fundraising Trading costs	24,794	683	25,477	17,451	65	17,516
Support costs	19,767	3,180	22,947	48,604	7,715	56,319
	44,561	3,863	48,424	66,055	7,780	73,835
3.3 Total expenditure	**1,569,617**	**1,971,120**	**3,540,737**	**1,528,572**	**367,448**	**1,896,020**

Included in patient welfare and amenities is £167,443 spend on a one year Consultant Connect Licence funded by the Denise Coates Foundation. Included in Enhancement of NHS care is the purchase & maintenance of advanced medical equipment, improvements to computer systems and the furtherance of staff education. During the year there were various projects funded by the Denise Coates Foundation totalling £1,474,690, including a Staff Rest Area.

The charity has no direct employees. Staff involved in the administration of the charity are employees of the University Hospitals of North Midlands NHS Trust. A recharge is made by the Trust to the charity to reflect the cost of administering the charity. Staff costs for the period amounted to £172,416 (£157,424 in 2019/20) and are apportioned between expenditure on raising funds and charitable activities.

4 Net gains/(losses) on investments

	2021 £	2020 £
Net realised gains for the year	-	-
Unrealised gains on Investment assets	1,216,098	(192,445)
Net unrealised gains for the year	1,216,098	(192,445)

In line with FRS102 net gains/losses on investments are now shown before striking a total for net income/(expenditure).

Due to the Covid-19 pandemic there was a sharp fall in the value of investments in the first quarter of 2020, during the remainder of 2020 this fall was recovered and large gains have been made for the yearended 31st March 2021.

5 Net income/(expenditure) for the year

This is stated after charging:

	2021 £	2020 £
Auditor's remuneration:		
Audit fees	11,994	11,994

Audit fees are included within Support costs.

Notes to the Financial Statements

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2021
Notes to the Financial Statements

6 Analysis of Investments

	2021 £	2020 £
Fixed Asset Investments:		
Opening Market value 1 April	5,884,964	6,077,409
Less:Disposals at carrying value	-	-
Add: Acquisitions at cost	-	-
Net gain on revaluation	1,216,098	(192,445)
Closing Market value at 31 March	7,101,062	5,884,964

The historical cost of the investments held was £4,644,949 (£4,644,949 in 2019/20) and the market value as at 31 March 2021 was £7,101,062 (£5,884,964 in 2019/20).
At 31st March 2021 the mid market value of one Charities Investment Fund Income unit was 1,792.64 pence, 1,465.64 pence as at 31st March 2020.

7 Current assets

7.1 Debtors (falling due within one year)

	2021 £	2020 £
Interest including bank interest	54,850	54,938
Tax credits	5,578	1,294
Debtors	18,173	124,010
Total	78,601	180,242

7.2 Cash at Bank and in hand

	2021 £	2020 £
Cash held at Royal Bank of Scotland	1,689,152	1,184,425
Petty Cash	6	6
Total	1,689,158	1,184,431

8 Liabilities

8.1 Creditors (falling due within one year)

	2021 £	2020 £
Creditors	1,980,720	1,251,621
Accruals	17,856	12,594
	1,998,576	1,264,215

Creditors include the current committed expenditure of the funds amounting to £506,095 (£695,712 in 2019-20)

8.2 Creditors (falling due after more than one year)

	2021 £	2020 £
Creditors (maintenance charges)	17,064	35,908

Notes to the Financial Statements

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2021
Notes to the Financial Statements

9 Funds

Designated funds

The income funds of the charity include designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the University Hospitals of North Midlands NHS Trust.

The table below shows all funds with a closing balance of greater than £25,000.

Fund	Balance 01-Apr 2020 £000	Incoming Resources £000	Resources Expended £000	Gains and losses £000	Balance 31-Mar 2021 £000
UHNM General Fund	753	87	(520)	243	563
Cancer Centre Fund	800	63	(150)	141	854
UHNM Critical Care Fund	260	92	(198)	47	201
County Fundraising Appeal	161	5	(5)	28	189
UHNM Breast Care Fund	60	21	(12)	12	81
Cancer Research	30	1	(1)	5	35
UHNM Heart Fund	278	57	(39)	52	348
Cystic Fibrosis	68	7	1	13	89
UHNM Children's Centre & Services	190	53	(82)	34	195
Respiratory Fund	194	17	(101)	28	138
UHNM Gynaecology Fund	35	1	(1)	6	41
UHNM Obstetric Fund	97	10	(29)	17	95
Neonatal Intensive Care Unit	244	21	(17)	44	292
UHNM Neurosciences IP Fund	55	16	(25)	10	56
UHNM Trauma and Orthopaedic Fund	78	46	(10)	18	132
Renal Fund	184	20	(17)	33	220
Imaging Fund	169	3	(132)	14	54
UHNM Research and Development Fund	162	5	3	28	198
UHNM Fresh Hair Fund	146	6	(13)	25	164
General Cancer Research Fund	256	8	(8)	44	300
County General Fund	141	7	(83)	20	85
County Cancer & Haematology Fund	103	6	(3)	18	124
Emergency Care Centre	27	5	(1)	6	37
UHNM Stroke & AU Fund	66	8	(2)	12	84
Art for Life	33	1	(3)	6	37
Eye Fund	36	2	(12)	6	32
Colorectal Fund	49	2	(1)	8	58
Elderly Care Fund	41	5	(19)	6	33
Pot luck patient benefit fund	47	16	(12)	8	59
General Medical Fund	39	4	(1)	7	49
RSUH General Fund	38	19	(1)	7	63
Vascular	39	2	(1)	7	47
Urology	59	3	(8)	9	63
Gastro & Endoscopy Fund	40	3	(1)	7	49
UHNM Diabetes Fund	64	2	(2)	11	75
Gordon Banks-In Safe Hands	25	2	(1)	4	30
GI Unit	24	2	(1)	4	29
UHNM Specialised Surgery	21	12	(2)	4	35
UHNM Neurosciences OP Fund	21	7	(1)	4	31
UHNM EMERGENCY APPEAL	2	170	(4)	23	191
All other designated funds (69)	171	18	(55)	29	163
Total Funds	5,306	835	(1,570)	1,048	5,619

The number of funds remaining at 31st March 2021 was 110 (110 at 31 March 2020).

the year.

Restricted Funds

The income funds of the charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance 01-Apr 2020 £000	Incoming Resources £000	Resources Expended £000	Gains and losses £000	Balance 31-Mar 2021 £000
North Staffs Heart Committee	523	26	(48)	119	620
Denise Coates Foundation	-	1,901	(1,666)	-	235
UHNM Emergency Appeal	-	463	(252)	29	240
Chemotherapy Legacy	91	3	(3)	15	106
All other restricted funds (3)	29	1	(2)	5	33
	643	2,394	(1,971)	168	1,234

The number of funds remaining at 31st March 2021 was 7 (5 at 31 March 2020).

There have been no transfers between funds during the year.

Notes to the Financial Statements

University Hospitals of North Midlands NHS Trust Charitable fund for the year ended 31 March 2021
Notes to the Financial Statements

10 Commitments

The funds have the following commitments:

	Total 2021 £	Total 2020 £
Charitable projects	320,048	329,253
Charitable projects over one year	17,064	35,908
Capital	168,983	330,551
	506,095	695,712

The trustees recognise liabilities in the accounts once they have incurred either a legal or constructive obligation to spend the funds. Commitments of £506,095 have been included in this set of accounts as part of Creditors, refer to notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in both 2020/2021 and 2019/20 relate to grants payable.

In previous years the Charity has made a commitment to fund the on-going maintenance for equipment that it has funded through grant payments. From 1 April 2017 the Charity will no longer fund the on-going maintenance for new equipment purchased from grant payments.

There is currently £42,752 remaining relating to maintenance commitments. The majority of these will cease during the 2022/23 financial year.

11 Contingnent assets

The Charity recognises as contingent asset of £35,000 (2019/20 £0) at the reporting date in respect of a legacy where payment was reliant on completion of a property sale. At the reporting date the sale was probable but completion did not occur until after the reporting date.

12 Five year summary of Income and Expenditure

Financial year	2017 £000	2018 £000	2019 £000	2020 £000	2021 £000
Total Incoming Resources	2,069	1,300	1,854	1,303	3,229
Total Resources Expended	(1,370)	(1,578)	(1,493)	(1,896)	(3,541)
Gains/(losses) on Investment assets	661	116	444	(192)	1,216
Net movement in funds	1,360	(162)	805	(785)	904

The date of approval of the accounts by the Trust Board was the 5th January 2022

Chairman
Date: 05/01/2022

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

Independent auditor's report to the corporate trustee of University Hospitals of North Midlands Charity

Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity (the 'charity') for the year ended 31 March 2021, which comprise the Statement of Financial Activities, the Balance Sheet, the Cash Flow Statement and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2021 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

Basis for opinion

We have been appointed as auditor under section 149 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the 'Auditor's responsibilities for the audit of the financial statements' section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Conclusions relating to going concern

We are responsible for concluding on the appropriateness of the trustee's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the charity's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify the auditor's opinion. Our conclusions are based on the audit evidence obtained up to the date of our report. However, future events or conditions may cause the charity to cease to continue as a going concern.

In our evaluation of the trustee's conclusions, we considered the inherent risks associated with the charity's business model including effects arising from macro-economic uncertainties such as Brexit and Covid-19, we assessed and challenged the reasonableness of estimates made by the corporate trustee and the related disclosures and analysed how those risks might affect the charity's financial resources or ability to continue operations over the going concern period.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

In auditing the financial statements, we have concluded that the trustee's use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

The responsibilities of the corporate trustee with respect to going concern are described in the 'Responsibilities of the corporate trustee for the financial statements' section of this report.

Other information

The corporate trustee is responsible for the other information. The other information comprises the information included in the Trustee's Annual Report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Charities Act 2011 requires us to report to you if, in our opinion:

- the information given in the Trustee's Annual Report is inconsistent in any material respect with the financial statements; or
- the charity has not kept sufficient accounting records; or
- the financial statements are not in agreement with the accounting records and returns; or
- we have not received all the information and explanations we require for our audit.

Responsibilities of the corporate trustee for the financial statements

As explained more fully in the Trustee's Responsibilities Statement set out on page 15, the corporate trustee is responsible for the preparation of the financial statements which give a true and fair view, and for such internal control as the trustee determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the corporate trustee is responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the corporate trustee either intends to liquidate the charity or to cease operations, or has no realistic alternative but to do so.

Auditor's responsibilities for the audit of the financial statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists.

Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: www.frc.org.uk/auditorsresponsibilities. This description forms part of our auditor's report.

Explanation as to what extent the audit was considered capable of detecting irregularities, including fraud

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. Owing to the inherent limitations of an audit, there is an unavoidable risk

Independent Auditor's Report to the Corporate Trustee of UHNM Charity

that material misstatements in the financial statements may not be detected, even though the audit is properly planned and performed in accordance with the ISAs (UK).

The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

- We obtained an understanding of the legal and regulatory frameworks that are applicable to the charity and determined that the most significant which are directly relevant to specific assertions in the financial statements are those related to the reporting frameworks (The Charities Act 2011, the Charities SORP and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice);
- We enquired of management and those charged with governance, concerning the charity's policies and procedures relating to:
  - the identification, evaluation and compliance with laws and regulations;
  - the detection and response to the risks of fraud; and
  - the establishment of internal controls to mitigate risks related to fraud or non-compliance with laws and regulations.
- We enquired of management and those charged with governance, whether they were aware of any instances of non-compliance with laws and regulations or whether they had any knowledge of actual, suspected or alleged fraud.
- We assessed the susceptibility of the charity's financial statements to material misstatement, including how fraud might occur, by evaluating incentives and opportunities for manipulation of the financial statements. This included the evaluation of the risk of management override of controls and improper recognition of revenue.

  We determined that the principal risks were in relation to:

  – journal entries that altered the Charity's financial performance for the year;

  – the occurrence of voluntary income.
- Our audit procedures involved:

  – evaluation of the design effectiveness of controls that are in place to prevent and detect fraud;

  – journal entry testing, with a with a particular focus on significant journals at the end of the financial year, which impacted on the Charity's financial performance focus;

  – documenting and gaining an understanding of controls around monitoring and receipt of cash donations and the recording in the general ledger

  – substantive sampling of voluntary income and year-end balances to supporting records

  – assessing the extent of compliance with the relevant laws and regulations as part of our procedures on the related financial statement item.
- These audit procedures were designed to provide reasonable assurance that the financial statements were free from fraud or error. However, detecting irregularities that result from fraud is inherently more difficult than detecting those that result from error, as those irregularities that result from fraud may involve collusion, deliberate concealment, forgery or intentional misrepresentations. Also, the further removed non-compliance with laws and regulations is from events and transactions reflected in the financial statements, the less likely we would become aware of it.
- Assessment of the appropriateness of the collective competence and capabilities of the engagement team included consideration of the engagement team's.
  - understanding of, and practical experience with audit engagements of a similar nature and complexity through appropriate training and participation
  - knowledge of the sector in which the charity operates
  - understanding of the legal and regulatory requirements specific to the charity
- In assessing the potential risks of material misstatement, we obtained an understanding of:

- the charity's operations, including the nature of its income and expenditure and its services and of its objectives and strategies to understand the classes of transactions, account balances, expected financial statement disclosures and business risks that may result in risks of material misstatement.
- the charity's control environment, including the policies and procedures implemented by the charity corporate trustee to ensure compliance with the requirements of the financial reporting framework.

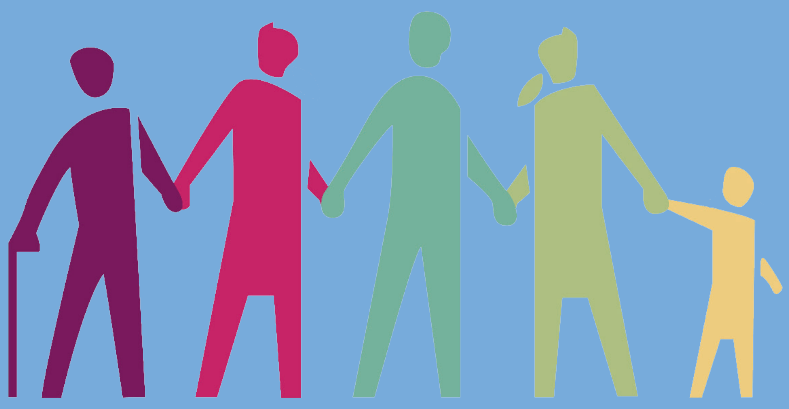
Use of our report

This report is made solely to the charity's corporate trustee, as a body, in accordance with Section 154 of the Charities Act 2011. Our audit work has been undertaken so that we might state to the charity's corporate trustee those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and its corporate trustee as a body, for our audit work, for this report, or for the opinions we have formed.

Grant Thornton UK LLP

Grant Thornton UK LLP
Statutory Auditor, Chartered Accountants
Birmingham
27 January 2022

Grant Thornton UK LLP is eligible to act as an auditor in terms of section 1212 of the Companies Act 2006.



U·H·N·M
charity

Registered Name: University Hospitals of North Midlands NHS Trust Charity (known as UHNM Charity)
Registered Charity Number: 1054889
Registered Office: Springfield, Trust HQ, Newcastle Road, Stoke-on-Trent, Staffordshire, ST4 6QG
Website: www.uhnmcharity.org.uk
Facebook: UHNMCharity
Twitter: @UHNMCharity
Instagram: UHNMCharity
Photos featured taken pre-Covid-19